

# Selectivity Estimations

- previous slides assume that we "know" how many tuples qualify
- but this has to be estimated somehow
- similar for join ordering algorithms etc.
- cardinalities (and thus selectivities) are fundamental for query optimization
- we will now look at deriving some estimations

# Examples

SQL examples for typical selectivity problems:

- **select** \*  
**from** rel r  
**where** r.a=10
- **select** \*  
**from** rel r  
**where** r.b>2
- **select** \*  
**from** rel1 r1,rel2 r2  
**where** r1.a=r2.b

The different problems require different approaches.

## Heuristic Estimations

Some commonly used selectivity estimations:

predicate	selectivity	requirement
$A = c$	$1/ D(A) $ $1/10$	if index on $A$ otherwise
$A > c$	$(\max(A) - c)/(\max(A) - \min(A))$ $1/3$	if index on $A$ , interpol. otherwise
$A_1 = A_2$	$1/\max( D(A_1) ,  D(A_2) )$ $1/ D(A_1) $ $1/ D(A_2) $ $1/10$	if index on $A_1$ and $A_2$ if index on $A_1$ only if index on $A_2$ only otherwise

Note: Without further statistics,  $|D(A)|$  is typically only known (easily estimated) if  $A$  is a key or there is an index on  $A$ .

## Using Histograms

- selectivity can be calculated easily by looking at the real data
- not feasible, therefore look at aggregated data
- histograms partition the data values into buckets

A histogram  $H_A : B \rightarrow \mathbb{N}$  over a relation  $R$  partitions the domain of the aggregated attribute  $A$  into disjoint buckets  $B$ , such that

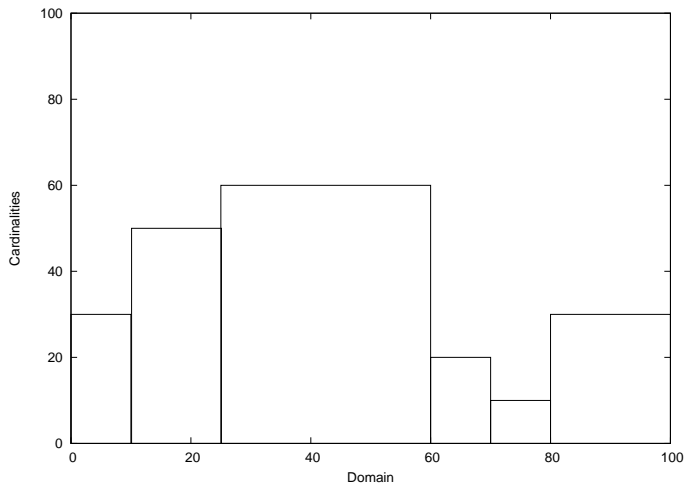
$$H_A(b) = |\{r \mid r \in R \wedge R.A \in b\}|$$

and thus  $\sum_{b \in B} H_A(b) = |R|$ .

Choosing  $B$  is very important, as we will see on the next slides.

## Using Histograms (2)

A rough histogram might look like this:



## Using Histograms (3)

Given a histogram, we can approximate the selectivities as follows:

$$A = c \quad \frac{\sum_{b \in B: c \leq b} H_A(b)}{\sum_{b \in B} H_A(b)}$$

$$A > c \quad \frac{\sum_{b \in B: c \leq b} \frac{\max(b) - c}{\max(b) - \min(b)} H_A(b) + \sum_{b \in B: \min(b) > c} H_A(b)}{\sum_{b \in B} H_A(b)}$$

$$A_1 = A_2 \quad \frac{\sum_{b_1 \in B_1, b_2 \in B_2, b' = b_1 \cap b_2: b' \neq \emptyset} \frac{\max(b') - \min(b')}{\max(b_1) - \min(b_1)} H_{A_1}(b_1) \frac{\max(b') - \min(b')}{\max(b_2) - \min(b_2)} H_{A_2}(b_2)}{\sum_{b_1 \in B_1} H_{A_1}(b_1) \sum_{b_2 \in B_2} H_{A_2}(b_2)}$$

## Using Histograms - Remarks

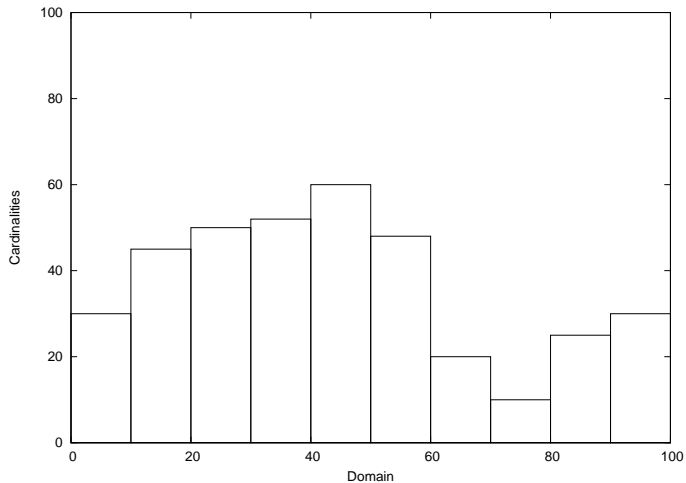
- estimations on previous slide can be improved
- in particular, the  $A = c$  case is only a rough approximation
- requires more information
- if we interpret the histogram as a density function,  $P(A = c) = 0!$
- a reasonable upper bound, though
- the  $A > c$  case is more sound
- $A_1 = A_2$  assumes independence etc.

# Building Histograms

- the buckets chosen greatly affect the overall quality
- histogram does not discern items within one bucket
- therefore: try to put items into different buckets
- how to choose the buckets?
- typical constraint: histogram size.  $n$  buckets (fixed)
- for a given set of data items, find a good histogram with  $n$  buckets
- additional constraint: data distribution is unknown (real data)

# Building Histograms - Equiwidth

Partitions the domain into buckets with a fixed width



## Building Histograms - Equiwidth (2)

### Advantages:

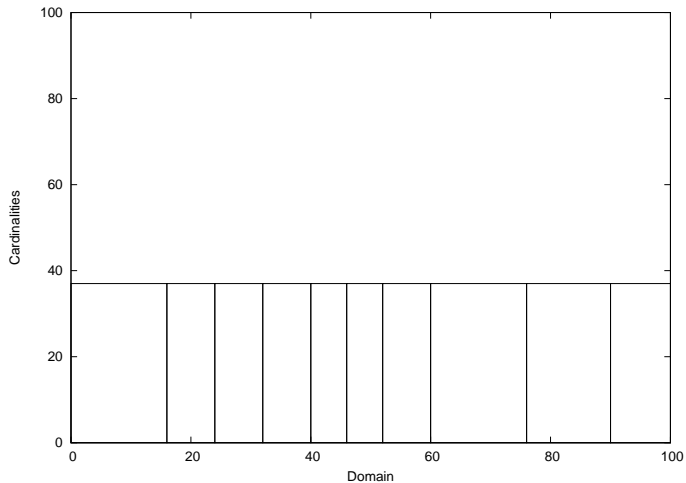
- easy to compute
- bucket boundaries can be computed (require no space)

### Disadvantages:

- samples the domain uniformly
- does not handle skewed data well
- skew can lead to very uneven buckets
- greater estimation error in large buckets
- particular bad for zipf-like distributions

# Building Histograms - Equidepth

Chooses the buckets to contain the same number of items



## Building Histograms - Equidepth (2)

Advantages:

- adopts to data distribution
- reduces maximum error

Disadvantages:

- more involved (sort or similar)
- both boundaries and depth have to be stored (ties)

Very common histogram building technique

## Building Histograms - Interpolation

- data is usually not completely random
- can we increase accuracy by interpolation?
- either within buckets (common) or instead of buckets (uncommon)
- histogram is a density function, not continuous, hard to interpolate
- use the equivalent distribution function instead
- very good for estimating  $A > c$

# Discussion

- estimations more complex in practice
- potentially different goals: maximum vs. average error
- histograms for derived values
- histogram convolution
- handling correlations
- multi-dimensional histograms
- cardinality estimators (sketches, MIPS etc.)