

Modelling, Specification and Formal Analysis of Complex Software Systems

Precise Static Analysis of Programs with Dynamic Memory

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Establish **automatically** that a **program** meets a **specification**.

Specified Properties

- Explicit: “the program sorts the input list”
→ specified using some formalism in assertions
- Implicit: “the program never dereferences a null pointer”
→ specified as bad behaviour in semantics

Automatic Technique

The user **could not interact** with the analyser during its running.
→ but may write program assertions, choose analysis parameters, ...

Consequence of Rice's Theorem

It is impossible to build **sound**, **complete**, and **automatic** analysers of non trivial **semantic** properties for programs written in a **Turing-complete** programming language.

What can be done?

- Confine to “trivial” classes of programming languages
→ model-checking finite automata, but manage state explosion 😞
- Give up “automation”
→ interactive theorem provers 😐
- Give up “soundness” by looking at bounded executions
→ testing, bounded model-checking, but manage false negatives 😐
- Give up “completeness” by using property preserving abstractions
→ type-checking, data flow analysis, **abstract interpretation** 😊

- Numerical programs: PolySpace (1996-), Astrée (2002-)
- Device drivers: SLAM (2000-)
- Programs with dynamic memory: Infer (2015-)

Inputs:

- Program and its **formal concrete semantics**
- User's assertions
- Targeted **class of properties**

Outputs:

- Program properties valid for **all** concrete executions
- Alarms about unsatisfied specifications, some may be **false alarms**

Abstraction process

Interpret the program according to a simplified, “abstract” semantics.

Property-preserving abstraction

Formally show that the “abstract” semantics **preserves** the relevant properties of the “concrete” (program) semantics.

Preservation of properties

Interpretation with the abstract semantics therefore gives sound information about the **properties of concrete executions**.

Find **abstractions** with

- 1 **High precision** \longrightarrow fewer false alarms
- 2 **Low complexity** \longrightarrow scale-up to bigger programs

Objective of analysis

Discover for each program point if a pointer variable may have the null value at the run time.

The abstract semantics tracks the following properties for each pointer variable x :

$x = \text{null}$

$x \neq \text{null}$

STATIC ANALYSIS EXAMPLE: NULL POINTER ALIASING

```
list* search(list* h, int key) {  
  
    list* it = h;  
  
    bool b = false;  
  
    while (it != NULL && !b) {  
  
        if (it->data == key)  
            b = true;  
        else  
  
            it = it->next;  
  
    }  
  
    return it;  
}
```

STATIC ANALYSIS EXAMPLE: NULL POINTER ALIASING

```
list* search(list* h, int key) {  
    h = null   √   h ≠ null  
    list* it = h;  
  
    bool b = false;  
  
    while (it != NULL && !b) {  
        if (it->data == key)  
            b = true;  
        else  
  
            it = it->next;  
    }  
  
    return it;  
}
```

STATIC ANALYSIS EXAMPLE: NULL POINTER ALIASING

```
list* search(list* h, int key) {  
    h = null   ∨   h ≠ null  
    list* it = h;  
    h = null   ∧   it = null   ∨   h ≠ null   ∧   it ≠ null  
    bool b = false;  
    h = null   ∧   it = null   ∨   h ≠ null   ∧   it ≠ null  
  
    while (it != NULL && !b) {  
  
        if (it->data == key)  
            b = true;  
        else  
  
            it = it->next;  
  
    }  
  
    return it;  
}
```

STATIC ANALYSIS EXAMPLE: NULL POINTER ALIASING

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list* search(list* h, int key) {  
    h = null   ∨   h ≠ null  
    list* it = h;  
    h = null   ∧   it = null   ∨   h ≠ null   ∧   it ≠ null  
    bool b = false;  
    h = null   ∧   it = null   ∨   h ≠ null   ∧   it ≠ null  
  
    while (it != NULL && !b) {  
        h ≠ null   ∧   it ≠ null  
        if (it->data == key)  
            b = true;  
        else  
            h ≠ null   ∧   it ≠ null  
            it = it->next;  
    }  
  
    return it;  
}
```

STATIC ANALYSIS EXAMPLE: NULL POINTER ALIASING

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    list* it = h;  
    h = null   ∧   it = null   ∨   h ≠ null   ∧   it ≠ null  
    bool b = false;  
    h = null   ∧   it = null   ∨   h ≠ null   ∧   it ≠ null  
  
    while (it != NULL && !b) {  
        h ≠ null   ∧   it ≠ null  
        if (it->data == key)  
            b = true;  
        else  
            h ≠ null   ∧   it ≠ null  
            it = it->next;  
            h ≠ null   ∧   it ≠ null   ∨   h ≠ null   ∧   it = null  
    }  
  
    return it;  
}
```

STATIC ANALYSIS EXAMPLE: NULL POINTER ALIASING

```
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    list* it = h;  
    h = null   ∧   it = null   ∨   h ≠ null   ∧   it ≠ null  
    bool b = false;  
    h = null   ∧   it = null   ∨   h ≠ null   ∧   it ≠ null  
    ∨   h ≠ null   ∧   it = null  
    while (it != NULL && !b) {  
        h ≠ null   ∧   it ≠ null  
        if (it->data == key)  
            b = true;  
        else  
            h ≠ null   ∧   it ≠ null  
            it = it->next;  
            h ≠ null   ∧   it ≠ null   ∨   h ≠ null   ∧   it = null  
    }  
  
    return it;  
}
```

STATIC ANALYSIS EXAMPLE: NULL POINTER ALIASING

```
list* search(list* h, int key) {  
    h = null  ∨  h ≠ null  
    list* it = h;  
    h = null  ∧  it = null  ∨  h ≠ null  ∧  it ≠ null  
    bool b = false;  
    h = null  ∧  it = null  ∨  h ≠ null  ∧  it ≠ null  
    ∨  h ≠ null  ∧  it = null  
    while (it != NULL && !b) {  
        h ≠ null  ∧  it ≠ null  
        if (it->data == key)  
            b = true;  
        else  
            h ≠ null  ∧  it ≠ null  
            it = it->next;  
            h ≠ null  ∧  it ≠ null  ∨  h ≠ null  ∧  it = null  
    }  
    h = null  ∧  it = null  ∨  h ≠ null  ∧  it ≠ null  
    ∨  h ≠ null  ∧  it = null  
    return it;  
}
```

Pioneering works in '70, applied to ALGOL68 and Pascal programs.

Main properties

Variables aliasing, data structures separation, shape of the heap, size of the heap, ...

Applications

- Understand design choices of new programming languages
- Program optimisation
- Verification of implicit and explicit specifications
- Optimise compilers for imperative and functional languages

Pioneering works in '70, applied to ALGOL68 and Pascal programs.

PASTE 2001:

Pointer Analysis: Haven't We Solved This Problem Yet?

Michael Hind
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30 Saw Mill River Road
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ABSTRACT

During the past twenty-one years, over seventy-five papers and nine Ph.D. theses have been published on pointer analysis. Given the tomes of work on this topic one may wonder, "Haven't we solved this problem yet?" With input from many researchers in the field, this paper describes issues related to pointer analysis and remaining open problems.

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Open problem: increase precision while preserving scalability

→ shape analysis [Larus&Hilfinger,88, Horwitz&al,89,...]

MOTIVATION FOR SHAPE ANALYSIS

```
/* @brief Reverse list @p l in place and return the new head */
list* reverse(list* l) {
    list* f = l;
    list* r = NULL;

    while (f != NULL) { // !!!
        list *t = f->next;

        f->next = r;

        r = f; f = t;
    }

    return r;
}
```

Targeted properties: $\text{list}(l, \text{null}), \text{list}(r, \text{null}), r \xrightarrow{\text{next}} l, \dots$

MOTIVATION FOR SHAPE ANALYSIS

```
/* @brief Search @p key in the sorted list @p l*/
list* search(list* l, int key);

int main(void) {
    ...
    list* h = list_init(d); // initialises with 0..d
    ...
    x = search(h, d-1);

    y = x->next; // !!!
    ...
}
```

Targeted properties: list(h, null) of data 0..d

Automata-based:

- finite automata in PALE [Møller,01]
- tree automata in Forester & Predator [Vojnar *et al*,11]
- counter automata [Bouajjani *et al*,06]

Logic-based:

- Boolean abstraction [Wies *et al*,09]
- 3-valued logic [TVLA – Sagiv *et al*]
- Separation Logic [Smallfoot, Infer – O’Hearn *et al*,01], [MemCAD – Rival *et al*,07], [Celia – S. *et al*,10]
- FO with reachability [Yorsh *et al*,06], [Bouajjani *et al*,09], [Madhusudan *et al*,11]

... and many others! (see [Hind,01]) Sorry for no credits in this talk... ☹

Logic-based abstractions for static analysis of shape and content properties using abstract interpretation.

Desired properties for the logic

- High **expressivity** → precision of analysis
- High **efficiency** for → scaling-up to large programs
 - computing interpretation of program statements
 - soundly testing satisfiability and entailment of assertions
- Encoding in **a complete lattice** → abstract interpretation

- 1 Introduction
- 2 Formal Models and Semantics for IMPR
- 3 Foundations of Static Analysis by Abstract Interpretation
- 4 *Application: Programs with Lists and Data*
- 5 *Application: Decision Procedures by Static Analysis*
- 6 Elements of Inter-procedural Analysis
- 7 *Application: Programs with Lists, Data, and Procedures*
- 8 *Extension: Programs with Complex Data Structures*

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- 2 Formal Models and Semantics for IMPR**
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We consider the IMPR toy language to focus on the properties targeted by our analyses.

Included:

- numeric types
- pointer to record types
- strong typing
- explicit heap (de-)allocation
- recursive functions

Excluded:

- expressions with side effect 😊
- uninitialised allocation of memory (stack or heap) 😞
- union and array types 😞
- pointer arithmetics and casting 😡
- pointer to functions 😡
- pointers inside the stack 😡
- ...

Some excluded features are easy (😊) or elaborate (😞) for our analyses.

Basic Types

Numeric types $DT \in \mathbf{DT}$ on which are defined operations $o \in \mathbf{O}$ and boolean relations $r \in \mathbf{R}$; \mathbb{D} is the union of numerical domains.

Record types

User defined types are record types $RT \in \mathbf{RT}$, defined by a set of numeric $df \in \mathbf{DF}$ or reference $rf \in \mathbf{RF}$ fields as follows:

```
struct RT { ty1 f1; ... tyn fn; };
```

with $ty_i ::= DT \mid RT^*$ and $fi \in \mathbf{FS} = \mathbf{DF} \cup \mathbf{RF}$.

Language **strongly typed** except the null constant!

Variable declaration

Numeric variables $dv \in \mathbf{DV}$ and reference variables $rv \in \mathbf{RV}$ are either declared global or local to some procedure.

Procedure declaration

Explicit syntax for output parameters; all procedures return a result.

```
ty P(ty1 v1, ..., tyn out vn)
  { // declarations for local variables
    ty v;
startP: // sequence of statements
    ...; v = ...; ...
    return v;
endP: }
```

Boolean and numeric expressions

Fixed evaluation order of arguments; no side effects.

$$\begin{aligned} \text{be} &::= \text{bcst} \mid \text{bv} \mid \text{r}(\overrightarrow{\text{de}}) \mid \text{rv}_1 == \text{rv}_2 \mid !\text{be} \mid \text{be} \wedge \text{be} \mid \text{be} \vee \text{be} \\ \text{de} &::= \text{dcst} \mid \text{dv} \mid \text{o}(\overrightarrow{\text{de}}) \mid \text{rv} \rightarrow \text{df} \end{aligned}$$

Reference expressions

No arithmetics on references!

$$\text{re} ::= \text{null} \mid \text{rv} \mid \text{rv} \rightarrow \text{rf}$$

Statements

Restricted procedure call; explicit dynamic memory (de)allocation.

$$\begin{aligned} \text{astmt} &::= \text{dv} = \text{de} \mid \text{rv} \rightarrow \text{df} = \text{de} \mid \text{rv} = \text{re} \mid \text{rv} \rightarrow \text{rf} = \text{re} \mid \text{bv} = \text{be} \\ &\quad \mid \text{rv} = \text{new RT} \mid \text{free}(\text{rv}) \mid \text{nop} \\ \text{stmt} &::= \text{astmt} \mid \text{v} = \text{P}(\overrightarrow{\text{v}}) \mid \text{stmt}; \text{stmt} \mid \text{if} \dots \mid \text{while} \dots \end{aligned}$$

EXAMPLE REVISITED

```
struct list { int data; list* next};
```

```
list* search(list* h, int key) {  
    list* it; bool b;  
    it = h;  
    b = false;  
    while (!(it == NULL ∨ b)) {  
        if (it->data == key)  
            b = true;  
        else  
            it = it->next;  
    }  
    return it;  
}
```

Memory configs $\text{Mem} \triangleq \text{Stacks} \times \text{Heaps} \ni m$

Store-less Heap

Absence of arithmetics over addresses permits the store-less semantics, *i.e.* the heap locations are represented by a domain $(\mathbb{L}, =)$.

Strongly-typed Heap

Strong typing permits indexing of heap locations by program types, *i.e.*

$$\mathbb{L} = \{\boxtimes\} \cup \bigcup_{\text{ty} \in \mathbf{RT}^*} \mathbb{L}_{\text{ty}}$$

with \boxtimes (for null) the only untyped value.

Then, the heap formal model is:

$$H \in \text{Heaps} \triangleq [(\mathbb{L} \times \mathbf{FS}) \mapsto (\mathbb{D} \cup \mathbb{L})]$$

with $H(\boxtimes, f)$ undefined for any H and f .

Control points	$\mathbf{CP} \ni \ell, \ell'$ $\ni \text{start}_P, \text{end}_P$ for each procedure $P \in \mathbf{P}$
Stack	$\mathbf{Stacks} \triangleq [(\mathbf{CP} \times \mathbf{P} \times (\mathbf{DV} \mapsto \mathbb{D} \cup \mathbf{RV} \mapsto \mathbb{L}))^*] \ni \mathbf{S}$
Memory	$\mathbf{Mem} \triangleq \mathbf{Stacks} \times \mathbf{Heaps} \ni \mathbf{m}$
Configurations	$\mathbf{Config} \triangleq \mathbf{CP} \times (\mathbf{Mem} \cup \{\mathbf{merr}\}) \ni \mathbf{C}$

Natural Semantics Predicates

$$\begin{aligned}
 \mathbf{C} &\vdash \textit{stmt} \rightsquigarrow \mathbf{C}' \\
 \mathbf{m} &\vdash \textit{astmt} \rightsquigarrow \mathbf{m}' \mid \mathbf{merr} \\
 \mathbf{m} &\vdash \textit{be} \rightsquigarrow \mathbf{b} \mid \mathbf{merr} \\
 \mathbf{m} &\vdash \textit{de} \rightsquigarrow \mathbf{c} \mid \mathbf{merr} \\
 \mathbf{m} &\vdash \textit{re} \rightsquigarrow \mathbf{a} \mid \mathbf{merr}
 \end{aligned}$$

with $\mathbf{b} \in \{\text{true}, \text{false}\}$, $\mathbf{c} \in \mathbb{D}$, $\mathbf{a} \in \mathbb{L}$.

$$\frac{\forall i. m \vdash de_i \rightsquigarrow c_i \quad r(c_1, \dots, c_n) = \text{true}}{m \vdash r(de_1, \dots, de_n) \rightsquigarrow \text{true}}$$

$$\frac{\exists i. m \vdash de_i \rightsquigarrow merr}{m \vdash r(de_1, \dots, de_n) \rightsquigarrow merr}$$

$$\frac{\forall i. m \vdash de_i \rightsquigarrow c_i \quad r(c_1, \dots, c_n) = \text{true}}{m \vdash r(de_1, \dots, de_n) \rightsquigarrow \text{true}}$$

$$\frac{\exists i. m \vdash de_i \rightsquigarrow merr}{m \vdash r(de_1, \dots, de_n) \rightsquigarrow merr}$$

$$\frac{m(rv) = a \neq \boxtimes \quad m(a, df) = c}{m \vdash rv \rightarrow df \rightsquigarrow c}$$

$$\frac{m(rv) = \boxtimes}{m \vdash rv \rightarrow df \rightsquigarrow merr}$$

$$\frac{\forall i. m \vdash de_i \rightsquigarrow c_i \quad r(c_1, \dots, c_n) = \text{true}}{m \vdash r(de_1, \dots, de_n) \rightsquigarrow \text{true}}$$

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$$\frac{m(rv) = \boxtimes}{m \vdash rv \rightarrow df \rightsquigarrow merr}$$

$$\frac{m(rv) = a \neq \boxtimes}{m \vdash \text{free}(rv); \rightsquigarrow m[rv \leftarrow \boxtimes]}$$

$$\frac{m(rv) = \boxtimes}{m \vdash \text{free}(rv); \rightsquigarrow merr}$$

NATURAL SEMANTICS: RULES (SOME)

$$\frac{\forall i. m \vdash de_i \rightsquigarrow c_i \quad r(c_1, \dots, c_n) = \text{true}}{m \vdash r(de_1, \dots, de_n) \rightsquigarrow \text{true}}$$

$$\frac{\exists i. m \vdash de_i \rightsquigarrow \text{merr}}{m \vdash r(de_1, \dots, de_n) \rightsquigarrow \text{merr}}$$

$$\frac{m(rv) = a \neq \boxtimes \quad m(a, df) = c}{m \vdash rv \rightarrow df \rightsquigarrow c}$$

$$\frac{m(rv) = \boxtimes}{m \vdash rv \rightarrow df \rightsquigarrow \text{merr}}$$

$$\frac{m(rv) = a \neq \boxtimes}{m \vdash (rv), \rightsquigarrow m[rv \leftarrow \boxtimes]}$$

$$\frac{m(rv) = \boxtimes}{m \vdash \text{free}(rv); \rightsquigarrow \text{merr}}$$

No garbage detection

NATURAL SEMANTICS: RULES (SOME)

$$\frac{\forall i. m \vdash de_i \rightsquigarrow c_i \quad r(c_1, \dots, c_n) = \text{true}}{m \vdash r(de_1, \dots, de_n) \rightsquigarrow \text{true}}$$

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$$\frac{m(rv) = a \neq \boxtimes \quad m(a, df) = c}{m \vdash rv \rightarrow df \rightsquigarrow c}$$

$$\frac{m(rv) = \boxtimes}{m \vdash rv \rightarrow df \rightsquigarrow \text{merr}}$$

$$\frac{m(rv) = a \neq \boxtimes}{m \vdash \text{free}(rv); \rightsquigarrow m[rv \leftarrow \boxtimes]}$$

$$\frac{m(rv) = \boxtimes}{m \vdash \text{free}(rv); \rightsquigarrow \text{merr}}$$

$$\frac{\alpha \text{ fresh in } \mathbb{L}_{RT^*} \quad \forall df \in RT. m.H(\alpha, df) = c \quad \forall rf \in RT. m.H(\alpha, rf) = \boxtimes}{m \vdash rv = \text{new } RT; \rightsquigarrow m[rv \leftarrow \alpha]}$$

NATURAL SEMANTICS: RULES (SOME)

$$\frac{\forall i. m \vdash de_i \rightsquigarrow c_i \quad r(c_1, \dots, c_n) = \text{true}}{m \vdash r(de_1, \dots, de_n) \rightsquigarrow \text{true}}$$

$$\frac{\exists i. m \vdash de_i \rightsquigarrow \text{merr}}{m \vdash r(de_1, \dots, de_n) \rightsquigarrow \text{merr}}$$

$$\frac{m(rv) = a \neq \boxtimes \quad m(a, df) = c}{m \vdash rv \rightarrow df \rightsquigarrow c}$$

$$\frac{m(rv) = \boxtimes}{m \vdash rv \rightarrow df \rightsquigarrow \text{merr}}$$

$$\frac{m(rv) = a \neq \boxtimes}{m \vdash \text{free}(rv); \rightsquigarrow m[rv \leftarrow \boxtimes]}$$

$$\frac{m(rv) = \boxtimes}{m \vdash \text{free}(rv); \rightsquigarrow \text{merr}}$$

$$\frac{\alpha \text{ fresh in } \mathbb{L}_{RT^*} \quad \forall df \in RT. m.H(\alpha, df) = c \quad \forall rf \in RT. m.H(\alpha, rf) = \boxtimes}{m \vdash rv = \text{new } RT; \rightsquigarrow m[\alpha \leftarrow c]}$$

Infinite heap

By default initialisation

NATURAL SEMANTICS: RULES (SOME)

$$\frac{\forall i. m \vdash de_i \rightsquigarrow c_i \quad r(c_1, \dots, c_n) = \text{true}}{m \vdash r(de_1, \dots, de_n) \rightsquigarrow \text{true}}$$

$$\frac{\exists i. m \vdash de_i \rightsquigarrow \text{merr}}{m \vdash r(de_1, \dots, de_n) \rightsquigarrow \text{merr}}$$

See procedure call in the second part!

$$\frac{m(rv) = c}{m \vdash rv \rightarrow df \rightsquigarrow c}$$

$$\frac{m(rv) = \boxtimes}{m \vdash rv \rightarrow df \rightsquigarrow \text{merr}}$$

$$\frac{m(rv) = a \neq \boxtimes}{m \vdash \text{free}(rv); \rightsquigarrow m[rv \leftarrow \boxtimes]}$$

$$\frac{m(rv) = \boxtimes}{m \vdash \text{free}(rv); \rightsquigarrow \text{merr}}$$

$$\frac{\alpha \text{ fresh in } \mathbb{L}_{RT^*} \quad \forall df \in RT. m.H(\alpha, df) = c \quad \forall rf \in RT. m.H(\alpha, rf) = \boxtimes}{m \vdash rv = \text{new } RT; \rightsquigarrow m[mv]}$$

Infinite heap

By default initialisation

Definition

An **inter-procedural control flow graph** (ICFG) over a set of operations Op is a tuple $\langle V, Op, \rightarrow, start, end \rangle$ where:

- V is a finite set of vertices,
- $start \in V$ is a *starting vertex* and $end \in V$ is a *final vertex*,
- Op is a finite set of *labels*,
- $\rightarrow \in V \times Op \times V$ is a finite set of *edges*.

For our class of program

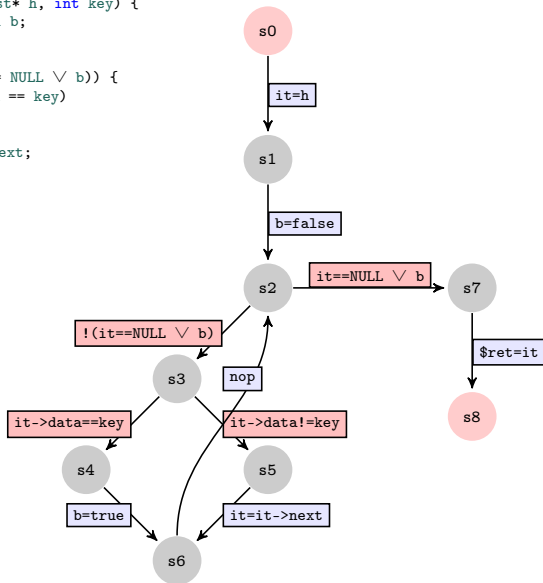
$Op \ni op ::= be \mid astmt \mid \text{call } v = P(\dots) \mid \text{return } v = P(\dots)$

where (recall)

$$\begin{aligned}
 be & ::= bcst \mid bv \mid r(\overrightarrow{de}) \mid rv_1 == rv_2 \mid !be \mid be \wedge be \mid be \vee be \\
 astmt & ::= dv = de \mid rv \rightarrow df = de \mid rv = re \mid rv \rightarrow rf = re \mid bv = be \\
 & \mid rv = \text{new } RT \mid \text{free}(rv) \mid \text{nop}
 \end{aligned}$$

ICFG FOR SEARCH

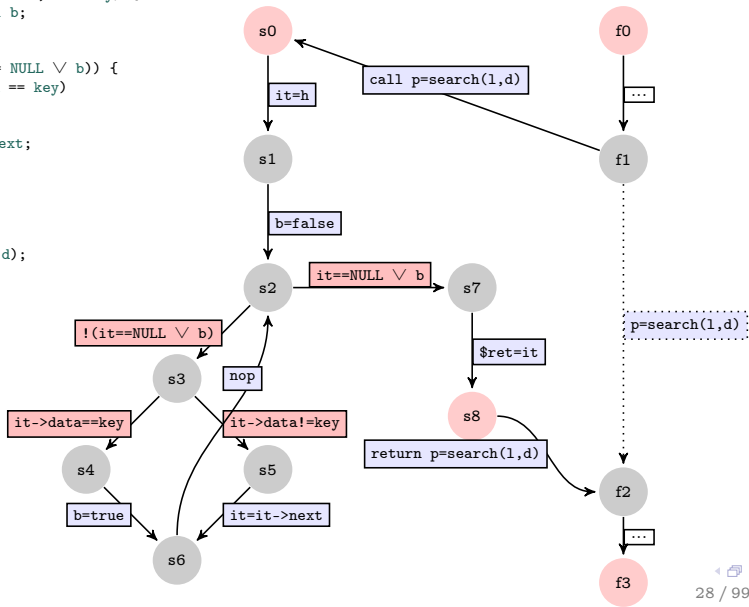
```
list* search(list* h, int key) {  
    list* it; bool b;  
s0:  it = h;  
s1:  b = false;  
s2:  while (!(it == NULL ∨ b)) {  
s3:    if (it->data == key)  
s4:      b = true;  
      else  
s5:        it = it->next;  
s6:  }  
s7:  return it;  
s8: }
```



ICFG FOR SEARCH AND FOO

```
list* search(list* h, int key) {
  list* it; bool b;
s0:  it = h;
s1:  b = false;
s2:  while (!(it == NULL ∨ b)) {
s3:    if (it->data == key)
s4:      b = true;
      else
s5:    it = it->next;
s6:  }
s7:  return it;
s8: }

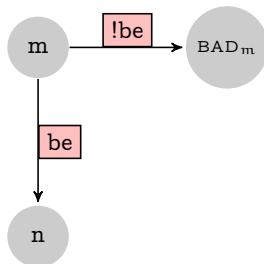
int foo() {
f0: ...
f1: p = search(l, d);
f2: ...
f3: }
```



ICFG FOR USER ASSERTIONS

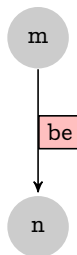
m: `assert(be);`

n:



m: `assume(be);`

n:



ICFG is a finite, syntactic object.

The interpretation of ICFG using the natural semantics produces a model of program executions, *i.e.* LTS.

Definition LTS

A **labeled transition system** is a tuple $\langle C, \text{Init}, \text{Out}, \Sigma, \rightarrow \rangle$ where:

- C is a set of *configurations*,
- $\text{Init} \in C$ and $\text{Out} \in C$ are sets of initial and exit configurations,
- Σ is a finite set of *actions*,
- $\rightarrow \subseteq C \times \Sigma \times C$ is a set of transitions.

LTS is an infinite, semantic object.

A **control path** is a path in the control flow graph:

$$q_0 \xrightarrow{op_0} q_1 \dots q_k \xrightarrow{op_k} q_{k+1}$$

An **execution path** is a path in the labeled transition system:

$$(q_0, m_0) \xrightarrow{op_0} (q_1, m_1) \dots (q_k, m_k) \xrightarrow{op_k} (q_{k+1}, m_{k+1})$$

A **run** is an execution path starting from the initial configuration:

$$(q_{\text{Init}}, m_{\text{Init}}) \xrightarrow{op_0} (q_1, m_1) \dots (q_k, m_k) \xrightarrow{op_k} (q_{k+1}, m_{k+1})$$

- 1 Introduction
- 2 Formal Models and Semantics for IMPR
- 3 Foundations of Static Analysis by Abstract Interpretation**
- 4 *Application: Programs with Lists and Data*
- 5 *Application: Decision Procedures by Static Analysis*
- 6 Elements of Inter-procedural Analysis
- 7 *Application: Programs with Lists, Data, and Procedures*
- 8 *Extension: Programs with Complex Data Structures*

Goal

Over-approximate the set of configurations reachable from the initial configuration.

The exact set of reachable configurations is:

$$\begin{aligned} \text{Post}^* &= \bigcup_{\sigma : \text{run}} \{(q, m) \mid (q, m) \text{ occurs in } \sigma\} \\ &= \bigcup_{q_{\text{Init}} \xrightarrow{\text{op}_0} \dots \xrightarrow{\text{op}_k} q} \{q\} \times (\text{post}_{\text{op}_k} \circ \dots \circ \text{post}_{\text{op}_0})(m_{\text{Init}}) \end{aligned}$$

where

$$\text{post}_{\text{op}} : \text{Mem} \cup \{\text{merr}\} \rightarrow \text{Mem} \cup \{\text{merr}\}$$

defined by the operational semantics, *i.e.* **standard semantics**.

We focus on forward analysis. Exercise: Transpose to backward analysis.

Goal

Over-approximate the set of configurations reachable from the initial configuration **at each program point**.

Project Post^* on each program point (ICFG vertex):

$$\text{Post}^* = \bigcup_{q \in \text{ICFG}} q \mapsto \overline{\text{Post}}^*(q) \quad \text{with } q \mapsto \emptyset \equiv \emptyset,$$

$$\overline{\text{Post}}^*(q) = \bigcup_{q_{\text{Init}} \xrightarrow{\text{op}_0} \dots \xrightarrow{\text{op}_{k-1}} q_k \xrightarrow{\text{op}_k} q} (\overline{\text{post}}_{\text{op}_k} \circ \dots \circ \overline{\text{post}}_{\text{op}_0}) (\{m_{\text{Init}}\})$$

and

$$\overline{\text{post}}_{\text{op}} : \mathcal{P}(\text{Mem} \cup \{\text{merr}\}) \rightarrow \mathcal{P}(\text{Mem} \cup \{\text{merr}\})$$

is the **collecting semantics**, $\overline{\text{post}}_{\text{op}}(M) = \bigcup_{m \in M} \text{post}_{\text{op}}(m)$.

$\overline{\text{Post}}^*$ is called $\overrightarrow{\text{MOP}}$ for (forward) “Meet Over All Paths” and is the **most precise abstraction** of the reachable configurations.

However, in the presence of control loops, the set of runs is infinite, so:

$\overrightarrow{\text{MOP}}$ is not computable in general!

Sound Solution

Over-approximate the initial system of equations over runs to a system of **in-equations over ICFG edges**:

$$\begin{aligned} \overline{\text{Post}}^*(q_{\text{Init}}) &\supseteq \{m_{\text{Init}}\} \\ \overline{\text{Post}}^*(q') &\supseteq \text{post}_{\text{op}}(\overline{\text{Post}}^*(q)) \text{ for all } q \xrightarrow{\text{op}} q' \in \text{ICFG} \end{aligned}$$

REFORMULATE OUR GOAL

$\overline{\text{Post}}^*$ is called $\overrightarrow{\text{MOP}}$ for (forward) “Meet Over All Paths” and is the **most precise abstraction** of the reachable configurations.

However, in the presence of control loops, the set of runs is infinite, so:

$\overrightarrow{\text{MOP}}$ is not computable in general!

Sound Solution

Over-approximate the initial system of equations of **in-equations over ICFG** and solve the system

Do solutions always exist? Yes, see Knaster-Tarski Fixpoint Theorem!

$$\overline{\text{Post}}^*(m_{\text{Init}}) \supseteq \{m_{\text{Init}}\}$$

$$\overline{\text{Post}}^*(q') \supseteq \text{post}_{\text{op}}(\overline{\text{Post}}^*(q)) \text{ for all } q \xrightarrow{\text{op}} q' \in \text{ICFG}$$

Definition

A partially ordered set (L, \subseteq) is a **complete lattice** if every $X \subseteq L$ has both a greatest lower bound $\sqcap X$ and a least upper bound $\sqcup X$ in (L, \subseteq) .

In a complete lattice (L, \subseteq)

- $\sqcup X$ is the most precise information consistent with all $x \in X$
- $\sqcap X$ is the infimum of X , i.e., $\sqcup \{x \mid x \subseteq X\}$
- least element exists \perp , $\perp = \sqcup L = \sqcap \emptyset$
- greatest element exists \top , $\top = \sqcup \emptyset = \sqcap L$

Example: Powerset Lattice

For any set S , $(\mathcal{P}(S), \subseteq)$ is a complete lattice.

Definitions

Let (L, \sqsubseteq) be a partial order,

- $f : L \rightarrow L$ is **monotonic** iff $\forall x, y \in L. x \sqsubseteq y \implies f(x) \sqsubseteq f(y)$.
- $x \in L$ is a **fixpoint** of f iff $f(x) = x$.

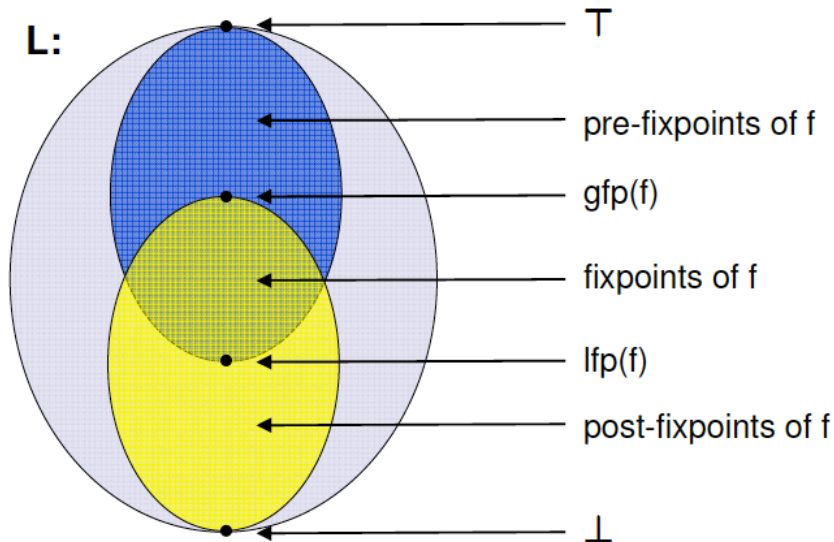
Theorem Knaster-Tarski

Let L be a complete lattice and $f : L \rightarrow L$ a monotonic function. The set of fixpoints of f is also a complete lattice.

Consequently, **least fixpoint** $\text{lfp}(f)$ and **greatest fixpoint** $\text{gfp}(f)$ exist and:

$$\begin{aligned} \text{lfp}(f) &= \bigcap \{x \in L \mid f(x) \sqsubseteq x\} && \text{least pre-fixpoint} \\ \text{gfp}(f) &= \bigcup \{x \in L \mid x \sqsubseteq f(x)\} && \text{greatest post-fixpoint} \end{aligned}$$

LATTICE OF FIXPOINTS



Picture from: Nielson/Nielson/Hankin, *Principles of Program Analysis*

To avoid loss of precision, we focus on the least fixpoint of the system:

$$\begin{aligned} \overline{\text{Post}}^*(q_{\text{Init}}) &\supseteq \{m_{\text{Init}}\} \\ \overline{\text{Post}}^*(q') &\supseteq \overline{\text{post}}_{\text{op}}(\overline{\text{Post}}^*(q)) \text{ for all } q \xrightarrow{\text{op}} q' \in \text{ICFG} \end{aligned}$$

called $\overrightarrow{\text{MFP}}$ for (forward) “Maximal Fixpoint”.

How to compute the smallest solution? See Kleene iteration!

Kleene Fixpoint Theorem

Let (L, \sqsubseteq) be a complete partial order and $f : L \rightarrow L$ monotonic. Then $\text{lfp}(f)$ is the supremum of the **ascending Kleene chain of f** , *i.e.*

$$\perp \sqsubseteq f(\perp) \sqsubseteq f(f(\perp)) \sqsubseteq \dots \sqsubseteq f^n(\perp) \sqsubseteq \dots$$

Observe that if $f^i(\perp) = f^{i+1}(\perp)$ for some i , then $f^i(\perp)$ is $\text{lfp}(f)$.

Definition

(L, \sqsubseteq) satisfies the **ascending chain condition** if every ascending chain $x_0 \sqsubseteq x_1 \sqsubseteq \dots$ of elements of L is eventually stationary.

Termination

$(f^i(\perp))_{i \in \mathbb{N}}$ converges for (L, \sqsubseteq) satisfying the ascending chain condition.

IMPROVED KLEENE ITERATION: WORKSET ALGORITHM

```
1: W = ∅;
2: for (all vertex q) { P[q] = ⊥; W = Add(W,q); }
3: P[qInit] = { mInit };
   /* ∀q. P[q] ⊆  $\overline{\text{MFP}}(q) \wedge \{m_{\text{Init}}\} \subseteq P[q_{\text{Init}}] \wedge$ 
       $\forall q' \notin W. \overline{\text{post}}_{\text{op}}(P[q]) \subseteq P[q']$  with (q,op,q') edge */
4: while (W != ∅) {
5:   q = Extract(W);
6:   for (all edge (q,op,r)) {
7:     t =  $\overline{\text{post}}_{\text{op}}(P[q])$ ;
8:     if (! (t ⊆ P[r])) {
9:       P[r] = P[r] ⊔ t;
10:      W = Add(W,r);
11:    } }
12: } /* ∀q. P[q] ⊆  $\overline{\text{MFP}}(q) \wedge P$  solution  $\implies P = \overline{\text{MFP}}$  */
```

Termination

```

8:   if (! (t  $\sqsubseteq$  P[r])) {
9:     P[r] = P[r]  $\sqcup$  t;
10:    W = Add(W,r);
11:  }

```

WS terminates if (L, \sqsubseteq) satisfies the ascending chain condition.

For any vertex r the following sequence converges:

$\perp \sqsubseteq P[r] \sqsubseteq P^2[r] \sqsubseteq \dots$ where $P^k[r]$ is the value of $P[r]$ after visiting k edges with target r .

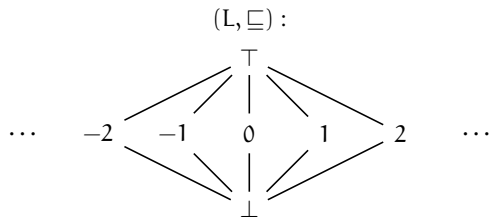
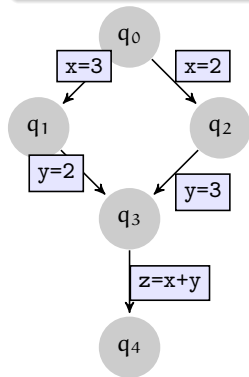
Otherwise, **change computation at line 9** to obtain convergence of $(P^i[r])_{i \in \mathbb{N}}$ for any r , e.g. **widening** (see later).

Variants

Different iteration strategies are obtained by changing the selection of the visited vertices (**Extract**) and edges (line 6).

PRECISION OF MFP

For monotonic F , $\overrightarrow{\text{MOP}}[q] \subseteq \overrightarrow{\text{MFP}}[q]$ for any reachable vertex q .



$$\text{MOP}[q_4] = (x \mapsto 3, y \mapsto 2, z \mapsto 5) \sqcup (x \mapsto 2, y \mapsto 3, z \mapsto 5)$$

$$= (x \mapsto \top, y \mapsto \top, z \mapsto 5)$$

$$\text{MFP}[q_3] = (x \mapsto 3, y \mapsto 2, z \mapsto \perp) \sqcup (x \mapsto 2, y \mapsto 3, z \mapsto \perp)$$

$$= (x \mapsto \top, y \mapsto \top, z \mapsto \perp)$$

$$\text{MFP}[q_4] = (x \mapsto \top, y \mapsto \top, z \mapsto \top)$$

Power Set

For any set S , $(\mathcal{P}(S), \sqsubseteq)$ is a complete lattice where

$$\sqsubseteq = \subseteq, \quad \sqcap = \bigcap, \quad \sqcup = \bigcup, \quad \perp = \emptyset, \quad \top = S$$

If S is finite then $(\mathcal{P}(S), \sqsubseteq)$ satisfies a.c.c.

Functions

For any set S and a complete lattice (L, \sqsubseteq) , $(S \rightarrow L, \sqsubseteq)$ is a complete lattice where

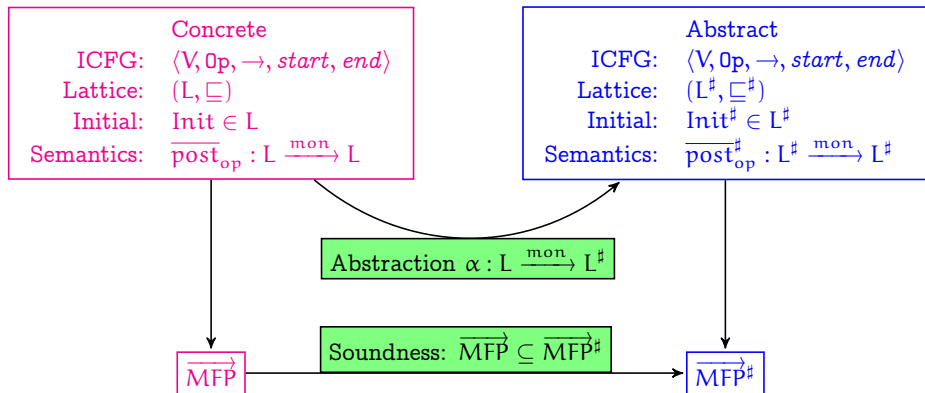
$$f \sqsubseteq g \quad \text{if} \quad \forall x \in S. f(x) \sqsubseteq g(x)$$

$$\sqcap F = \lambda x. \sqcap \{f(x) \mid f \in F\}, \quad \sqcup F = \lambda x. \sqcup \{f(x) \mid f \in F\},$$

$$\perp = \lambda x. \perp, \quad \top = \lambda x. \top$$

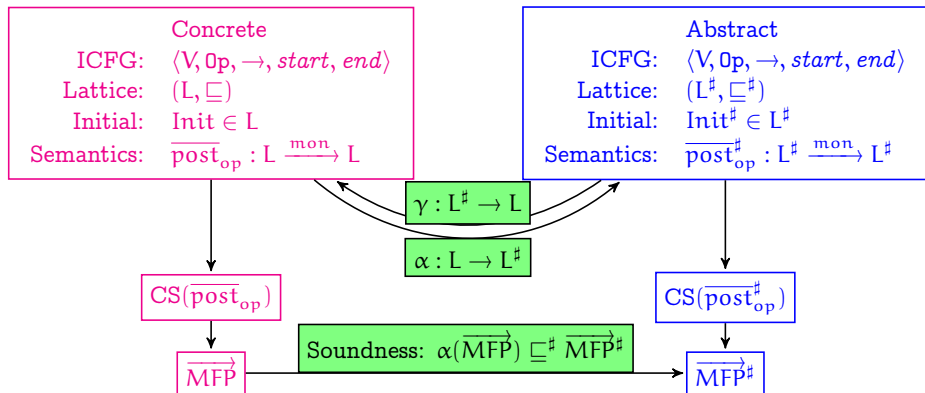
If S is finite and (L, \sqsubseteq) satisfies a.c.c. then $(S \rightarrow L, \sqsubseteq)$ satisfies a.c.c.

ABSTRACTION PRINCIPLE



How to systematically ensure the correctness of this principle?

→ Abstract Interpretation [Cousot&Cousot,79].



Conditions

- Correct abstraction: (α, γ) is a Galois connection.
- Correct interpretation: $\alpha(\overline{post}_{op}(x)) \sqsubseteq^\# \overline{post}_{op}^\#(\alpha(x))$

Definition

A **Galois connection** between two lattices (L, \sqsubseteq) and $(L^\#, \sqsubseteq^\#)$ is a pair of functions (α, γ) with $\alpha : L \rightarrow L^\#$ and $\gamma : L^\# \rightarrow L$ satisfying, for all $x \in L$ and $y^\# \in L^\#$:

$$\alpha(x) \sqsubseteq^\# y^\# \quad \text{iff} \quad x \sqsubseteq \gamma(y^\#)$$

Vocabulary and intuition:

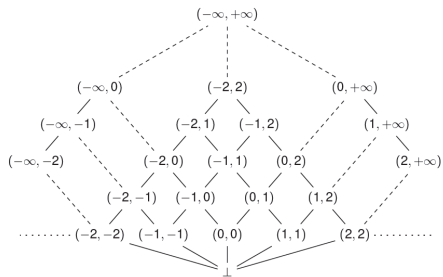
- γ is the **concretisation** function,
 $\longrightarrow \gamma(y^\#)$ is the **concrete value** represented by $y^\#$.
- α is the **abstraction** function,
 $\longrightarrow \alpha(x)$ is the **most precise abstract value** representing x
 \longrightarrow concretisation of $\alpha(x)$ **approximates** x , i.e. $\sqsupseteq x$.

GALOIS CONNECTION: INTERVAL ABSTRACTION

$(L, \sqsubseteq) = (\mathcal{P}(\mathbb{Z}), \subseteq)$



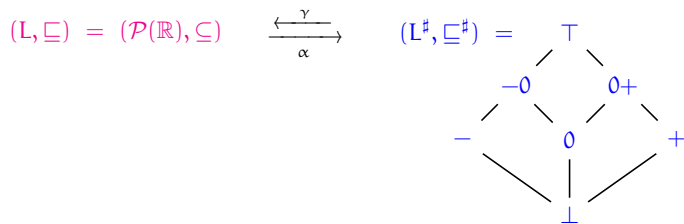
(Int, \subseteq)



$S \subset \mathbb{Z} \xrightarrow{\alpha} (\inf(S), \sup(S))$ e.g. $\{-1, 2\} \xrightarrow{\alpha} (-1, 2)$

$\{l, l+1, \dots, u\} \xleftarrow{\gamma} (l, u)$ e.g. $\{-1, 0, 1, 2\} \xleftarrow{\gamma} (-1, 2)$

Exercise: Explicit the Galois connection for the Sign Abstraction, *i.e.*,



$$e.g., \alpha(x) = \begin{cases} \perp & \text{if } x = \emptyset \\ + & \text{if } x \subseteq \{r \mid r > 0\} \\ \dots & \dots \end{cases}$$

GALOIS CONNECTION: CHARACTERISATION

Let (L, \sqsubseteq) and $(L^\#, \sqsubseteq^\#)$ be two lattices.

For any two functions $\alpha : L \rightarrow L^\#$ and $\gamma : L^\# \rightarrow L$,

$$(L, \sqsubseteq) \begin{array}{c} \xleftarrow{\gamma} \\ \xrightarrow{\alpha} \end{array} (L^\#, \sqsubseteq^\#) \quad \text{iff} \quad \left\{ \begin{array}{ll} x \sqsubseteq \gamma(\alpha(x)) & \text{for any } x \in L \\ \alpha(\gamma(y^\#)) \sqsubseteq^\# y^\# & \text{for any } y^\# \in L^\# \\ \alpha \text{ is monotonic} \\ \gamma \text{ is monotonic} \end{array} \right.$$

Given a **monotonic** function $f : L \rightarrow L$, let consider a **monotonic** function $g^\# : L^\# \rightarrow L^\#$ that is a **sound approximation** of f , *i.e.*

$$\alpha \circ f(x) \sqsubseteq^\# g^\# \circ \alpha(x)$$

Theorem

For any monotonic function $f : L \rightarrow L$ and any monotonic sound approximation of f , $g^\# : L^\# \rightarrow L^\#$ then

$$\text{lfp}(f) \sqsubseteq \gamma(\text{lfp}(g^\#))$$

Definition

A sound approximation of $\overline{\text{post}}_{op}$ is called (correct) **abstract transformer**.

EXAMPLE: ABSTRACT TRANSFORMER FOR INTERVALS

Let consider the Galois connection $(\mathcal{P}(\mathbb{Z}), \subseteq) \begin{matrix} \xleftarrow{\gamma} \\ \xrightarrow{\alpha} \end{matrix} (\text{Int}, \subseteq)$

The concrete transformer for $\text{op} \equiv (z \geq 0)$ is

$$\overline{\text{post}}_{z \geq 0}(S) = \{v \in S \mid v \geq 0\} \quad \forall S \subseteq \mathbb{Z}$$

EXAMPLE: ABSTRACT TRANSFORMER FOR INTERVALS

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Several abstract transformers may be defined:

- $g_{z \geq 0}^{\#}((l, u)) = (\max(0, l), u)$ $(l, u) = \perp$ if $l > u$
- $h_{z \geq 0}^{\#}((l, u)) = (\max(0, l), \infty)$
- $f_{z \geq 0}^{\#}((l, u)) = \top$

and notice that $g_{z \geq 0}^{\#} \sqsubseteq^{\#} h_{z \geq 0}^{\#} \sqsubseteq^{\#} f_{z \geq 0}^{\#}$.

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- $f_{z \geq 0}^\#((\ell, u)) = \top$

and notice that $g_{z \geq 0}^\# \sqsubseteq^\# h_{z \geq 0}^\# \sqsubseteq^\# f_{z \geq 0}^\#$.

What happens with lfp (recall, used in $\overline{\text{MFP}}$) of $g_{z \geq 0}^\#, h_{z \geq 0}^\#, f_{z \geq 0}^\#$?

Theorem

For any two monotonic functions f, g on a complete lattice (L, \sqsubseteq) , if $f(x) \sqsubseteq g(x)$ for all $x \in L$ then $\text{lfp}(f) \sqsubseteq \text{lfp}(g)$.

In the previous example, $g^\#$ is better than $h^\#$!

Theorem

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Definition

For any monotonic function $f : L \rightarrow L$, the best abstraction of f is the monotonic function $f^\# : L^\# \rightarrow L^\#$ defined by:

$$f^\# = \alpha \circ f \circ \gamma$$

Theorem

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Definition

For any monotonic function $f : L \rightarrow L$, the best abstraction of f is the monotonic function $f^\# : L^\# \rightarrow L^\#$ defined by:

$$f^\# = \alpha \circ f \circ \gamma$$

But the best abstract transformer is difficult to compute!

→ e.g., $\overline{\text{post}}_{z=e*e}^\#$ for sign abstraction needs to solve $e * e = 0$.

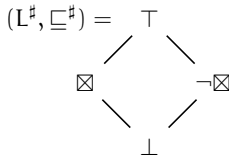
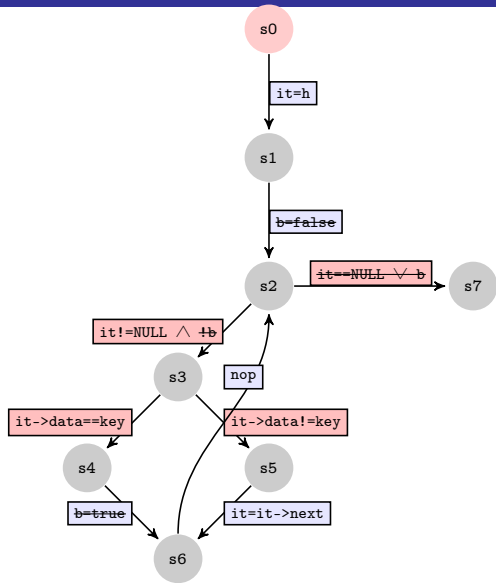
- Design an abstract complete lattice $(L^\#, \sqsubseteq^\#)$, simpler than the concrete one (L, \sqsubseteq) , and formalise the “meaning” of abstract values by a Galois connection $(L, \sqsubseteq) \begin{matrix} \xleftarrow{\gamma} \\ \xrightarrow{\alpha} \end{matrix} (L^\#, \sqsubseteq^\#)$

→ tests for equality with $\top^\#, \perp^\#$, algorithms for $\sqsubseteq^\#, \sqcup^\#, \dots$
- Design a sound abstract transformer $g^\#$ for each $op \in OP$ in ICFG.

→ based on the natural semantics, try to be **precise** and **efficient**
- Compute $\text{lfp}(g^\#)$ using the some algorithm (e.g., workset) to obtain an over-approximation of \overline{MFP} .

→ generic algorithm with parameters $(L^\#, \sqsubseteq^\#)$, ICFG, and $g^\#$

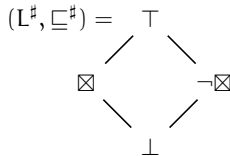
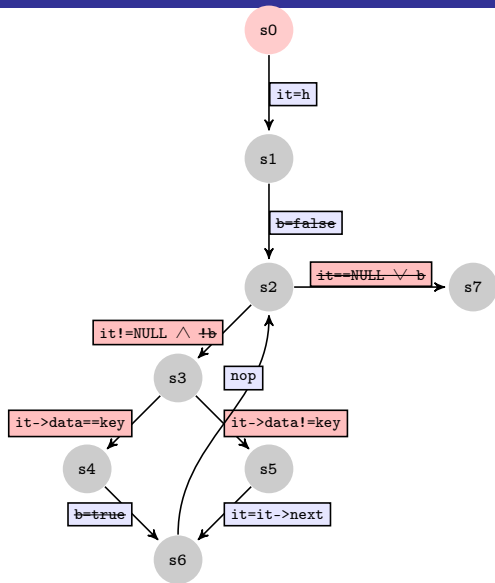
EXAMPLE: ANALYSIS OF NULL ALIASING [CC'77]



Initially:

CP	it	h	W
s0	\perp	\top	✓
s1	\perp	\perp	
s2	\perp	\perp	
s3	\perp	\perp	
s4	\perp	\perp	
s5	\perp	\perp	
s6	\perp	\perp	
s7	\perp	\perp	

EXAMPLE: ANALYSIS OF NULL ALIASING [CC'77]

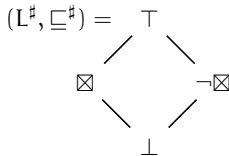
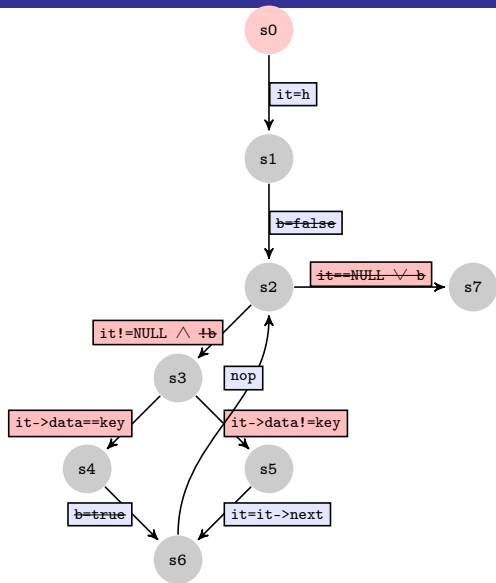


s0 extracted:

CP	it	h	W
s0	\perp	\top	
s1	\top	\top	
s2	\top	\top	✓
s3	\perp	\perp	
s4	\perp	\perp	
s5	\perp	\perp	
s6	\perp	\perp	
s7	\perp	\perp	

$$\overline{\text{post}}_{it=h}^\#(it \mapsto v^\#, h \mapsto u^\#) = (it \mapsto u^\#, h \mapsto u^\#)$$

EXAMPLE: ANALYSIS OF NULL ALIASING [CC'77]

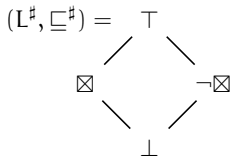
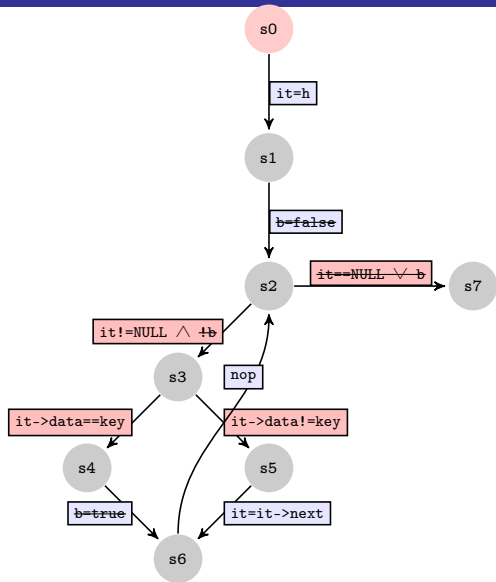


s2 extracted:

CP	it	h	W
s0	\perp	\top	
s1	\top	\top	
s2	\top	\top	
s3	$\neg\boxtimes$	\top	✓
s4	\perp	\perp	
s5	\perp	\perp	
s6	\perp	\perp	
s7	\top	\top	✓

$$\overline{\text{post}}_{it!=\text{NULL}}^\#(it \mapsto v^\#, h \mapsto u^\#) = (it \mapsto v^\# \sqcap^\# \neg\boxtimes, h \mapsto u^\#)$$

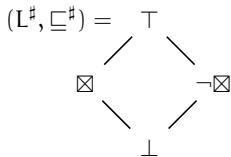
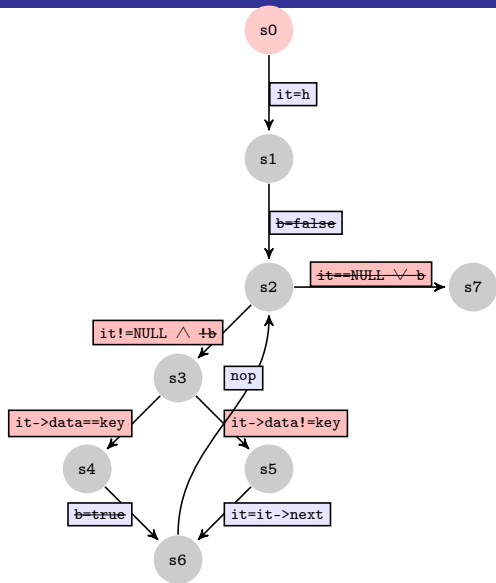
EXAMPLE: ANALYSIS OF NULL ALIASING [CC'77]



s3 extracted:

CP	it	h	W
s0	\perp	T	
s1	T	T	
s2	T	T	
s3	$\neg\boxtimes$	T	
s4	$\neg\boxtimes$	T	✓
s5	$\neg\boxtimes$	T	✓
s6	\perp	\perp	
s7	T	T	✓

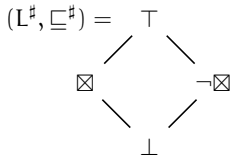
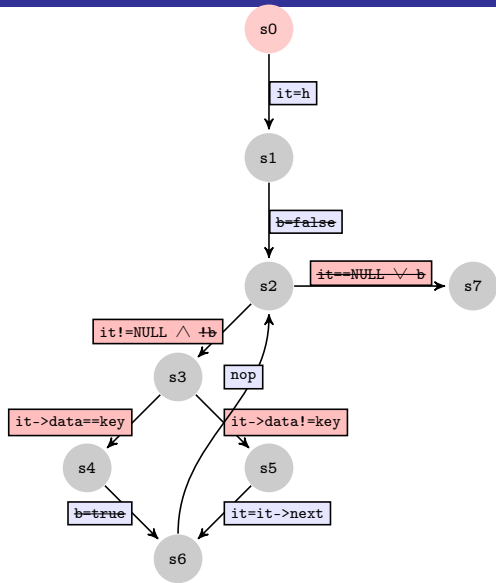
EXAMPLE: ANALYSIS OF NULL ALIASING [CC'77]



s4 extracted:

CP	it	h	W
s0	\perp	T	
s1	T	T	
s2	T	T	
s3	$\neg\boxtimes$	T	
s4	$\neg\boxtimes$	T	
s5	$\neg\boxtimes$	T	✓
s6	$\neg\boxtimes$	T	✓
s7	T	T	✓

EXAMPLE: ANALYSIS OF NULL ALIASING [CC'77]

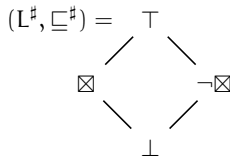
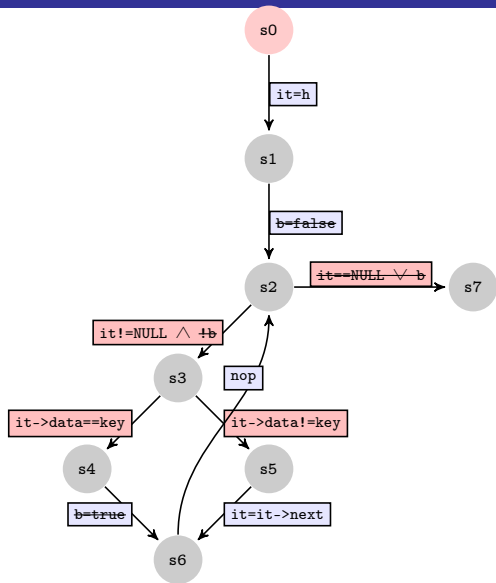


s5 extracted:

CP	it	h	W
s0	\perp	\top	
s1	\top	\top	
s2	\top	\top	
s3	$\neg\boxtimes$	\top	
s4	$\neg\boxtimes$	\top	
s5	$\neg\boxtimes$	\top	
s6	\top	\top	✓
s7	\top	\top	✓

$$\overline{\text{post}}_{it=it \rightarrow \text{next}}^\#(it \mapsto v^\#, h \mapsto u^\#) = (it \mapsto \top, h \mapsto u^\#)$$

EXAMPLE: ANALYSIS OF NULL ALIASING [CC'77]



s6 extracted:

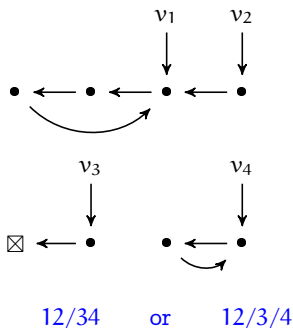
CP	it	h	W
s0	\perp	T	
s1	T	T	
s2	T	T	
s3	$\neg\boxtimes$	T	
s4	$\neg\boxtimes$	T	
s5	$\neg\boxtimes$	T	
s6	T	T	
s7	T	T	✓

Abstraction Idea

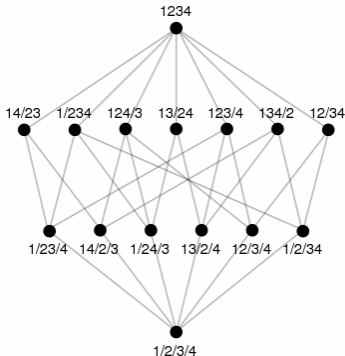
Partition the set of list variables (except NULL) such that:

- v_1, v_2 belong to the same partition if $v_1 \xrightarrow{\text{next}^*} \cap v_2 \xrightarrow{\text{next}^*}$ **may be** non-empty,
- otherwise v_1, v_2 are in different partitions.

→ the abstraction keep track of **relation** between variables

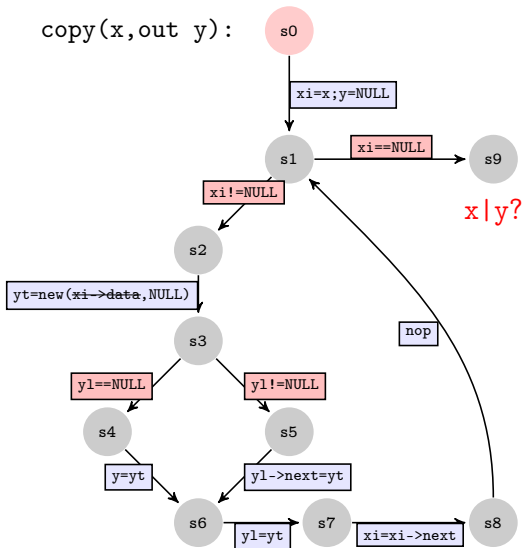


$$(P_4, \sqsubseteq^\#) =$$



EXAMPLE: ANALYSIS OF HEAP SEPARATION [CC'77]

copy(x, out y):



$v^\# \sqsubseteq u^\#$ iff $\forall p \in v^\# \exists q \in u^\#. p \subseteq q$

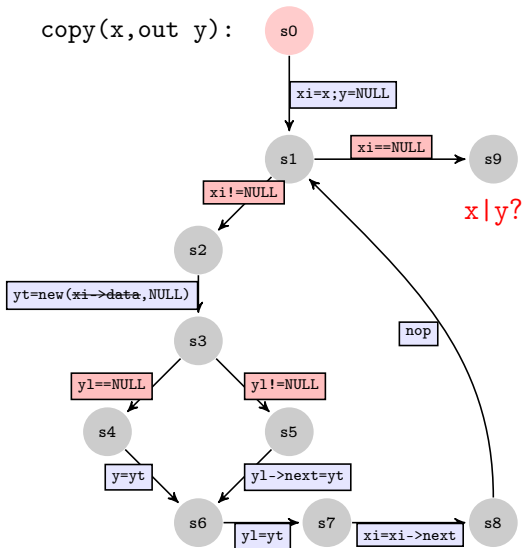
$v^\# \sqcup u^\#$ based on union-find

Initially:

CP	$v^\#$	W
s0	x y xi y1 yt	✓
s1	⊥	
s2	⊥	
s3	⊥	
s4	⊥	
s5	⊥	
s6	⊥	
s7	⊥	
s8	⊥	
s9	⊥	

EXAMPLE: ANALYSIS OF HEAP SEPARATION [CC'77]

copy(x, out y):



$v^\# \sqsubseteq u^\#$ iff $\forall p \in v^\# \exists q \in u^\#. p \subseteq q$

$v^\# \sqcup^\# u^\#$ based on union-find

s0 extracted:

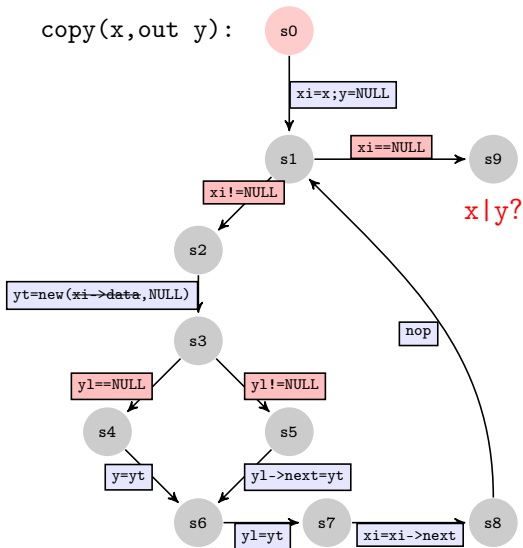
CP	$v^\#$	W
s0	x y xi y1 yt	
s1	x xi y y1 yt	✓
s2	⊥	
s3	⊥	
s4	⊥	
s5	⊥	
s6	⊥	
s7	⊥	
s8	⊥	
s9	⊥	

$$\overline{\text{post}}_{xi=x}^\#(v^\#) = \text{Extract}(xi, v^\#) \sqcup^\# \{x, xi\}$$

$$\overline{\text{post}}_{y=NULL}^\#(v^\#) = \text{Extract}(y, v^\#)$$

EXAMPLE: ANALYSIS OF HEAP SEPARATION [CC'77]

copy(x, out y):



$v^\# \sqsubseteq u^\#$ iff $\forall p \in v^\# \exists q \in u^\#. p \subseteq q$

$v^\# \sqcup u^\#$ based on union-find

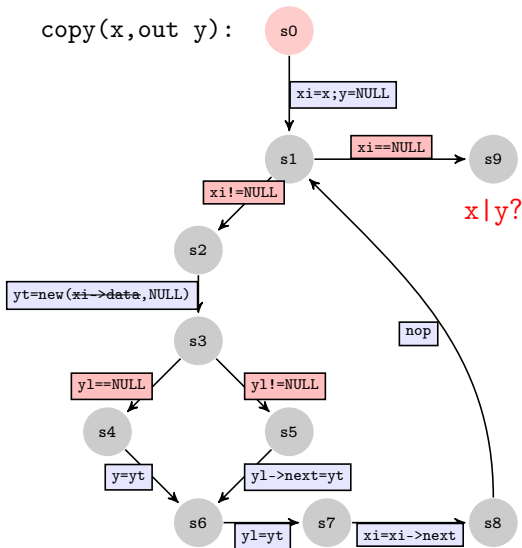
s1 extracted:

CP	$v^\#$	W
s0	x y xi y1 yt	
s1	x xi y y1 yt	
s2	x xi y y1 yt	✓
s3	⊥	
s4	⊥	
s5	⊥	
s6	⊥	
s7	⊥	
s8	⊥	
s9	x xi y y1 yt	✓

$$\overline{\text{post}}_{xi==NULL}^\#(v^\#) = \overline{\text{post}}_{xi!=NULL}^\#(v^\#) = v^\#$$

EXAMPLE: ANALYSIS OF HEAP SEPARATION [CC'77]

copy(x, out y):



$v^\# \sqsubseteq^\# u^\#$ iff $\forall p \in v^\# \exists q \in u^\#. p \subseteq q$

$v^\# \sqcup^\# u^\#$ based on union-find

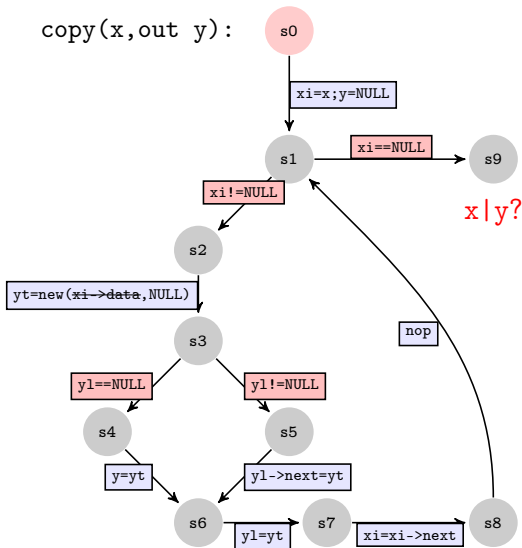
s2 extracted:

CP	$v^\#$	W
s0	x y xi y1 yt	
s1	x xi y y1 yt	
s2	x xi y y1 yt	
s3	x xi y y1 yt	✓
s4	⊥	
s5	⊥	
s6	⊥	
s7	⊥	
s8	⊥	
s9	x xi y y1 yt	✓

$$\overline{\text{post}}_{yt=\text{new}\dots}^\#(v^\#) = \text{Extract}(yt, v^\#)$$

EXAMPLE: ANALYSIS OF HEAP SEPARATION [CC'77]

copy(x, out y):



$v^\# \sqsubseteq u^\#$ iff $\forall p \in v^\# \exists q \in u^\#. p \subseteq q$

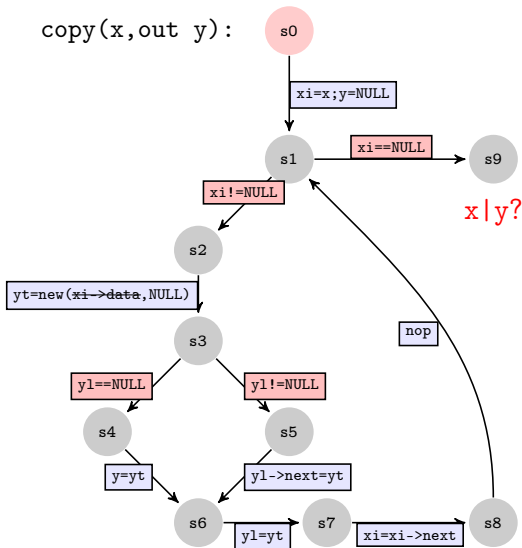
$v^\# \sqcup u^\#$ based on union-find

s3 extracted:

CP	$v^\#$	W
s0	x y xi y1 yt	
s1	x xi y y1 yt	
s2	x xi y y1 yt	
s3	x xi y y1 yt	
s4	x xi y y1 yt	✓
s5	x xi y y1 yt	✓
s6	⊥	
s7	⊥	
s8	⊥	
s9	x xi y y1 yt	✓

EXAMPLE: ANALYSIS OF HEAP SEPARATION [CC'77]

copy(x, out y):



$v^\# \sqsubseteq u^\#$ iff $\forall p \in v^\# \exists q \in u^\#. p \subseteq q$

$v^\# \sqcup^\# u^\#$ based on union-find

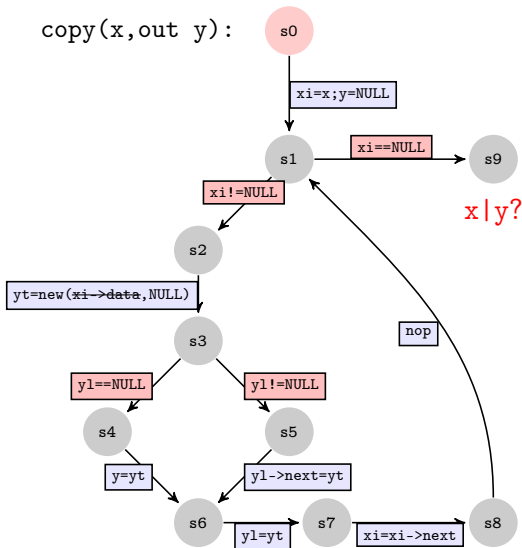
s4 extracted:

CP	$v^\#$	W
s0	x y xi y1 yt	
s1	x xi y y1 yt	
s2	x xi y y1 yt	
s3	x xi y y1 yt	
s4	x xi y y1 yt	
s5	x xi y y1 yt	✓
s6	x xi y yt y1	✓
s7	\perp	
s8	\perp	
s9	x xi y y1 yt	✓

$$\overline{\text{post}}_{y=yt}^\#(v^\#) = \text{Extract}(y, v^\#) \sqcup^\# \{y, yt\}$$

EXAMPLE: ANALYSIS OF HEAP SEPARATION [CC'77]

copy(x, out y):



$v^\# \sqsubseteq u^\#$ iff $\forall p \in v^\# \exists q \in u^\#. p \subseteq q$
 $v^\# \sqcup u^\#$ based on union-find

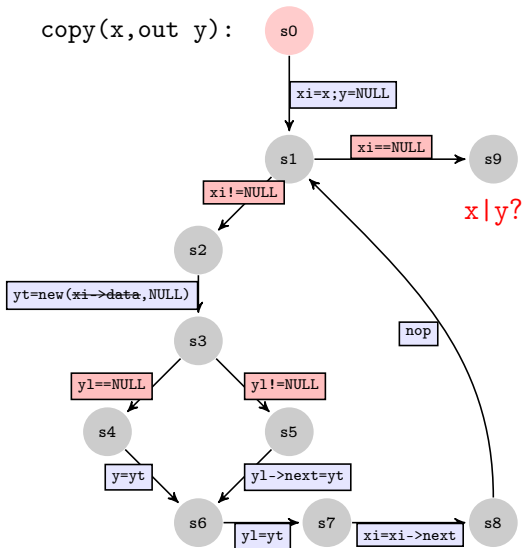
s5 extracted:

CP	$v^\#$	W
s0	x y xi y1 yt	
s1	x xi y y1 yt	
s2	x xi y y1 yt	
s3	x xi y y1 yt	
s4	x xi y y1 yt	
s5	x xi y y1 yt	
s6	x xi y yt y1	✓
s7	⊥	
s8	⊥	
s9	x xi y y1 yt	✓

$$\overline{\text{post}}_{y1 \rightarrow \text{next} = yt}^\#(v^\#) = v^\# \sqcup \{y1, yt\}$$

EXAMPLE: ANALYSIS OF HEAP SEPARATION [CC'77]

copy(x, out y):



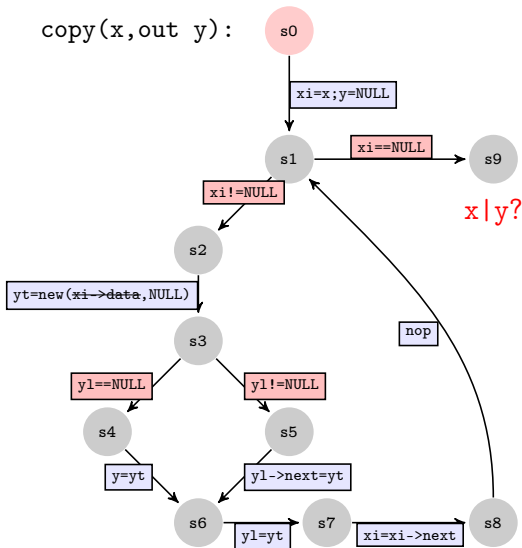
$v^\# \sqsubseteq u^\#$ iff $\forall p \in v^\# \exists q \in u^\#. p \subseteq q$
 $v^\# \sqcup u^\#$ based on union-find

s6 extracted:

CP	$v^\#$	W
s0	x y xi y1 yt	
s1	x xi y y1 yt	
s2	x xi y y1 yt	
s3	x xi y y1 yt	
s4	x xi y y1 yt	
s5	x xi y y1 yt	
s6	x xi y yt y1	
s7	x xi y yt y1	✓
s8	\perp	
s9	x xi y y1 yt	✓

EXAMPLE: ANALYSIS OF HEAP SEPARATION [CC'77]

copy(x, out y):



$v^\# \sqsubseteq u^\#$ iff $\forall p \in v^\# \exists q \in u^\#. p \subseteq q$

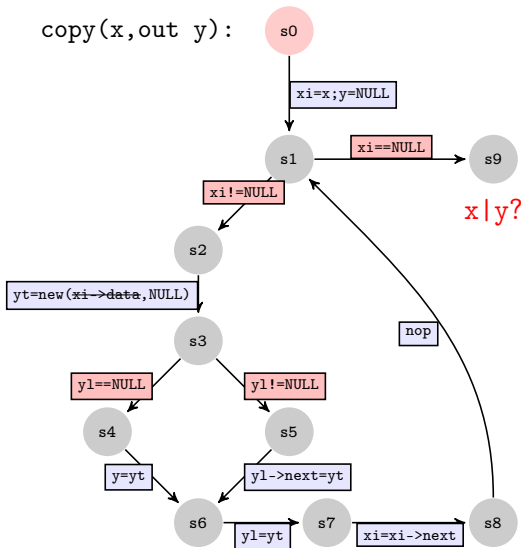
$v^\# \sqcup u^\#$ based on union-find

s7 extracted:

CP	$v^\#$	W
s0	x y xi y1 yt	
s1	x xi y y1 yt	
s2	x xi y y1 yt	
s3	x xi y y1 yt	
s4	x xi y y1 yt	
s5	x xi y y1 yt	
s6	x xi y yt y1	
s7	x xi y yt y1	
s8	x xi y yt y1	✓
s9	x xi y y1 yt	✓

EXAMPLE: ANALYSIS OF HEAP SEPARATION [CC'77]

copy(x, out y):



$v^\# \sqsubseteq u^\#$ iff $\forall p \in v^\# \exists q \in u^\#. p \subseteq q$

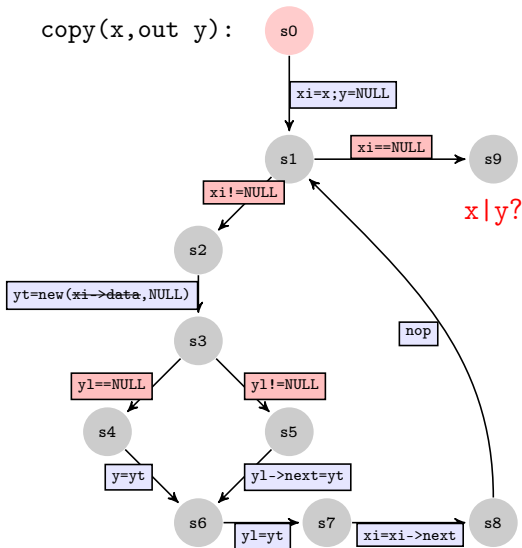
$v^\# \sqcup u^\#$ based on union-find

s8 extracted:

CP	$v^\#$	W
s0	x y xi y1 yt	
s1	x xily yt y1	✓
s2	x xily yl yt	
s3	x xily yl yt	
s4	x xily yl yt	
s5	x xily yl yt	
s6	x xily yt y1	
s7	x xily yt y1	
s8	x xily yt y1	
s9	x xily yl yt	✓

EXAMPLE: ANALYSIS OF HEAP SEPARATION [CC'77]

copy(x, out y):



$v^\# \sqsubseteq u^\#$ iff $\forall p \in v^\# \exists q \in u^\#. p \subseteq q$

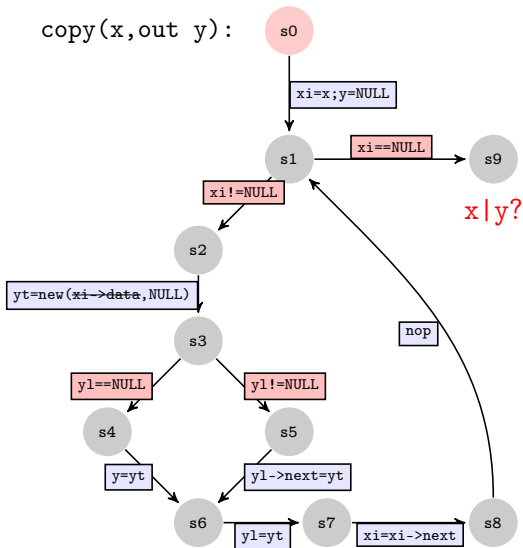
$v^\# \sqcup u^\#$ based on union-find

s1 extracted:

CP	$v^\#$	W
s0	x y xi y1 yt	
s1	x xily yt yl	
s2	x xily yt yl	✓
s3	x xily yl yt	
s4	x xily yl yt	
s5	x xily yl yt	
s6	x xily yt yl	
s7	x xily yt yl	
s8	x xily yt yl	
s9	x xily yl yt	✓

EXAMPLE: ANALYSIS OF HEAP SEPARATION [CC'77]

copy(x, out y):



$v^\# \sqsubseteq u^\#$ iff $\forall p \in v^\# \exists q \in u^\#. p \subseteq q$

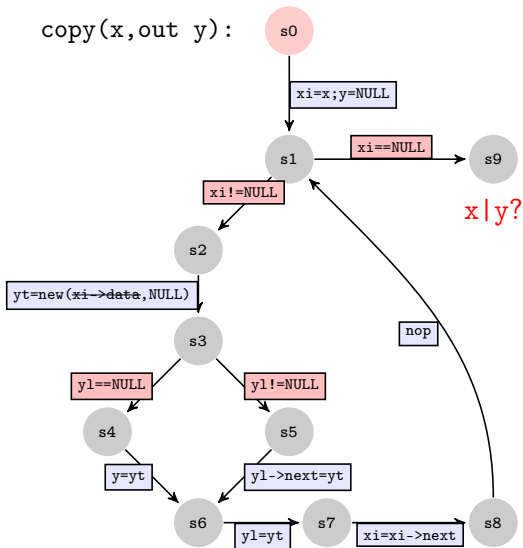
$v^\# \sqcup u^\#$ based on union-find

... and a 2nd tour:

CP	$v^\#$	W
s0	x y xi y1 yt	
s1	x xily yt y1	
s2	x xily yt y1	
s3	x xily y1 yt	
s4	x xily y1 yt	
s5	x xily y1 yt	
s6	x xily yt y1	
s7	x xily yt y1	
s8	x xily yt y1	
s9	x xily y1 yt	✓

EXAMPLE: ANALYSIS OF HEAP SEPARATION [CC'77]

copy(x, out y):



$v^\# \sqsubseteq u^\#$ iff $\forall p \in v^\# \exists q \in u^\#. p \subseteq q$

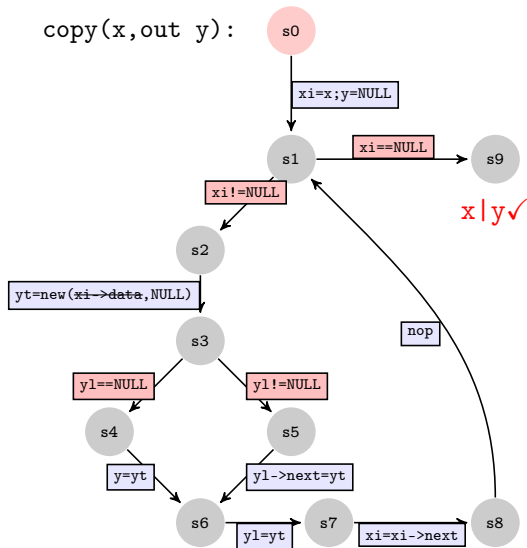
$v^\# \sqcup u^\#$ based on union-find

s9 extracted:

CP	$v^\#$	W
s0	x y xi y1 yt	
s1	x xily yt yl	
s2	x xily yt yl	
s3	x xily y1 yt	
s4	x xily y1 yt	
s5	x xily y1 yt	
s6	x xily yt yl	
s7	x xily yt yl	
s8	x xily yt yl	
s9	x xily y1 yt	

EXAMPLE: ANALYSIS OF HEAP SEPARATION [CC'77]

copy(x, out y):



x|y✓

$v^\# \sqsubseteq^\# u^\#$ iff $\forall p \in v^\# \exists q \in u^\#. p \subseteq q$

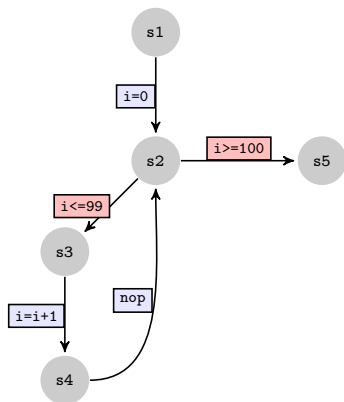
$v^\# \sqcup^\# u^\#$ based on union-find

CP	$v^\#$	W
s0	x y xi y1 yt	
s1	x xi y yt y1	
s2	x xi y yt y1	
s3	x xi y y1 yt	
s4	x xi y y1 yt	
s5	x xi y y1 yt	
s6	x xi y yt y1	
s7	x xi y yt y1	
s8	x xi y yt y1	
s9	x xi y y1 yt	

EXAMPLE: NUMERIC ANALYSIS WITH INTERVALS

Recall:

Termination not guaranteed because (Int, \subseteq) does not satisfy a.c.c.!



(Int, \subseteq)

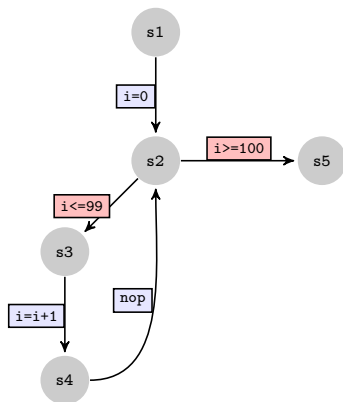
Initially:

CP	i	W
s1	\top	\checkmark
s2	\perp	
s3	\perp	
s4	\perp	
s5	\perp	

EXAMPLE: NUMERIC ANALYSIS WITH INTERVALS

Recall:

Termination not guaranteed because (Int, \subseteq) does not satisfy a.c.c.!



(Int, \subseteq)

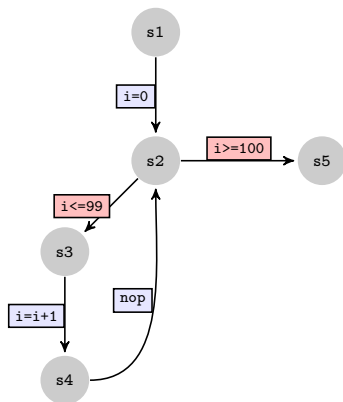
s1 extracted:

CP	i	W
s1	\top	
s2	(0,0)	✓
s3	\perp	
s4	\perp	
s5	\perp	

EXAMPLE: NUMERIC ANALYSIS WITH INTERVALS

Recall:

Termination not guaranteed because (Int, \subseteq) does not satisfy a.c.c.!



(Int, \subseteq)

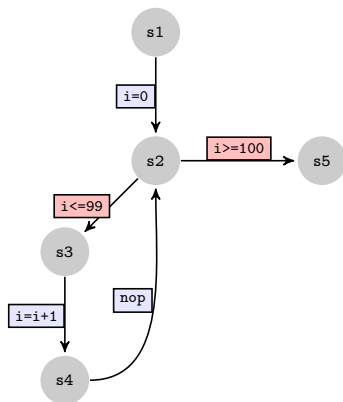
s2 extracted:

CP	i	W
s1	\top	
s2	(0,0)	
s3	(0,0)	✓
s4	\perp	
s5	\perp	

EXAMPLE: NUMERIC ANALYSIS WITH INTERVALS

Recall:

Termination not guaranteed because (Int, \subseteq) does not satisfy a.c.c.!



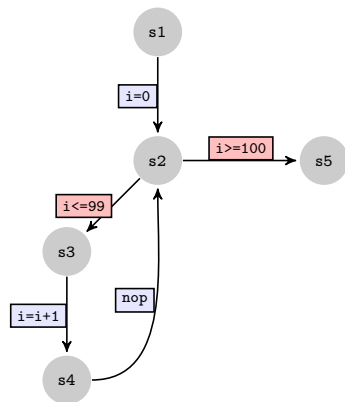
(Int, \subseteq)

s3 extracted:

CP	i	W
s1	\top	
s2	(0,0)	
s3	(0,0)	
s4	(1,1)	✓
s5	\perp	

Recall:

Termination not guaranteed because (Int, \subseteq) does not satisfy a.c.c.!



(Int, \subseteq)

s4 extracted:

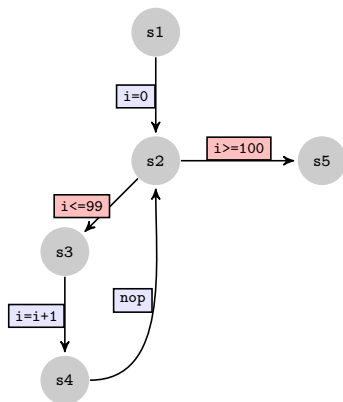
CP	i	W
s1	\top	
s2	(0,1)	✓
s3	(0,0)	
s4	(1,1)	
s5	\perp	

Recall: $(0,0) \sqcup^\# (1,1) = (0,1)$.

EXAMPLE: NUMERIC ANALYSIS WITH INTERVALS

Recall:

Termination not guaranteed because (Int, \subseteq) does not satisfy a.c.c.!



(Int, \subseteq)

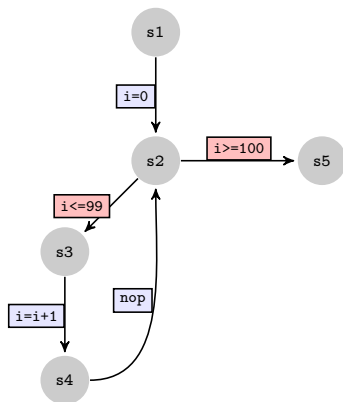
s2 extracted:

CP	i	W
s1	\top	
s2	(0,1)	
s3	(0,1)	✓
s4	(1,1)	
s5	\perp	

EXAMPLE: NUMERIC ANALYSIS WITH INTERVALS

Recall:

Termination not guaranteed because (Int, \subseteq) does not satisfy a.c.c.!



(Int, \subseteq)

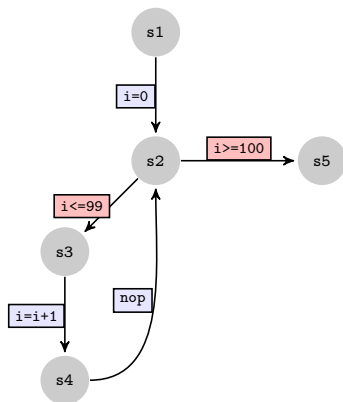
s3 extracted:

CP	i	W
s1	\top	
s2	(0,1)	
s3	(0,1)	
s4	(1,2)	✓
s5	\perp	

EXAMPLE: NUMERIC ANALYSIS WITH INTERVALS

Recall:

Termination not guaranteed because (Int, \subseteq) does not satisfy a.c.c.!



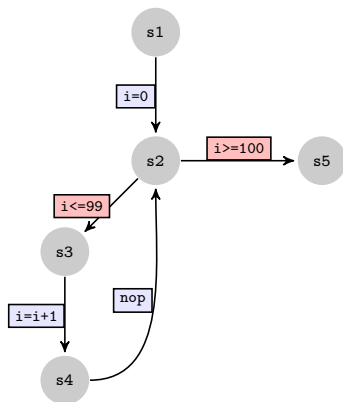
(Int, \subseteq)

s4 extracted:

CP	i	W
s1	\top	
s2	(0,2)	✓
s3	(0,1)	
s4	(1,2)	
s5	\perp	

Recall:

Termination not guaranteed because (Int, \subseteq) does not satisfy a.c.c.!



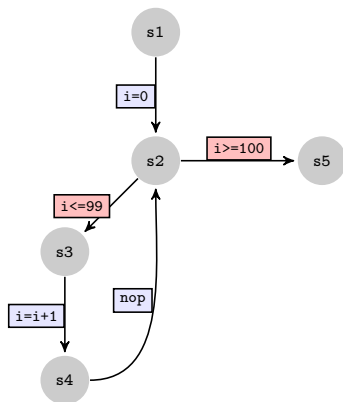
(Int, \subseteq)

... 98 it. later ☹️

CP	i	W
s1	\top	
s2	$(0,100)$	✓
s3	$(0,99)$	
s4	$(1,100)$	
s5	\perp	

Recall:

Termination not guaranteed because (Int, \subseteq) does not satisfy a.c.c.!



(Int, \subseteq)

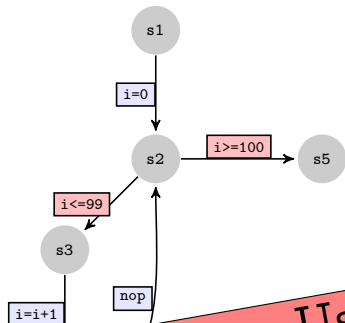
s1 extracted:

CP	i	W
s1	\top	
s2	(0,100)	
s3	(0,99)	
s4	(1,100)	
s5	(100,100)	✓

EXAMPLE: NUMERIC ANALYSIS WITH INTERVALS

Recall:

Termination not guaranteed because (Int, \subseteq) does not satisfy a.c.c.!



(Int, \subseteq)

CP	i	W
s1	T	
s4	(1,100)	
s5	(100,100)	

[Cousot&Cousot,79]

Solution: Use widening!

Definition

Given a complete lattice (L, \sqsubseteq) , a **widening** operator $\nabla : L \times L \rightarrow L$ satisfies

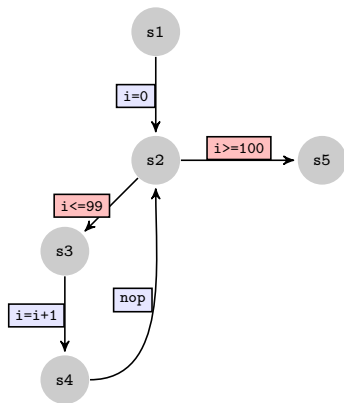
- $\forall x, y \in L. x \sqcup y \sqsubseteq x \nabla y$
- for all sequences $(l_n)_{n \in \mathbb{N}}$, the (ascending) chain $(w_n)_{n \in \mathbb{N}}$
 $w_0 = l_0, \quad w_{i+1} = w_i \nabla l_{i+1} \quad \text{for } i > 0$
 stabilises eventually.

Widening for (Int, \subseteq) : $(l_0, u_0) \nabla (l_1, u_1) = (l_2, u_2)$ where

$$l_2 = \begin{cases} l_0 & \text{if } l_0 \leq l_1 \\ -\infty & \text{otherwise} \end{cases} \quad u_2 = \begin{cases} u_0 & \text{if } u_0 \geq u_1 \\ +\infty & \text{otherwise} \end{cases}$$

Example of widening chain: $\perp \subseteq (0, 0) \subseteq (0, 0) \nabla (0, 1) = (0, +\infty)$

EXAMPLE: NUMERIC ANALYSIS WITH INTERVALS



(Int, \subseteq)

With \sqcup , in 100 it.:

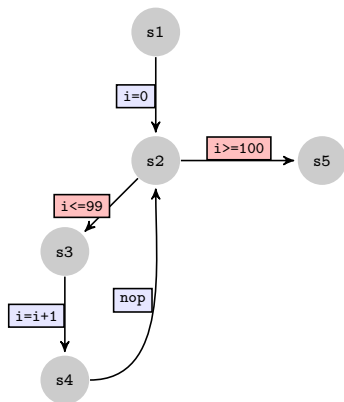
CP	i
s1	\top
s2	(0,100)
s3	(0,99)
s4	(1,100)
s5	(100,100)

Initially:

CP	i	W
s1	\top	\checkmark
s2	\perp	
s3	\perp	
s4	\perp	
s5	\perp	

Solution (naive): Apply widening instead \sqcup in the workset algorithm.

EXAMPLE: NUMERIC ANALYSIS WITH INTERVALS



(Int, \subseteq)

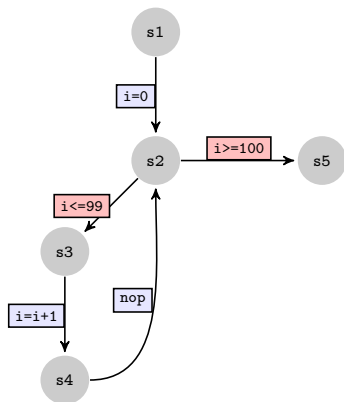
With \sqcup , in 100 it.:

CP	i
s1	\top
s2	(0,100)
s3	(0,99)
s4	(1,100)
s5	(100,100)

s1 extracted:

CP	i	W
s1	\top	
s2	(0,0)	✓
s3	\perp	
s4	\perp	
s5	\perp	

EXAMPLE: NUMERIC ANALYSIS WITH INTERVALS



(Int, \subseteq)

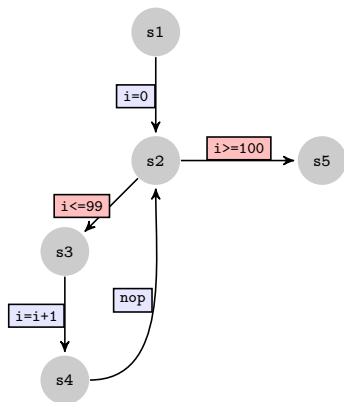
With \sqcup , in 100 it.:

CP	i
s1	\top
s2	(0,100)
s3	(0,99)
s4	(1,100)
s5	(100,100)

s2 extracted:

CP	i	W
s1	\top	
s2	(0,0)	
s3	(0,0)	✓
s4	\perp	
s5	\perp	

EXAMPLE: NUMERIC ANALYSIS WITH INTERVALS



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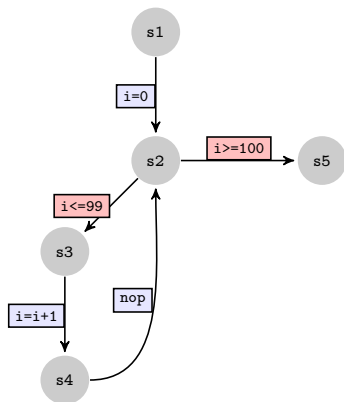
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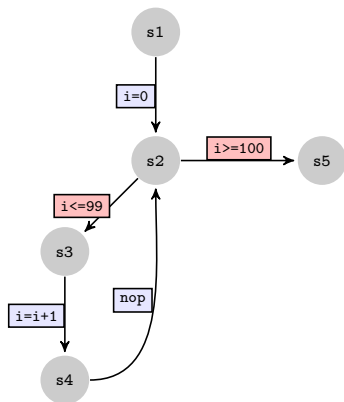
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s4 extracted:

CP	i	W
s1	\top	
s2	$(0, +\infty)$	✓
s3	$(0, 0)$	
s4	$(1, 1)$	
s5	\perp	

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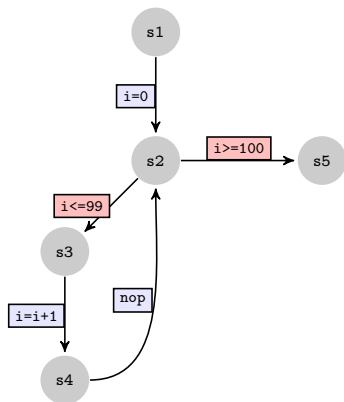
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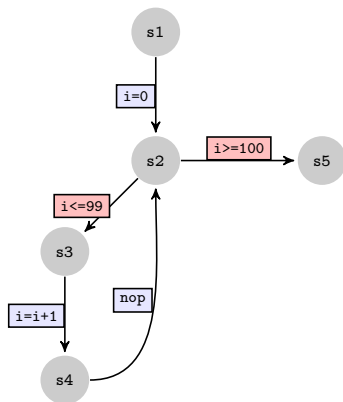
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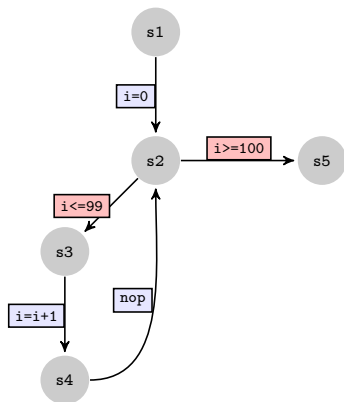
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EXAMPLE: NUMERIC ANALYSIS WITH INTERVALS



(Int, \subseteq)

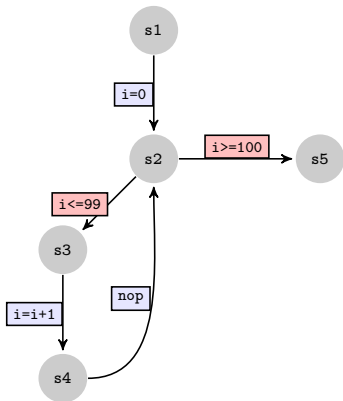
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s2	$(0, 100)$
s3	$(0, 99)$
s4	$(1, 100)$
s5	$(100, 100)$

With ∇ , in 2 it. 🙄

CP	i	W
s1	\top	
s2	$(0, +\infty)$	
s3	$(0, +\infty)$	
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s5	$(100, +\infty)$	

EXAMPLE: NUMERIC ANALYSIS WITH INTERVALS



(Int, \subseteq)

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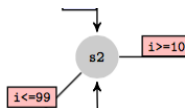
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With ∇ , in 2 it. 🚫

CP	i	W
s1	\top	
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s3	$(0, +\infty)$	
s4	$(1, +\infty)$	
s5	$(100, +\infty)$	

Conclusion: Use widening carefully if precision is needed!

Widening on “loop separators”: *i.e.*, one point by ICFG cycle, *e.g.*



Widening “up to”: take into account constants in boolean conditions
 —→[Jeannet *et al*,11]

Delayed widening: apply ∇ after $k \geq 2$ iterations

Narrowing: iterate again from the result obtained by widening

Accelerate: introduce iteration variables for loops
 —→[Gonnord & Halbwachs,06]

...

Intervals: $\bigwedge_{x \in V} \pm x \leq c$

[Cousot&Cousot,77]

Differences: $\bigwedge_{x,y \in V} x - y \leq c$

Octagons: $\bigwedge_{x,y \in V} \pm x \pm y \leq c$

[Miné'01]

Polyhedra: $\bigwedge \sum_{x_i \in V} c_i x_i \leq c$

[Cousot& Halbwachs,78]

Intervals: $\bigwedge_{x \in V} \pm x \leq c$ [Cousot&Cousot,77] $O(n)$

Differences: $\bigwedge_{x,y \in V} x - y \leq c$ $O(n^3)$

Octagons: $\bigwedge_{x,y \in V} \pm x \pm y \leq c$ [Miné'01] $O(n^3)$

Polyhedra: $\bigwedge \sum_{x_i \in V} c_i x_i \leq c$ [Cousot& Halbwachs,78] $O(2^n)$

In general, **more precision leads to higher costs** (in $n = |V|$) of lattice operations.

Apron: numerical domains (C, C++, and Ocaml), normalised interface, wrapper PPL, tools for arithmetical expressions
→ apron.cri.ensmp.fr, [Jeannet& Miné,09]

Fixpoint: engine for computing lfp from ICFG and lattice
→ pop-art.inrialpes.fr/people/bjeannet

Interproc: on-line analyser for a simple language
→ pop-art.inrialpes.fr/people/bjeannet

Frama-C: platform for verifying and analysing C programs
→ frama-c.com

PPL: numerical domains (C++)
→ bugseng.com/products/ppl/, [Bagnara *et al*,08]

GENERAL INTERFACE FOR ABSTRACT DOMAINS

```
module AbsDom is
  type D;
  operations
    copy : D -> D
    size : D -> int

    minimize : D -> unit
    hash      : D -> unit

    bot, top : int -> D
    of_itv   : itv -> D

    is_bot, is_top : D -> bool
    is_leq, is_eq  : D -> D -> bool
    sat_itv : D -> itv -> bool

    itv_of_var : D -> V -> itv
    to_itv     : D -> itv

    meet, join : D -> D -> D

    post_bexp, pre_bexp : D -> bexpr -> D
    post_astmt, pre_astmt : D -> var -> expr -> D

    widen      : D -> D -> D
    widen_upto : D -> D -> expr -> D

    add_dim, prj_dim : D -> var list -> D

    print : D -> ostream -> unit
end module
```

≈ Apron [Jeannet& Miné,09]

- F. Nielson, H. R. Nielson, and C. Hankin,
Principles of Program Analysis.
Springer, 1999
- P. Cousot and R. Cousot,
Systematic design of program analysis frameworks.
In Proc. 6th ACM Symp. Principles of Programming Languages,
San Antonio, TX, USA, pages 269-282. ACM Press, 1979
- G. Sutre, VTSA'08
- D. Monniaux, VTSA'12
- M. Müller-Olm, VTSA'10

- 1 Introduction
- 2 Formal Models and Semantics for IMPR
- 3 Foundations of Static Analysis by Abstract Interpretation
- 4 *Application: Programs with Lists and Data***
- 5 *Application: Decision Procedures by Static Analysis*
- 6 Elements of Inter-procedural Analysis
- 7 *Application: Programs with Lists, Data, and Procedures*
- 8 *Extension: Programs with Complex Data Structures*

Programs with Lists and Data

— Invariant Synthesis by Abstract Interpretation —

joint work with A. Bouajjani, C. Drăgoi, C. Enea

CAV'10, PLDI'11

MOTIVATION: EXAMPLE

```
struct list { int data; list* next; };  
/* @assume: n ≥ 2 */  
list* fibList(int n) {  
    int k = 1; list* lf = newList(1, NULL);  
    lf = pushList(lf, 1);  
    while(k < n) {  
        lf = pushList(lf, lf->data + lf->next->dt);  
        k = k + 1;  
    }  
    return lf;  
}
```

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    }  
    return lf;  
} /* @assert: lseg(lf, NULL, n) ∧ ... ∧ ∀i. 0 ≤ i < n - 2 ⇒  $\widehat{lf}[i] = \widehat{lf}[i+1] + \widehat{lf}[i+2]$  */
```

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struct list { int data; list* next; };  
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CELIA tool:

- ✓ prove the program and the correct access to the memory
- ✓ infer automatically the annotations given

MOTIVATION: EXAMPLE

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/* @assume: n ≥ 2 */
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}
/* @assert: lseg(lf, NULL, n) ∧ ... ∧ ∀i. 0 ≤ i < n - 2 ⇒ lf[i] = lf[i + 1] + lf[i + 2] */
```

Other tools:

- **TVLA** [Sagiv *et al*, 07, 11]
→ fixed set of data constraints, no size constraints
- **SLAyer, Infer** [Berdine, Cook & Ishtiaq, 11]
→ no data or size constraints

```

/* @assume:  n ≥ 1 */
int fib(int n) {
  int fp = 1; int fl = 1; int i = 1;
  /* n ≥ i ≥ 1 ∧ 1 ≤ i, fp ≤ fl */
  while(i < n) {
    /* 1 ≤ i < n ∧ i, fp ≤ fl */
    int t = fp + fl;
    /* 1 ≤ i < n ∧ 1 ≤ i, fp ≤ fl ≤ t */
    fp = fl; /* 1 ≤ i < n ∧ 1, i ≤ fp = fl ≤ t */
    fl = t; /* 1 ≤ i < n ∧ 1 ≤ i, fp ≤ fl = t */
    i = i + 1; /* 1 ≤ i ≤ n ∧ 1 ≤ i, fp ≤ fl */
  }
  /* 1 ≤ n = i ∧ 1 ≤ i, fp ≤ fl */
  return fl;
} /* @assert:  fib(n) ≥ n ≥ 1 */

```

 $(L^\#, \sqsubseteq^\#)$

Octagonal constraints:

 $\bigwedge \pm x \pm y \leq c$

Tool

✓ Interproc & Apron

Recall the formal model of IMPR:

Control points	$\mathbf{CP} \ni \ell, \ell'$ $\ni \mathit{start}_P, \mathit{end}_P$ for each procedure $P \in \mathbf{P}$
Stack	$\mathbf{Stacks} \triangleq [(\mathbf{CP} \times \mathbf{P} \times (\mathbf{DV} \mapsto \mathbb{D} \cup \mathbf{RV} \mapsto \mathbb{L}))^*] \ni \mathbf{S}$
Heap	$\mathbf{Heaps} \triangleq [(\mathbb{L} \times \mathbf{FS}) \mapsto (\mathbb{D} \cup \mathbb{L})] \ni \mathbf{H}$
Memory	$\mathbf{Mem} \triangleq \mathbf{Stacks} \times \mathbf{Heaps} \ni \mathbf{m}$
Configurations	$\mathbf{Config} \triangleq \mathbf{CP} \times (\mathbf{Mem} \cup \{\mathit{merr}\}) \ni \mathbf{C}$

Consider **IMPR without recursive procedures**, then:

Control points $\mathbf{CP} \ni \ell, \ell'$

Stack $\mathbf{Stacks} \triangleq \mathbf{DV} \mapsto \mathbb{D} \cup \mathbf{RV} \mapsto \mathbb{L} \ni \mathbf{S}$

Heap $\mathbf{Heaps} \triangleq [(\mathbb{L} \times \mathbf{FS}) \mapsto (\mathbb{D} \cup \mathbb{L})] \ni \mathbf{H}$

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Configurations $\mathbf{Config} \triangleq \mathbf{CP} \times (\mathbf{Mem} \cup \{\mathbf{merr}\}) \ni \mathbf{C}$

Mem is represented by **deterministic labeled graphs**, *i.e.*:

Definition

A **heap graph** is a tuple $\langle \mathcal{N}, \mathcal{E}, \mathcal{L} \rangle$ where $\mathcal{N} = \mathbb{L}$ is a set of (typed) graph nodes, $\mathcal{E} : \mathcal{N} \times \mathbf{RF} \mapsto \mathcal{N}$ is a set of (reference field) *labeled edges*, and $\mathcal{L} : (\mathcal{N} \rightarrow \mathcal{P}(\mathbf{RV})) \cup (\mathcal{N} \times \mathbf{DF} \mapsto \mathbb{D})$ is *node labeling* function.

INTRA-PROCEDURAL ANALYSIS MODEL

Consider **IMPR without recursive procedures**, then:

Control points $\mathbf{CP} \ni \ell, \ell'$

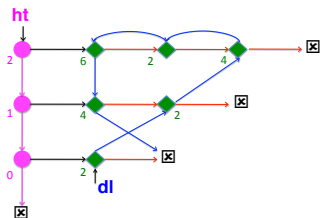
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Heap $\mathbf{Heaps} \triangleq [(\mathbb{L} \times \mathbf{FS}) \mapsto (\mathbb{D} \cup \mathbb{L})] \ni \mathbf{H}$

Memory $\mathbf{Mem} \triangleq \mathbf{Stacks} \times \mathbf{Heaps} \ni \mathbf{m}$

Configurations $\mathbf{Config} \triangleq \mathbf{CP} \times (\mathbf{Mem} \cup \{\mathbf{merr}\}) \ni \mathbf{C}$

Mem is represented by **deterministic labeled graphs**, e.g.:



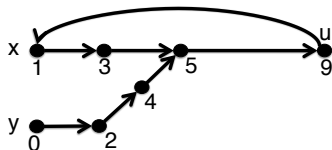
HEAP GRAPHS FOR LISTS OF INTEGERS (1/2)

For programs with lists of integers, *i.e.*,

$\mathbf{RT} = \{\text{list}\}$ with $\mathbf{RF} = \{\text{next}\}$ and $\mathbf{DF} = \{\text{data}\}$,

the **heap graph model** is a labeled functional graph, *i.e.*, $\langle \mathcal{N}, \mathcal{E}, \mathcal{L} \rangle$ where:

- $\mathcal{N} = \mathbb{L}$
- $\mathcal{E} : \mathcal{N} \setminus \{\boxtimes\} \rightarrow \mathcal{N}$
- $\mathcal{L} : (\mathcal{N} \rightarrow \mathcal{P}(\mathbf{RV})) \cup (\mathcal{N} \setminus \{\boxtimes\} \rightarrow \mathbb{D})$.



Particular case

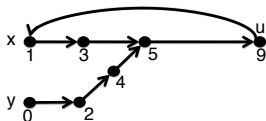
A heap graph without data labels on nodes is called **pure heap graph**.

HEAP GRAPHS FOR LISTS OF INTEGERS (2/2)

Furthermore, a **precise abstraction** of these heap graphs keeps only **cut nodes** and a set of **integer words**.

Definition

A **cut node** is a node labelled by a variable or having at least two incoming edges. A node which not a cut node is called **anonymous**.

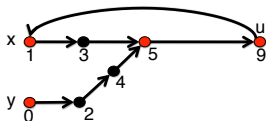


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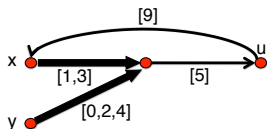


HEAP GRAPHS FOR LISTS OF INTEGERS (2/2)

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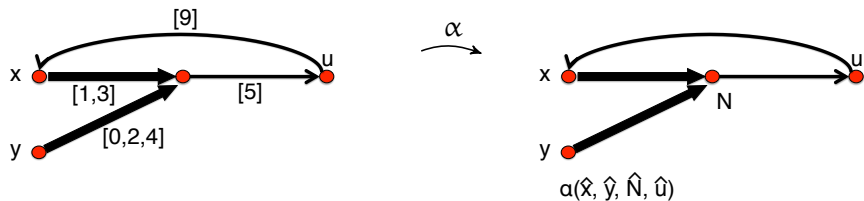


Property

The number of pure heap graphs with only cut nodes is finite (for finite **RV**). → Idea: reverse edges!

ABSTRACT HEAP GRAPHS: IDEA

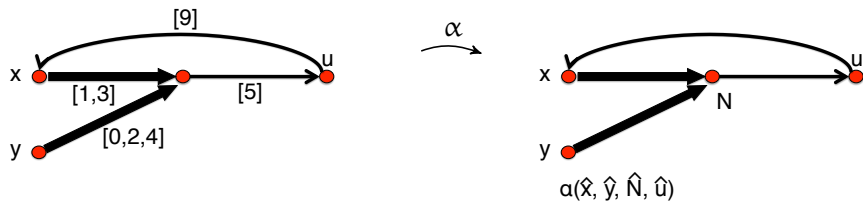
The only source of infinity are the integer words \rightarrow abstract them!



where α on integer words may be, *e.g.*:

ABSTRACT HEAP GRAPHS: IDEA

The only source of infinity are the integer words \rightarrow abstract them!



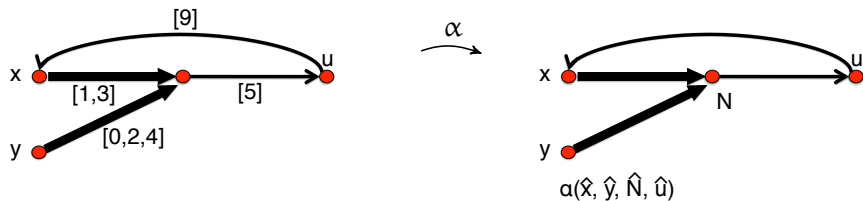
where α on integer words may be, *e.g.*:

Universal constraints abstraction

$$\begin{aligned}\alpha(\hat{x}, \hat{y}, \hat{N}, \hat{u}) &= |\hat{u}| = 1 \wedge |\hat{N}| = 1 \wedge |\hat{x}| \leq |\hat{y}| \wedge \\ &\hat{u}[0] \geq \hat{N}[0] \wedge \hat{x}[0] \geq 1 \wedge \hat{y}[0] \% 2 = 0 \wedge \\ &\forall i. 0 < i < |\hat{x}| \implies \hat{x}[i] \geq 1 \wedge \\ &\forall i. 0 < i < |\hat{y}| \implies \hat{y}[i] \% 2 = 0\end{aligned}$$

ABSTRACT HEAP GRAPHS: IDEA

The only source of infinity are the integer words \rightarrow abstract them!



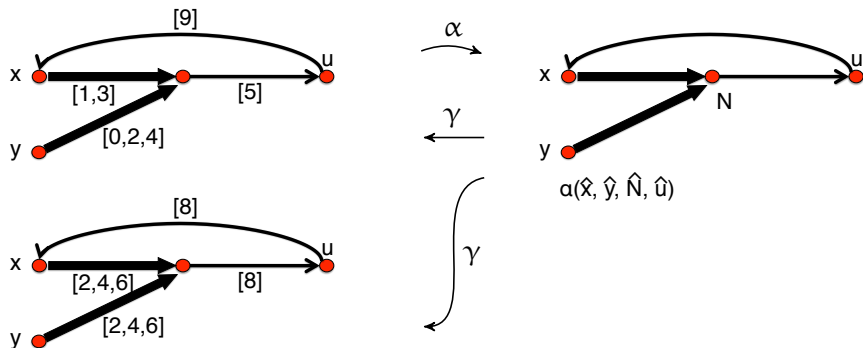
where α on integer words may be, *e.g.*:

Sum constraints abstraction

$$\alpha(\hat{x}, \hat{y}, \hat{N}, \hat{u}) = \Sigma(\hat{N}) - \Sigma(\hat{x}) \geq 1 \wedge \Sigma(\hat{y}) \leq \Sigma(\hat{u}) \wedge |\hat{x}| \leq |\hat{y}| \wedge |\hat{x}| \leq \Sigma(\hat{N})$$

ABSTRACT HEAP GRAPHS: IDEA

γ is defined using models of integer words constraints.



$$\alpha(\hat{x}, \hat{y}, \hat{N}, \hat{u}) = \hat{u}[0] \geq \hat{N}[0] \wedge |\hat{x}| \leq |\hat{y}| \wedge \dots \wedge$$

$$\forall i. 0 < i < |\hat{x}| \implies \hat{x}[i] \geq 1 \wedge$$

$$\forall i. 0 < i < |\hat{y}| \implies \hat{y}[i] \% 2 = 0$$

Definition

Given $\mathcal{A}_{\mathbb{W}}$ an abstract domain on words, the domain of **abstract heaps** is:

$$\mathcal{A}_{\mathbb{H}}(\mathcal{A}_{\mathbb{W}}) = (\mathbb{L}^{\mathbb{H}}, \sqsubseteq^{\mathbb{H}}, \sqcup^{\mathbb{H}}, \sqcap^{\mathbb{H}}, \top^{\mathbb{H}}, \perp^{\mathbb{H}})$$

where

$\forall (G, W) \in \mathbb{L}^{\mathbb{H}} \implies G \in \text{pure heap graph without anonymous}, W \in \mathbb{L}^{\mathbb{W}}$

$(G_1, W_1) \sqsubseteq^{\mathbb{H}} (G_2, W_2)$ iff $G_1 \approx_{\text{iso}} G_2$ and $W_1 \sqsubseteq^{\mathbb{W}} W_2$

$$(G_1, W_1) \sqcup^{\mathbb{H}} (G_2, W_2) = \begin{cases} (G_1, W_1 \sqcup^{\mathbb{W}} W_2) & \text{if } G_1 \approx_{\text{iso}} G_2 \\ \top^{\mathbb{H}} & \text{otherwise} \end{cases}$$

and $\sqcap^{\mathbb{H}}$ defined similarly. $\top^{\mathbb{H}}$ and $\perp^{\mathbb{H}}$ are special values such that

$$\forall v^{\mathbb{H}} \in \mathbb{L}^{\mathbb{H}}. \perp^{\mathbb{H}} \sqsubseteq^{\mathbb{H}} v^{\mathbb{H}} \sqsubseteq^{\mathbb{H}} \top^{\mathbb{H}}$$

Definition

The **abstract heap set** domain $\mathcal{A}_{\text{HS}}(\mathcal{A}_{\text{W}})$ is the power-set domain of $\mathcal{A}_{\text{H}}(\mathcal{A}_{\text{W}})$ such that for any $v^{\text{HS}} \in \mathbb{L}^{\text{HS}}$, v^{HS} does not contain two abstract heaps with isomorphic pure heap graphs.

Main logics for specifying heap graphs:

- $\text{FO}(G)+\text{TC}$ [Immerman *et al*, 87, 04]
 → decidable fragment LRP [Yorsh *et al*, 06]
 → decidable fragment **CSL** [Bouajjani *et al*, 09]
- Calculus of reachability [Nelson, 93]
 → conjunction of reachability predicates $p \xrightarrow[x]{\text{nxt}} q$ is decidable
 → extension for well-founded lists [Lahiri & Quadeer, 06]
- **Separation Logic** [Reynolds *et al*, 99]
 → decidable if no quantification [Calcagno, Yang & O'Hearn, 01]

Assertions: $\exists \vec{X}. \Pi \wedge \Sigma$ where

E, F	::=	$x \mid X$	$x \in \mathbf{RV}$, X logical variable
Π	::=	$E = F \mid E \neq F \mid \Pi \wedge \Pi$	pure formulas
Σ	::=	$emp \mid E \mapsto \{(f_i, F_i)\}_i \mid \Sigma * \Sigma$	spatial formulas

No Aliasing: $(S, H) \models E \neq F$ iff $S(E) \neq S(F)$

Empty heap: $(S, H) \models emp$ iff $\text{dom}(H) = \emptyset$

An allocated cell: $(S, H) \models E \mapsto \{(f_i, F_i)\}_i$
iff $\text{dom}(H) = S(E)$, $H(S(E), f_i) = S(F_i)$ for any i

Separating conjunction: $(S, H) \models \Sigma_1 * \Sigma_2$ iff
 $H = H_1 \cup H_2$ s.t. $\text{dom}(H_1) \cap \text{dom}(H_2) = \emptyset$ and
 $(S, H_1) \models \Sigma_1$, $(S, H_2) \models \Sigma_2$

To specify unbounded heap graphs, use inductive predicates defined by a **set of rules** of the form $P(\vec{E}) \triangleq \exists \vec{X}. \Pi \wedge \Sigma$

E, F	::=	$x \mid X$	$x \in \mathbf{RV}$, X logical var.
Π	::=	$E = F \mid E \neq F \mid \Pi \wedge \Pi$	pure formulas
Σ	::=	$emp \mid E \mapsto \{(f_i, F_i)\}_i \mid \Sigma * \Sigma \mid P(\vec{E})$	spatial formulas

Examples:

$$\begin{aligned} \text{ls}(E, F) &\triangleq E = F \wedge emp \\ \text{ls}(E, F) &\triangleq \exists X. E \neq F * E \mapsto \{(next, X)\} * \text{ls}(X, F) \end{aligned}$$

$$\begin{aligned} \text{ls}^+(E, F) &\triangleq E \neq F \wedge E \mapsto \{(next, F)\} \\ \text{ls}^+(E, F) &\triangleq \exists X. E \neq F * E \mapsto \{(next, X)\} * \text{ls}^+(X, F) \end{aligned}$$

$$\begin{aligned} \text{nll}(E, F, B) &\triangleq E = F \wedge emp \\ \text{nll}(E, F, B) &\triangleq \exists X, Y. E \neq F * E \mapsto \{(next, X), (s, Y)\} * \text{ls}^+(Y, B) * \text{nll}(X, F, B) \end{aligned}$$

The fragment of SL using only $1s^+$ (also true for $1s$) has good properties:

- ① **Compositional reasoning** due to separation conjunction $*$

$$\frac{\{P\} \text{ stmt } \{Q\}}{\{P * R\} \text{ stmt } \{Q * R\}}$$

- ② Satisfiability and entailment are in PTIME [Cook *et al*, 11]
- ③ Closure under post image of IMPR due to logic variables
- ④ Efficient symbolic representation: functional graphs
- ⑤ Not closed under \neg , **not stably infinite**
 \longrightarrow combination with other logic theories is difficult

Some decidable logics for specifying arrays of integers:

- 1 Quantifier free with permutation predicate [Suzuki & Jefferson, 80]

$$\text{store}(a, i, v) \wedge a[j] = b[j] \implies \text{perm}(a, b)$$

- 2 Array Property Fragment [Bradley, Manna & Sipma, 06]

$$n \geq 0 \wedge a[\ell] \leq k \wedge \forall i_1, i_2. n \leq i_1 \leq i_2 < \ell \implies \varphi(a[i_2], a[i_1], a[\ell])$$

- 3 Logic of Integer Arrays [Habermehl, Iosif & Vojnar, 08]

$$\forall \vec{i}. i \equiv_2 0 \implies a[i] - a[i + 1] \leq k$$

Any element of L^{HS} has a logical representation by a formula:

$$\bigvee_i \exists \vec{X}_i. (\varphi_{\text{SL}}^i \wedge \psi_{\text{AL}}^i)$$

where:

- $\varphi_{\text{SL}}^i \in \text{SL}(1s^+)$ whose Gaifman graph is a pure heap graph
- for any i, j , Gaifman graph of φ_{SL}^i and φ_{SL}^j are not isomorphic
- ψ_{AL}^i are formulas in some logic over arrays AL

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Decidability Issues

$$\forall i. 0 \leq i < n - 2 \implies \widehat{\text{lf}}[i] = \widehat{\text{lf}}[i + 1] + \widehat{\text{lf}}[i + 2]$$

is not in a decidable array logic!

Solution: use sound but incomplete procedures for satisfiability (*i.e.*, \perp^{AL}) and entailment (*i.e.*, \sqsubseteq^{AL})

- “all the values in n are greater than 3”

$$\forall i. 0 \leq i < |\hat{n}| \implies \hat{n}[i] \geq 3$$

- “ n contains a Fibonacci sequence”

$$\forall i_1, i_2, i_3. 0 \leq i_1, i_2, i_3 < |\hat{n}| \wedge i_1 < i_2 < i_3 \implies \hat{n}[i_3] = \hat{n}[i_2] + \hat{n}[i_1]$$

$$\forall i_1, i_2. 0 \leq i_1, i_2 < |\hat{n}| \wedge i_1 < i_2 \implies \hat{n}[i_2] - \hat{n}[i_1] \geq i_2 - i_1$$

- “lists n and m have the same content”

$$|\hat{n}| = |\hat{m}| \wedge \forall i, i'. 0 \leq i < |\hat{n}| \wedge 0 \leq i' < |\hat{m}| \wedge i = i' \implies \hat{n}[i] = \hat{m}[i']$$

$$E(\vec{N}) \wedge \bigwedge_{g \in \mathcal{G}} \forall \vec{i}. g(\vec{i}, \vec{N}) \implies u(\vec{N}, \vec{i})$$

$$\mathcal{A}_{\mathbb{U}} = (\mathbf{A}^{\mathbb{U}}, \sqsubseteq^{\mathbb{U}}, \sqcup^{\mathbb{U}}, \sqcap^{\mathbb{U}}, \top^{\mathbb{U}}, \perp^{\mathbb{U}})$$

$$\mathbf{A}^{\mathbb{U}} \ni E(\vec{N}) \wedge \bigwedge_{g \in \mathcal{G}} \forall \vec{i}. g(\vec{i}, \vec{N}) \implies u(\vec{N}, \vec{i})$$

is parameterised by

- a set of **guard patterns** \mathcal{G} , e.g., $\mathcal{G} = \{g_{\text{all}}, g_{<}, g_{+1}\}$ with

$$g_{\text{all}}(i, \hat{n}) \quad ::= \quad 0 < i < |\hat{n}|$$

$$g_{<}(i_1, i_2, \hat{n}) \quad ::= \quad 0 < i_1 < i_2 < |\hat{n}|$$

$$g_{+1}(i_1, i_2, i_3, \hat{n}) \quad ::= \quad 0 < i_1 <_1 i_2 <_1 i_3 < |\hat{n}|$$

- a numerical abstract domain $\mathcal{A}_{\mathbb{Z}}$, e.g., polyhedra, octagons, ...

$$\mathcal{A}_{\mathbb{Z}} \ni E(\vec{N}), u(\vec{N}, \vec{i})$$

$$\mathcal{A}_{\mathbb{U}} = (\mathbf{A}^{\mathbb{U}}, \sqsubseteq^{\mathbb{U}}, \sqcup^{\mathbb{U}}, \sqcap^{\mathbb{U}}, \top^{\mathbb{U}}, \perp^{\mathbb{U}})$$

$$\mathbf{A}^{\mathbb{U}} \ni E(\vec{N}) \wedge \bigwedge_{g \in \mathcal{G}} \forall \vec{i}. g(\vec{i}, \vec{N}) \implies \mathbf{u}(\vec{N}, \vec{i})$$

with sound but incomplete lattice operations:

- $E^1 \wedge (g_i \mapsto \mathbf{U}_i^1)_i \sqsubseteq^{\mathbb{U}} E^2 \wedge (g_i \mapsto \mathbf{U}_i^2)_i$
if $E^1 \sqsubseteq^{\mathbb{Z}} E^2$ and for any i , $(E^1 \wedge \mathbf{U}_i^1) \sqsubseteq^{\mathbb{Z}} (E^2 \wedge \mathbf{U}_i^2)$
- $E^1 \wedge (g_i \mapsto \mathbf{U}_i^1)_i \sqcup^{\mathbb{U}} E^2 \wedge (g_i \mapsto \mathbf{U}_i^2)_i$
gives $E^1 \sqcup^{\mathbb{Z}} E^2$ and for any i , $g_i \mapsto (E^1 \wedge \mathbf{U}_i^1) \sqcup^{\mathbb{Z}} (E^2 \wedge \mathbf{U}_i^2)$
- $E \wedge (g_i \mapsto \mathbf{U}_i)_i = \top^{\mathbb{U}}$
if $E = \top^{\mathbb{Z}}$ and for any i , $\mathbf{U}_i = \top^{\mathbb{Z}}$
- $E \wedge (g_i \mapsto \mathbf{U}_i)_i = \perp^{\mathbb{U}}$
if $E = \perp^{\mathbb{Z}}$ or exists i such that $E \wedge \mathbf{U}_i = \perp^{\mathbb{Z}}$

sound, but weaker than sat testing \longrightarrow may delay termination!

Recall: Widening not needed for pure heap graphs (finite lattice)!

Like for lattice operations, $\nabla^{\mathbb{H}}$ is applied for values with isomorphic graphs, *i.e.*

$$(G_0, W_0) \nabla^{\mathbb{H}} (G_1, W_1) \triangleq (G_1, W_0 \nabla^{\mathbb{U}} W_1) \quad \text{if } G_0 \approx_{\text{iso}} G_1$$

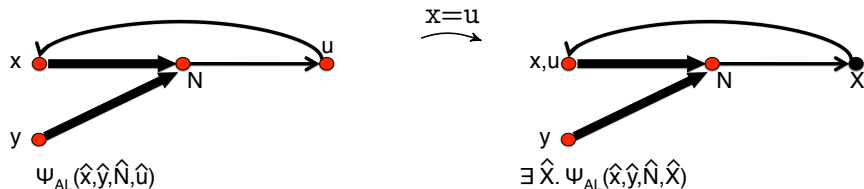
where

$$(E^0 \wedge (g_i \mapsto \mathbf{u}_i^0)_i) \nabla^{\mathbb{U}} (E^1 \wedge (g_i \mapsto \mathbf{u}_i^1)_i) \triangleq (E^2 \wedge (g_i \mapsto \mathbf{u}_i^2)_i)$$

and

$$\begin{aligned} E^2 &\triangleq E^0 \nabla^{\mathbb{Z}} E^1 \\ \mathbf{u}_i^2 &\triangleq (E^0 \wedge \mathbf{u}_i^0) \nabla^{\mathbb{Z}} (E^1 \wedge \mathbf{u}_i^1) \end{aligned}$$

ABSTRACT TRANSFORMERS: ISSUES

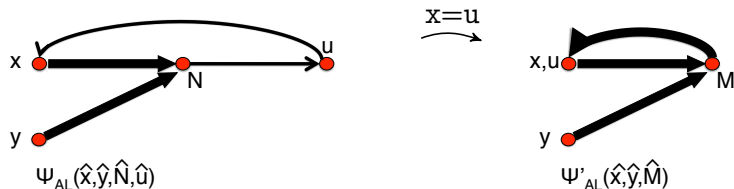


Issue 1:

Define a procedure for existential quantifier elimination in AL.

Required by Issue 1:

Define a procedure for concatenation of array properties in AL (fold).

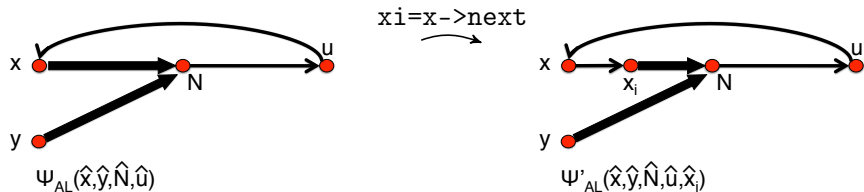


Issue 1:

Define a procedure for existential quantifier elimination in AL.

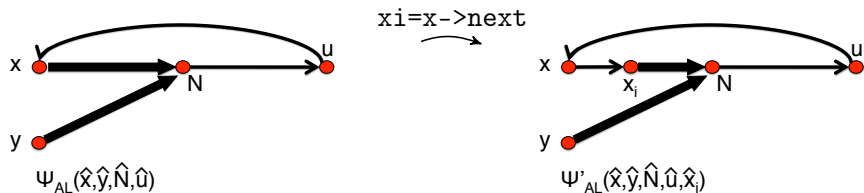
Required by Issue 1:

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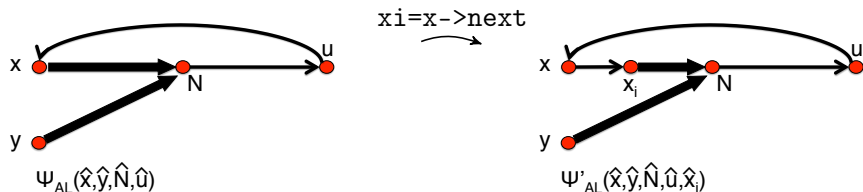
Issue 2:

Define a procedure for universal formula unfolding at $i = 0$ (unfold).



Easy case: only $g_{all}(i, \hat{n}) ::= 0 < i < |\hat{n}|$

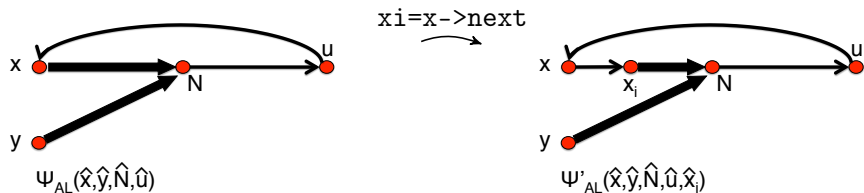
$$\begin{aligned} \Psi_{AL}(\hat{x}, \hat{y}, \hat{N}, \hat{u}) &= \hat{x}[0] \geq 1 \wedge \hat{y}[0] \% 2 = 0 \wedge 2 \leq |\hat{x}| \leq |\hat{y}| \wedge \\ &\quad \forall i. 0 < i < |\hat{x}| \implies \hat{x}[i] \geq 1 \wedge \\ &\quad \forall i. 0 < i < |\hat{y}| \implies \hat{y}[i] \% 2 = 0 \end{aligned}$$



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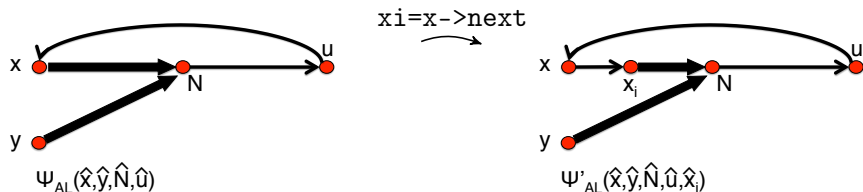
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$$\begin{aligned} \Psi'_{\text{AL}}(\hat{x}, \hat{y}, \hat{N}, \hat{u}, \hat{x}_i) &= \hat{x}[0] \geq 1 \wedge \hat{y}[0] \% 2 = 0 \wedge |\hat{x}| = 1 \wedge 2 \leq 1 + |\hat{x}_i| \leq |\hat{y}| \wedge \\ &\quad \hat{x}_i[0] \geq 1 \wedge \\ &\quad \forall i. 0 < i < |\hat{x}_i| \implies \hat{x}_i[i] \geq 1 \wedge \\ &\quad \forall i. 0 < i < |\hat{y}| \implies \hat{y}[i] \% 2 = 0 \end{aligned}$$



Less easy case: only $g_{all}, g_{\leq}(i_1, i_2, \hat{n}) ::= 0 < i_1 \leq i_2 < |\hat{n}|$

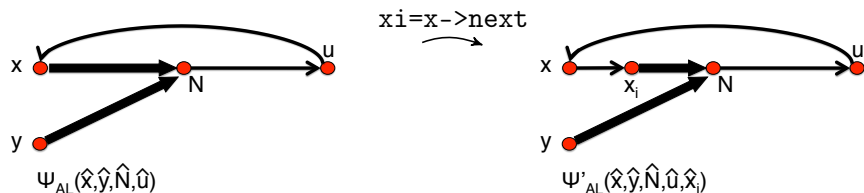
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 \Psi_{AL}(\hat{x}, \hat{y}, \hat{N}, \hat{u}) &= \hat{x}[0] \geq 1 \wedge \hat{y}[0] \% 2 = 0 \wedge 2 \leq |\hat{x}| \leq |\hat{y}| \wedge \\
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 &\forall i. 0 < i_1 \leq i_2 < |\hat{x}| \implies \hat{x}[i_1] \geq \hat{x}[i_2] \wedge \\
 &\forall i. 0 < i < |\hat{y}| \implies \hat{y}[i] \% 2 = 0
 \end{aligned}$$



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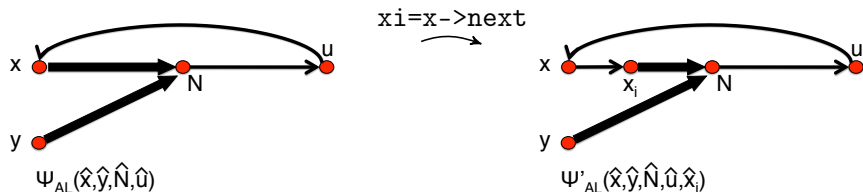
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Difficult case: only $g_{all}, g_{+1}(i_1, i_2, \hat{n}) ::= 0 < i_1 < i_2 < |\hat{n}|$

$$\begin{aligned} \Psi_{AL}(\hat{x}, \hat{y}, \hat{N}, \hat{u}) = & \hat{x}[0] \geq 1 \wedge \hat{y}[0] \% 2 = 0 \wedge 2 \leq |\hat{x}| \leq |\hat{y}| \wedge \\ & \forall i. 0 < i_1 < i_2 < |\hat{x}| \implies \hat{x}[i_1] + 2 = \hat{x}[i_2] \wedge \\ & \forall i. 0 < i < |\hat{y}| \implies \hat{y}[i] \% 2 = 0 \end{aligned}$$



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$$\begin{aligned} \Psi'_{AL}(\hat{x}, \hat{y}, \hat{N}, \hat{u}, \hat{x}_i) &= \hat{x}[0] \geq 1 \wedge \hat{y}[0] \% 2 = 0 \wedge |\hat{x}| = 1 \wedge 2 \leq 1 + |\hat{x}_i| \leq |\hat{y}| \wedge \\ &\quad \text{???} \\ &\quad \forall i. 0 < i_1 < i_2 < |\hat{x}_i| \implies \hat{x}_i[i_1] + 2 = \hat{x}_i[i_2] \wedge \\ &\quad \forall i. 0 < i < |\hat{y}| \implies \hat{y}[i] \% 2 = 0 \end{aligned}$$

Closure of \mathcal{G}

For each $g(i_1, i_2, \dots, \vec{N}) \in \mathcal{G}$, add to \mathcal{G} a new guard,
 $g' \equiv g(1, i_2 + 1, \dots, \vec{N})$ which collects informations about unfolding of
 g ... and so on for g' !

Example: for $g_{+1}(i_1, i_2, \hat{n}) ::= 0 < i_1 < i_2 < |\hat{n}|$
 \longrightarrow add $g_1(i, \hat{n}) ::= 0 < i = 1 < |\hat{n}|$

$$\begin{aligned} \Psi_{AL}(\hat{x}, \hat{y}, \hat{N}, \hat{u}) &= \hat{x}[0] \geq 1 \wedge \hat{y}[0] \% 2 = 0 \wedge 2 \leq |\hat{x}| \leq |\hat{y}| \wedge \\ &\forall i. 0 < i_1 < i_2 < |\hat{x}| \implies \hat{x}[i_1] + 2 = \hat{x}[i_2] \wedge \\ &\forall i. 0 < i < |\hat{y}| \implies \hat{y}[i] \% 2 = 0 \end{aligned}$$

gives

$$\begin{aligned} \Psi'_{AL}(\hat{x}, \hat{y}, \hat{N}, \hat{u}, \hat{x}_i) &= \hat{x}[0] \geq 1 \wedge \hat{y}[0] \% 2 = 0 \wedge |\hat{x}| = 1 \wedge 2 \leq 1 + |\hat{x}_i| \leq |\hat{y}| \wedge \\ &\forall i. 0 < i = 1 < |\hat{x}_i| \implies \hat{x}_i[0] + 2 = \hat{x}_i[i] \wedge \\ &\forall i. 0 < i_1 < i_2 < |\hat{x}_i| \implies \hat{x}_i[i_1] + 2 = \hat{x}_i[i_2] \wedge \\ &\forall i. 0 < i < |\hat{y}| \implies \hat{y}[i] \% 2 = 0 \end{aligned}$$

EXPERIMENTAL RESULTS

<i>Program</i>	\mathcal{A}_W	\mathcal{A}_Z	k	<i>property</i>	sec
<i>dispatch</i>	U	poly	1	$g_{\text{all}}(i, \widehat{\text{grt}}) \implies \widehat{\text{grt}}[i] \geq 3$	0.4
	Σ	poly	0	$\Sigma(\widehat{\text{grt}}) \geq 3 \times \widehat{\text{grt}} $	0.4
	M	poly	0	$ms(\widehat{\text{grt}}) + ms(\widehat{\text{less}}) = ms(\widehat{\text{head}})$	1
<i>initFibo</i>	U	poly	1	$g_{<}(i, i', \widehat{n}) \implies \widehat{n}[i'] - \widehat{n}[i] \geq i' - i$	1
	U	poly	3	$g_{+1}(i_1, i_2, i_3, \widehat{n}) \implies \widehat{n}[i_3] = \widehat{n}[i_1] + \widehat{n}[i_2]$	0.5
	Σ	poly	0	$\Sigma_{i=1, N} F_i = 2 \times F_N + F_{N-1} - 1$	0.4
<i>init2N</i>	U	poly	1	$g_{\text{all}}(i, \widehat{n}) \implies \widehat{n}[i] = 2 \times i$	0.4
	Σ	poly	0	$\Sigma(\widehat{n}) \geq 2 \times \widehat{n} - 2$	0.5
<i>bubbleSort</i>	M	poly	0	$ms(\widehat{n}) = ms_init$	0.4
	U	oct	1	$g_{\text{all}}(i, \widehat{n}) \implies \widehat{n}[i] \geq \widehat{n}[0]$	0.6
	U	oct	2	$g_{<}(i_1, i_2, \widehat{n}) \implies \widehat{n}[i_1] \leq \widehat{n}[i_2]$	2
<i>insertSort</i>	M	poly	0	$ms(\widehat{n}) = ms_init$	0.4
	U	oct	1	$g_{\text{all}}(i, \widehat{n}) \implies \widehat{n}[i] \geq \widehat{n}[0]$	5
	U	oct	2	$g_{<}(i_1, i_2, \widehat{n}) \implies \widehat{n}[i_1] \leq \widehat{n}[i_2]$	36
<i>copyReverse</i>	M	poly	0	$ms(\widehat{\text{rev}}) = ms(\widehat{n})$	0.4
	Σ	poly	0	$\Sigma(\widehat{\text{rev}}) = \Sigma(\widehat{n})$	0.03

- predicate abstraction [Flanagan & Qadeer, 02],[Lahiri *et al*, 03]
→ only fixed properties for data constraints

- abstract interpretation [Blanchet *et al*, 03], [Gopan *et al*, 04-07]
→ *e.g.*, [Halbwachs & Péron, 08] *infers*

$$\forall i \in I. \varphi(a_1[i + k_1], \dots, a_m[i + k_m], k)$$

- symbol elimination in loop body [Kovacs *et al*, 09-11]
→ slides at VTSA'14

CONCLUSION OF INTRA-PROCEDURAL SHAPE ANALYSIS

- Abstract interpretation principles work for more complex constraints.
- Building new abstract domains may be a challenging task.
- Free numerical domains exists with a clear interface.
- Experimental results are good for realistic programs.

- 1 Introduction
- 2 Formal Models and Semantics for IMPR
- 3 Foundations of Static Analysis by Abstract Interpretation
- 4 *Application: Programs with Lists and Data*
- 5 *Application: Decision Procedures by Static Analysis*
- 6 Elements of Inter-procedural Analysis
- 7 *Application: Programs with Lists, Data, and Procedures*
- 8 *Extension: Programs with Complex Data Structures*

Programs with Lists and Data

— Static Analysis for Decision Procedures —

joint work with A. Bouajjani, C. Drăgoi, C. Enea

VMCAI'12

SLAD \triangleq Separation Logic($1s^+$) + Array Logic(\mathbb{Z})

Corollary

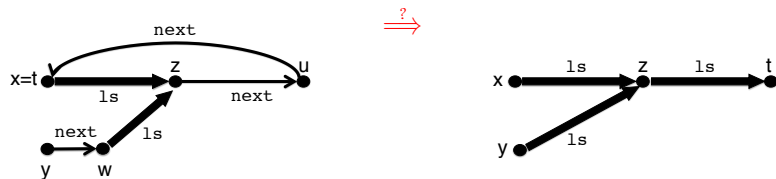
Satisfiability and entailment in SLAD are undecidable.

Theorem

\exists a **sound** procedure for checking satisfiability and entailment, which is **complete** when Array Logic has only \leq -constraints in $g(\vec{i})$.

ENTAILMENT PROCEDURE IN A NUTSHELL

Main idea: Apply **compositionally** a **syntactic check and**, if it fails, strengthen the array formulas using **program analysis** with an abstraction given by SLAD formulas, and apply the syntactic check.



$$\hat{x}[0] \geq 2 \wedge \text{sorted}_{<}(\hat{x}) \wedge$$

$$\hat{z}[0] = 0 \wedge \hat{u}[0] = 1 \wedge$$

$$\hat{y}[0] + 1 = \hat{w}[0] \geq 2 \wedge \text{succ}(\hat{w})$$

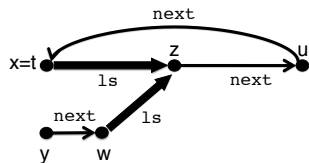
$$\hat{x}[0] \geq 1 \wedge \text{sorted}_{\leq}(\hat{x}) \wedge$$

$$\text{succ}(\hat{z}) \wedge$$

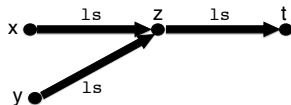
$$\text{all}_{\geq 1}(\hat{y})$$

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\Rightarrow



$$\hat{x}[0] \geq 2 \wedge \text{sorted}_{<}(\hat{x}) \wedge$$

\Rightarrow

$$\hat{x}[0] \geq 1 \wedge \text{sorted}_{\leq}(\hat{x}) \wedge$$

$$\hat{z}[0] = 0 \wedge \hat{u}[0] = 1 \wedge$$

\Rightarrow

$$\text{succ}(\hat{z}) \wedge$$

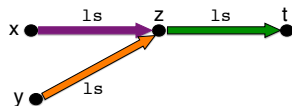
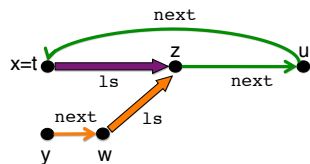
$$\hat{y}[0] + 1 = \hat{w}[0] \geq 2 \wedge \text{succ}(\hat{w})$$

\Rightarrow

$$\text{all}_{\geq 1}(\hat{y})$$

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$$\hat{x}[0] \geq 1 \wedge \text{sorted}_{\leq}(\hat{x}) \wedge$$



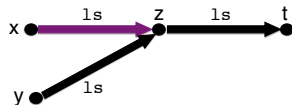
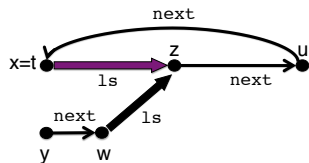
$$\text{succ}(\hat{z}) \wedge$$



$$\text{all}_{\geq 1}(\hat{y})$$

ENTAILMENT PROCEDURE IN A NUTSHELL

Main idea: Apply **compositionally** a **syntactic check** and, if it fails, strengthen the array formulas using **program analysis** with an abstraction given by SLAD formulas, and apply the syntactic check.



$$\hat{x}[0] \geq 2 \wedge \text{sorted}_{<}(\hat{x}) \wedge$$

$$\hat{z}[0] = 0 \wedge \hat{u}[0] = 1 \wedge$$

$$\hat{y}[0] + 1 = \hat{w}[0] \geq 2 \wedge \text{succ}(\hat{w})$$



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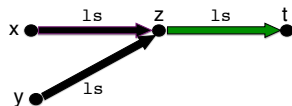
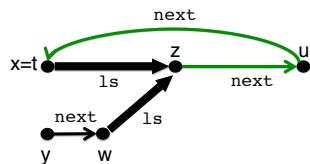
$$\text{all}_{\geq 1}(\hat{y})$$

$$\text{sorted}_{<}(\hat{x}) \equiv \forall i_1, i_2. 0 \leq i_1 < i_2 < \text{len}(\hat{x}) \implies \hat{x}[i_1] < \hat{x}[i_2]$$

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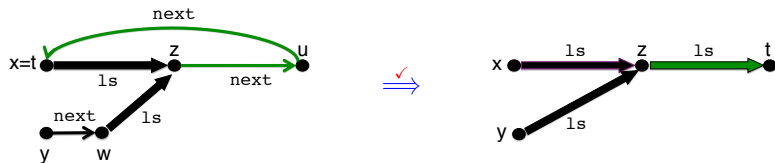


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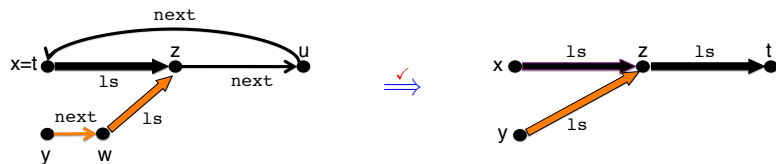
$$\widehat{z}[0] = 0 \wedge \widehat{u}[0] = 1 \wedge \text{succ}(\widehat{z} \cdot \widehat{u}) \wedge \quad \Rightarrow \quad \text{succ}(\widehat{z}) \wedge$$

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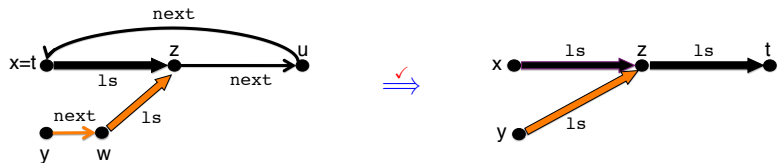
$$\widehat{y}[0] + 1 = \widehat{w}[0] \geq 2 \wedge \text{succ}(\widehat{w}) \quad \stackrel{?}{\Rightarrow} \quad \text{all}_{\geq 1}(\widehat{y})$$

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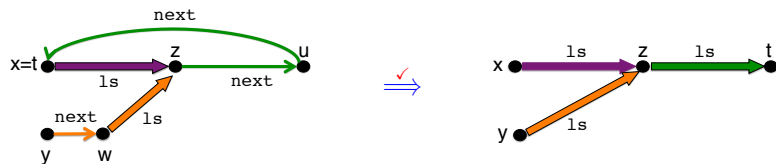
$$\wedge \text{all}_{\geq 1}(\widehat{y} \cdot \widehat{w})$$

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$$\stackrel{\checkmark}{\Rightarrow} \widehat{x}[0] \geq 1 \wedge \text{sorted}_{\leq}(\widehat{x}) \wedge$$

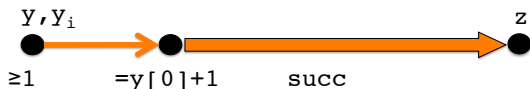
$$\stackrel{\checkmark}{\Rightarrow} \text{succ}(\widehat{z}) \wedge$$

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Main idea: Do analysis presented before on each list segment using as \mathcal{A}_{IH} the SLAD formulas! The guards in universal formulas, size and data constraints fix the abstract domain of integer words.

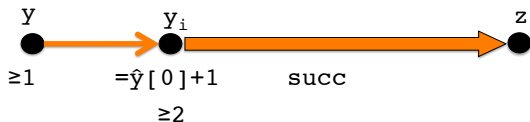
SATURATING SLAD FORMULAS

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```
/* @assume: y->w * ls(w,z),
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y_i=y;
/* @inv: ls(y,y_i) * ls(y_i,z), ...
         $\forall i (0\leq i < \text{len}(y.w) \Rightarrow ?$  */
while(y_i != z)
    y_i=y_i->next;
           in G, used in allz1
```

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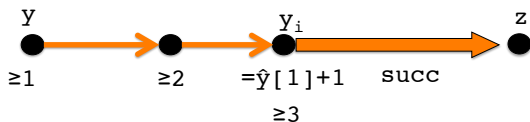
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```

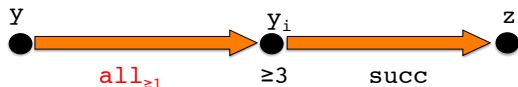
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           ŷ[0]+1=ŵ[0]≥2, succ(ŵ) */
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        ∀i 0≤i<len(ŷ)=>ŷ[i]≥1 ∨ ŷ[i]≥2*/
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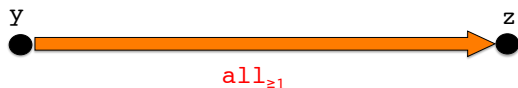


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```

- Shape analysis benefits from Separation Logic compositional reasoning.
- Shape analysis may be extended to content and size analysis.
- Efficiency is obtained using sound syntax-oriented procedures.
- Sound procedures for undecidable logic fragments may be obtained by applying static analysis.