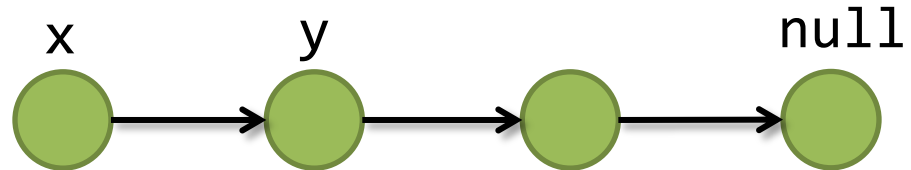


# Introduction to Permission-Based Program Logics

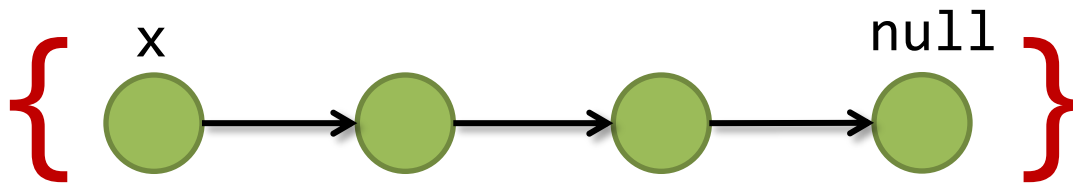
Thomas Wies  
New York University

# A Motivating Example

```
procedure delete(x: Node)
{
  if (x != null) {
    var y := [x];
    delete(y);
    free(x);
  }
}
```



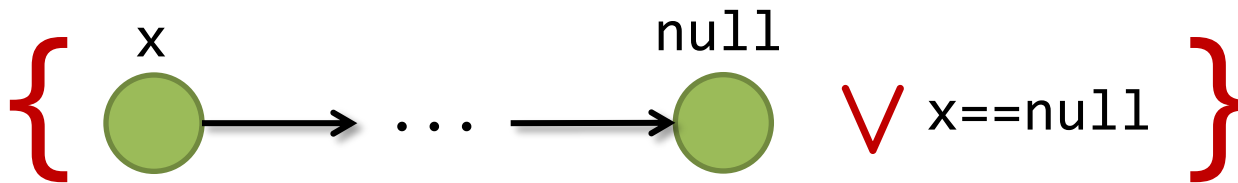
# Proof by Hand-Waving



```
procedure delete(x: Node)
{
  if (x != null) {
    var y := [x];
    delete(y);
    free(x);
  }
}
```

```
{ }
```

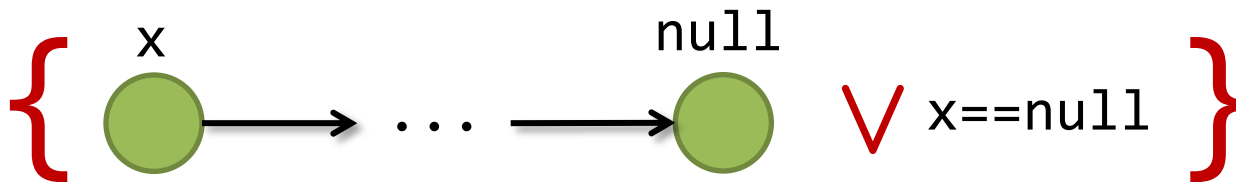
# Proof by Hand-Waving



```
procedure delete(x: Node)
{
  if (x != null) {
    var y := [x];
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    free(x);
  }
}
```

{ }

# Proof by Hand-Waving



```
procedure delete(x: Node)
```

```
{
```

```
  if (x != null) {
```

```
    var y := [x];
```

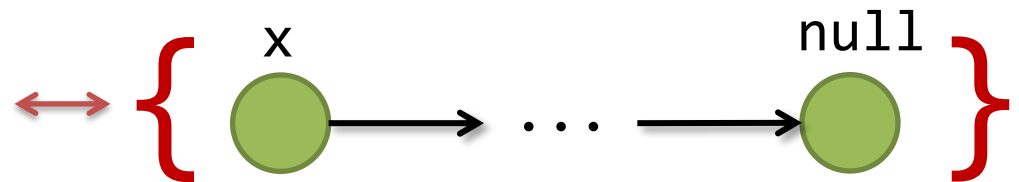
```
    delete(y);
```

```
    free(x);
```

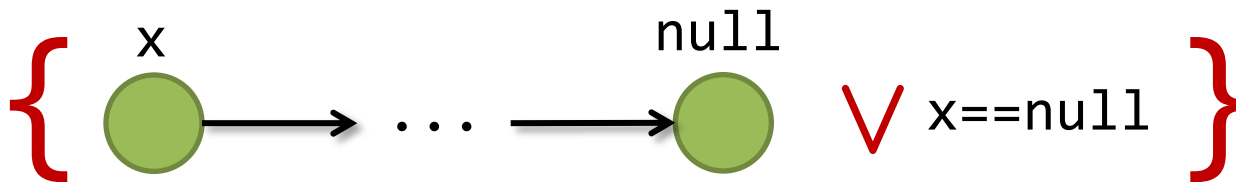
```
  }
```

```
}
```

```
{ }
```



# Proof by Hand-Waving



```
procedure delete(x: Node)
```

```
{
```

```
  if (x != null) {
```

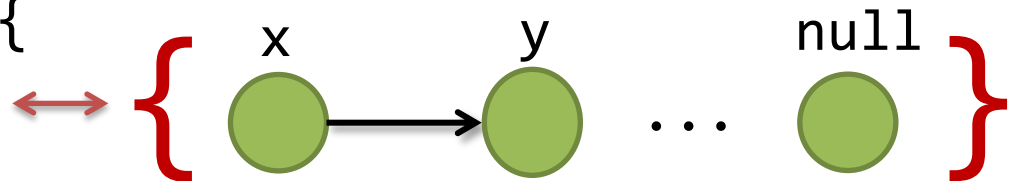
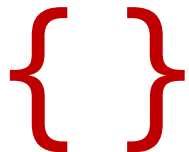
```
    var y := [x];
```

```
    delete(y);
```

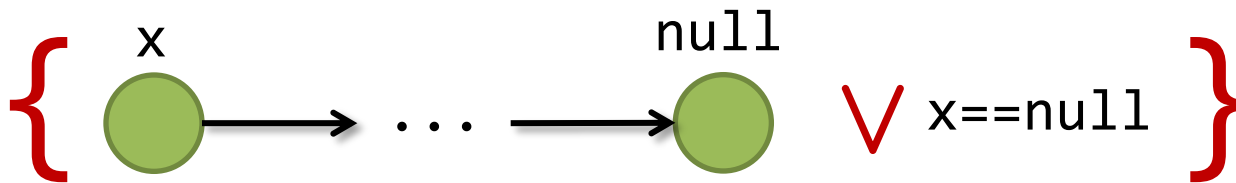
```
    free(x);
```

```
  }
```

```
}
```



# Proof by Hand-Waving



```
procedure delete(x: Node)
```

```
{
```

```
  if (x != null) {
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    var y := [x];
```

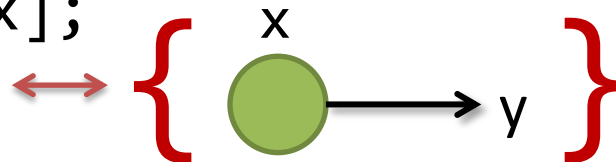
```
    delete(y);
```

```
    free(x);
```

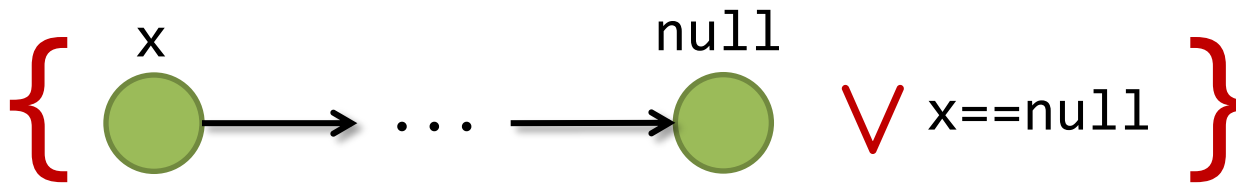
```
  }
```

```
}
```

```
{ }
```



# Proof by Hand-Waving



```
procedure delete(x: Node)
```

```
{
```

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```
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```

```
    delete(y);
```

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    free(x);
```

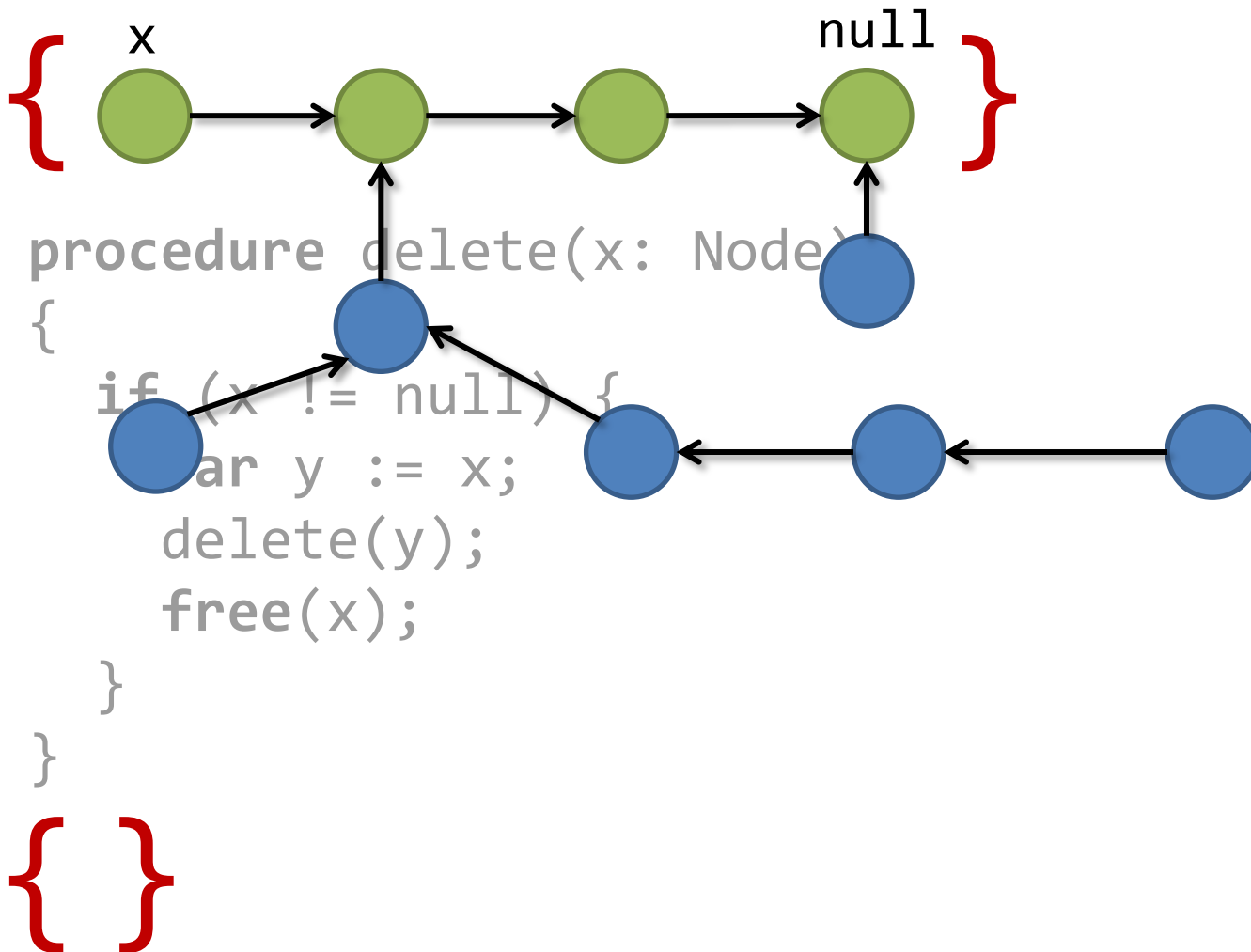
```
  }
```

```
}
```

```
{ }
```



# Proof by Hand-Waving



# Road Map

**Part I – Sequential Programs**

**Part II – Concurrent Programs**

# Permission-based Logics

- Separation Logic
  - O'Hearn, Pym 1999 (boolean bunched implications)
  - O'Hearn, Reynolds, Yang 2001
  - Reynolds 2002
  - ...
- Implicit Dynamic Frames
  - Smans, Jacobs, Piessens 2008
  - Parkinson, Summers 2011
  - ...
- Linear maps
  - Lahiri, Qadeer, Walker 2011
- ...

# Tools and Projects using Permission-based Logics

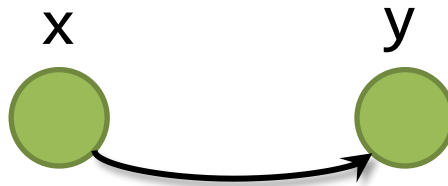
- CompCert (Inria)
  - L4.Verified (Data 61)
  - Bedrock (MIT)
  - ...
  - Smallfoot (UCL, Imperial)
  - Chalice (Microsoft)
  - VeriFast (KU Leuven)
  - HIP (Singapore)
  - Viper (ETH)
  - GRASShopper (NYU, Yale, MPI-SWS)
  - ...
  - Space Invader (UCL, Imperial)
  - SLayer (Microsoft)
  - Infer (Facebook)
  - Xisa (Boulder, Paris, Berkeley)
  - ...
- } interactive deductive verification
- } automated deductive verifiers
- } static program analysis tools

# Separation Logic (SL)

# Separation Logic by Example

- Points-to predicates

$$x \mapsto y$$



Stack

x	10
y	42
...	

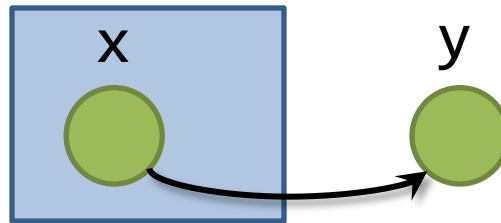
Heap

10	42
...	
42	?

# Separation Logic by Example

- Points-to predicates

$$x \mapsto y$$



Stack

x	10
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Heap

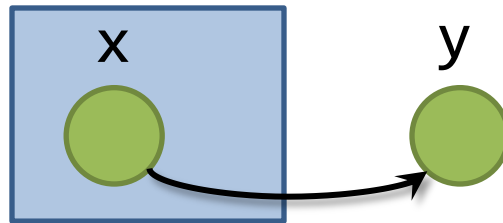
10	42
...	
42	?

A partial heap consisting of one allocated cell

# Separation Logic by Example

- Points-to predicates

$$x \mapsto y$$



Points-to predicate Expresses permission to access (i.e. read/write/deallocate) heap location  $x$  **and nothing else!**

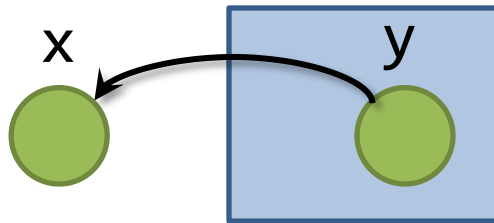
SL assertions describe the part of the heap that a program is allowed to work with.



# Separation Logic by Example

- Points-to predicates

$$y \mapsto x$$



Stack

x	10
y	42
...	

Heap

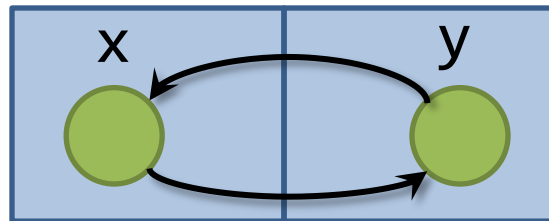
10	?
...	
42	10

A partial heap consisting of one allocated cell

# Separation Logic by Example

- Separating conjunction

$$x \mapsto y * y \mapsto x$$



Stack

x	10
y	42
...	

Heap

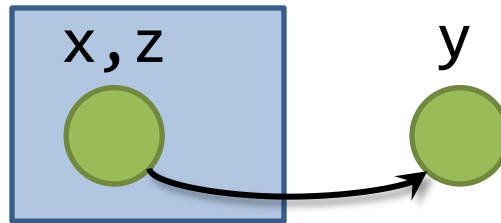
10	42
...	
42	10

Composition of  
disjoint partial heaps

# Separation Logic by Example

- Equalities

$$x \mapsto y \wedge x = z$$



Stack

x	10
y	42
z	10

Heap

10	42
...	
42	?

Equalities only  
constrain the stack

# Separation Logic by Example

- Separating conjunction

$$x \mapsto y * x \mapsto z$$

?

# Separation Logic by Example

- Separating conjunction

$$x \mapsto y * x \mapsto z$$

unsatisfiable

Subheaps must be disjoint  
(x can't be at two different places at once)

# Separation Logic by Example

- Classical conjunction

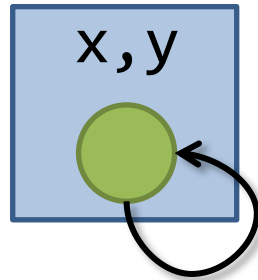
$$x \mapsto y \wedge y \mapsto x$$

?

# Separation Logic by Example

- Classical conjunction

$$x \mapsto y \wedge y \mapsto x$$



# Separation Logic by Example

- Separating conjunction

$$x \mapsto z_1 * y \mapsto z_2 \wedge x = y$$

?

Convention:  $\wedge$  has higher precedence than  $*$



# Separation Logic by Example

- Separating conjunction

$$x \mapsto z_1 * y \mapsto z_2 \wedge x = y$$

still unsatisfiable

Convention:  $\wedge$  has higher precedence than  $*$

# Separation Logic: Syntax

- Terms  $e, t$ 
  - variables:  $x \in \text{Var}$
  - ...
- Assertions  $P, Q$ 
  - equalities:  $e = t$
  - empty heap:  $\text{emp}$
  - points-to:  $e \mapsto t$
  - separating conjunction:  $P * Q$
  - magic wand:  $P -* Q$
  - classical conjunction:  $P \wedge Q$
  - negation:  $\neg P$
  - existential quantification:  $\exists x. P$
  - (inductively defined predicates)

# Separation Logic: Assertion Semantics

- Domains
  - addresses:  $\text{Addr} (= \mathbb{N})$
  - values:  $\text{Val} = \text{Addr} \cup \dots$
- A state  $\sigma \in \Sigma$  is a pair  $(h, s)$  of a stack  $s$  and a heap  $h$ 
  - $s: \text{Var} \rightarrow \text{Val}$
  - $h: \text{Addr} \rightarrow \text{Val}$
- Composition of states
  - $(h_1, s_1) \bullet (h_2, s_2) = (h_1 \cup h_2, s_1)$  if  $s_1 = s_2$  and  $h_1 \perp h_2$
  - $(h_1, s_1) \bullet (h_2, s_2)$  undefined otherwise

Here,  $h_1 \perp h_2$  means domains of  $h_1$  and  $h_2$  are disjoint

# Separation Logic: Assertion Semantics

- $t^s$ : denotation of term  $t$  in stack  $s$
- $(h, s) \models e = t \iff e^s = t^s$
- $(h, s) \models \text{emp} \iff h = \{\}$
- $(h, s) \models e \mapsto t \iff h = \{e^s \mapsto t^s\}$
- $\sigma \models P * Q \iff$  exists  $\sigma_1, \sigma_2$  s.t.  $\sigma = \sigma_1 \bullet \sigma_2$  and  $\sigma_1 \models P$  and  $\sigma_2 \models Q$
- $\sigma \models P -* Q \iff$  for all  $\sigma_1$  s.t.  $\sigma_1 \perp \sigma$ ,  $\sigma_1 \models P$  implies  $\sigma_1 \bullet \sigma \models Q$
- $\sigma \models P \wedge Q \iff \sigma \models P$  and  $\sigma \models Q$
- ... everything else as in classical logic

# Entailment

Entailment between assertions is defined as usual

$P \models Q \iff$  for all  $\sigma$ ,  $\sigma \models P$  implies  $\sigma \models Q$

# SL is a Substructural Logic

- Duplication of hypotheses is not allowed

$$P \not\equiv P * P$$

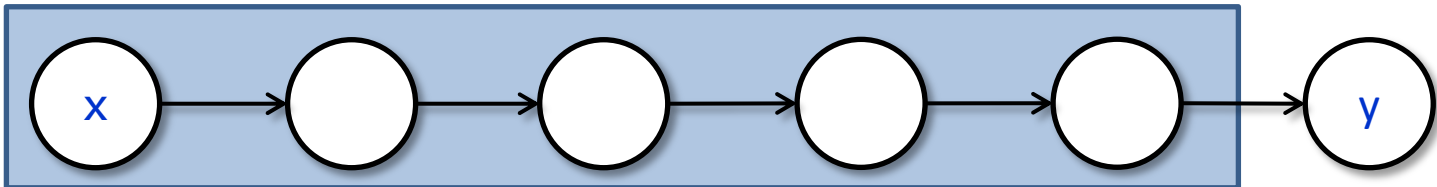
- Weakening is not allowed

$$P * Q \not\equiv P$$

# Inductively Defined Predicates

- acyclic list segment

$$\mathbf{lseg}(x, y) \equiv x = y \vee \exists z. x \neq y \wedge x \mapsto z * \mathbf{lseg}(z, y)$$



# Inductively Defined Predicates

- acyclic list segment

$$\mathbf{lseg}(x, y) \equiv x = y \vee \exists z. x \mapsto z * \mathbf{lseg}(z, y)$$

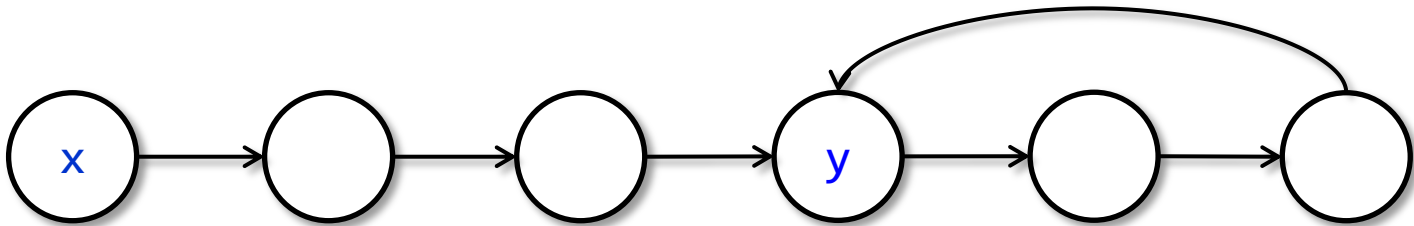


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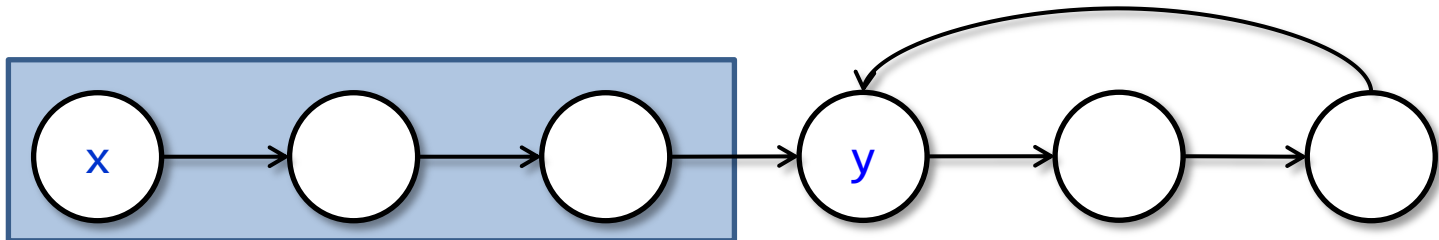


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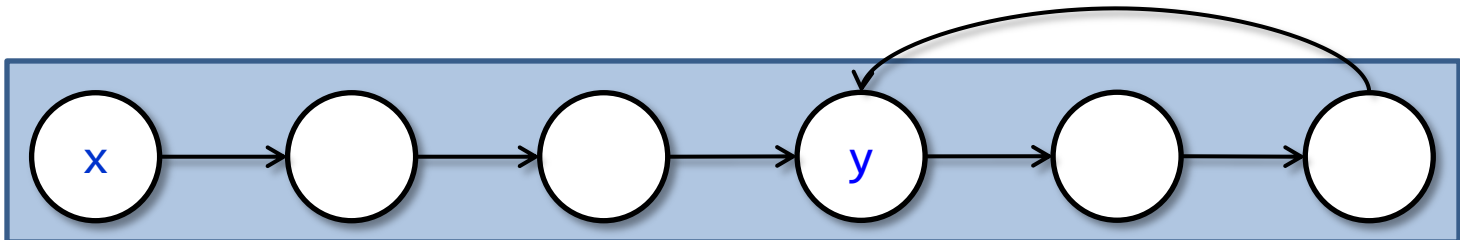


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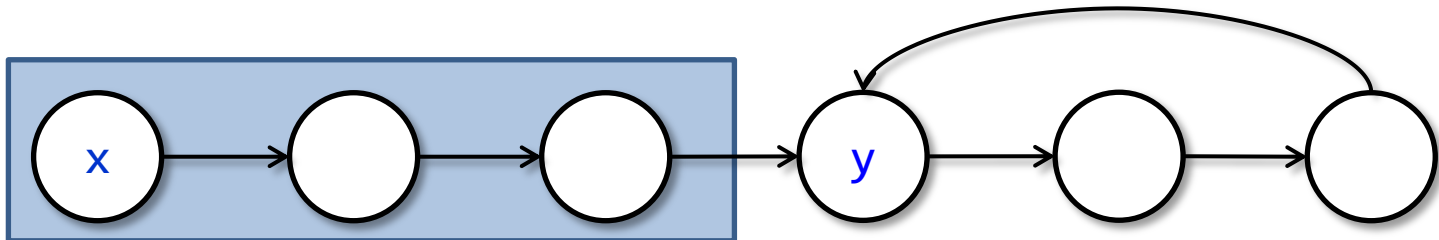


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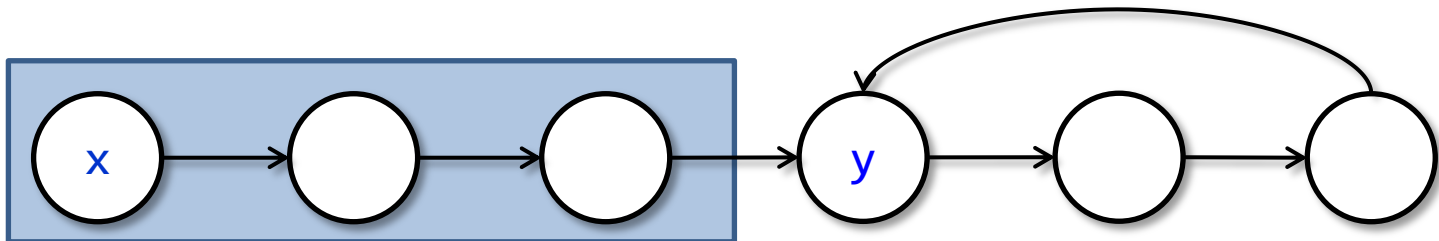


# Inductively Defined Predicates

- acyclic list segment

$$\mathbf{lseg}(x, y) \equiv x = y \vee \exists z.$$

$$x \mapsto z * \mathbf{lseg}(z, y)$$



Predicate is not *precise*

# Abstract Separation Logic

[Calcagno, O'Hearn, Yang 2007]

- Theory of separation logic also works for other semantics than the standard heap model.
- In general, any *separation algebra* can be used.
- Separation algebra  $(\Sigma, \bullet, e)$  is a partial commutative cancelative monoid, i.e. for all  $\sigma, \sigma_1, \sigma_2, \sigma_3 \in \Sigma$ 
  - unit:  $\sigma \bullet e = \sigma$
  - associative:  $(\sigma_1 \bullet \sigma_2) \bullet \sigma_3 = \sigma_1 \bullet (\sigma_2 \bullet \sigma_3)$
  - commutative:  $\sigma_1 \bullet \sigma_2 = \sigma_2 \bullet \sigma_1$
  - cancelative:  $\sigma \bullet \sigma_1 = \sigma \bullet \sigma_2 \Rightarrow \sigma_1 = \sigma_2$

Here, equality means either both sides are defined and equal or both sides are undefined.

# Example: Fractional Permissions

[Bornat et al. 2005]

- Heaps with fractional permissions:
  - $h: \text{Addr} \times \text{Val} \rightarrow [0, 1]$
  - $h_1 \bullet h_2 = h_1 + h_2$  if for all  $a, v: h_1(a, v) + h_2(a, v) \leq 1$
  - $h_1 \bullet h_2$  undefined otherwise
- Useful for reasoning about concurrent programs

# SL-based Hoare Logic



# Programs: Syntax

- Basic commands  $c$ :
  - noop: **skip**
  - guard: **assume**( $b$ )
  - heap write:  $[x] := y$
  - heap read:  $x := [y]$
  - allocation:  $x := \mathbf{new}()$
  - deallocation: **free**( $x$ )
  - ...
- Commands  $C \in \mathbf{Com}$ :
  - basic commands:  $c$
  - seq. composition:  $C_1; C_2$
  - nondet. choice:  $C_1 + C_2$
  - looping:  $C^*$

# Programs: Operational Semantics

- Reduction relation
  - $\subseteq (\text{Com} \times \Sigma) \times (\text{Com} \times \Sigma) \uplus \{\mathbf{abort}\}$
  - Notation:  $\langle C, \sigma \rangle \rightarrow \langle C', \sigma' \rangle$
  - Meaning: Command  $C$  takes a step in state  $\sigma$ , yielding continuation  $C'$  and state  $\sigma'$

# Operational Semantics

- $\langle \mathbf{assume}(b), \sigma \rangle \rightarrow \langle \mathbf{skip}, \sigma \rangle$  if  $\sigma \models b$
- $\langle [x] := y, (s, h) \rangle \rightarrow \langle \mathbf{skip}, (s, h[x^s \mapsto y^s]) \rangle$  if  $x^s \in \text{dom}(h)$
- $\langle [x] := y, (s, h) \rangle \rightarrow \mathbf{abort}$  if  $x^s \notin \text{dom}(h)$
- ...
- $$\frac{\langle C, \sigma \rangle \rightarrow \langle C', \sigma' \rangle}{\langle C^*, \sigma \rangle \rightarrow \langle C'; C^*, \sigma' \rangle} \quad \langle C^*, \sigma \rangle \rightarrow \langle \mathbf{skip}, \sigma \rangle$$

# Locality of Operational Semantics

- Separation Logic works for any operational semantics that is *local*
- A command  $C$  is *local* iff for all  $\sigma, \sigma_1, \sigma_2, \sigma', C'$ 
  - if  $\langle C, \sigma_1 \bullet \sigma_2 \rangle \rightarrow \langle C', \sigma' \rangle$  then either
    - $\langle C, \sigma_1 \rangle \rightarrow \mathbf{abort}$
    - exists  $\sigma_1'$  s.t.  $\langle C, \sigma_1 \rangle \rightarrow \langle C', \sigma_1' \rangle$  and  $\sigma' = \sigma_1' \bullet \sigma_2$
  - if  $\langle C, \sigma \rangle \rightarrow \langle C', \sigma' \rangle$  and  $\sigma \bullet \sigma_1$  defined, then
    - $\langle C, \sigma \bullet \sigma_1 \rangle \rightarrow \langle C', \sigma' \bullet \sigma_1 \rangle$

# Hoare Logic

- Hoare triples  $\{ P \} C \{ Q \}$ 
  - Meaning:
    - C executes without failure from any state satisfying P.
    - Moreover, if C terminates, then the final state satisfies Q.
  - Formally:  $\{ P \} C \{ Q \}$  is valid iff for all  $\sigma, \sigma'$ 
    - $\sigma \models P$  and  $\langle C, \sigma \rangle \not\rightarrow^* \mathbf{abort}$
    - $\sigma \models P$  and  $\langle C, \sigma \rangle \rightarrow^* \langle \mathbf{skip}, \sigma' \rangle$  implies  $\sigma' \models Q$

# Hoare Triples: Examples

- $\{x = 15\} y := [x] \{x = 15 \wedge y = 15\}$  Valid?

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- $\{x = 15\} y := [x] \{true\}$  Valid? 
- $\{x = 15\} y := x \{x = 15 \wedge y = 15\}$  Valid?





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- $\{x \mapsto 15\} y := [x] \{x \mapsto 15 \wedge y = 15\}$  Valid?






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- $\{x \mapsto 15\} y := [x] \{x \mapsto 15 \wedge y = 15\}$  Valid? 
- $\{x \mapsto 15 * z \mapsto 42\} y := [x] \{x \mapsto 15 * z \mapsto 42 \wedge y = 15\}$  Valid?

# Hoare Triples: Examples







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- $\{y \mapsto 42 \wedge x = 15\} [y] := x \{15 \mapsto 42 \wedge x = 15\}$  Valid?










# Hoare Triples: Examples

- $\{x = 15\} y := [x] \{x = 15 \wedge y = 15\}$  Valid? 
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- $\{y \mapsto 42 \wedge x = 15\} [y] := x \{15 \mapsto 42 \wedge x = 15\}$  Valid? 

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# Tight Axioms for Basic Commands

- Heap write

$$\{x \mapsto z\} [x] := y \{x \mapsto y\}$$

# Tight Axioms for Basic Commands

- Heap write

$$\{x \mapsto z\} [x] := y \{x \mapsto y\}$$

- Heap read

$$\{y \mapsto z \wedge x = n\} x := [y] \{y[n/x] \mapsto z \wedge x = z\}$$

# Tight Axioms for Basic Commands

- Heap write

$$\{ x \mapsto z \} [x] := y \{ x \mapsto y \}$$

- Heap read

$$\{ y \mapsto z \wedge x = n \} x := [y] \{ y[n/x] \mapsto z \wedge x = z \}$$

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- Allocation

$$\{ \text{emp} \} x := \text{new}() \{ x \mapsto z \}$$

- Deallocation

$$\{ x \mapsto z \} \text{free}(x) \{ \text{emp} \}$$

- ...

# Structural Rules

- Frame rule

$$\frac{\{P\} C \{Q\}}{\{P * F\} C \{Q * F\}} \quad \text{mod}(C) \cap \text{fv}(F) = \emptyset$$

$\text{mod}(x := [y]) = \{x\}$ ,  $\text{mod}([x] := y) = \emptyset$ , ...



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$$\frac{P' \models P \quad \{P\} C \{Q\} \quad Q \models Q'}{\{P'\} C \{Q'\}}$$

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$$\frac{\{P\} C \{Q\}}{\{\exists z. P\} C \{\exists z. Q\}} \quad z \notin \text{fv}(C)$$

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$$\frac{\{P\} C \{Q\}}{\{\exists z. P\} C \{\exists z. Q\}} \quad z \notin \text{fv}(C)$$

- Variable substitution rule

$$\frac{\{P\} C \{Q\}}{\{P\} C \{Q\} [e_1/x_1, \dots, e_n/x_n]} \quad \begin{array}{l} \text{fv}(P, C, Q) \subseteq \{x_1, \dots, x_n\} \\ x_i \in \text{mod}(C) \Rightarrow e_i \in \text{Var} \setminus \text{fv}(\{e_j\}_{j \neq i}) \end{array}$$

$\text{mod}(x := [y]) = \{x\}$ ,  $\text{mod}([x] := y) = \emptyset$ , ...

# Remaining Constructs as in Classical Hoare Logic

- Sequencing rule

$$\frac{\{P\} C_1 \{R\} \quad \{R\} C_2 \{Q\}}{\{P\} C_1 ; C_2 \{Q\}}$$

- Choice rule

$$\frac{\{P\} C_1 \{Q\} \quad \{P\} C_2 \{Q\}}{\{P\} C_1 + C_2 \{Q\}}$$

- Loop rule

$$\frac{\{I\} C \{I\}}{\{I\} C^* \{I\}}$$

# Integration into Verification Tools

Any SL-based verification tool will have to implement at least the following two tasks:

- Mechanize Hoare Logic Rules using either
  - symbolic forward execution, or
  - verification condition generation
- Mechanize Validity Checking of SL Entailments

# Symbolic Heap Fragment with Linked Lists

- Only consider assertions of the form
  - $\exists \mathbf{x}. P \wedge Q$   
where
    - $P$  is a conjunction of equalities and disequalities
    - $Q$  is a separating conjunction of
      - points-to predicates  $x \mapsto y$
      - list segment predicates  $\text{lseg}(x, y)$
- Example:  $\exists \mathbf{y}. x \neq z \wedge x \mapsto y * \text{lseg}(y, z)$

# Symbolic Forward Execution

Define relation  $H, c \rightsquigarrow H'$  that given  $H$  and  $c$  computes  $H'$  such that  $\{ H \} c \{ H' \}$  is valid

Idea:

- Combine Hoare rules for basic commands with frame rule  
 $\Rightarrow$  specialized rules for executing basic commands on symbolic heaps
- Specialize consequence rule to so-called *rearrangement* rules that materialize points-to predicates for heap accesses.

# Symbolic Forward Execution Rules

- Variable assignment

$$H, x := t \rightsquigarrow x = t[x'/x] \wedge H[x'/x]$$



# Symbolic Forward Execution Rules

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- Heap read

$$H * y \mapsto z, x := [y] \rightsquigarrow x = z[x'/x] \wedge (H * y \mapsto z)[x'/x]$$

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$$H, x := t \rightsquigarrow x = t[x'/x] \wedge H[x'/x]$$

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- Heap write

$$H * x \mapsto z, [x] := y \rightsquigarrow H * x \mapsto y$$

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- Variable assignment

$$H, x := t \rightsquigarrow x = t[x'/x] \wedge H[x'/x]$$

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$$H * y \mapsto z, x := [y] \rightsquigarrow x = z[x'/x] \wedge (H * y \mapsto z)[x'/x]$$

- Heap write

$$H * x \mapsto z, [x] := y \rightsquigarrow H * x \mapsto y$$

- Allocation

$$H, x := \mathbf{new}() \rightsquigarrow H[x'/x] * x \mapsto z$$

# Symbolic Forward Execution Rules

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$$H * x \mapsto z, [x] := y \rightsquigarrow H * x \mapsto y$$

- Allocation

$$H, x := \mathbf{new}() \rightsquigarrow H[x'/x] * x \mapsto z$$

- Deallocation

$$H * x \mapsto z, \mathbf{free}(x) \rightsquigarrow H$$

# Rearrangement Rules

$A(x) ::= [x] := y \mid y := [x]$

$P(x,y) ::= x \mapsto y \mid \text{Iseg}(x, y)$

- $$\frac{H_0 * P(x, y), A(x) \rightsquigarrow H_1 \quad H_0 \vdash x = z}{H_0 * P(z, y), A(x) \rightsquigarrow H_1}$$

# Rearrangement Rules

$A(x) ::= [x] := y \mid y := [x]$

$P(x,y) ::= x \mapsto y \mid \mathbf{lseg}(x, y)$

- $$\frac{H_0 * P(x, y), A(x) \rightsquigarrow H_1 \quad H_0 \vdash x = z}{H_0 * P(z, y), A(x) \rightsquigarrow H_1}$$
- $$\frac{H_0 * x \mapsto z * \mathbf{lseg}(z, y), A(x) \rightsquigarrow H_1 \quad H_0 \vdash x \neq y}{H_0 * \mathbf{lseg}(x, y), A(x) \rightsquigarrow H_1}$$

# Rearrangement Rules

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- $$\frac{H_0 * x \mapsto z * \text{Iseg}(z, y), A(x) \rightsquigarrow H_1 \quad H_0 \vdash x \neq y}{H_0 * \text{Iseg}(x, y), A(x) \rightsquigarrow H_1}$$
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- $$\frac{H_0 * x \mapsto z * \text{Iseg}(z, y), A(x) \rightsquigarrow H_1 \quad H_0 \vdash x \neq y}{H_0 * \text{Iseg}(x, y), A(x) \rightsquigarrow H_1}$$
- $$\frac{H_0 * x \mapsto y, A(x) \rightsquigarrow H_1 \quad H_0 \vdash x \neq y}{H_0 * \text{Iseg}(x, y), A(x) \rightsquigarrow H_1}$$
- $$\frac{H_0 \not\vdash \exists y. x \mapsto y * \text{true}}{H_0, A(x) \rightsquigarrow \text{abort}}$$



# Symbolic Forward Execution: Example

$x \neq \text{null} \wedge \text{lseg}(x, \text{null}), y := [x] \rightsquigarrow ?$

# Symbolic Forward Execution: Example

$x \neq \text{null} \wedge x \mapsto z * \text{lseg}(z, \text{null}), y := [x] \rightsquigarrow ?$      $x \neq \text{null} \vdash x \neq \text{null}$

---

$x \neq \text{null} \wedge \text{lseg}(x, \text{null}), y := [x] \rightsquigarrow ?$

# Symbolic Forward Execution: Example

$x \neq \text{null} \wedge x \mapsto z * \text{lseg}(z, \text{null}), y := [x] \rightsquigarrow$

$x \neq \text{null} \wedge y = z \wedge x \mapsto z * \text{lseg}(z, \text{null})$

---

$x \neq \text{null} \wedge x \mapsto z * \text{lseg}(z, \text{null}), y := [x] \rightsquigarrow ? \quad x \neq \text{null} \vdash x \neq \text{null}$

---

$x \neq \text{null} \wedge \text{lseg}(x, \text{null}), y := [x] \rightsquigarrow ?$

# Symbolic Forward Execution: Example

$x \neq \text{null} \wedge x \mapsto z * \text{lseg}(z, \text{null}), y := [x] \rightsquigarrow$   
 $x \neq \text{null} \wedge y = z \wedge x \mapsto z * \text{lseg}(z, \text{null})$

---

$x \neq \text{null} \wedge x \mapsto z * \text{lseg}(z, \text{null}), y := [x] \rightsquigarrow$       $x \neq \text{null} \vdash x \neq \text{null}$   
 $x \neq \text{null} \wedge y = z \wedge x \mapsto z * \text{lseg}(z, \text{null})$

---

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---

$x \neq \text{null} \wedge x \mapsto z * \text{lseg}(z, \text{null}), y := [x] \rightsquigarrow$       $x \neq \text{null} \vdash x \neq \text{null}$   
 $x \neq \text{null} \wedge y = z \wedge x \mapsto z * \text{lseg}(z, \text{null})$

---

$x \neq \text{null} \wedge \text{lseg}(x, \text{null}), y := [x] \rightsquigarrow$   
 $x \neq \text{null} \wedge y = z \wedge x \mapsto y * \text{lseg}(y, \text{null})$

# Symbolic Forward Execution: Example

$\text{lseg}(x, \text{null}), y := [x] \rightsquigarrow ?$

# Symbolic Forward Execution: Example

$\text{lseg}(x, \text{null}) \not\vdash \exists z. x \mapsto z * \text{true}$

---

$\text{lseg}(x, \text{null}), y := [x] \rightsquigarrow \mathbf{abort}$

# Entailment Checking

- Various decidable fragments
  - Symbolic heaps with linked lists  
[Berdine, Calcagno, O’Hearn 2005], [Cook et al. 2011]
  - Propositional closure of symbolic heap with linked lists  
[Piskac, Zufferey, Wies 2013]
  - Recursive predicates of bounded tree width  
[Iosif, Rogalewicz, Simacek 2013]
  - ...
  - also see survey [Demri, Deters 2015]



# Decidable Fragment of Linked Lists

[Berdine, Calcagno, O'Hearn 2005]

Some of the proof rules:

$$\frac{P \vdash Q}{P * F \vdash Q * F}$$

$$\frac{x \neq y * x \mapsto \_ * y \mapsto \_ * P \vdash Q}{x \mapsto \_ * y \mapsto \_ * P \vdash Q}$$

$$\frac{x = y * P \vdash Q \quad x \neq y * z \neq y * x \mapsto z * z \mapsto y * P \vdash Q}{\mathbf{lseg}(x, y) * P \vdash Q} \quad z \text{ fresh}$$

$$\frac{P \vdash Q}{P \vdash \mathbf{lseg}(x, x) * Q}$$

$$\frac{x \neq z * P \vdash \mathbf{lseg}(y, z) * Q}{x \neq z * x \mapsto y * P \vdash \mathbf{lseg}(x, z) * Q}$$

# Procedure Calls and Frame Inference

$$\frac{P \vdash P'[a/x] * F \quad \{P'\} p(x) \{Q'\}}{\{P\} \text{call } p(a) \{Q'[a/x] * F\}}$$

# Procedure Calls and Frame Inference

Frame inference

$$\frac{P \vdash P'[a/x] * F \quad \{P'\} p(x) \{Q'\}}{\{P\} \text{call } p(a) \{Q'[a/x] * F\}}$$

# Frame Inference: Example

$\text{lseg}(x, t) * t \mapsto \text{null} * \text{lseg}(y, \text{null}) \vdash_{?} \text{lseg}(x, \text{null}) * F_{?}$

# Frame Inference: Example

$\text{lseg}(x, t) * t \mapsto \text{null} * \text{lseg}(y, \text{null}) \vdash_{?} \text{lseg}(x, \text{null})$

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# Frame Inference: Example

$t \mapsto \text{null} * \text{lseg}(y, \text{null}) \vdash_{?} \text{lseg}(t, \text{null})$

$\text{lseg}(x, t) * t \mapsto \text{null} * \text{lseg}(y, \text{null}) \vdash_{?} \text{lseg}(x, \text{null})$

$\text{lseg}(x, t) * t \mapsto \text{null} * \text{lseg}(y, \text{null}) \vdash_{?} \text{lseg}(x, \text{null}) * F_{?}$

# Frame Inference: Example

$\text{lseg}(y, \text{null}) \not\vdash \text{emp}$

$t \mapsto \text{null} * \text{lseg}(y, \text{null}) \vdash_{?} \text{lseg}(t, \text{null})$

$\text{lseg}(x, t) * t \mapsto \text{null} * \text{lseg}(y, \text{null}) \vdash_{?} \text{lseg}(x, \text{null})$

$\text{lseg}(x, t) * t \mapsto \text{null} * \text{lseg}(y, \text{null}) \vdash_{?} \text{lseg}(x, \text{null}) * F_{?}$

# Frame Inference: Example

Proof failed!

$\text{lseg}(y, \text{null}) \not\vdash \text{emp}$

$t \mapsto \text{null} * \text{lseg}(y, \text{null}) \vdash_{?} \text{lseg}(t, \text{null})$

$\text{lseg}(x, t) * t \mapsto \text{null} * \text{lseg}(y, \text{null}) \vdash_{?} \text{lseg}(x, \text{null})$

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# Frame Inference: Example

Move residual LHS to RHS and propagate down.

$$\text{lseg}(y, \text{null}) \vdash \text{emp} * \text{lseg}(y, \text{null})$$

$$t \mapsto \text{null} * \text{lseg}(y, \text{null}) \vdash_{?} \text{lseg}(t, \text{null})$$

$$\text{lseg}(x, t) * t \mapsto \text{null} * \text{lseg}(y, \text{null}) \vdash_{?} \text{lseg}(x, \text{null})$$

$$\text{lseg}(x, t) * t \mapsto \text{null} * \text{lseg}(y, \text{null}) \vdash_{?} \text{lseg}(x, \text{null}) * F_{?}$$

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$$\text{lseg}(x, t) * t \mapsto \text{null} * \text{lseg}(y, \text{null}) \vdash_{?} \text{lseg}(x, \text{null})$$

$$\text{lseg}(x, t) * t \mapsto \text{null} * \text{lseg}(y, \text{null}) \vdash_{?} \text{lseg}(x, \text{null}) * F_{?}$$

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$$\text{lseg}(x, t) * t \mapsto \text{null} * \text{lseg}(y, \text{null}) \vdash_{\text{?}} \text{lseg}(x, \text{null}) * F_{\text{?}}$$

$$F_{\text{?}} \equiv \text{lseg}(y, \text{null})$$

# Specification of delete

```
{ lseg(x, null) }  
procedure delete(x: Node)  
{  
  if (x ≠ null) {  
    var y := [x];  
    delete(y);  
    free(x);  
  }  
}  
{ emp }
```

# Verifying delete

```
{ lseg(x, null) }  
procedure delete(x: Node)  
{  
  if (x ≠ null) {  
    var y := [x];  
    delete(y);  
    free(x);  
  }  
}  
{ emp }
```

# Verifying delete

```
{ lseg(x,null) }
```

```
procedure delete(x: Node)
```

```
{
```

```
  if (x ≠ null) {  $\longleftrightarrow$  {lseg(x,null)  $\wedge$  x≠null}
```

```
    var y := [x];
```

```
    delete(y);
```

```
    free(x);
```

```
  }
```

```
}
```

```
{ emp }
```

# Verifying delete

```
{ lseg(x,null) }
```

```
procedure delete(x: Node)
```

```
{
```

```
  if (x ≠ null) {  $\longleftrightarrow$  {lseg(x,null)  $\wedge$  x≠null}
```

```
    var y := [x];  $\longleftrightarrow$  {x  $\mapsto$  y * lseg(y,null)...}
```

```
    delete(y);
```

```
    free(x);
```

```
  }
```

```
}
```

```
{ emp }
```



# Verifying delete

```
{ lseg(x,null) }
```

```
procedure delete(x: Node)
```

```
{
```

```
  if (x ≠ null) {
```

```
    var y := [x];  $\longleftrightarrow$  {x  $\mapsto$  y * lseg(y,null)...}
```

```
    delete(y);
```

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    free(x);
```

```
  }
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}
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{ emp }
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# Verifying delete

```
{ lseg(x,null) }
```

```
procedure delete(x: Node)
```

```
{
```

```
  if (x ≠ null) {
```

```
    var y := [x];  $\longleftrightarrow$  {x  $\mapsto$  y * lseg(y,null)...}
```

```
    delete(y);
```

```
    free(x);
```

```
  }
```

```
}
```

$x \mapsto y * \text{lseg}(y, \text{null}) \wedge x \neq \text{null} \vdash \text{lseg}(y, \text{null})$

# Verifying delete

```
{ lseg(x, null) }
```

```
procedure delete(x: Node)
```

```
{
```

```
  if (x ≠ null) {
```

```
    var y := [x];  $\longleftrightarrow$  {x  $\mapsto$  y * lseg(y, null)...}
```

```
    delete(y);
```

```
    free(x);
```

```
  }
```

```
}
```

Frame inference:

$x \mapsto y * \text{lseg}(y, \text{null}) \wedge x \neq \text{null} \vdash \text{lseg}(y, \text{null}) * ?$

# Verifying delete

```
{ lseg(x, null) }
```

```
procedure delete(x: Node)
```

```
{
```

```
  if (x ≠ null) {
```

```
    var y := [x];  $\longleftrightarrow$  {x  $\mapsto$  y * lseg(y, null)...}
```

```
    delete(y);
```

```
    free(x);
```

```
  }
```

```
}
```

Frame inference:

$x \mapsto y \wedge x \neq \text{null} \vdash \text{emp} * ?$

$x \mapsto y * \text{lseg}(y, \text{null}) \wedge x \neq \text{null} \vdash \text{lseg}(y, \text{null}) * ?$

# Verifying delete

```
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```

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procedure delete(x: Node)
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  if (x ≠ null) {
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    var y := [x];  $\longleftrightarrow$  {x  $\mapsto$  y * lseg(y, null)...}
```

```
    delete(y);
```

```
    free(x);
```

```
  }
```

```
}
```

Frame inference:

$x \mapsto y \wedge x \neq \text{null} \vdash ?$

$x \mapsto y \wedge x \neq \text{null} \vdash \text{emp} * ?$

$x \mapsto y * \text{lseg}(y, \text{null}) \wedge x \neq \text{null} \vdash \text{lseg}(y, \text{null}) * ?$

# Verifying delete

```
{ lseg(x,null) }
```

```
procedure delete(x: Node)
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```
{
```

```
  if (x ≠ null) {
```

```
    var y := [x];  $\longleftrightarrow$  {x  $\mapsto$  y * lseg(y,null)...}
```

```
    delete(y);
```

```
    free(x);
```

```
  }
```

```
}
```

Frame inference:  $? = x \mapsto y \wedge x \neq \text{null}$

$x \mapsto y \wedge x \neq \text{null} \vdash ?$

$x \mapsto y \wedge x \neq \text{null} \vdash \text{emp} * ?$

$x \mapsto y * \text{lseg}(y, \text{null}) \wedge x \neq \text{null} \vdash \text{lseg}(y, \text{null}) * ?$

# Verifying delete

```
{ lseg(x,null) }
```

```
procedure delete(x: Node)
```

```
{
```

```
  if (x ≠ null) {
```

```
    var y := [x];  $\longleftrightarrow$  {x  $\mapsto$  y * lseg(y,null)...}
```

```
    delete(y);  $\longleftrightarrow$  {emp * x  $\mapsto$  y  $\wedge$  x≠null}
```

```
    free(x);
```

```
  }
```

```
}
```

Frame inference: ? = x  $\mapsto$  y  $\wedge$  x≠null

x  $\mapsto$  y  $\wedge$  x≠null  $\vdash$  ?

x  $\mapsto$  y  $\wedge$  x≠null  $\vdash$  emp \* ?

x  $\mapsto$  y \* lseg(y,null)  $\wedge$  x≠null  $\vdash$  lseg(y, null) \* ?

# Verifying delete

```
{ lseg(x, null) }  
procedure delete(x: Node)  
{  
  if (x ≠ null) {  
    var y := [x];  
    delete(y);  $\longleftrightarrow$  {emp * x  $\mapsto$  y  $\wedge$  x≠null}  
    free(x);  
  }  
}  
{ emp }
```



# Verifying delete

```
{ lseg(x, null) }  
procedure delete(x: Node)  
{  
  if (x ≠ null) {  
    var y := [x];  
    delete(y);  $\longleftrightarrow$  {emp * x  $\mapsto$  y  $\wedge$  x≠null}  
    free(x);  $\longleftrightarrow$  {emp * emp  $\wedge$  x≠null}  
  }  
}  
{ emp }
```

# Verifying delete

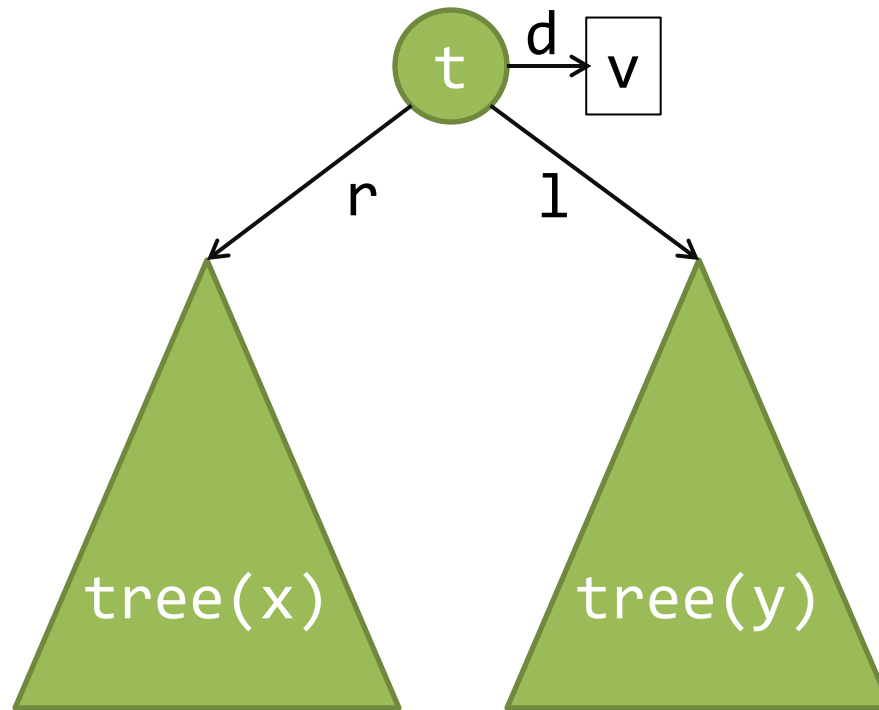
```
{ lseg(x, null) }  
procedure delete(x: Node)  
{  
  if (x ≠ null) {  
    var y := [x];  
    delete(y);  
    free(x);  $\longleftrightarrow$  {emp * emp  $\wedge$  x≠null}  
  }  
}  
{ emp }
```

# Verifying delete

```
{ lseg(x, null) }  
procedure delete(x: Node)  
{  
  if (x ≠ null) {  
    var y := [x];  
    delete(y);  
    free(x);  
  }  
}  
{ emp } 🍌👍
```

# Binary Search Trees

```
predicate tree(t: Node) {  
  t == null  $\wedge$  emp  $\vee$   
   $\exists$  v, x, y ::  
    t  $\mapsto$  (d: v, r: x, l: y) * tree(x) * tree(y)  
}
```



# Binary Search Trees

```
predicate tree(t: Node) {  
  t == null  $\wedge$  emp  $\vee$   
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}
```


```
{ tree(t) }
```

```
procedure search(t: Node, v: Int): Bool {  
  if (t == null) return false;  
  else if (t.d < v)  
    return search(t.l, v);  
  else if (t.d > v)  
    return search(t.r, v);  
  else return true;  
}
```

```
{ tree(t) }
```

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predicate tree(t: Node) {  
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}
```

```
{ tree(t) } 
```

```
● procedure search(t: Node, v: Int): Bool {  
  if (t == null) return false;  
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    return search(t.l, v);  
  else if (t.d > v)  
    return search(t.r, v);  
  else return true;  
}  
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```

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}
```

```
{ tree(t) }  $\rightarrow$  tree(t)  $\wedge$  t != null
```

```
procedure search(t: Node, v: Int): Bool {  
  if (t == null) return false;  
  else if (t.d < v)  
    return search(t.l, v);  
  else if (t.d > v)  
    return search(t.r, v);  
  else return true;  
}  
{ tree(t) }
```

# Binary Search Trees

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   $\exists$  v, x, y ::  
    t  $\mapsto$  (d: v, r: x, l: y) * tree(x) * tree(y)  
}
```

```
{ tree(t) }  $\Rightarrow$  tree(t)  $\wedge$  t != null
```

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procedure search(t: Node, v: Int): Bool {  
  if (t == null) return false;  
  else if (t.d  $\leq$  v)  
    return search(t.l, v);  
  else if (t.d > v)  
    return search(t.r, v);  
  else return true;  
}
```

```
{ tree(t) }
```



# Binary Search Trees

```
predicate tree(t: Node) {  
  t == null  $\wedge$  emp  $\vee$   
   $\exists$  v, x, y ::  
  t  $\mapsto$  (d: v, r: x, l: y) * tree(x) * tree(y)  
}
```

```
{ tree(t) }  $\rightarrow$  tree(t)  $\wedge$  t  $\neq$  null
```

```
procedure search(t: Node, v: Int): Bool {  
  if (t == null) return false;  
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    return search(t.l, v);  
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    return search(t.r, v);  
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    return search(t.r, v);  
  else return true;  
}
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```
{ tree(t) }
```

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    t  $\mapsto$  (d: v, r: x, l: y) * tree(x) * tree(y)  
}
```

```
{ tree(t) }  t  $\mapsto$  (d:w, r:x, l:y) * tree(x) * tree(y)
```

```
procedure search(t: Node, v: Int): Bool {  
  if (t == null) return false;  
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    return search(t.l, v);  
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    return search(t.r, v);  
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}
```

```
{ tree(t) }
```

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}
```

```
{ tree(t) }  $\Rightarrow$  t  $\mapsto$  (d:w, r:x, l:y) * tree(x) * tree(y)
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procedure search(t: Node, v: Int): Bool {  
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  else return true;  
}
```

```
{ tree(t) }
```

# Binary Search Trees

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predicate tree(t: Node) {  
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}
```

```
{ tree(t) }  $\Rightarrow$  t  $\mapsto$  (d:w, r:x, l:y) * tree(x) * tree(y)
```

```
procedure search(t: Node, v: Int): Bool {  
  if (t == null) return false;  
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    return search(t.l, v);  
  else if (t.d > v)  
    return search(t.r, v);  
  else return true;  
}
```

```
{ tree(t) }
```

# Binary Search Trees

```
predicate tree(t: Node) {  
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   $\exists$  v, x, y ::  
  t  $\mapsto$  (d: v, r: x, l: y) * tree(x) * tree(y)  
}
```

```
{ tree(t) }  $\rightarrow$  t  $\mapsto$  (d:w, r:x, l:y) * tree(x) * tree(y)
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procedure search(t: Node, v: Int): Bool {  
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    return search(t.l, v);  
  else if (t.d > v)  
    return search(t.r, v);  
  else return true;  
}  
{ tree(t) }
```



# Binary Search Trees

```
predicate tree(t: Node) {  
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   $\exists$  v, x, y ::  
  t  $\mapsto$  (d: v, r: x, l: y) * tree(x) * tree(y)  
}
```


```
{ tree(t) }  $\rightarrow$  tree(t)
```

```
procedure search(t: Node, v: Int): Bool {  
  if (t == null) return false;  
  else if (t.d < v)  
    return search(t.l, v);  
  else if (t.d > v)  
    return search(t.r, v);  
  else return true;  
}
```

```
{ tree(t) }
```

# Binary Search Trees

```
predicate tree(t: Node) {  
  t == null  $\wedge$  emp  $\vee$   
   $\exists$  v, x, y ::  
    t  $\mapsto$  (d: v, r: x, l: y) * tree(x) * tree(y)  
}
```

```
{ tree(t) }  tree(t)
```

```
procedure search(t: Node, v: Int): Bool {  
  if (t == null) return false;  
  else if (t.d < v)  
    return search(t.l, v);  
  else if (t.d > v)  
    return search(t.r, v);  
  else return true;  
} ●
```

```
{ tree(t) }
```

# Permission Logics vs. Classical FOL

	<b>Specification Logic</b>	<b>Solver</b>
<b>SL</b>	+ succinct + intuitive specs	- tailor-made solvers - difficult to extend + local reasoning (frame inference)
<b>FOL</b>	+ flexible - complex specs	+ standardized solvers (SMT-LIB, TPTP) + extensible (e.g. Nelson-Oppen)

# Permission Logics vs. Classical FOL

	Specification Logic	Solver
SL	+ succinct + intuitive specs	- tailor-made solvers - difficult to extend + local reasoning (frame inference)
FOL	+ flexible - complex specs	+ standardized solvers (SMT-LIB, TPTP) + extensible (e.g. Nelson-Oppen)

- Strong theoretical guarantees:  
sound, **complete**, **tractable complexity (NP)**
- Mixed specs: escape hatch when SL is not suitable.

# Implicit Dynamic Frames

# Implicit Dynamic Frames by Example

- Pure assertions

`x.next == y`



Stack

x	10
y	42
...	

Heap

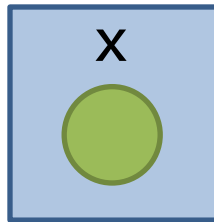
10	42
...	
42	?

```
struct Node {  
    var next: Node;  
}
```

# Implicit Dynamic Frames by Example

- Permission predicates

**acc(x)**



Expresses permission to access (i.e. read/write/deallocate) heap location  $x$ .

Assertions describe the program state **and** a set of locations that are allowed to be accessed.

# Implicit Dynamic Frames by Example

- Separating conjunction

**acc(x) \* acc(y)**



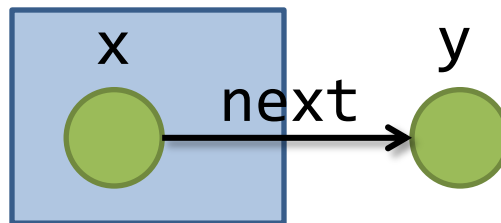
Yields union of permission sets of subformulas.  
Permission sets of subformulas must be disjoint.



# Implicit Dynamic Frames by Example

- Separating conjunction

$$\text{acc}(x) * x.\text{next} == y$$

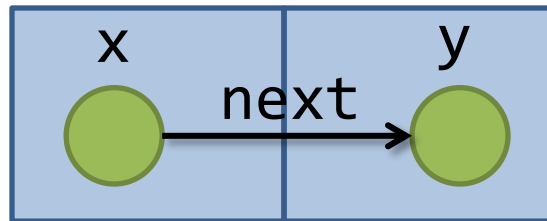


Pure assertions yield no permissions.

# Implicit Dynamic Frames by Example

- Separating conjunction

**acc(x) \* acc(y) \* x.next == y**



# Implicit Dynamic Frames by Example

- Separating conjunction

**acc(x) \* acc(x) \* x.next == y**

**?**

# Implicit Dynamic Frames by Example

- Separating conjunction

$$\mathbf{acc}(x) * \mathbf{acc}(x) * x.\mathbf{next} == y$$

unsatisfiable

# Implicit Dynamic Frames by Example

- Classical conjunction

$$\mathbf{acc}(x) \wedge x.\mathbf{next} == y$$

?

# Implicit Dynamic Frames by Example

- Classical conjunction

$$\mathbf{acc}(x) \wedge x.\mathbf{next} == y$$

unsatisfiable

# Implicit Dynamic Frames by Example

- Classical conjunction

$$\mathbf{acc}(x) \wedge \mathbf{acc}(y) * x.\mathbf{next} == y$$

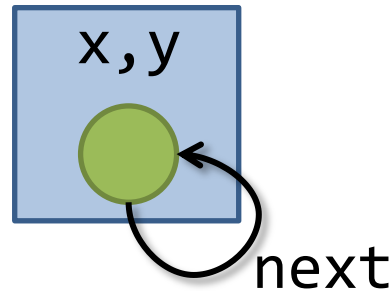
?

Convention:  $\wedge$  has higher precedence than  $*$

# Implicit Dynamic Frames by Example

- Classical conjunction

$$\mathbf{acc}(x) \wedge \mathbf{acc}(y) * x.\mathbf{next} == y$$



Convention:  $\wedge$  has higher precedence than  $*$



# Syntactic Short-hands

- Empty heap:

$$\text{emp} \equiv (x == x)$$

- Points-to predicates:

$$x.\text{next} \mapsto y \equiv \mathbf{acc}(x) * x.\text{next} == y$$

# Implicit Dynamic Frames: Assertion Semantics

- $M$ : first order structure,  $D$ : subset of  $M$ 's universe
- $M, D \models P \iff D = \emptyset \text{ and } M \models P \quad \text{if } P \text{ is pure}$
- $M, D \models \mathbf{acc}(t) \iff D = \{M(t)\}$
- $M, D \models P * Q \iff \text{exists } D_1, D_2 \text{ s.t. } D = D_1 \uplus D_2 \text{ and } M, D_1 \models P \text{ and } M, D_2 \models Q$
- $M, D \models P \wedge Q \iff M, D \models P \text{ and } M, D \models Q$
- ... everything else as in classical logic

# Some Examples of Hoare Triples

- $\{\text{acc}(x)\} \text{ x.next} := y; \{\text{acc}(x) * \text{x.next} == y\}$
- $\{\text{acc}(y)\} \text{ x.next} := y; \{\text{acc}(y) * \text{x.next} == y\}$
- $\{\text{emp}\} \text{ x} := \text{new Node}; \{\text{acc}(x)\}$
- $\{\text{emp}\} \text{ free}(x); \{\text{emp}\}$
- $\{\text{acc}(x)\} \text{ free}(x); \{\text{emp}\}$
- $\{\text{acc}(x) * \text{acc}(y) * \text{y.next} == z\}$   
   $\text{ x.next} := y;$   
   $\{\text{acc}(x) * \text{x.next} == y * \text{acc}(y) * \text{y.next} == z\}$




# Some Examples of Hoare Triples

- $\{\text{acc}(x)\} \text{ x.next} := y; \{\text{acc}(x) * \text{x.next} == y\}$  🍌
- $\{\text{acc}(y)\} \text{ x.next} := y; \{\text{acc}(y) * \text{x.next} == y\}$
- $\{\text{emp}\} \text{ x} := \text{new Node}; \{\text{acc}(x)\}$
- $\{\text{emp}\} \text{ free}(x); \{\text{emp}\}$
- $\{\text{acc}(x)\} \text{ free}(x); \{\text{emp}\}$
- $\{\text{acc}(x) * \text{acc}(y) * \text{y.next} == z\}$   
   $\text{ x.next} := y;$   
   $\{\text{acc}(x) * \text{x.next} == y * \text{acc}(y) * \text{y.next} == z\}$





# Some Examples of Hoare Triples

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- $\{\text{acc}(y)\} \text{ x.next} := y; \{\text{acc}(y) * \text{x.next} == y\}$  🍌
- $\{\text{emp}\} \text{ x} := \text{new Node}; \{\text{acc}(x)\}$
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# Some Examples of Hoare Triples

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   $\{\text{acc}(x) * \text{x.next} == y * \text{acc}(y) * \text{y.next} == z\}$

# Some Examples of Hoare Triples







- $\{\text{acc}(x)\} \text{ x.next} := y; \{\text{acc}(x) * \text{x.next} == y\}$  
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   $\text{x.next} := y;$   
   $\{\text{acc}(x) * \text{x.next} == y * \text{acc}(y) * \text{y.next} == z\}$  

# Note on Soundness of Frame Rule

- An assertion is *self-framing* if its truth value only depends on the heap locations it grants access to
- Example:  
**acc(x) \* y.next == x** and **acc(y.next)**  
are not self-framing

# Note on Soundness of Frame Rule

- An assertion is *self-framing* if its truth value only depends on the heap locations it grants access to

- Example:

$\mathbf{acc}(x) * y.\mathbf{next} == x$  and  $\mathbf{acc}(y.\mathbf{next})$   
are not self-framing

$\vdash \{\mathbf{acc}(y)\} y.\mathbf{next} := z; \{\mathbf{acc}(y) * y.\mathbf{next} == z\}$

$\not\vdash \{\mathbf{acc}(y) * \mathbf{acc}(y.\mathbf{next})\}$

$y.\mathbf{next} := z;$

$\{\mathbf{acc}(y) * y.\mathbf{next} == z * \mathbf{acc}(y.\mathbf{next})\}$

Ouch!

# Note on Soundness of Frame Rule

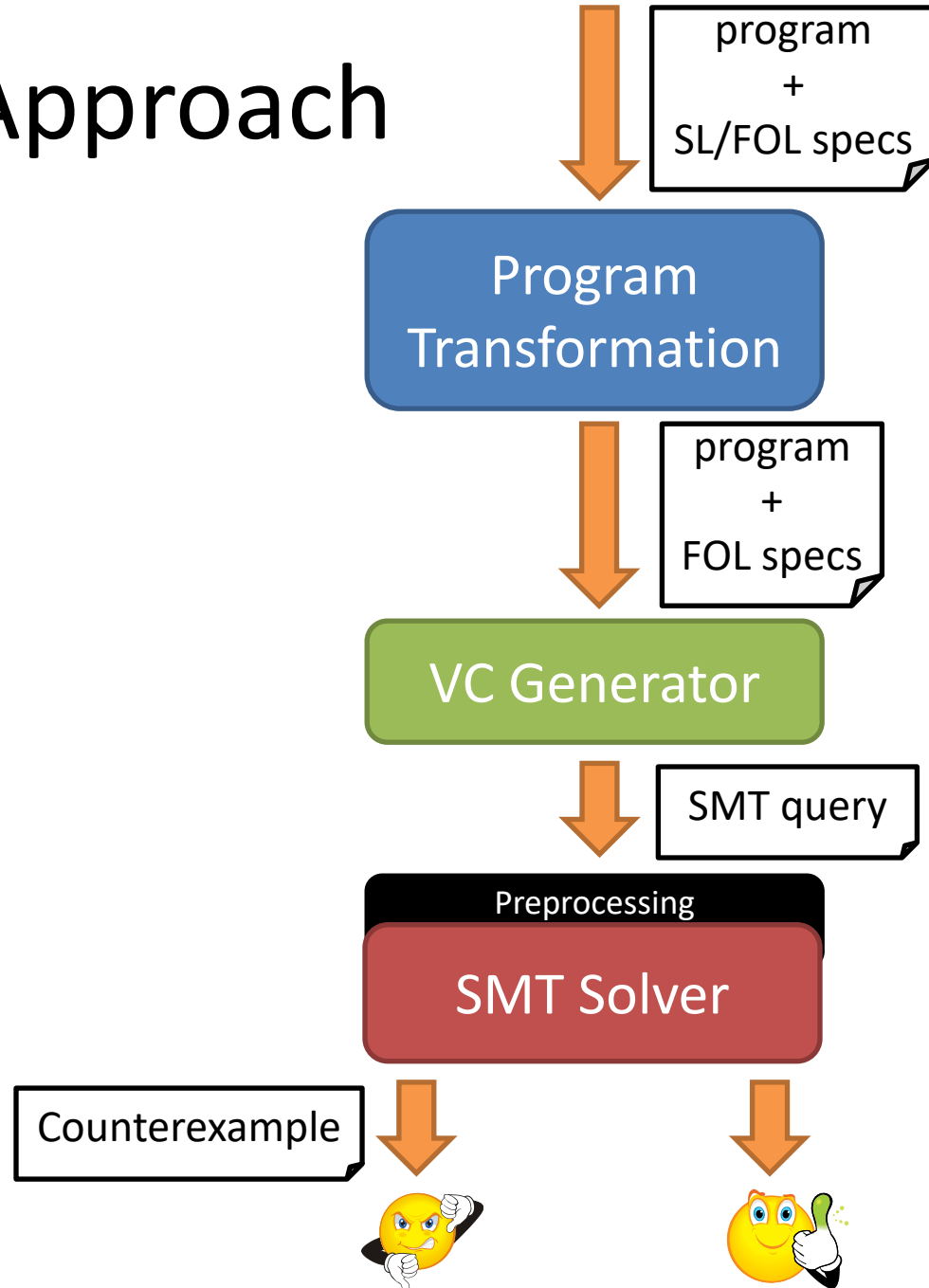
- An assertion is *self-framing* if its truth value only depends on the heap locations it grants access to

Self-framing can be enforced syntactically (separation logic) or semantically (implicit dynamic frames).

$$\begin{array}{l} \vdash \{\mathbf{acc}(y)\} \ y.\mathbf{next} := z; \ \{\mathbf{acc}(y) * y.\mathbf{next} == z\} \\ \not\vdash \{\mathbf{acc}(y) * \mathbf{acc}(y.\mathbf{next})\} \\ \quad y.\mathbf{next} := z; \\ \quad \{\mathbf{acc}(y) * y.\mathbf{next} == z * \mathbf{acc}(y.\mathbf{next})\} \end{array}$$

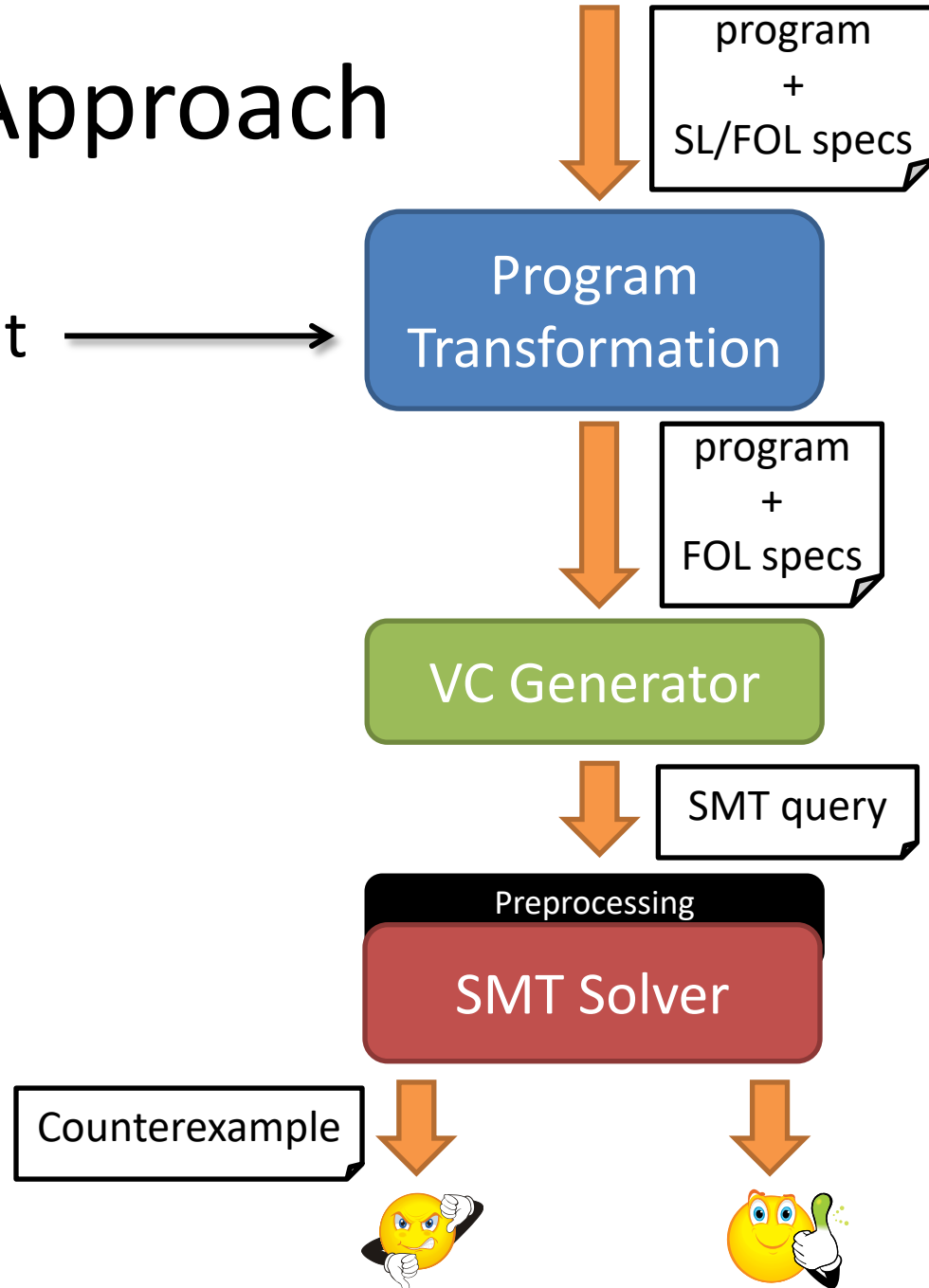
Ouch!

# GRASShopper Approach



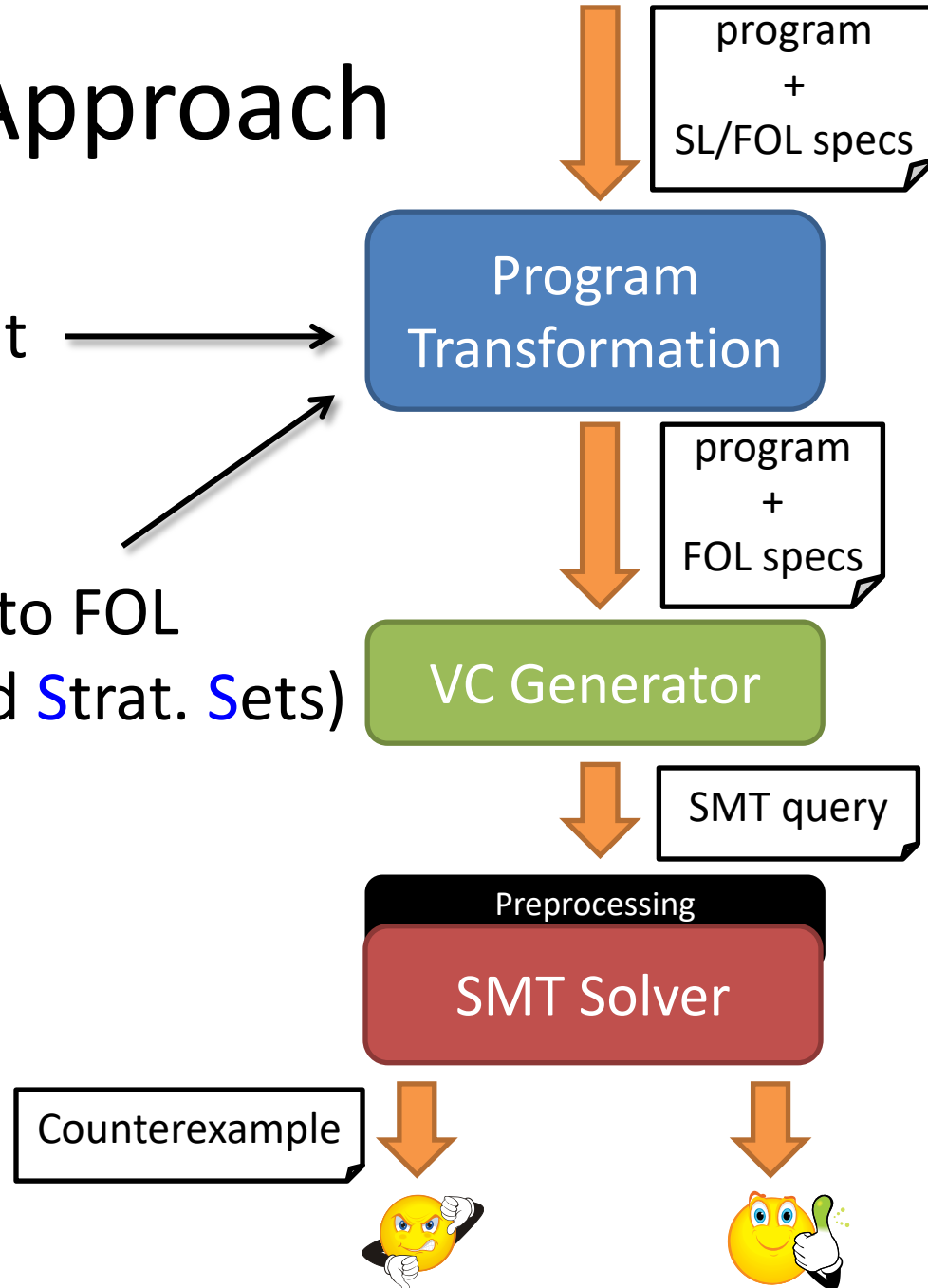
# GRASShopper Approach

1. Make frame rule explicit [TACAS'14]



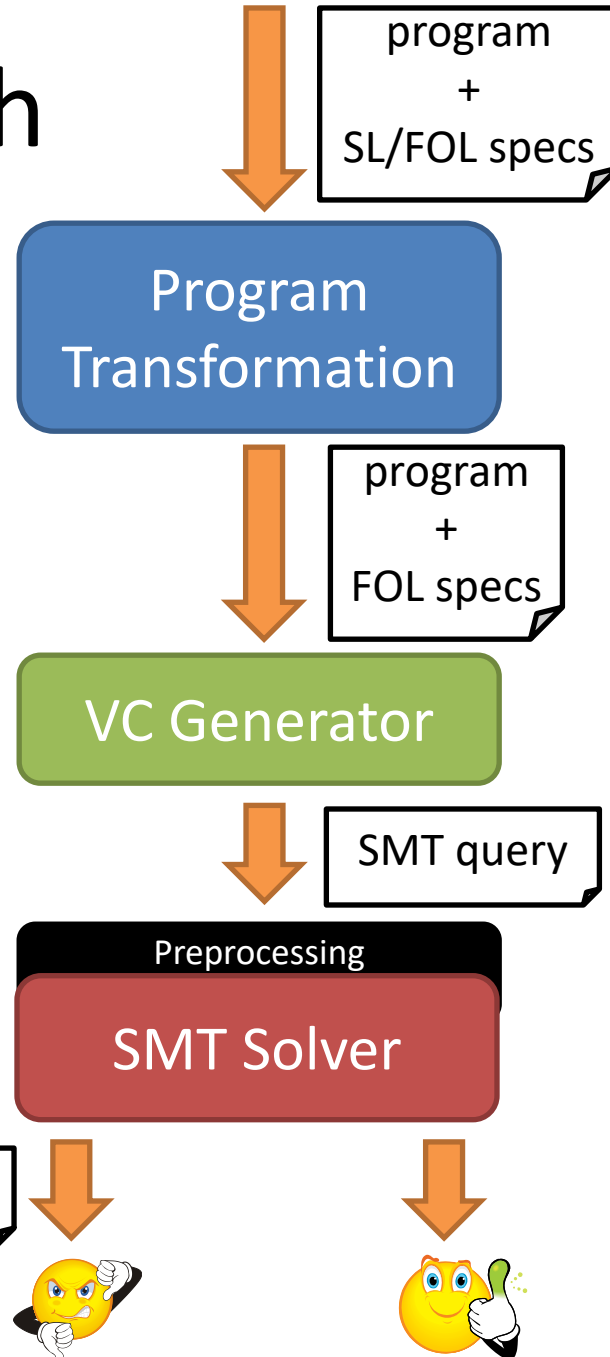
# GRASShopper Approach

1. Make frame rule explicit [TACAS'14]
2. Translate SL assertions to FOL (Graph Reachability and Strat. Sets) [CAV'13]



# GRASShopper Approach

1. Make frame rule explicit [TACAS'14]
2. Translate SL assertions to FOL (Graph Reachability and Strat. Sets) [CAV'13]
3. Decide generated VCs [CAV'13] + [TACAS'14] + [CAV'14] + [CAV'15]



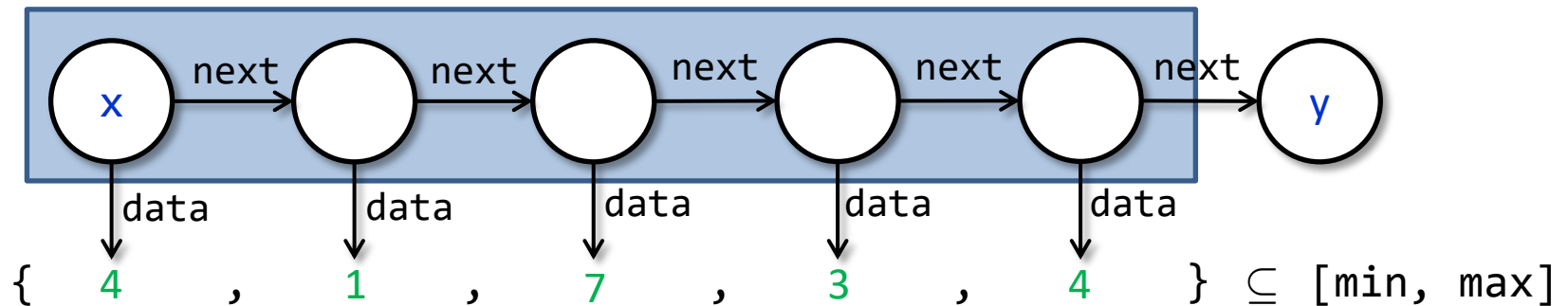


# Reasoning about Heap and Data

# Inductive Predicates with Data

- bounded list segment

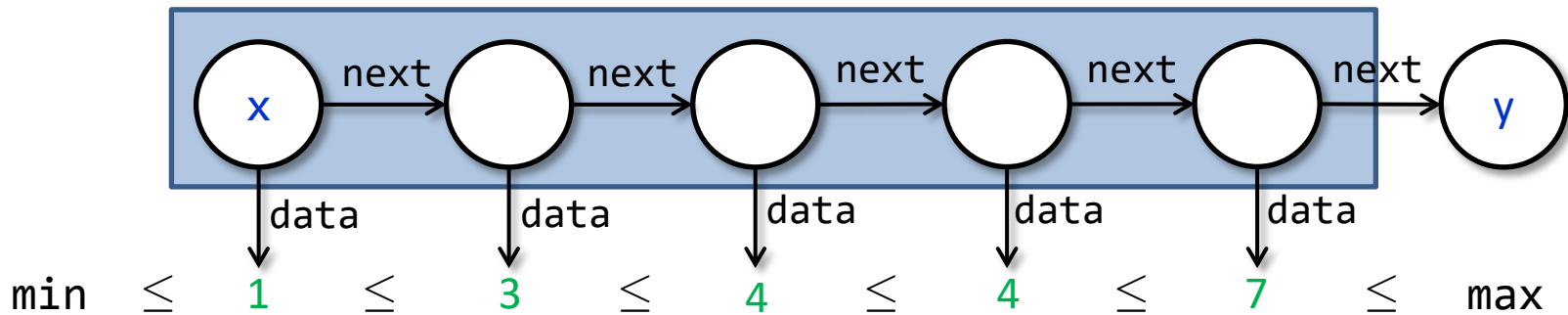
$$\begin{aligned} \text{bnd\_lseg}(x, y, \text{min}, \text{max}) = & \\ & x = y \vee \\ & x \neq y * \text{acc}(x) * \text{min} \leq x.\text{data} \leq \text{max} * \\ & \text{bnd\_lseg}(x.\text{next}, y, \text{min}, \text{max}) \end{aligned}$$



# Inductive Predicates with Data

- sorted list segment

$$\begin{aligned} \text{srt\_lseg}(x, y, \text{min}, \text{max}) = & \\ & x = y \vee \\ & x \neq y * \text{acc}(x) * \text{min} \leq x.\text{data} \leq \text{max} * \\ & \text{srt\_lseg}(x.\text{next}, y, x.\text{data}, \text{max}) \end{aligned}$$

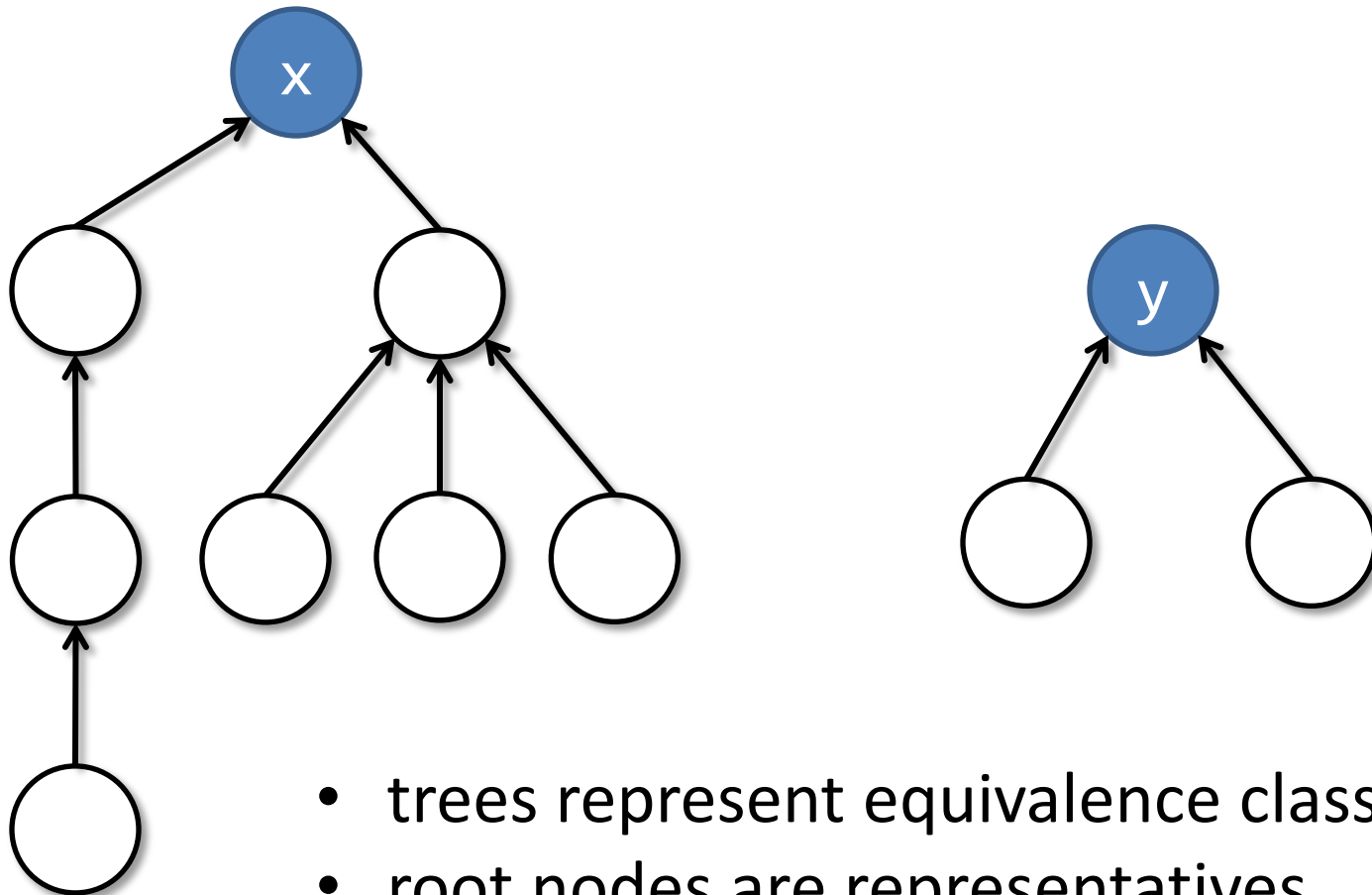


# Example: Quicksort

```
procedure quicksort(x: Node, y: Node,  
                   ghost min: int, ghost max: int)  
  returns (z: Node)  
  requires bnd_lseg(x, y, min, max)  
  ensures srt_lseg(z, y, min, max)  
{  
  if (x != y && x.next != y) {  
    var p: Node, w: Node;  
    z, p := split(x, y, min, max);  
    z := quicksort(z, p, min, p.data);  
    w := quicksort(p.next, y, p.data, max);  
    p.next := w;  
  } else z := x;  
}
```

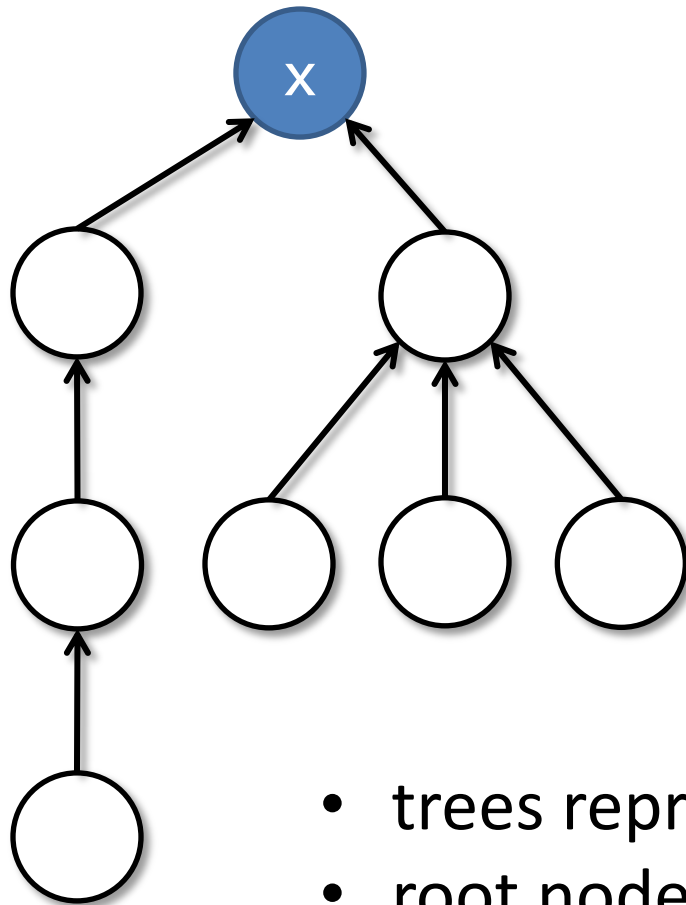
# Mixed Specifications

# Example: Union/Find Data Structure

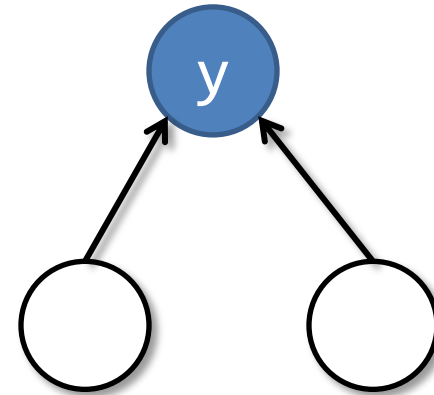


- trees represent equivalence classes
- root nodes are representatives

# Example: Union/Find Data Structure

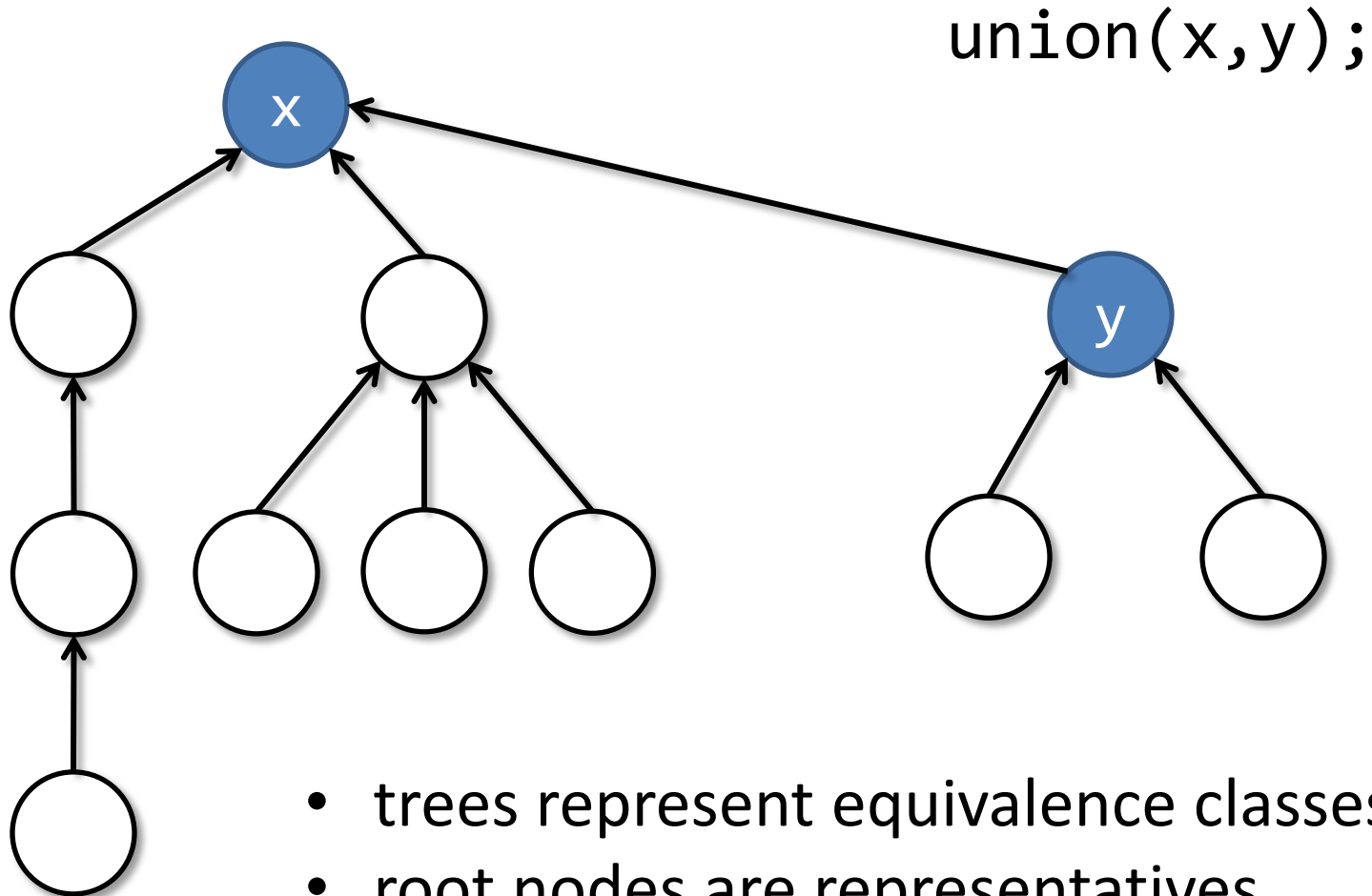


`union(x,y);`



- trees represent equivalence classes
- root nodes are representatives

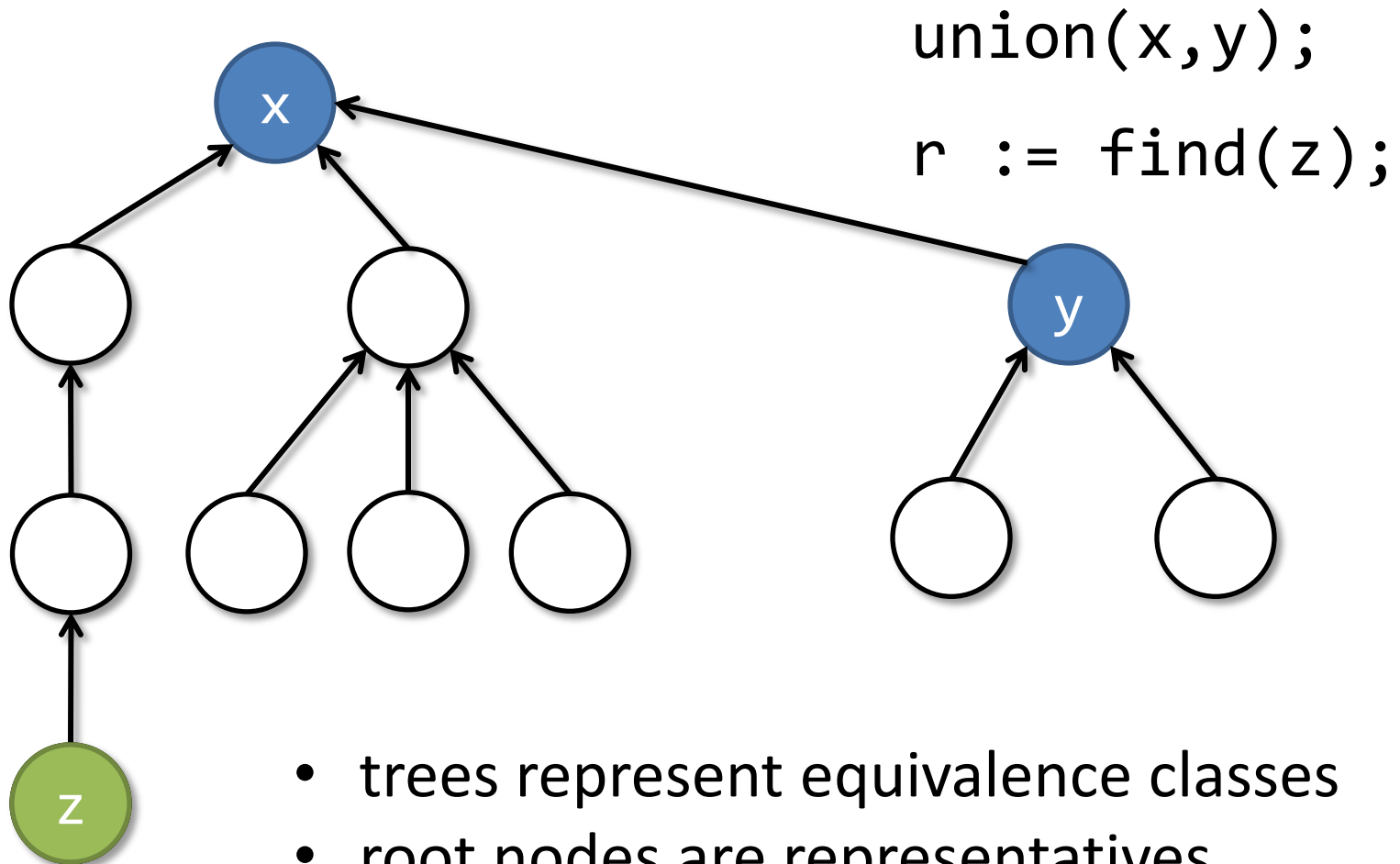
# Example: Union/Find Data Structure



- trees represent equivalence classes
- root nodes are representatives

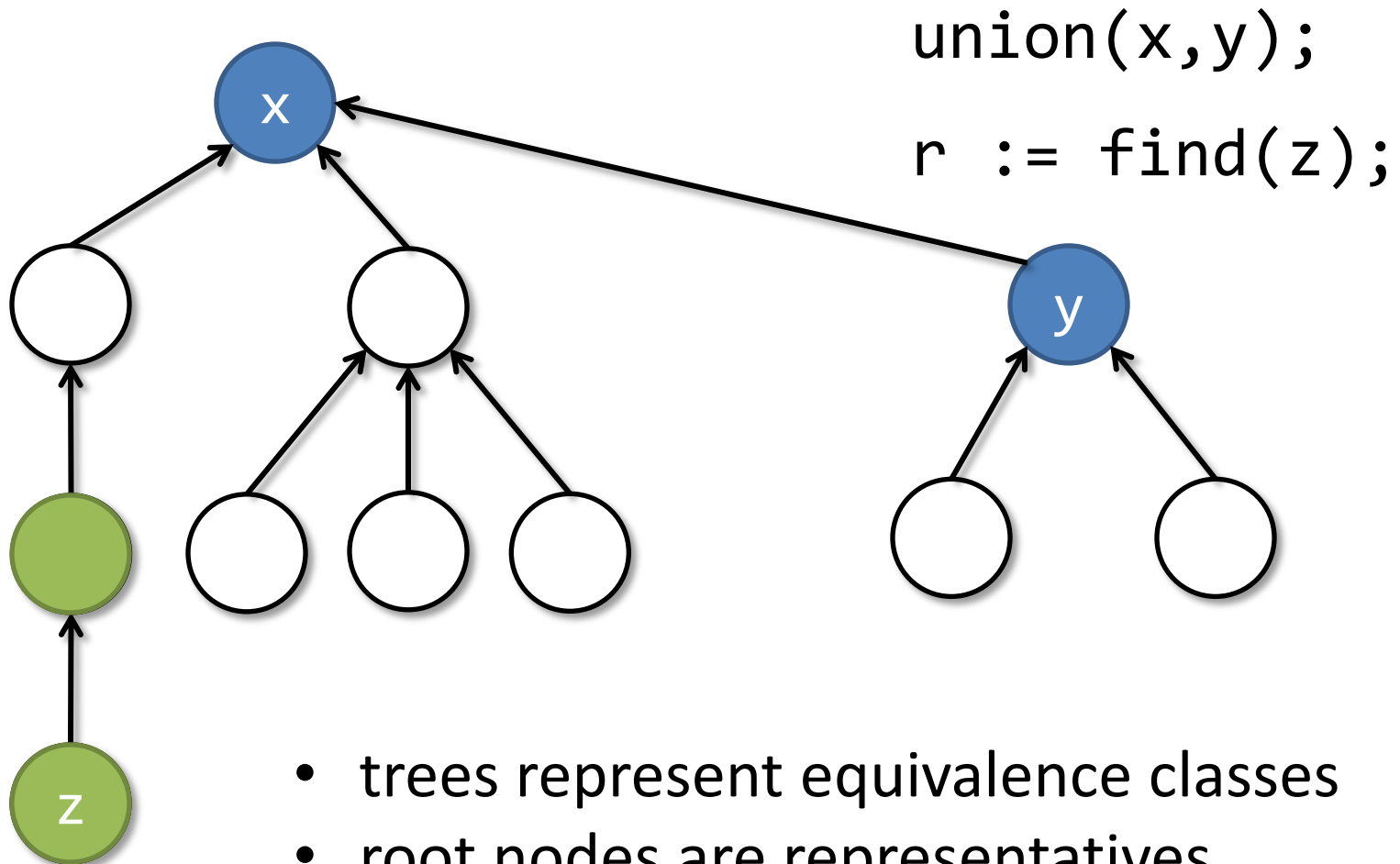


# Example: Union/Find Data Structure

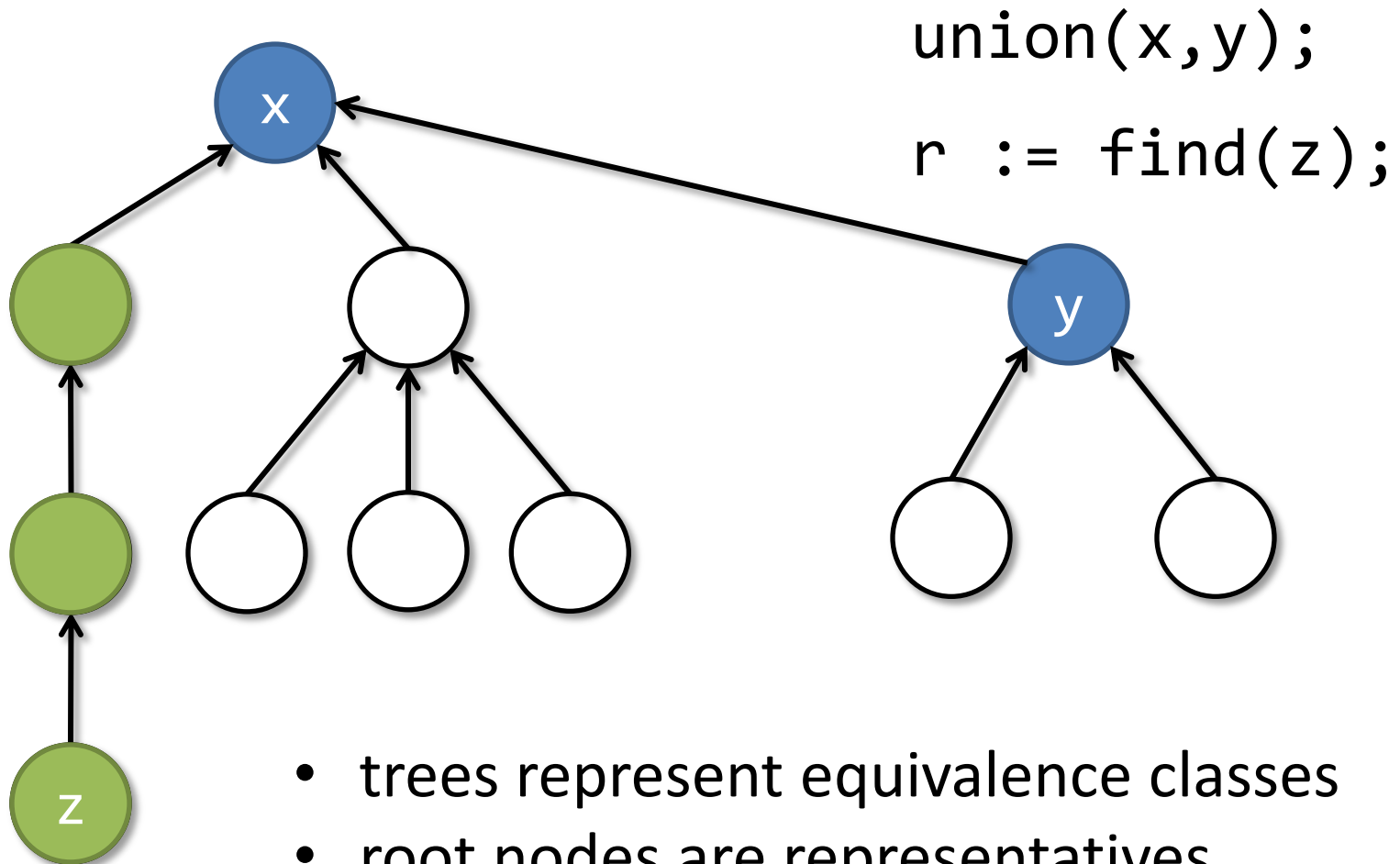


- trees represent equivalence classes
- root nodes are representatives

# Example: Union/Find Data Structure

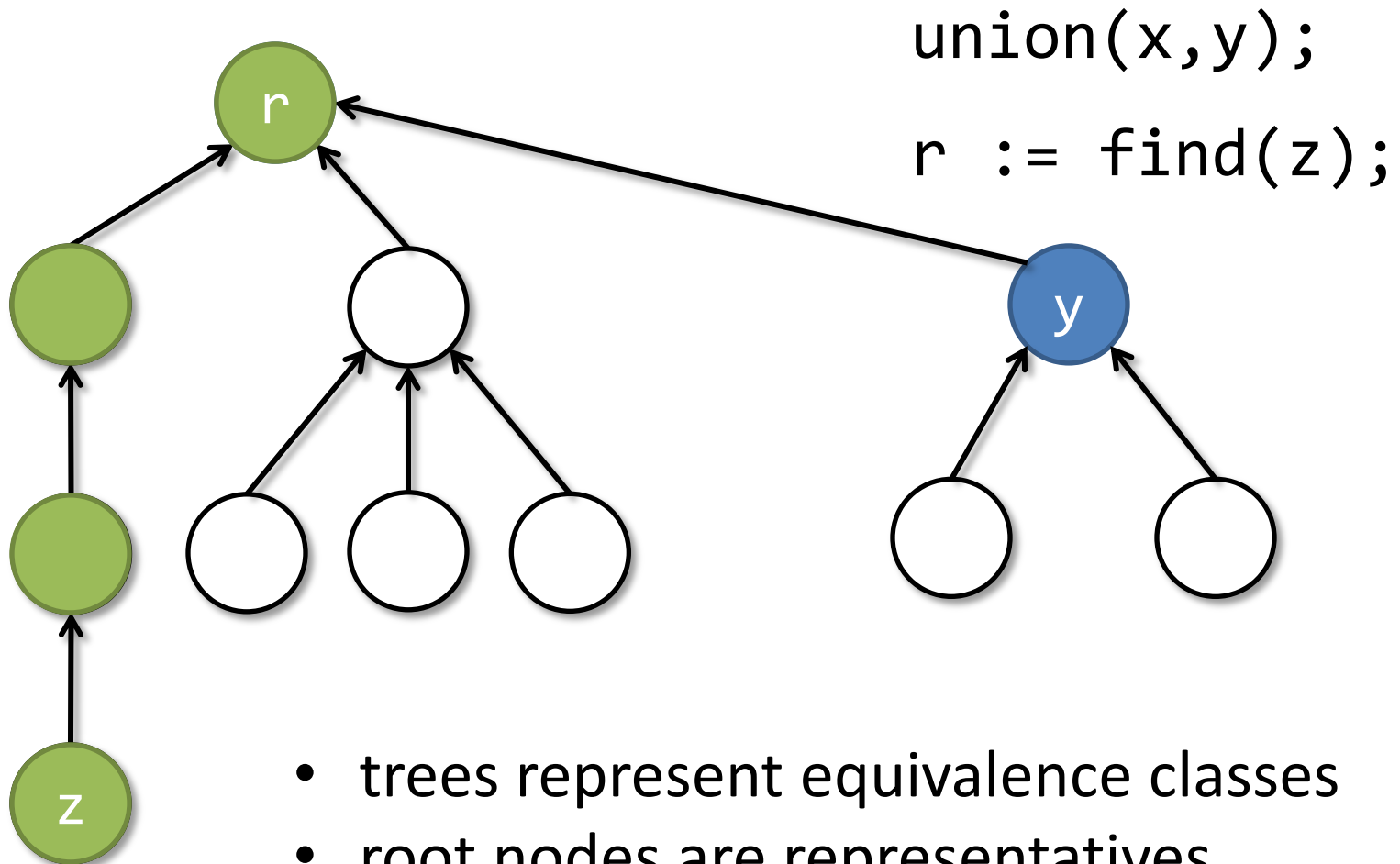


# Example: Union/Find Data Structure



- trees represent equivalence classes
- root nodes are representatives

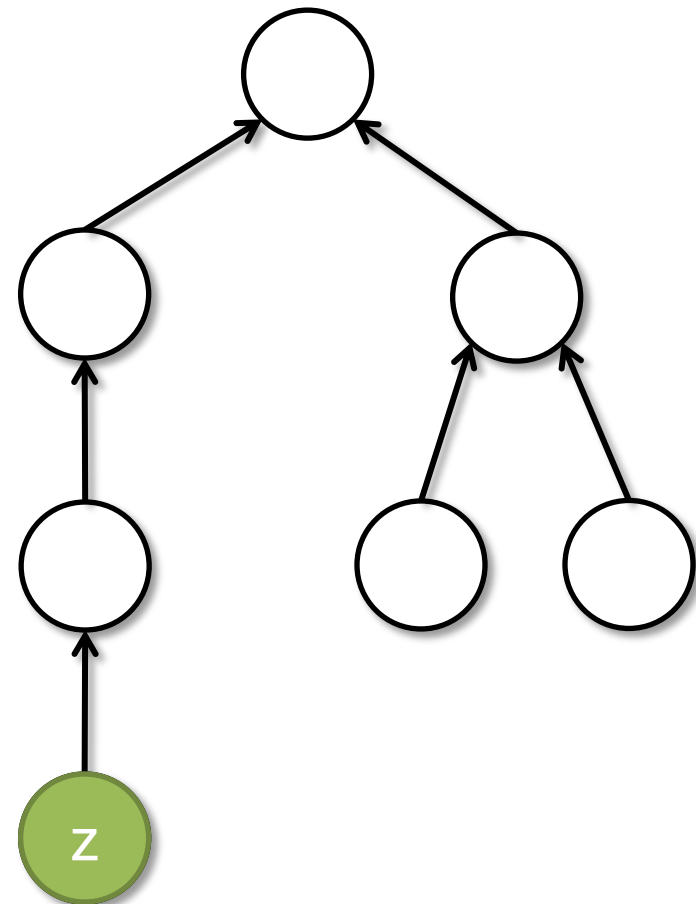
# Example: Union/Find Data Structure



- trees represent equivalence classes
- root nodes are representatives

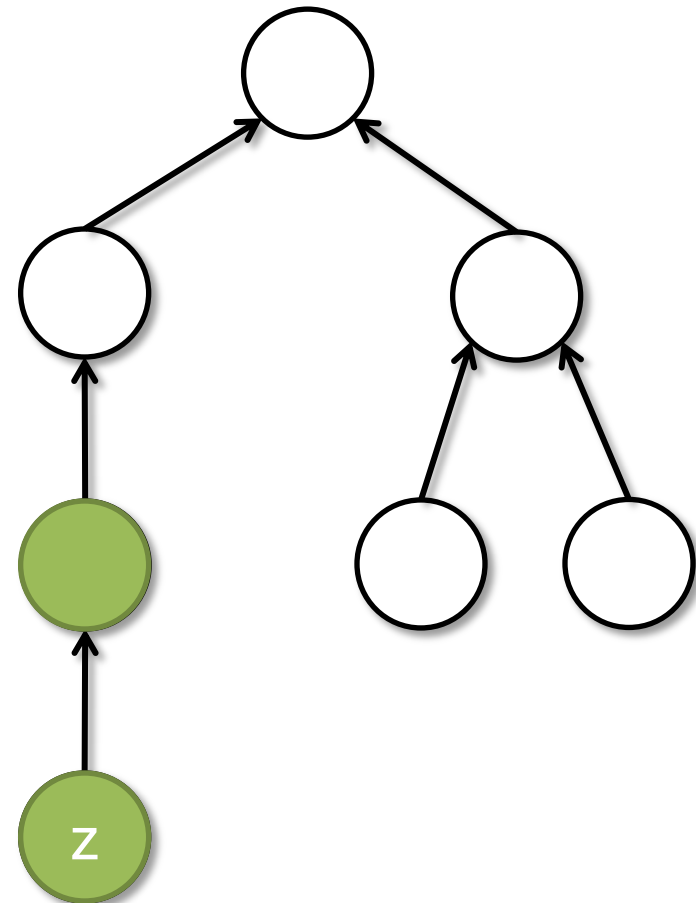
# Find with Path Compression

```
procedure find(x: Node)
returns (r: Node)
{
  if (x != null) {
    r := find(x.next);
    x.next := r;
  } else {
    r := x;
  }
}
```



# Find with Path Compression

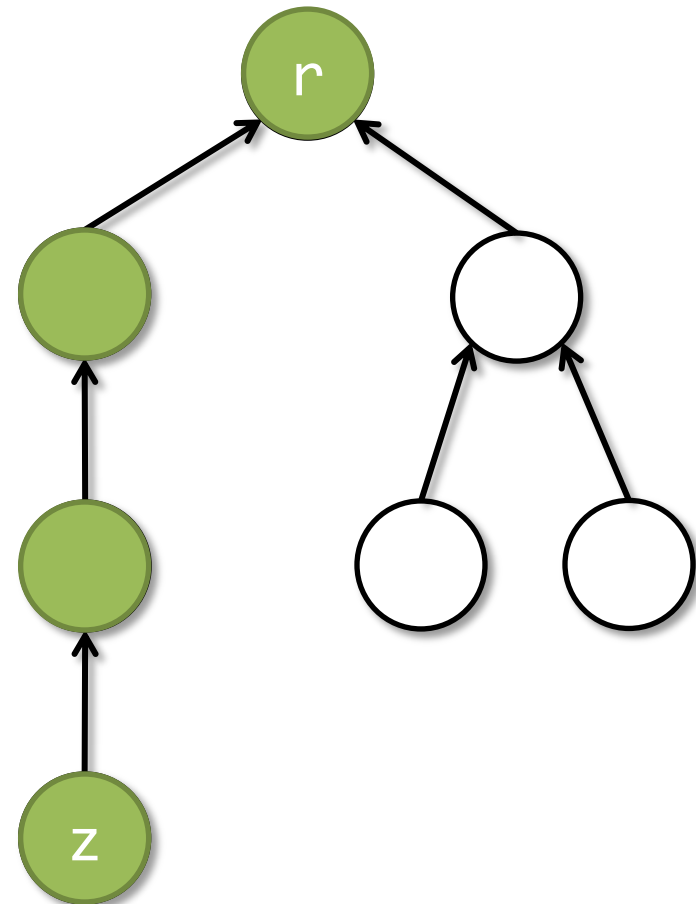
```
procedure find(x: Node)
returns (r: Node)
{
  if (x != null) {
    r := find(x.next);
    x.next := r;
  } else {
    r := x;
  }
}
```





# Find with Path Compression

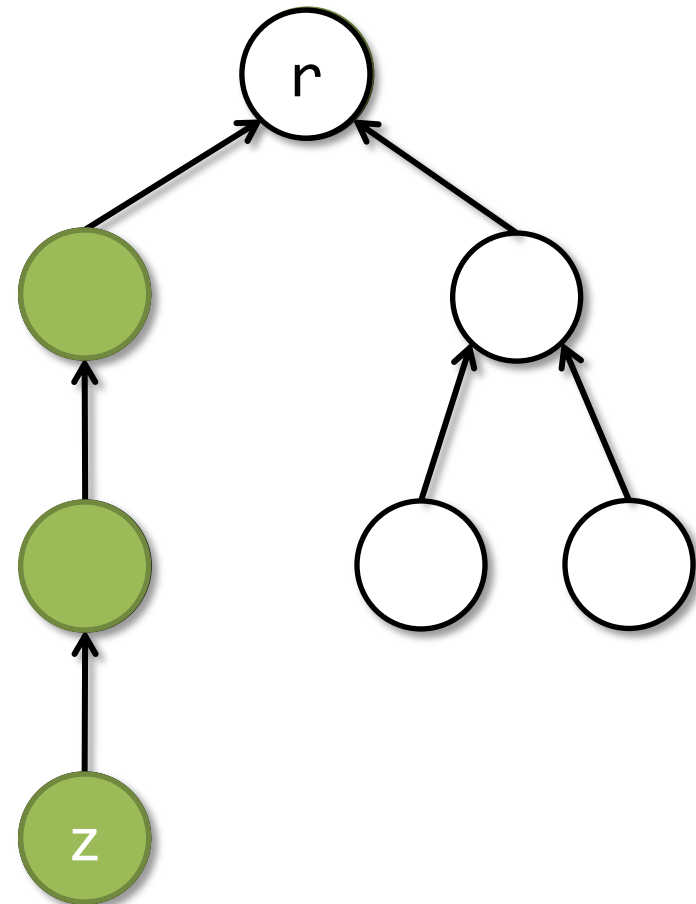
```
procedure find(x: Node)
returns (r: Node)
{
  if (x != null) {
    r := find(x.next);
    x.next := r;
  } else {
    r := x;
  }
}
```





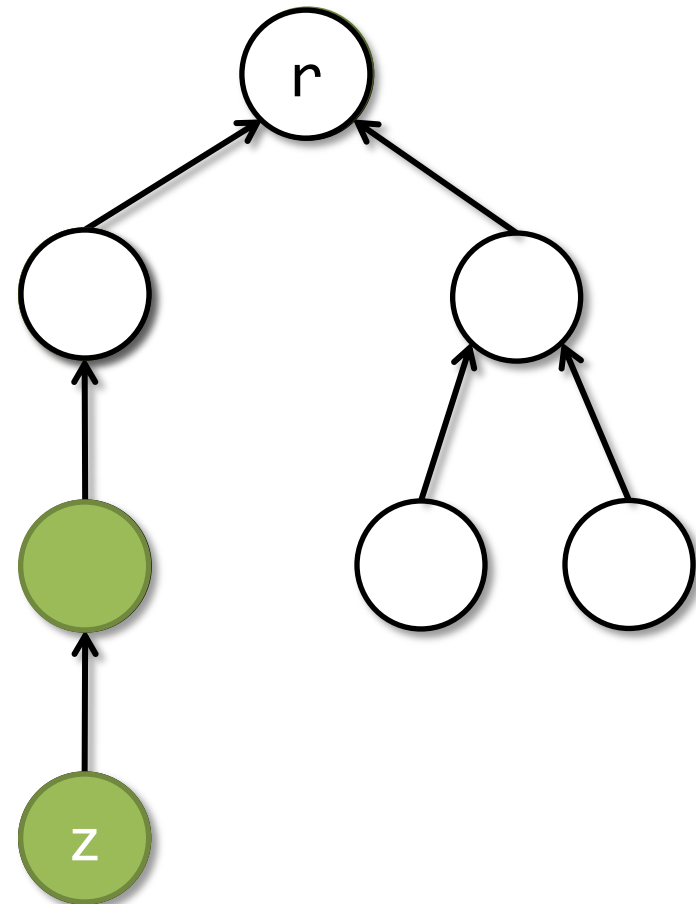
# Find with Path Compression

```
procedure find(x: Node)
returns (r: Node)
{
  if (x != null) {
    r := find(x.next);
    x.next := r;
  } else {
    r := x;
  }
}
```



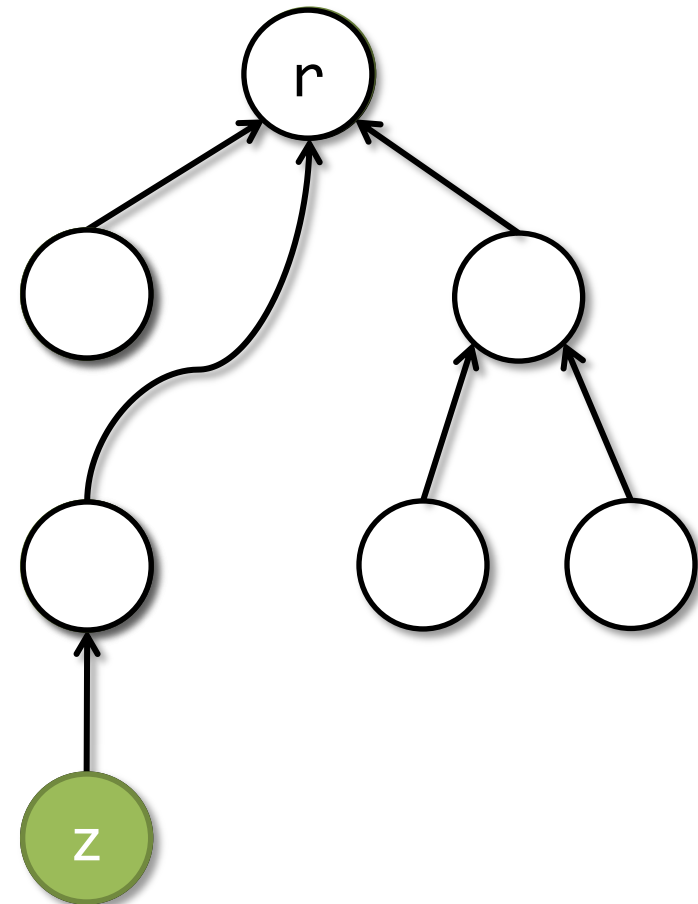
# Find with Path Compression

```
procedure find(x: Node)
returns (r: Node)
{
  if (x != null) {
    r := find(x.next);
    x.next := r;
  } else {
    r := x;
  }
}
```



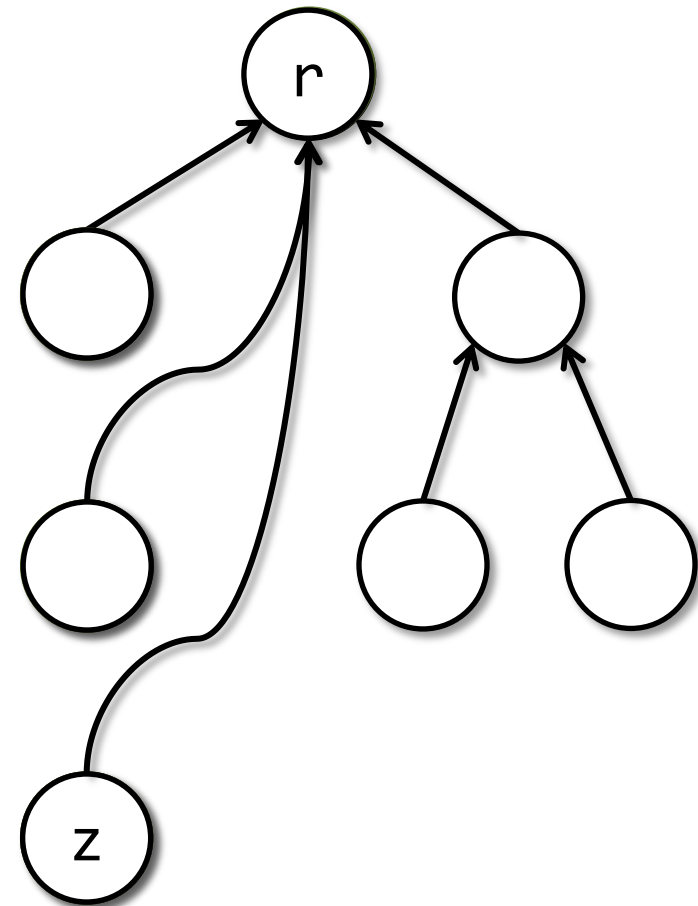
# Find with Path Compression

```
procedure find(x: Node)
returns (r: Node)
{
  if (x != null) {
    r := find(x.next);
    x.next := r;
  } else {
    r := x;
  }
}
```



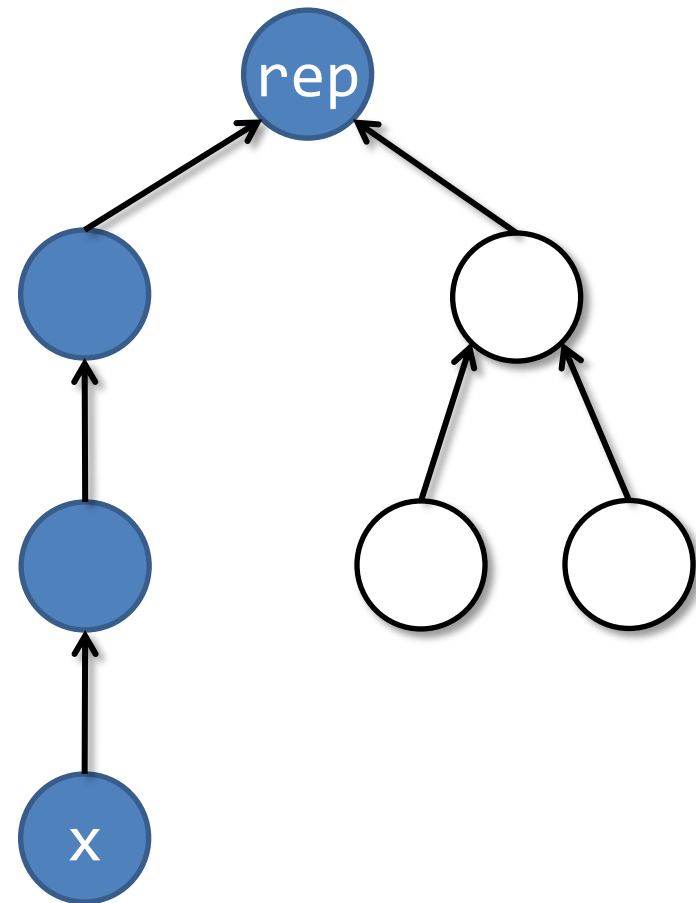
# Find with Path Compression

```
procedure find(x: Node)
returns (r: Node)
{
  if (x != null) {
    r := find(x.next);
    x.next := r;
  } else {
    r := x;
  }
}
```



# Find with SL Specification

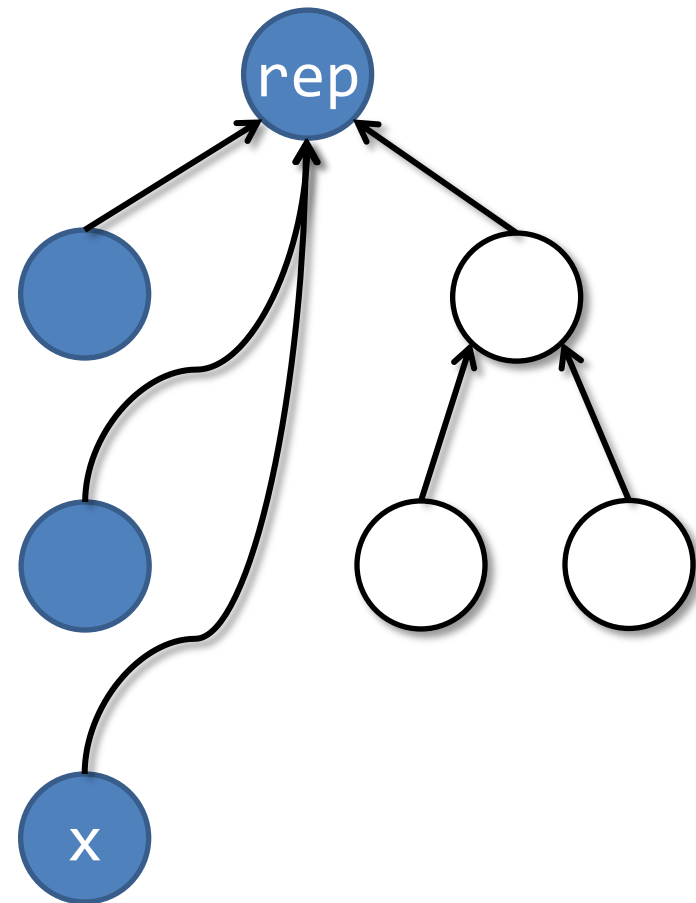
```
procedure find(x: Node, ghost rep: Node)
  returns (r: Node)
  requires lseg(x, rep)
  requires rep.next  $\mapsto$  null
```



# Find with SL Specification

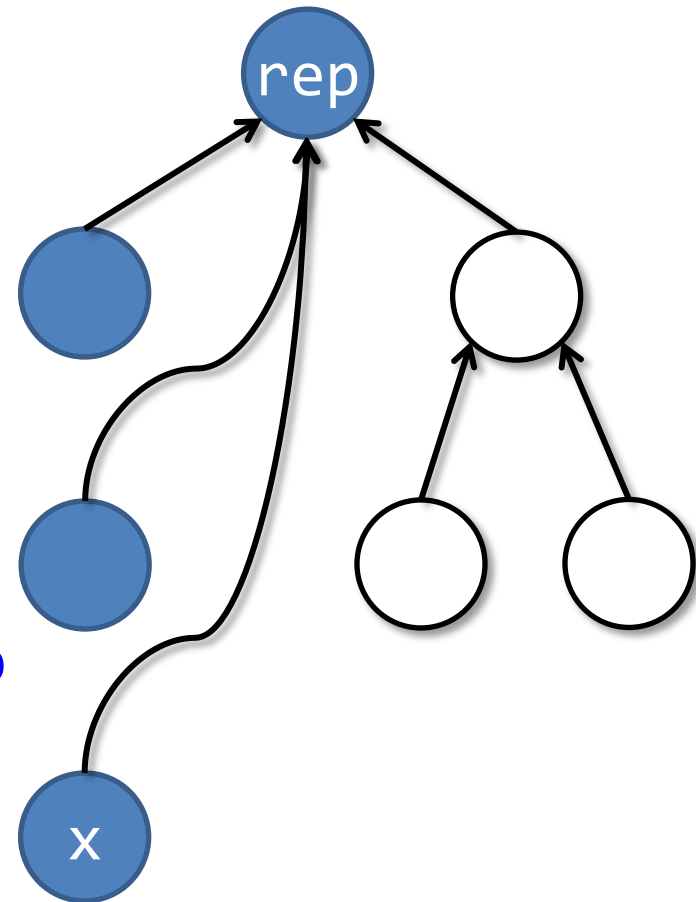
```
procedure find(x: Node, ghost rep: Node)
  returns (r: Node)
  requires rep.next  $\mapsto$  null
  requires lseg(x, rep)
  ensures r == rep
  ensures rep.next  $\mapsto$  null
  ensures ?
```

Postcondition needs to track an unbounded number of list segments.



# Find with Mixed Specification

```
procedure find(x: Node, ghost rep: Node,  
  implicit ghost X: Set<Node>)  
  returns (r: Node)  
  requires rep.next  $\mapsto$  null  
  requires lseg(x, rep)  $\wedge$  acc(X)  
  ensures r == rep  
  ensures rep.next  $\mapsto$  null  
  ensures acc(X)  
  ensures  $\forall z \in X. z.next == rep$ 
```



# Completeness and Counterexamples

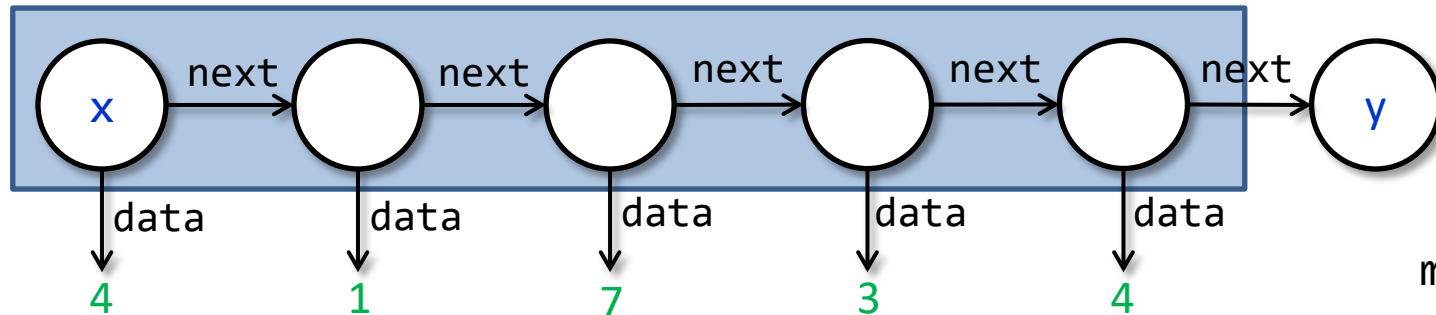


# Quicksort Revisited

```
procedure quicksort(x: Node, y: Node,  
                   ghost min: int, ghost max: int)  
returns (z: Node)  
  requires bnd_lseg(x, y, min, max)  
  ensures srt_lseg(z, y, min, max)  
{  
  if (x != y && x.next != y) {  
    var p: Node, w: Node;  
    z, p := split(x, y, min, max);  
    z := quicksort(z, p, min, p.data);  
    w := quicksort(p.next, y, p.data, max);  
    p.next := w;  
  } else z := x;  
}
```

# Split with SL Specification

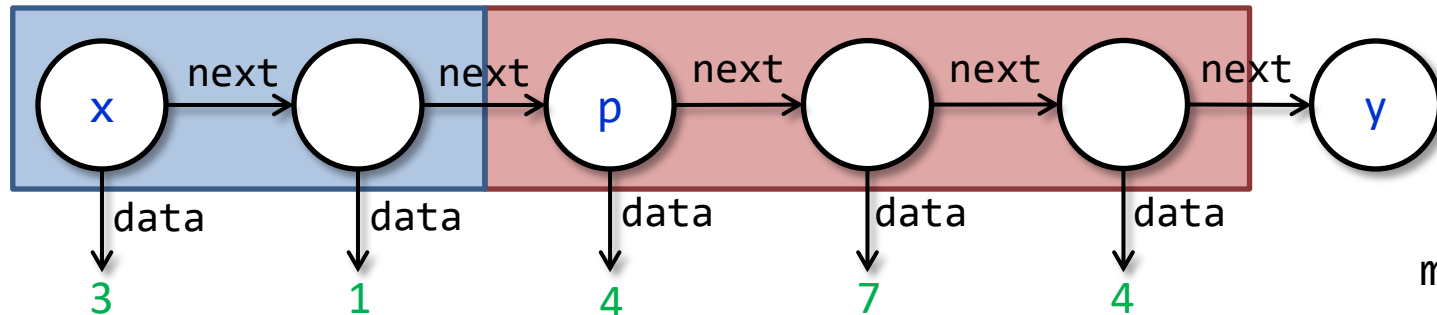
```
procedure split(x: Node, y: Node,  
              ghost min: int, ghost max: int)  
returns (z: Node, p: Node)  
requires bnd_lseg(x, y, min, max) * x ≠ y  
ensures bnd_lseg(z, p, min, p.data) *  
        bnd_lseg(p, y, p.data, max)  
ensures p ≠ y * min ≤ p.data ≤ max
```



min = 1  
max = 7

# Split with SL Specification

```
procedure split(x: Node, y: Node,  
              ghost min: int, ghost max: int)  
returns (z: Node, p: Node)  
requires bnd_lseg(x, y, min, max) * x ≠ y  
ensures bnd_lseg(z, p, min, p.data) *  
        bnd_lseg(p, y, p.data, max)  
ensures p ≠ y * min ≤ p.data ≤ max
```



min = 1  
max = 7

# Split with SL Specification

```
procedure split(x: Node, y: Node,  
              ghost min: int, ghost max: int)
```

```
returns (z: Node, p: Node)
```

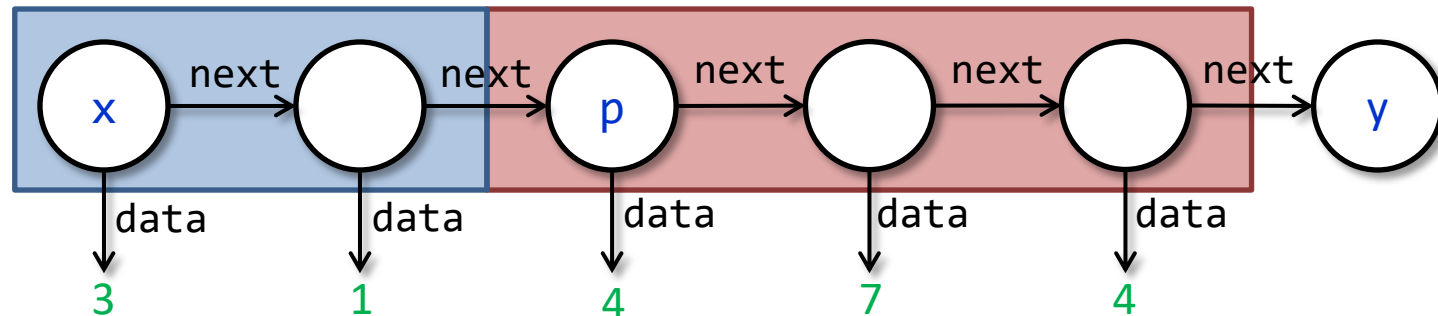
```
requires bnd_lseg(x, y, min, max) * x ≠ y
```

```
ensures bnd_lseg(z, p, min, p.data) *
```

```
       bnd_lseg(p, y, p.data, max)
```

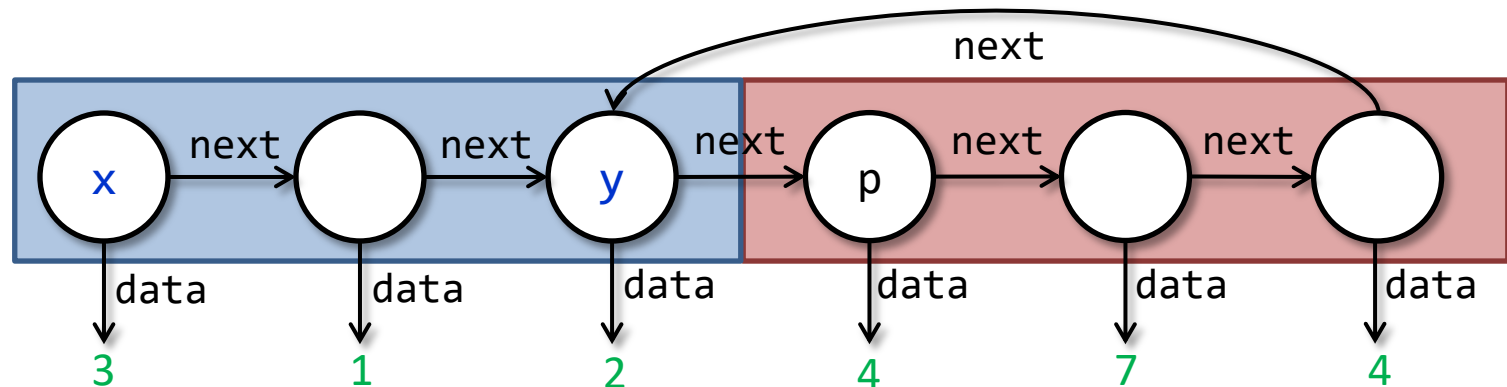
```
ensures p ≠ y * min ≤ p.data ≤ max
```

free memory



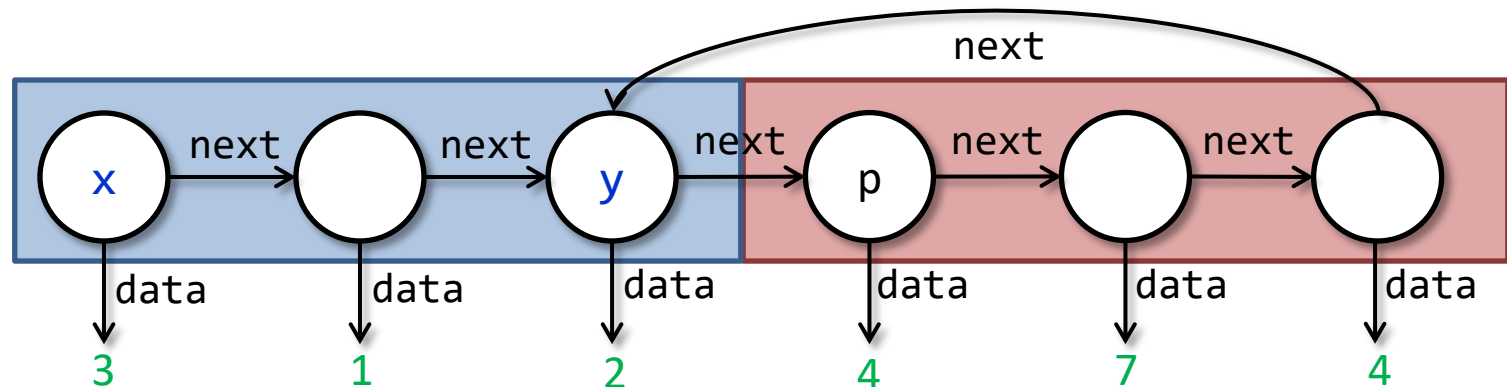
# Counterexample for Quicksort Spec.

```
procedure split(x: Node, y: Node,  
              ghost min: int, ghost max: int)  
returns (z: Node, p: Node)  
requires bnd_lseg(x, y, min, max) * x ≠ y  
ensures bnd_lseg(z, p, min, p.data) *  
        bnd_lseg(p, y, p.data, max)  
ensures p ≠ y * min ≤ p.data ≤ max
```



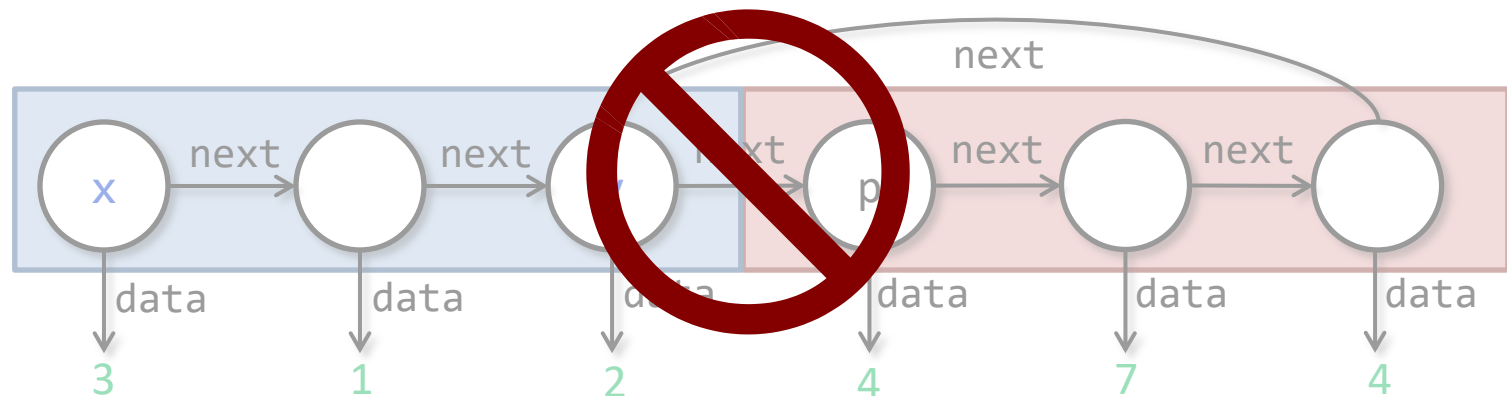
# Split with Mixed Specification

```
procedure split(x: Node, y: Node,  
              ghost min: int, ghost max: int)  
returns (z: Node, p: Node)  
requires bnd_lseg(x, y, min, max) * x ≠ y  
ensures bnd_lseg(z, p, min, p.data) *  
        bnd_lseg(p, y, p.data, max) * Btwm(next, x, p, y)  
ensures p ≠ y * min ≤ p.data ≤ max
```



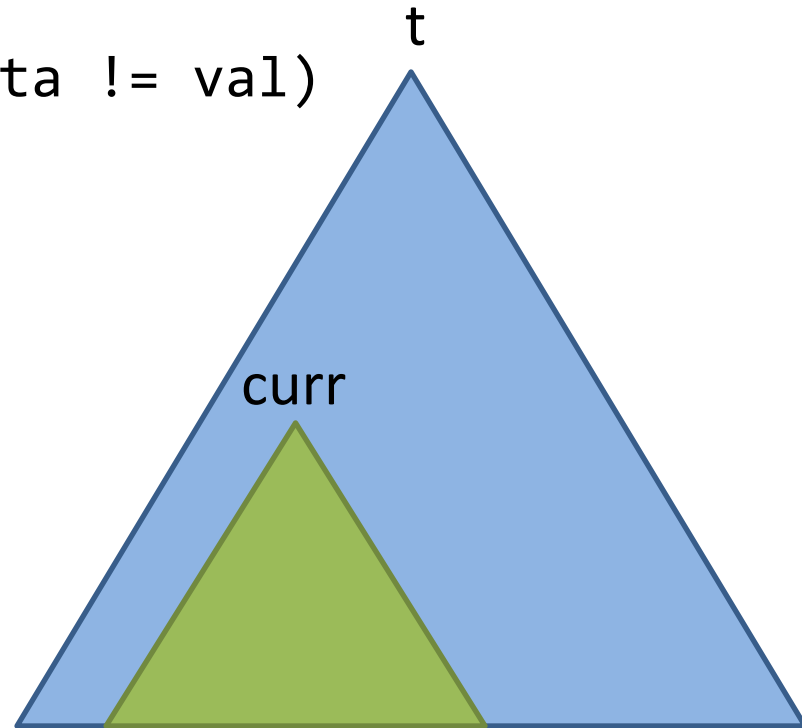
# Split with Mixed Specification

```
procedure split(x: Node, y: Node,  
              ghost min: int, ghost max: int)  
returns (z: Node, p: Node)  
requires bnd_lseg(x, y, min, max) * x ≠ y  
ensures bnd_lseg(z, p, min, p.data) *  
        bnd_lseg(p, y, p.data, max) * Btwm(next, x, p, y)  
ensures p ≠ y * min ≤ p.data ≤ max
```



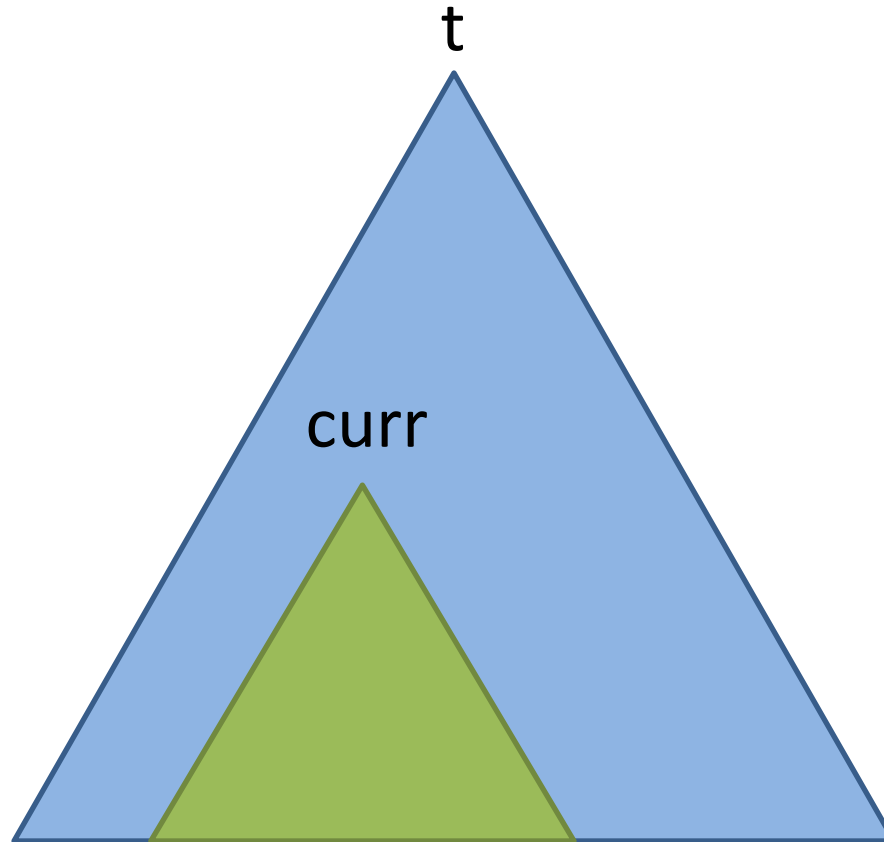
# Tail-Recursive Tree Traversal

```
procedure contains(t: Tree, val: Int)
  returns (res: Bool)
  requires tree(t)
  ensures tree(t)
{
  var curr := t;
  while (curr != null && curr.data != val)
    invariant ?
  {
    if (curr.data > val)
      curr := curr.left;
    else if (curr.data < val)
      curr := curr.right;
  }
  return curr != null;
}
```





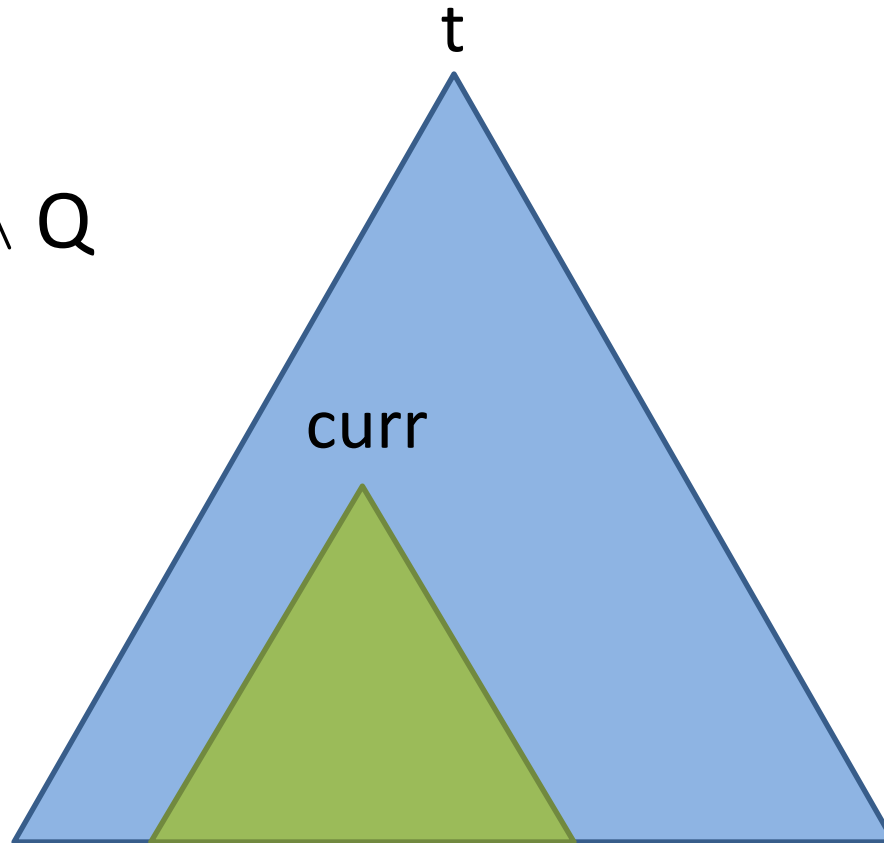
# Poor Man's Magic Wand



$\text{tree}(\text{curr}) * (\text{tree}(\text{curr}) -* \text{tree}(\text{t}))$

# Poor Man's Magic Wand

$P \text{ *** } Q \equiv$   
 $(P \text{ * true}) \wedge Q$



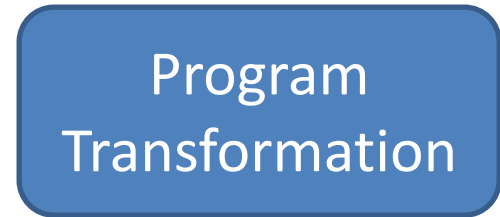
$\text{tree}(\text{curr}) \text{ * } (\text{tree}(\text{curr}) \text{ -* tree}(t))$

# Poor Man's Magic Wand

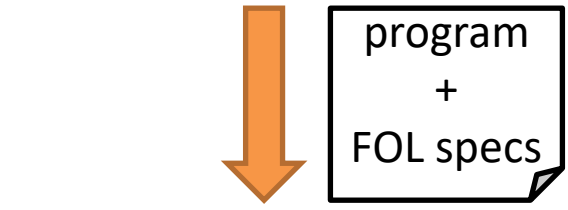
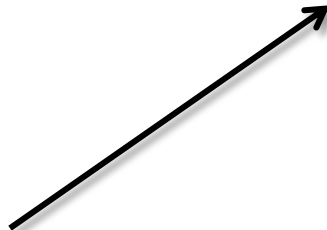
```
procedure contains(t: Tree, val: Int)
  returns (res: Bool)
  requires tree(t)
  ensures tree(t)
{
  var curr := t;
  while (curr != null && curr.data != val)
    invariant tree(curr) -** tree(t)
    {
      if (curr.data > val)
        curr := curr.left;
      else if (curr.data < val)
        curr := curr.right;
    }
  return curr != null;
}
```

# Overview of Approach

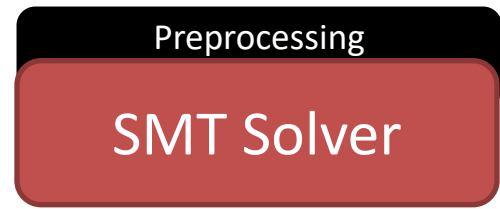
1. Make frame rule explicit



2. Translate SL assertions to FOL



3. Decide generated VCs

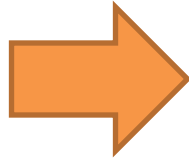


**Step 1: Make Frame Rule Explicit**

# Encoding the Frame Rule

Allocated Memory

`delete(x);`

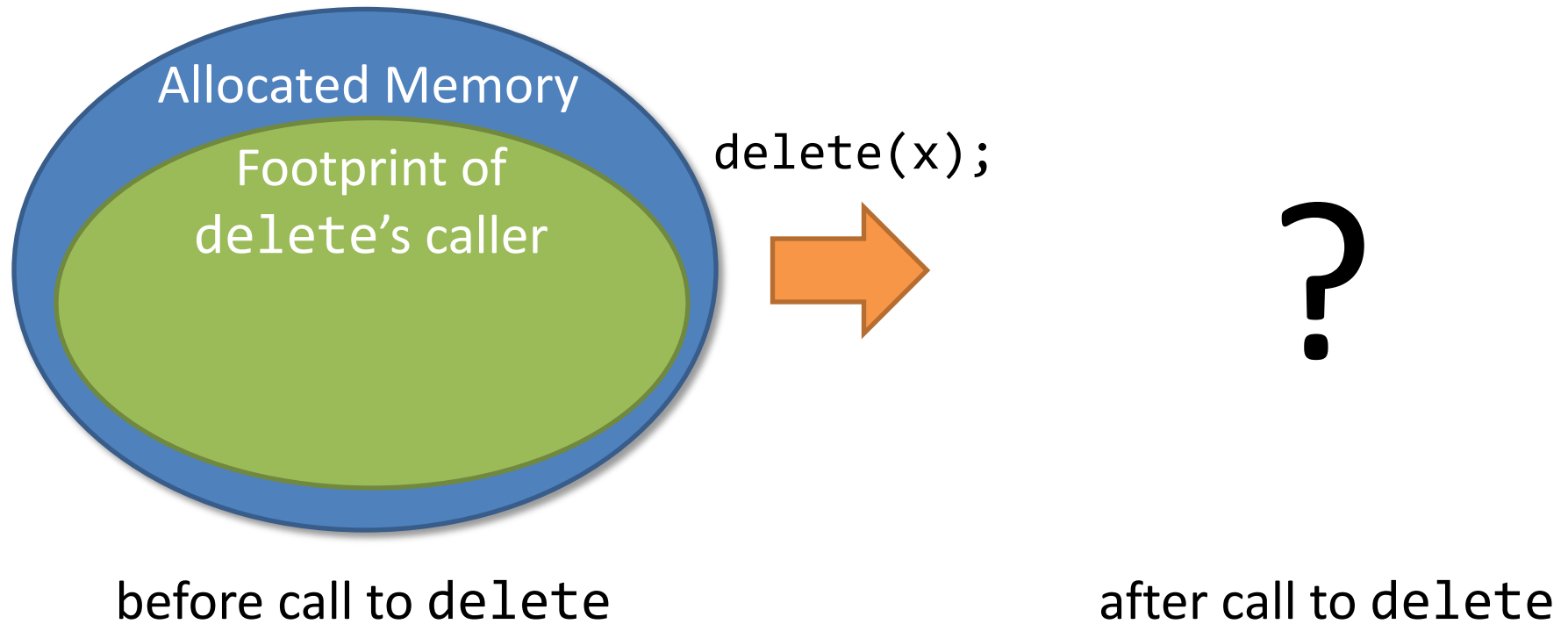


?

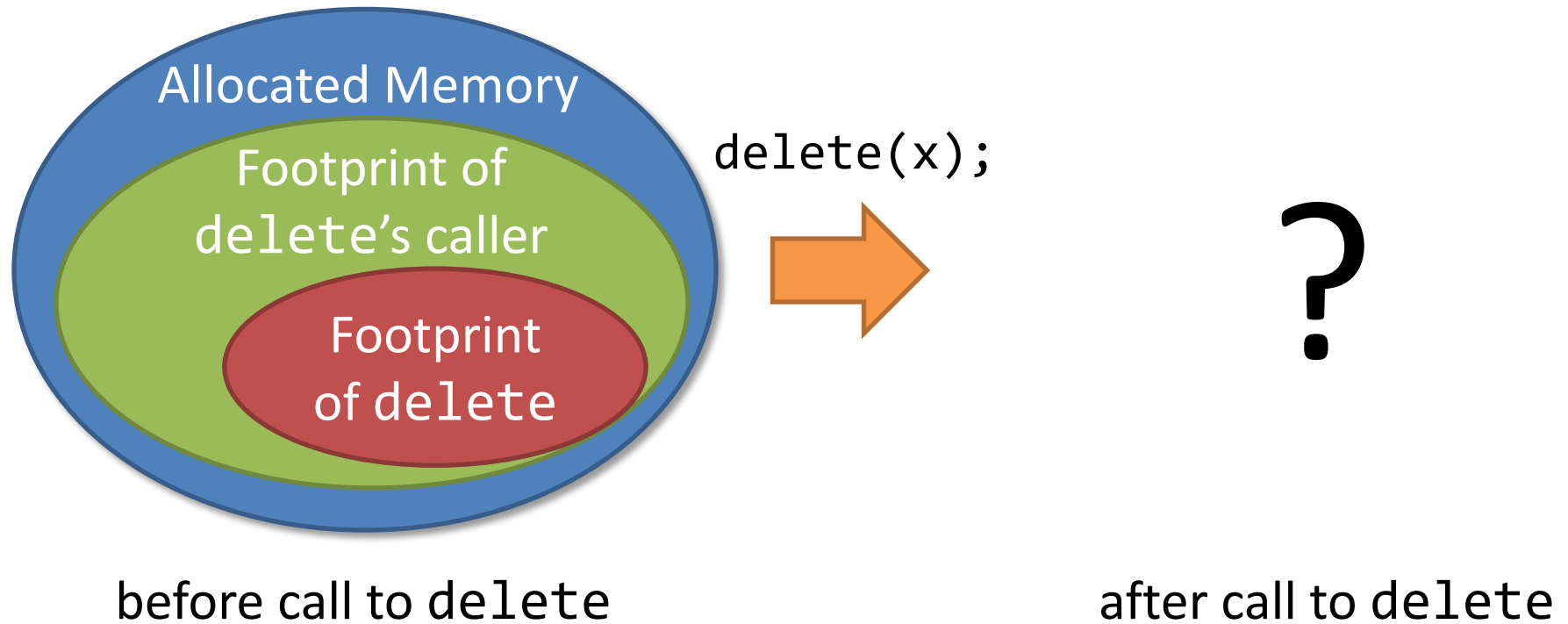
before call to `delete`

after call to `delete`

# Encoding the Frame Rule

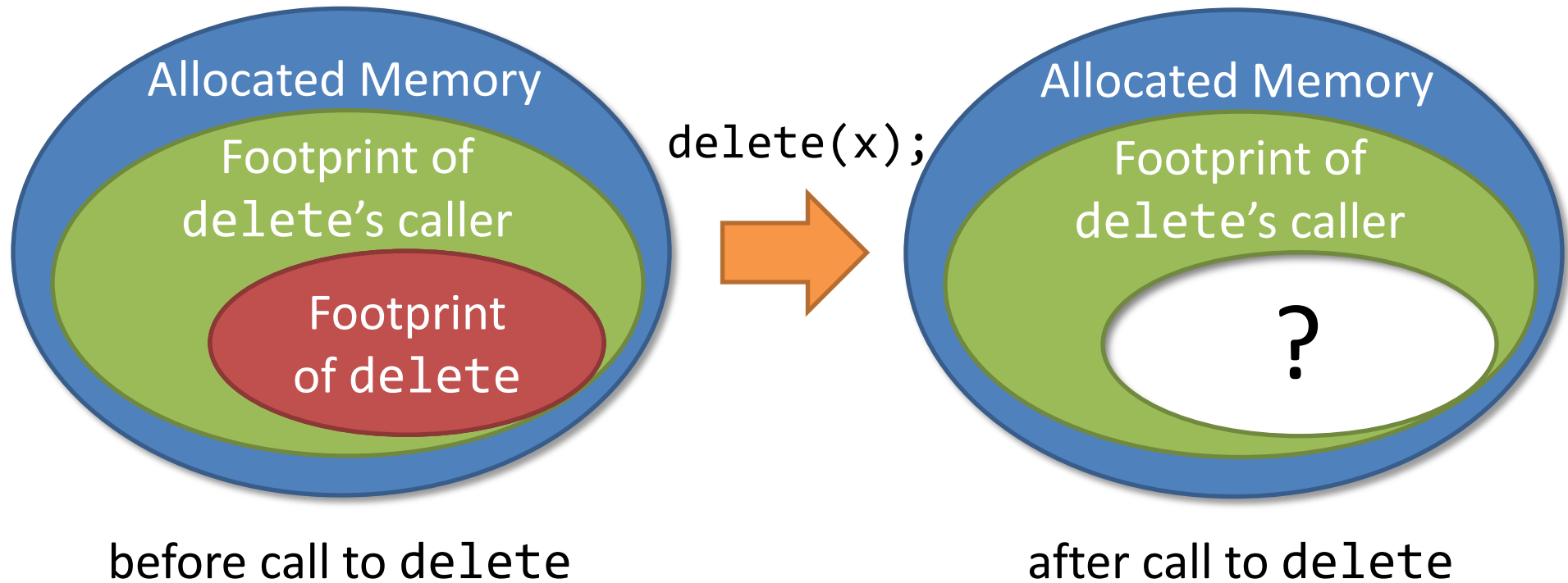


# Encoding the Frame Rule

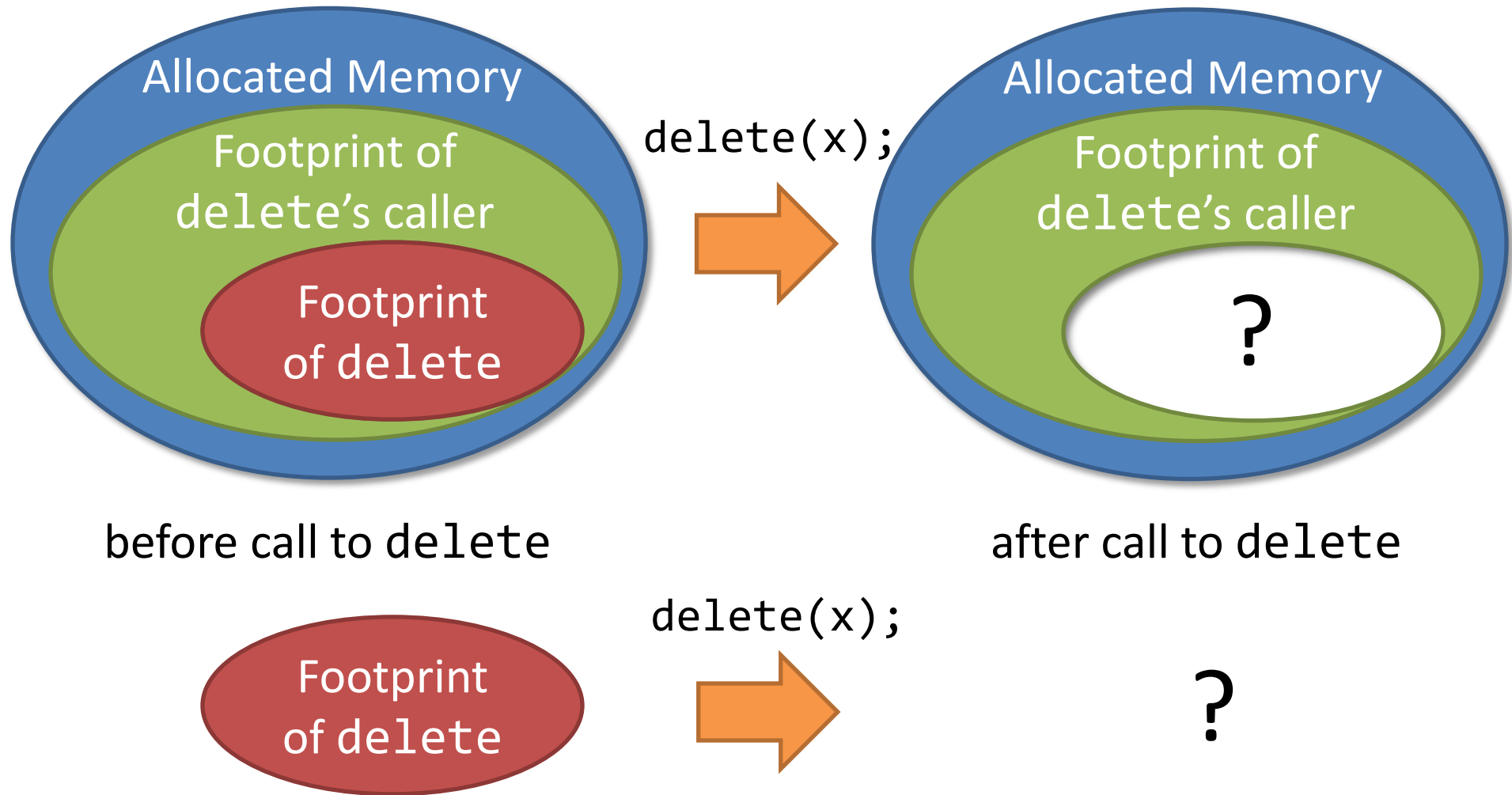




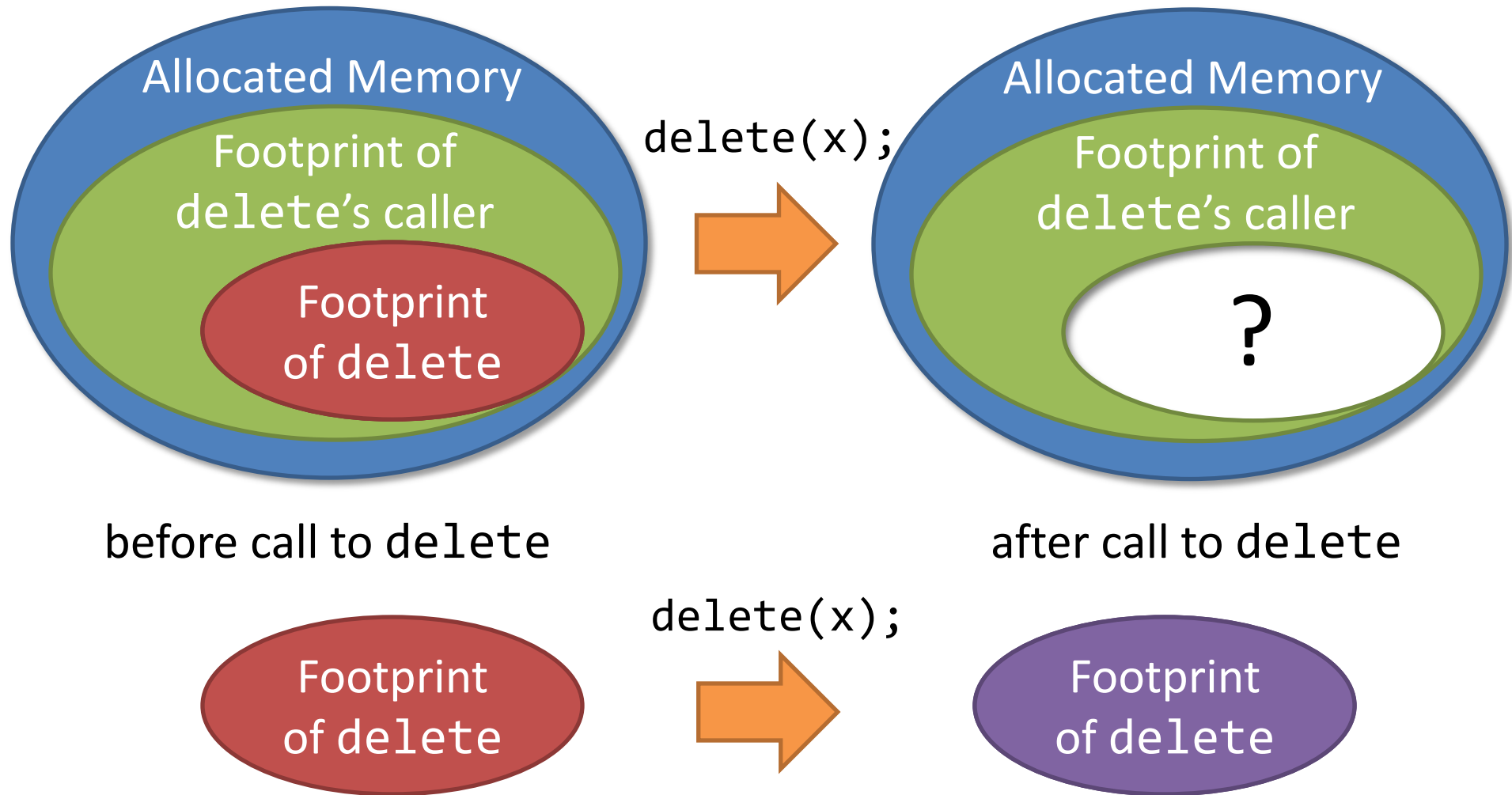
# Encoding the Frame Rule



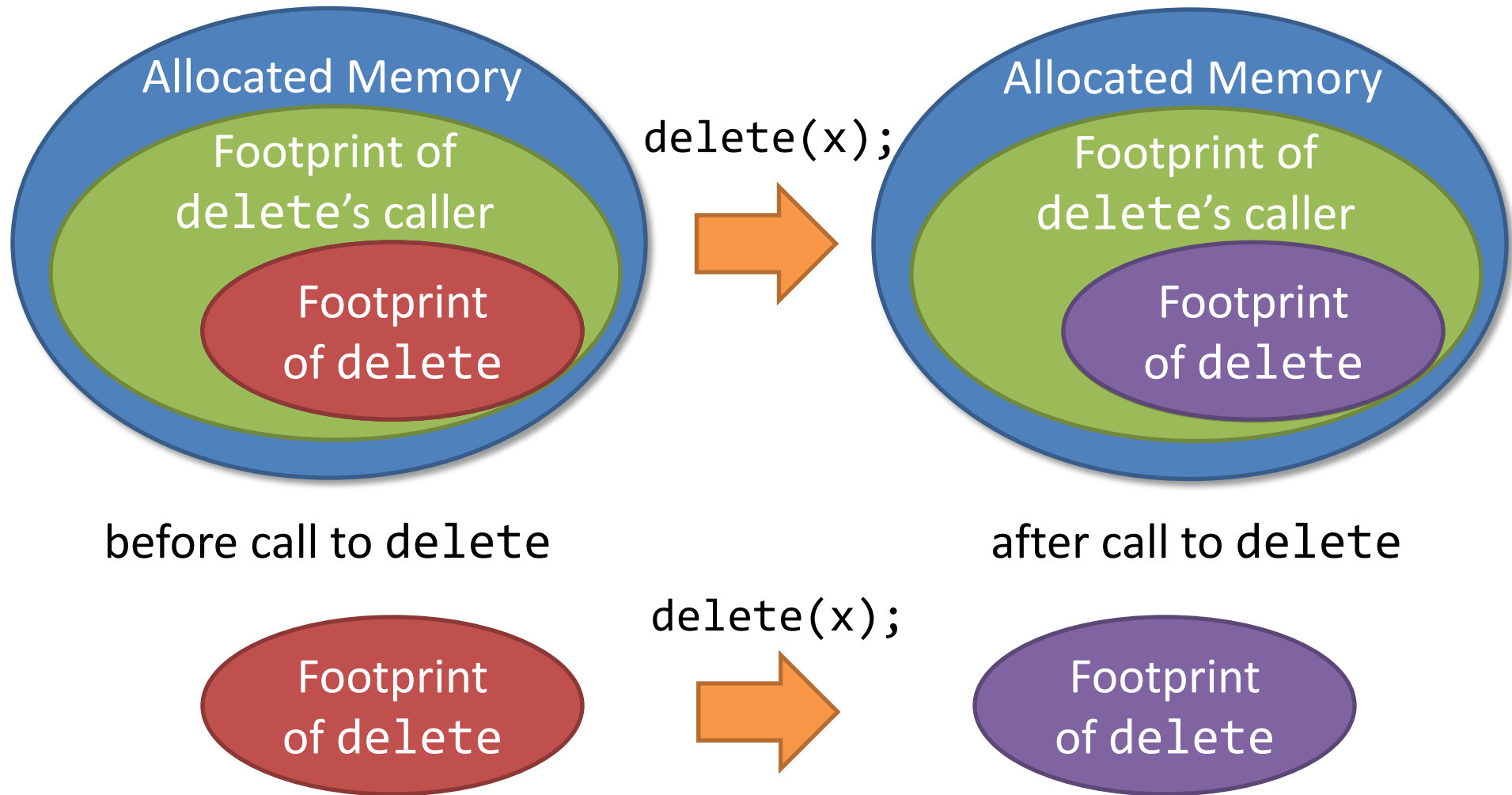
# Encoding the Frame Rule



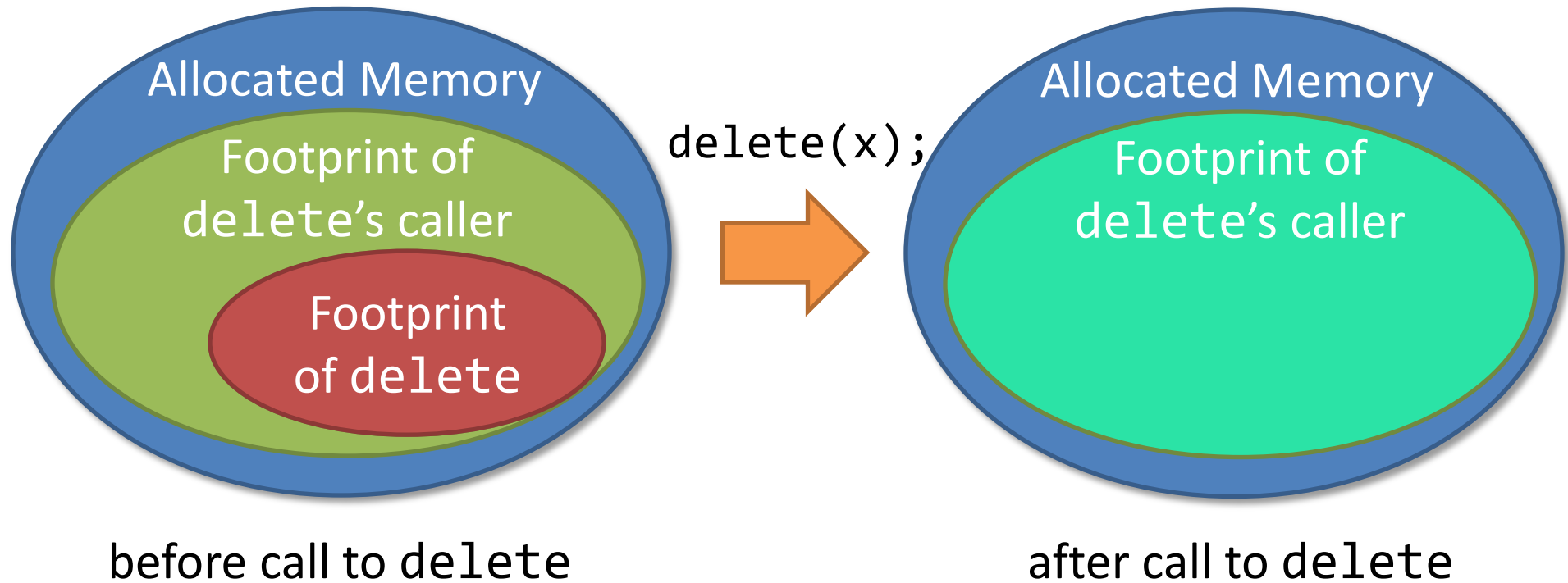
# Encoding the Frame Rule



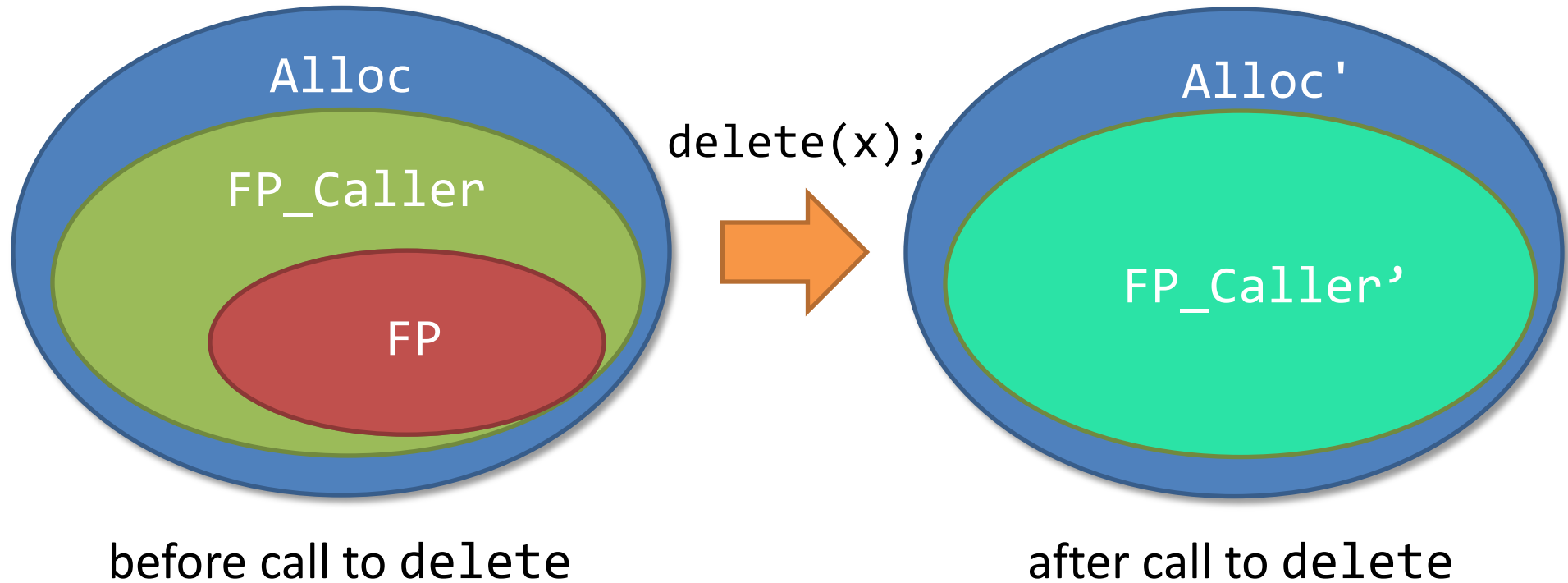
# Encoding the Frame Rule



# Encoding the Frame Rule



# Encoding the Frame Rule



# Encoding the Frame Rule

```
procedure delete(x: Node
```

```
)
```

```
{
```

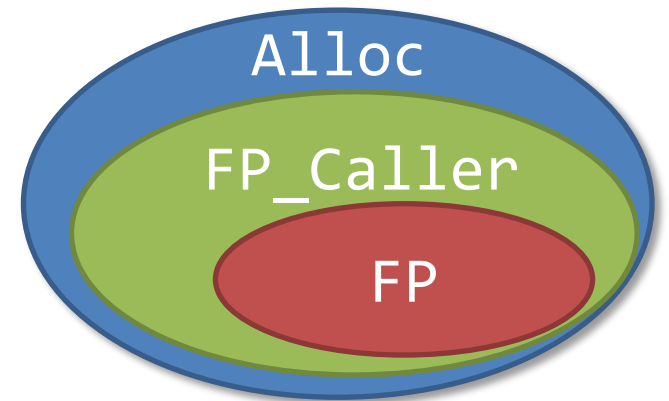
```
  if (x != null) {
```

```
    delete(x.next);
```

```
    free(x);
```

```
  }
```

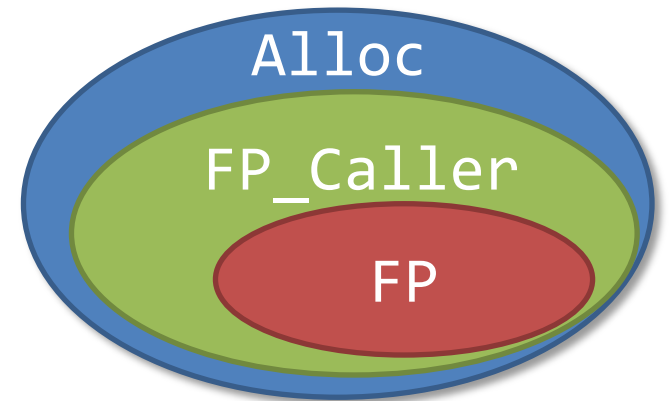
```
}
```



# Encoding the Frame Rule

```
ghost var Alloc: Set<Node>;

procedure delete(x: Node,
                ghost FP_Caller: Set<Node>,
                implicit ghost FP: Set<Node>)
  returns (ghost FP_Caller': Set<Node>)
{
  if (x != null) {
    FP := delete(x.next, FP);
    FP := free(x, FP);
  }
}
```

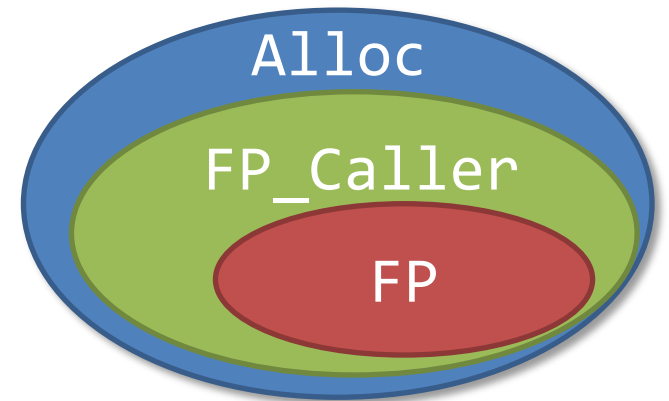




# Encoding the Frame Rule

```
ghost var Alloc: Set<Node>;

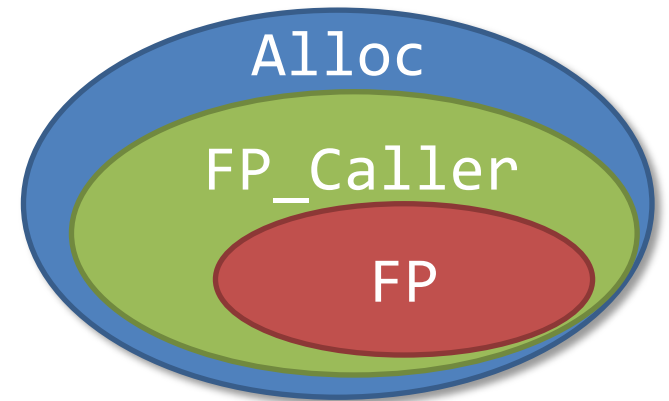
procedure delete(x: Node)
    ghost FP_Caller: Set<Node>,
    implicit ghost FP: Set<Node>)
returns (ghost FP_Caller': Set<Node>)
{
    FP_Caller' := FP_Caller \ FP;
    if (x != null) {
        FP := delete(x.next, FP);
        FP := free(x, FP);
    }
    FP_Caller' := FP_Caller' U FP;
}
```



# Encoding the Frame Rule

```
ghost var Alloc: Set<Node>;

procedure delete(x: Node)
    ghost FP_Caller: Set<Node>,
    implicit ghost FP: Set<Node>)
returns (ghost FP_Caller': Set<Node>)
{
  FP_Caller' := FP_Caller \ FP;
  if (x != null) {
    pure assert x ∈ FP;
    FP := delete(x.next, FP);
    FP := free(x, FP);
  }
  FP_Caller' := FP_Caller' ∪ FP;
}
```



# Encoding the Frame Rule

```
procedure delete(x: Node,  
                ghost FP_Caller: Set<Node>,  
                implicit ghost FP: Set<Node>)  
returns (ghost FP_Caller': Set<Node>)  
requires lseg(x, null)
```

ensures emp

```
{ ... }
```

# Encoding the Frame Rule

```
procedure delete(x: Node,  
                ghost FP_Caller: Set<Node>,  
                implicit ghost FP: Set<Node>)  
  returns (ghost FP_Caller': Set<Node>)  
  requires FP  $\subseteq$  FP_Caller  
  requires Tr(lseg(x,null), FP)  
  
  ensures Tr(emp, (Alloc  $\cap$  FP)  $\cup$  (Alloc \old(Alloc)))
```

```
{ ... }
```

# Encoding the Frame Rule

```
procedure delete(x: Node,  
                ghost FP_Caller: Set<Node>,  
                implicit ghost FP: Set<Node>)  
returns (ghost FP_Caller': Set<Node>)  
requires FP  $\subseteq$  FP_Caller  
requires Tr(lseg(x,null), FP)  
free requires FP_Caller  $\subseteq$  Alloc  
free requires null  $\notin$  Alloc  
ensures Tr(emp, (Alloc  $\cap$  FP)  $\cup$  (Alloc \ old(Alloc)))  
free ensures Frame(old(Alloc), FP, old(next), next)  
free ensures FP_Caller' = (FP_Caller \ FP)  $\cup$   
                        (Alloc  $\cap$  FP)  $\cup$  (Alloc \ old(Alloc))  
free ensures FP_Caller'  $\subseteq$  Alloc  
free ensures null  $\notin$  Alloc  
{ ... }
```

# Encoding the Frame Rule

```
procedure delete(x: Node,  
                ghost FP_Caller: Set<Node>,  
                implicit ghost FP: Set<Node>)  
returns (ghost FP_Caller': Set<Node>)
```

```
requires FP  $\subseteq$  FP_Caller
```

```
requires  $\exists (F \subseteq FP) (F \subseteq FP_Caller)$ 
```

free  
free  
ensu

Encoding is inspired by **implicit dynamic frames**  
[Smans, Jacobs, Piessens, 2008]

ensu

free

free

Used, e.g., in the **VeriCool** and **Chalice** tools

```
(Alloc  $\cap$  FP)  $\cup$  (Alloc \ old(Alloc))
```

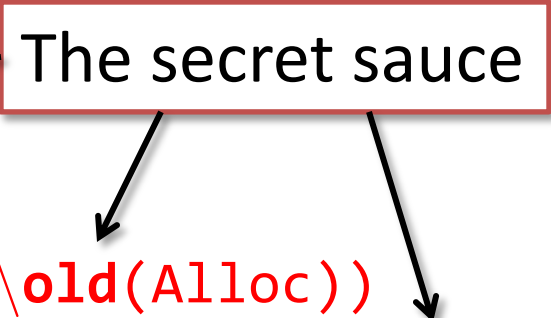
```
free ensures FP_Caller'  $\subseteq$  Alloc
```

```
free ensures null  $\notin$  Alloc
```

```
{ ... }
```

# Encoding the Frame Rule

```
procedure delete(x: Node,  
                ghost FP_Caller: Set<Node>,  
                implicit ghost FP: Set<Node>)  
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  requires FP  $\subseteq$  FP_Caller  
  requires Tr(lseg(x,null), FP) ← The secret sauce  
  free requires FP_Caller  $\subseteq$  Alloc  
  free requires null  $\notin$  Alloc  
  ensures Tr(emp, (Alloc  $\cap$  FP)  $\cup$  (Alloc \ old(Alloc)))  
  free ensures Frame(old(Alloc), FP, old(next), next)  
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                           (Alloc  $\cap$  FP)  $\cup$  (Alloc \ old(Alloc))  
  free ensures FP_Caller'  $\subseteq$  Alloc  
  free ensures null  $\notin$  Alloc  
{ ... }
```



## Step 2: Translating SL Assertions



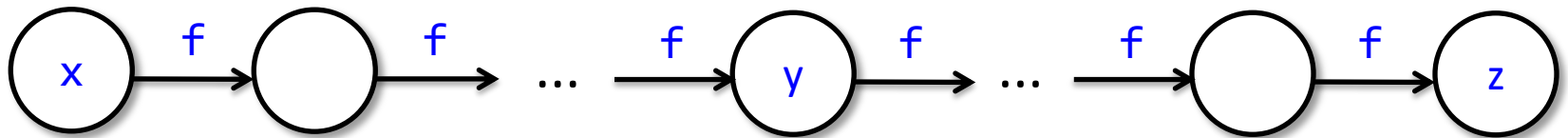
# Target of Translation: GRASS (Graph Reachability and Stratified Sets)

- Theory of Reachability in Mutable Graphs
  - encodes structure of the heap  
(inductive predicates)
- Theory of Stratified Sets
  - encodes frame rule / separating conjunction

# Reachability in Mutable Function Graphs

(Extension of [Nelson POPL'83], [Lahiri, Qadeer POPL'08])

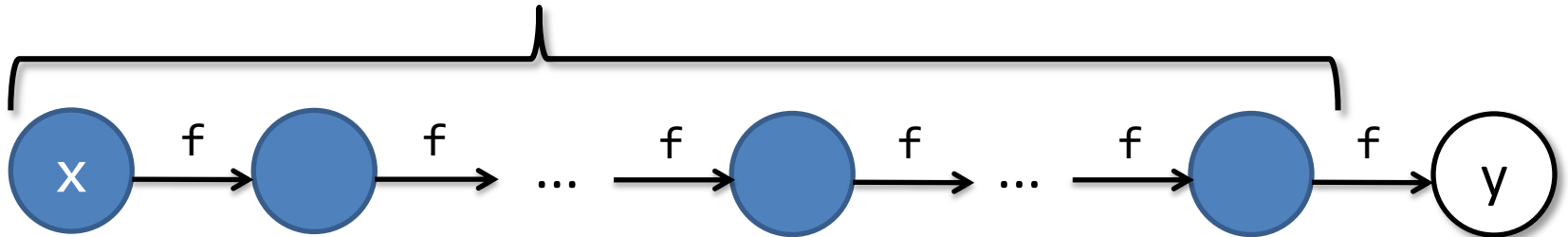
- $\text{sel}(f, x)$                       field access                       $x.f$
- $\text{upd}(f, x, y)$                       field update                       $f[x := y]$
- $\text{Btwn}(f, x, y, z)$                       reachability                       $x \xrightarrow{f} y \xrightarrow{f} z$



$\text{Btwn}(f, x, y, z)$  means  $z$  is reachable from  $x$  via  $f$   
and  $y$  is on the shortest path between  $x$  and  $z$

# Stratified Sets

- operations:  $X \cup Y, X \cap Y, X \setminus Y, \dots$
- predicates:  $x \in X, X \subseteq Y, X = Y$
- literals:  $\{ x :: P(x) \}$ 
  - Examples:
    - $\{ z :: z = x \}$
    - $\{ z :: \text{Btw}(f, x, z, y) \wedge z \neq y \}$



# Translating SL Assertions to GRASS

- $\text{Tr}(\text{emp}, X) \equiv X = \emptyset$
- $\text{Tr}(\text{acc}(t), X) \equiv X = t$
- $\text{Tr}(F, X) \equiv F \wedge X = \emptyset$  if  $F$  is pure
- $\text{Tr}(\text{lseg}(x,y), X) \equiv \text{Btwn}(\text{next}, x, y, y) \wedge$   
 $X = \{z :: \text{Btwn}(\text{next}, x, z, y) \wedge z \neq y\}$
- $\text{Tr}(F * G, X) \equiv \exists Y, Z :: \text{Tr}(F, Y) \wedge \text{Tr}(G, Z) \wedge X = Y \uplus Z$
- $\text{Tr}(F -** G, X) \equiv \exists Y :: \text{Tr}(F, Y) \wedge \text{Tr}(G, X) \wedge Y \subseteq X$
- $\text{Tr}(F \wedge G, X) \equiv \text{Tr}(F, X) \wedge \text{Tr}(G, X)$
- $\text{Tr}(\neg F, X) \equiv \neg \text{Tr}(F, X)$

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# Example: Delete

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procedure delete(x: Node,  
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returns (ghost FP_Caller': Set<Node>)  
  requires FP  $\subseteq$  FP_Caller  
  requires Tr(lseg(x,null), FP)  
  free requires FP_Caller  $\subseteq$  Alloc  
  free requires null  $\notin$  Alloc  
  ensures Tr(emp, (Alloc  $\cap$  FP)  $\cup$  (Alloc \ old(Alloc)))  
  free ensures Frame(old(Alloc), FP, old(next), next)  
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  free ensures null  $\notin$  Alloc  
{ ... }
```

# Example: Delete

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procedure delete(x: Node,  
                ghost FP_Caller: Set<Node>,  
                implicit ghost FP: Set<Node>)  
returns (ghost FP_Caller': Set<Node>)  
  requires FP  $\subseteq$  FP_Caller  
  requires Btwn(next,x,y,y)  $\wedge$  FP = {z. Btwn(next,x,z,y)  $\wedge$  z  $\neq$  y}  
  free requires FP_Caller  $\subseteq$  Alloc  
  free requires null  $\notin$  Alloc  
  ensures (Alloc  $\cap$  FP)  $\cup$  (Alloc  $\setminus$  old(Alloc)) =  $\emptyset$   
  free ensures Frame(old(Alloc), FP, old(next), next)  
  free ensures FP_Caller' = (FP_Caller  $\setminus$  FP)  $\cup$   
                           (Alloc  $\cap$  FP)  $\cup$  (Alloc  $\setminus$  old(Alloc))  
  free ensures FP_Caller'  $\subseteq$  Alloc  
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{ ... }
```

## Step 3: Deciding GRASS



# Dealing with Second-Order Quantifiers

- $\text{Tr}(\text{emp}, X) \equiv X = \emptyset$
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- $\text{Tr}(F -** G, X) \equiv \exists Y :: \text{Tr}(F, Y) \wedge \text{Tr}(G, X) \wedge Y \subseteq X$
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Permission sets are uniquely determined by formula structure

# Dealing with Second-Order Quantifiers

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- $\text{Tr}(\neg F, X) \equiv \neg \text{Tr}(F, X)$

Permission sets are uniquely determined by formula structure

Quantifiers can be eliminated

# First-Order Axioms for Btwn

- $\forall f x. \text{Btwn}(f, x, x, x)$
- $\forall f x. \text{Btwn}(f, x, x.f, x.f)$
- $\forall f x y. \text{Btwn}(f, x, y, y) \Rightarrow x = y \vee \text{Btwn}(f, x, x.f, y)$
- $\forall f x y. x.f = x \wedge \text{Btwn}(f, x, y, y) \Rightarrow x = y$
- $\forall f x y. \text{Btwn}(f, x, y, x) \Rightarrow x = y$
- $\forall f x y z. \text{Btwn}(f, x, y, y) \wedge \text{Btwn}(f, y, z, z) \Rightarrow \text{Btwn}(f, x, y, z) \vee \text{Btwn}(f, x, z, y)$
- $\forall f x y z. \text{Btwn}(f, x, y, z) \Rightarrow \text{Btwn}(f, x, y, y) \wedge \text{Btwn}(f, y, z, z)$
- $\forall f x y z. \text{Btwn}(f, x, y, y) \wedge \text{Btwn}(f, y, z, z) \Rightarrow \text{Btwn}(f, x, z, z)$
- $\forall f x y z u. \text{Btwn}(f, x, y, z) \wedge \text{Btwn}(f, y, u, z) \Rightarrow \text{Btwn}(f, x, u, z) \wedge \text{Btwn}(f, x, y, u)$
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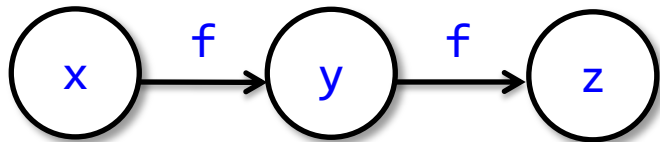
# First-Order Axioms for Btwn

- $\forall f x. \text{Btwn}(f, x, x, x)$
- $\forall f x. \text{Btwn}(f, x, x.f, x.f)$
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- $\forall f x y. x.f = x \wedge \text{Btwn}(f, x, y, y) \Rightarrow x = y$
- $\forall f x y. \text{Btwn}(f, x, y, x) \Rightarrow x = y$
- $\forall f x y z. \text{Btwn}(f, x, y, y) \wedge \text{Btwn}(f, y, z, z) \Rightarrow \text{Btwn}(f, x, y, z) \vee \text{Btwn}(f, x, z, y)$
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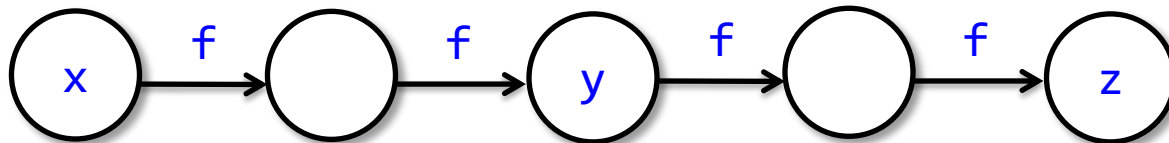
But I thought transitive closure was not first-order definable!?

# Completeness of Axioms for Btwn

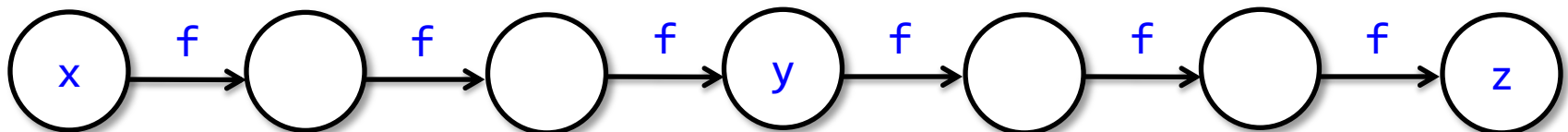
- A model of  $\text{Btwn}(f,x,y,z)$



- and another model

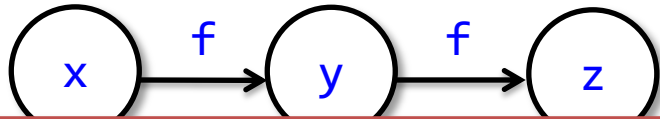


- and another



# Completeness of Axioms for Btwn

- A model of  $\text{Btwn}(f,x,y,z)$

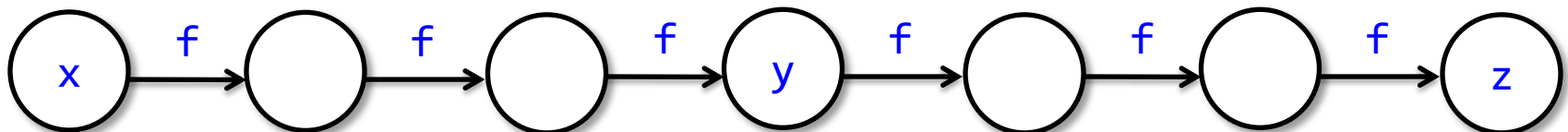


There are arbitrarily large finite models

+

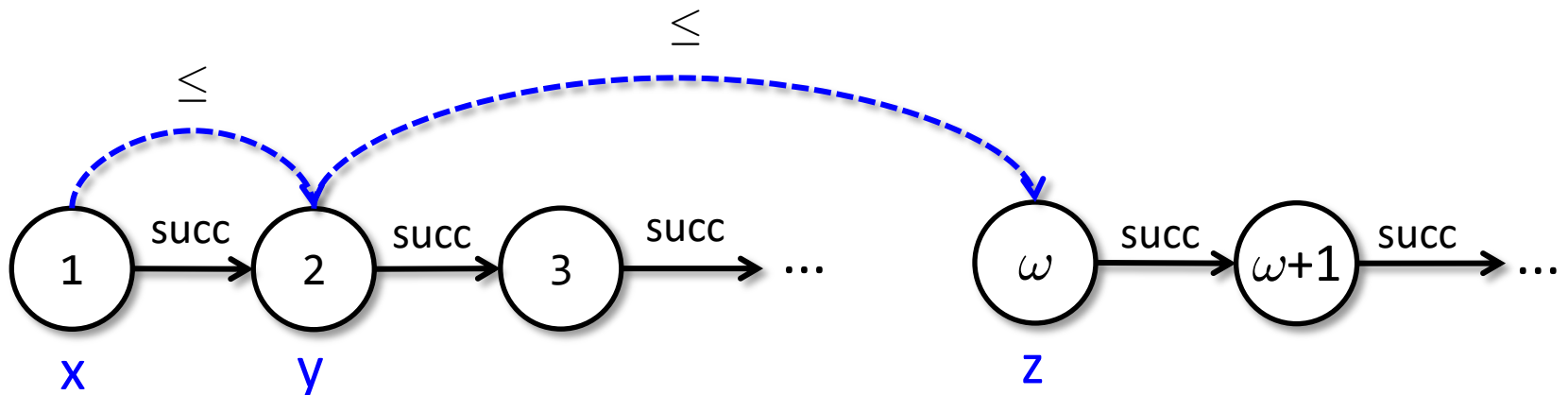
Compactness Theorem  $\Rightarrow$  there must also be infinite models

- and another



# A Degenerated Infinite Model M of $\text{Btwn}(f,x,y,z)$

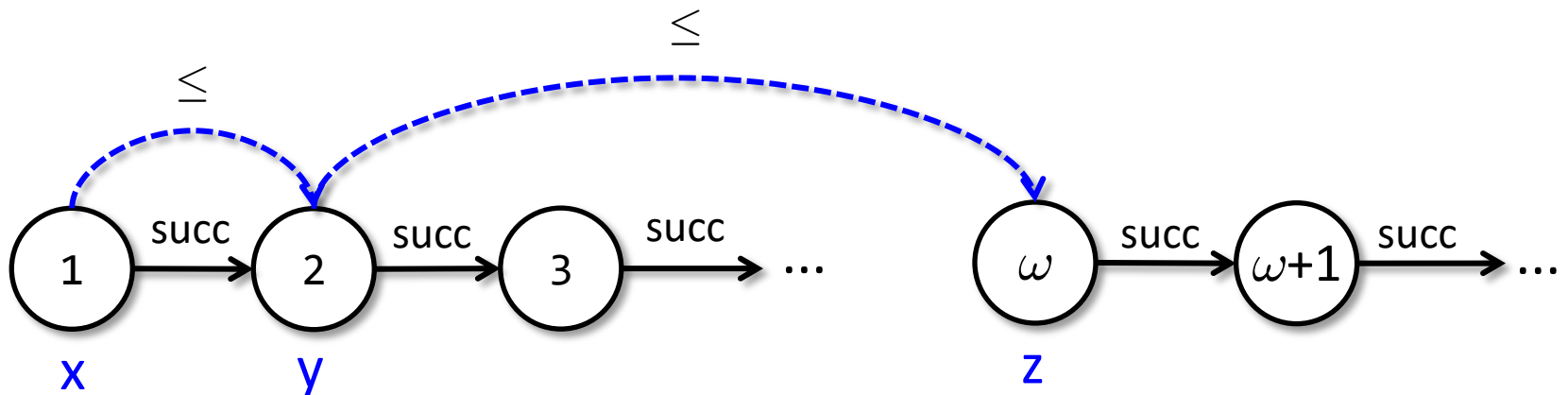
- $M =$  ordinal numbers
- $M(f) = \text{succ}$
- $M(\text{Btwn}) = \lambda uvw. u \leq v \wedge v \leq w$





# A Degenerated Infinite Model M of $\text{Btwn}(f,x,y,z)$

- $M =$  ordinal numbers
- $M(f) = \text{succ}$
- $M(\text{Btwn}) = \lambda uvw. u \leq v \wedge v \leq w$



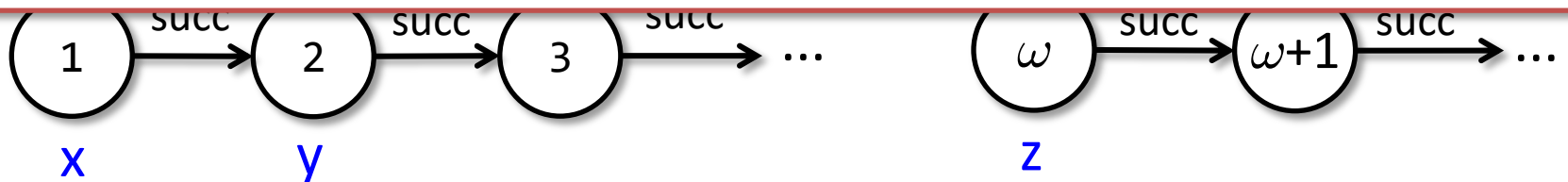
$\leq$  is not succ\*

# A *Degenerated* Infinite Model M of $\text{Btwn}(f,x,y,z)$

- $M =$  ordinal numbers
- $M(f) = \text{succ}$

## Completeness of first-order axioms for Btwn:

- Only infinite models can be degenerated
- If there is a model, then there is also a finite one



$\leq$  is not succ\*

# First-Order Axioms for Btwn

Almost in EPR!

- $\forall f x. \text{Btwn}(f, x, x, x)$
- $\forall f x. \text{Btwn}(f, x, \text{sel}(f, x), \text{sel}(f, x))$
- $\forall f x y. \text{Btwn}(f, x, y, y) \Rightarrow x = y \vee \text{Btwn}(f, x, \text{sel}(f, x), y)$
- $\forall f x y. \text{sel}(f, x) = x \wedge \text{Btwn}(f, x, y, y) \Rightarrow x = y$
- $\forall f x y. \text{Btwn}(f, x, y, x) \Rightarrow x = y$
- $\forall f x y z. \text{Btwn}(f, x, y, y) \wedge \text{Btwn}(f, y, z, z) \Rightarrow \text{Btwn}(f, x, y, z) \vee \text{Btwn}(f, x, z, y)$
- $\forall f x y z. \text{Btwn}(f, x, y, z) \Rightarrow \text{Btwn}(f, x, y, y) \wedge \text{Btwn}(f, y, z, z)$
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# First-Order Axioms for Btwn

Almost in EPR!

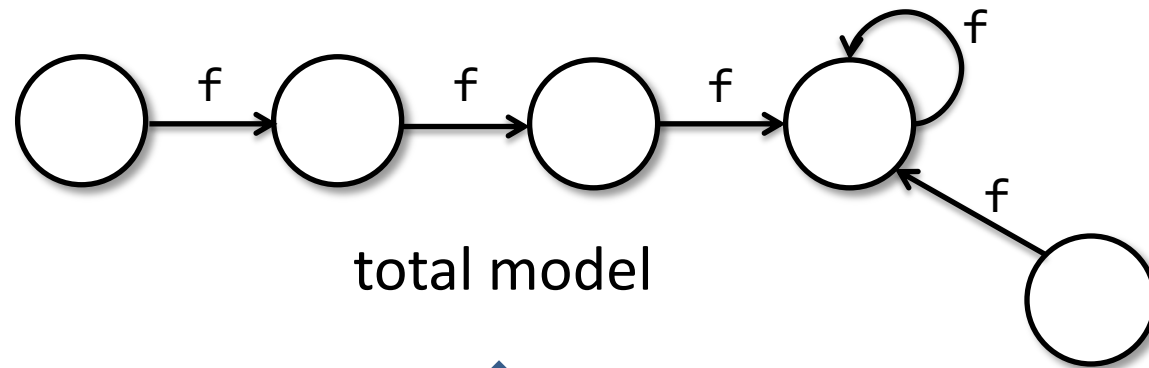
- $\forall f x. \text{Btwn}(f, x, x, x)$
- $\forall f x. \text{Btwn}(f, x, \text{sel}(f, x), \text{sel}(f, x))$
- $\forall f x y. \text{Btwn}(f, x, y, y) \Rightarrow x = y \vee \text{Btwn}(f, x, \text{sel}(f, x), y)$
- $\forall f x y. \text{sel}(f, x) = x \wedge \text{Btwn}(f, x, y, y) \Rightarrow x = y$
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Need to consider more general decidable fragments:

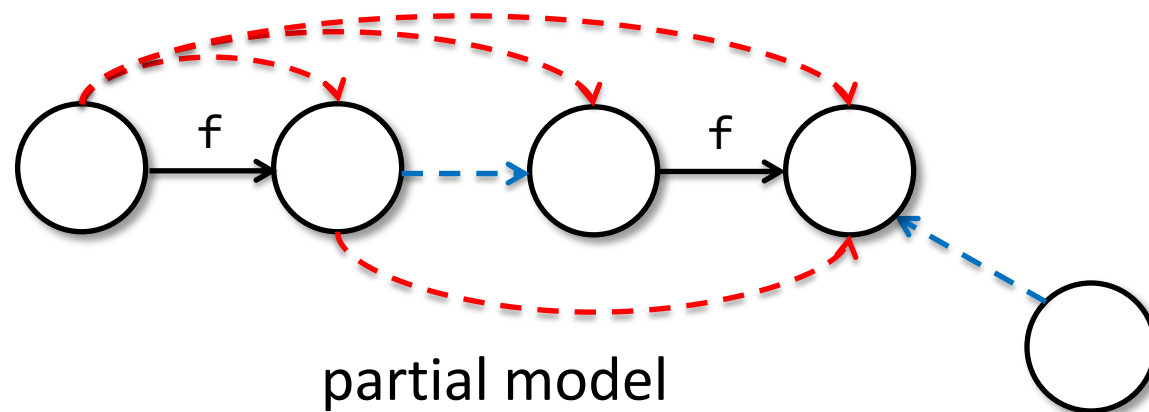
Local Theory Extensions

[Sofronie-Stokkermans, CADE'05], [Bansal et al., CAV'15]

# Model Completion for Local Theory Extensions

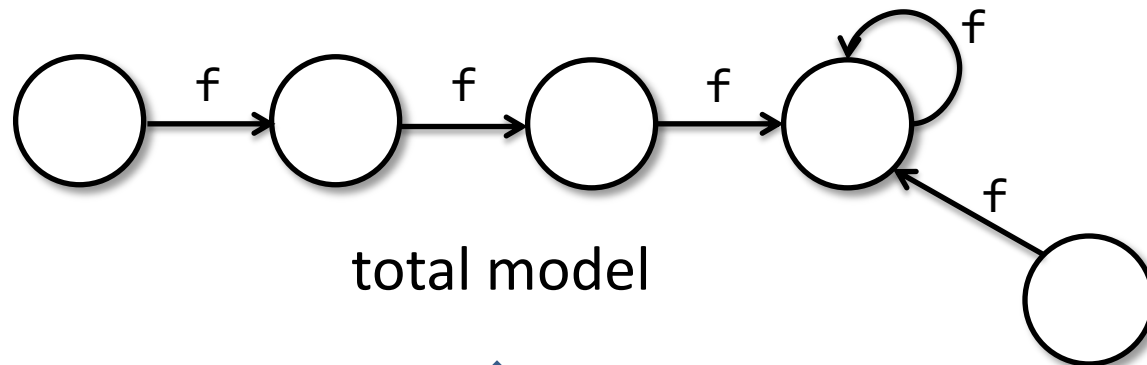


total model

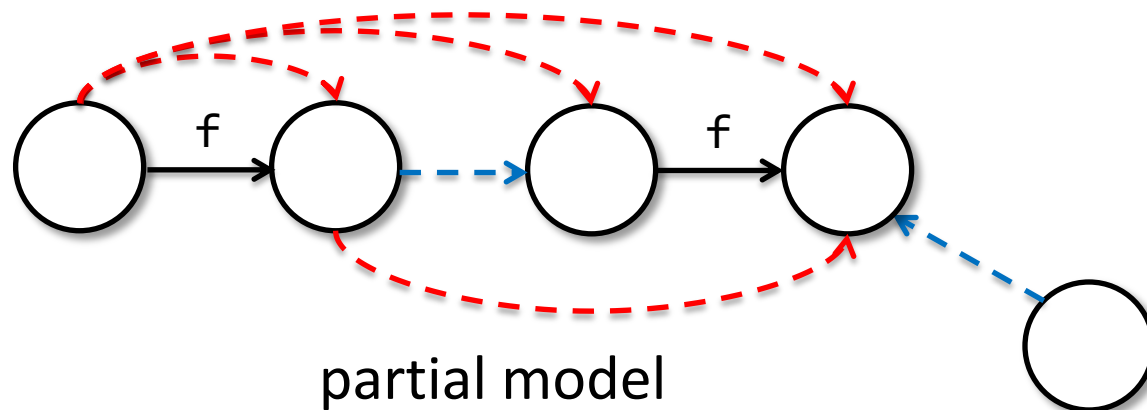


partial model

# Model Completion for Local Theory Extensions



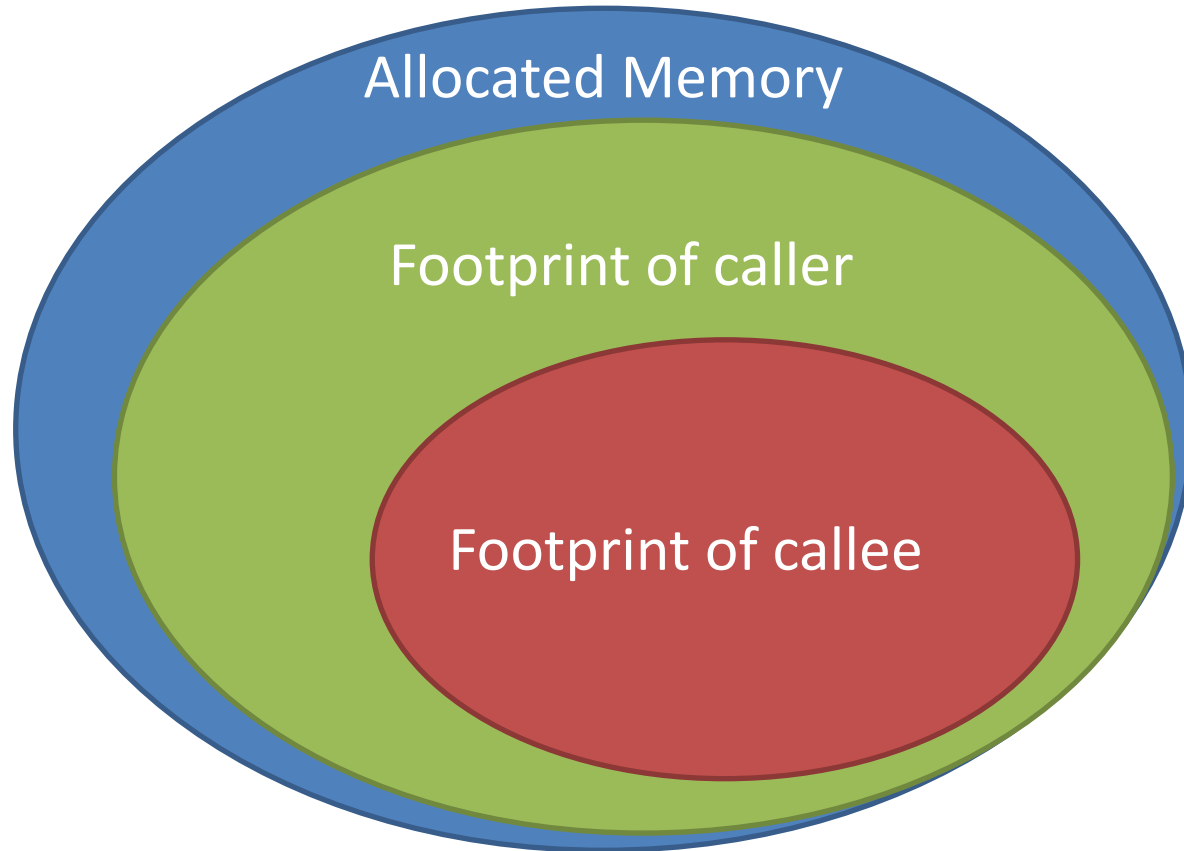
Yields NP decision procedure for GRASS



# The Frame Predicate

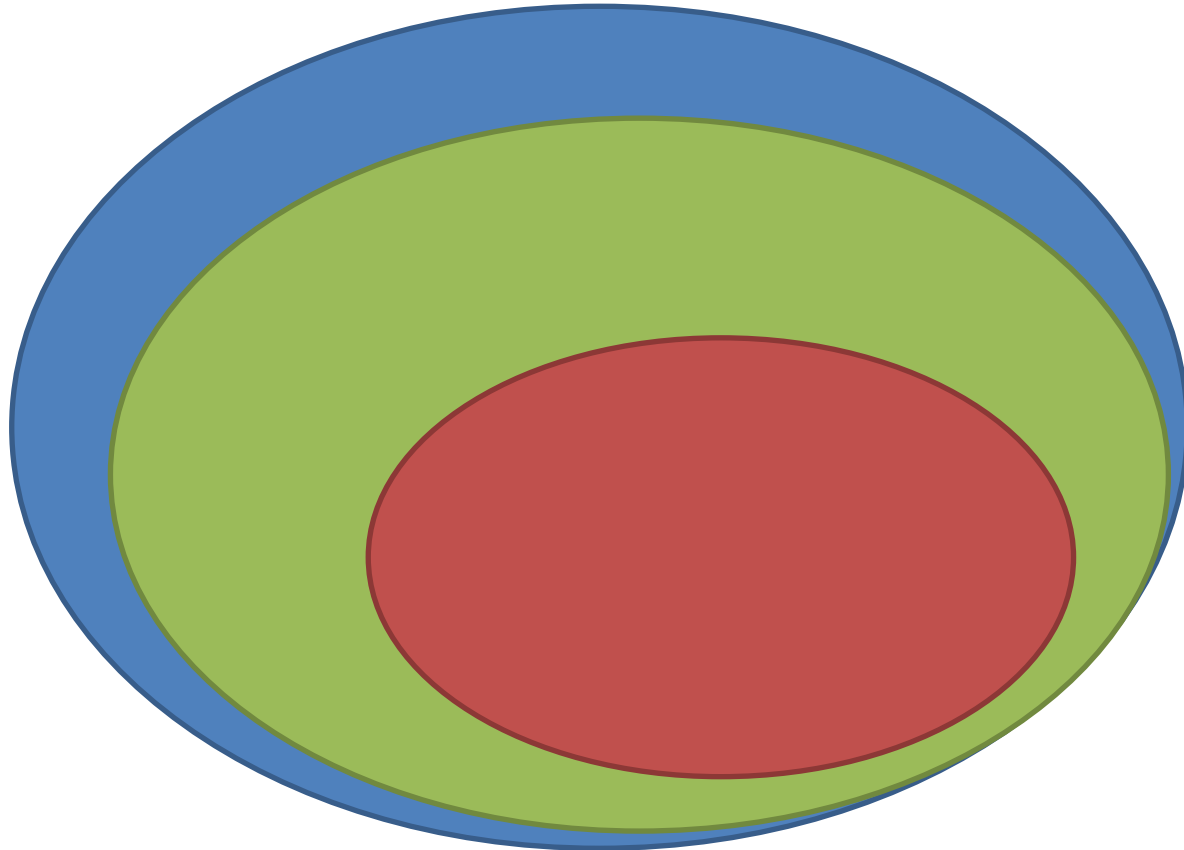
- $\text{Frame}(\text{Alloc}, \text{FP}, \text{next}, \text{next}') \equiv$   
 $\forall x. x \in \text{Alloc} \setminus \text{FP} \Rightarrow x.\text{next} == x.\text{next}'$
- Does not work with finite instantiation.
- Need to preserve reachability information in frame.

# Axiomatizing the Frame Predicate

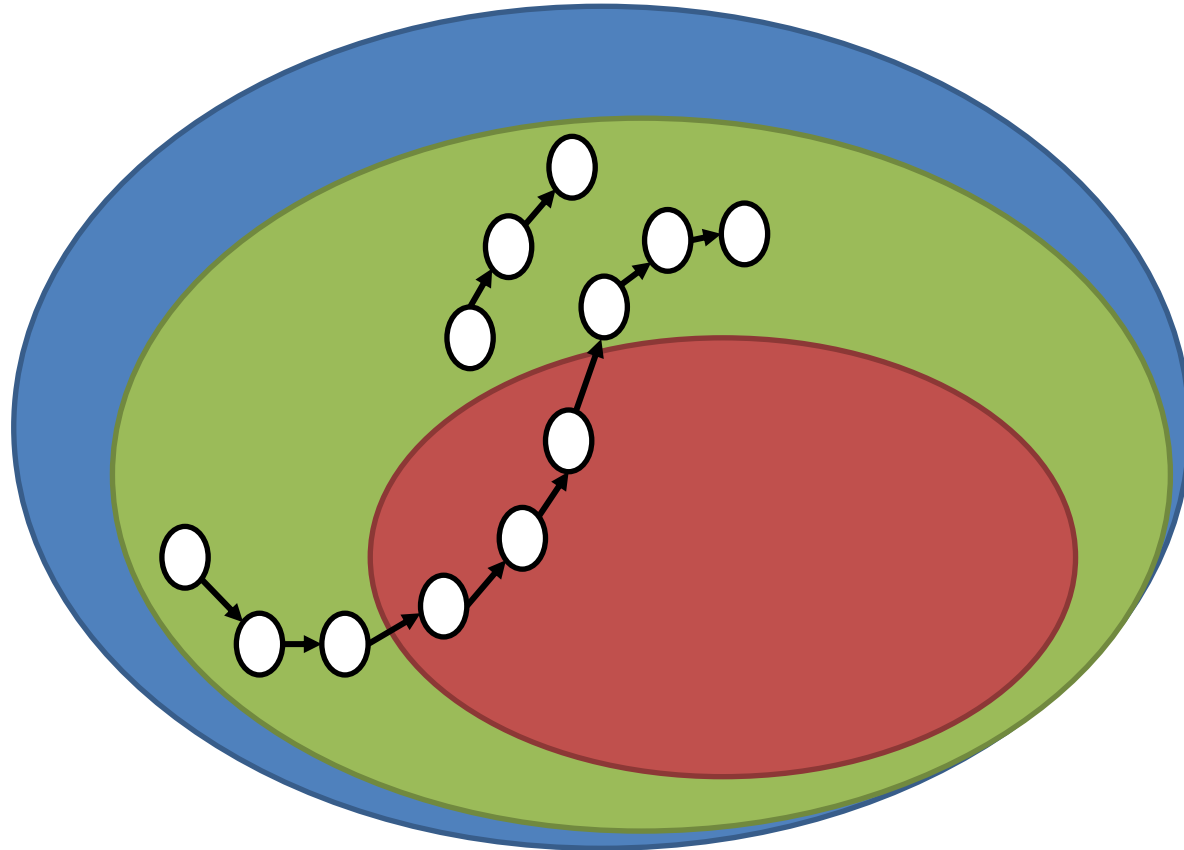




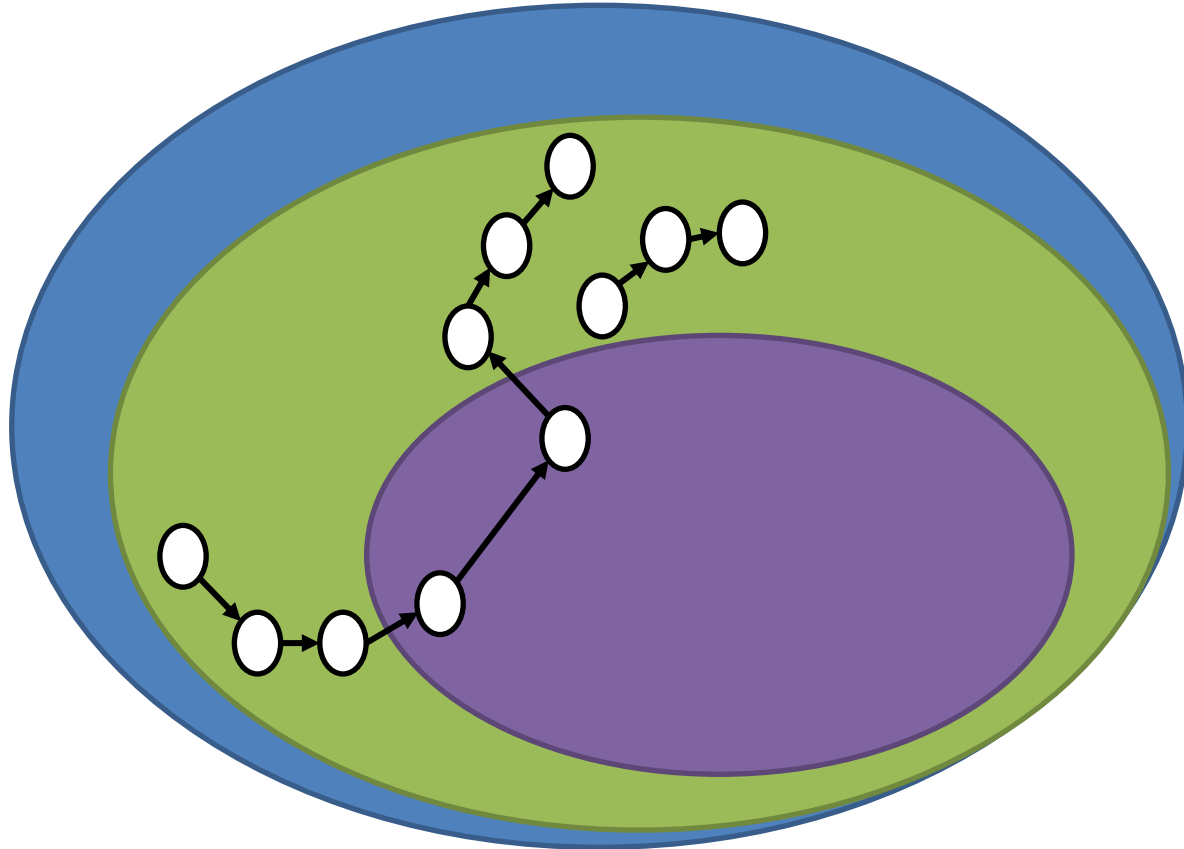
# Axiomatizing the Frame Predicate



# Axiomatizing the Frame Predicate

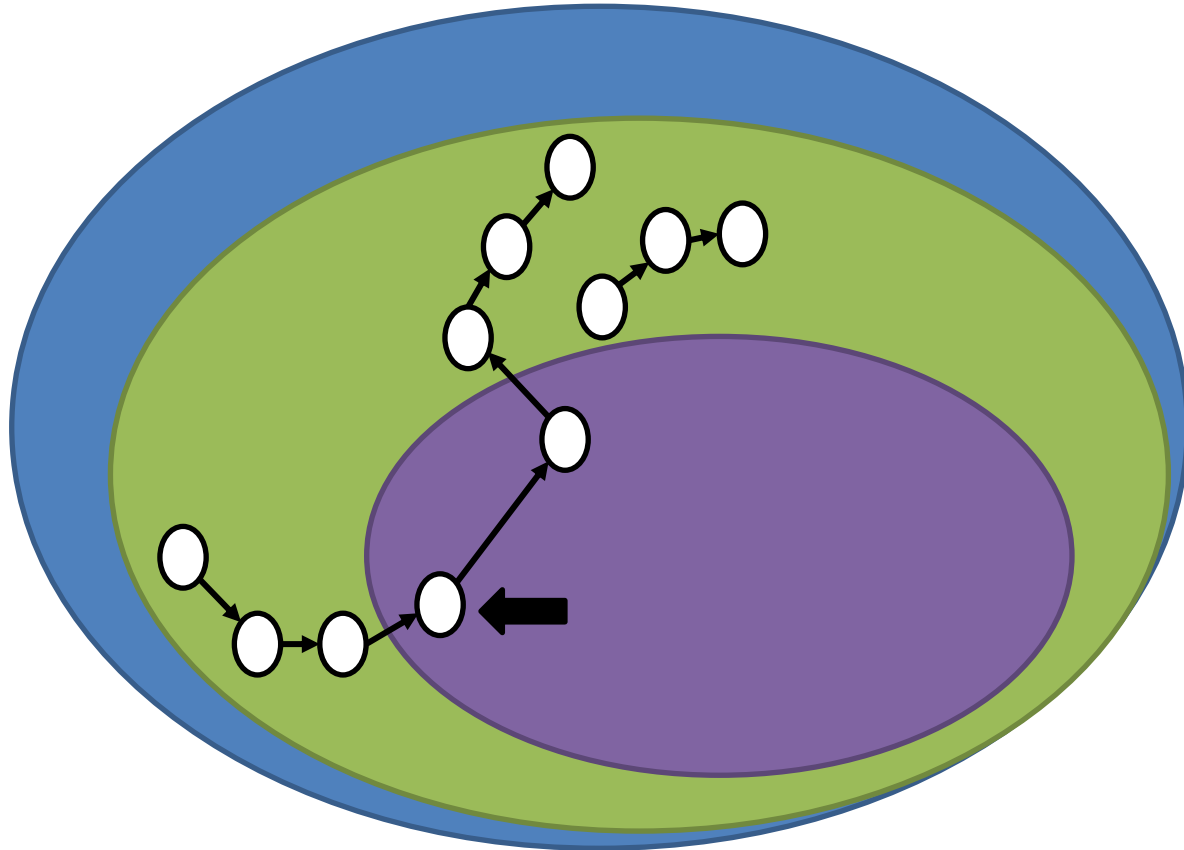


# Axiomatizing the Frame Predicate



Local changes have global effect on reachability

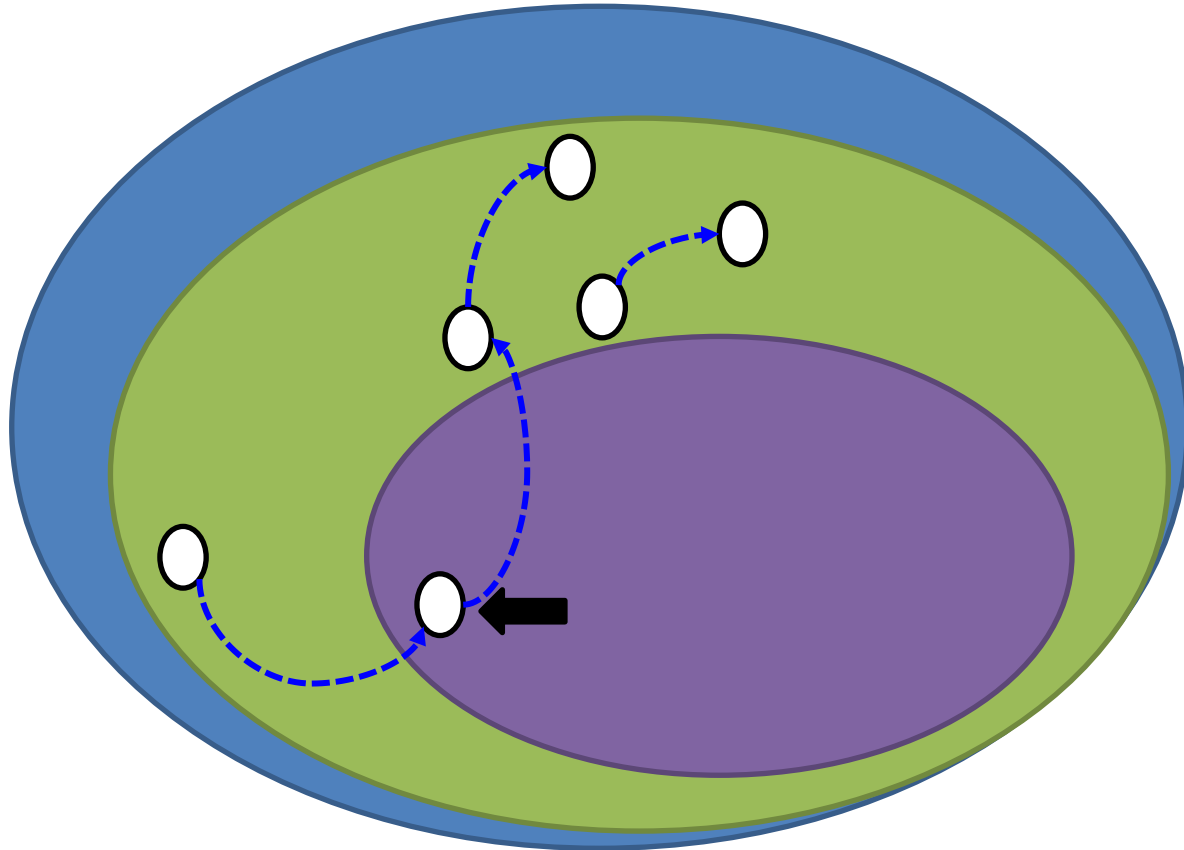
# Axiomatizing the Frame Predicate



Local changes have global effect on reachability

Track **entry points (ep)** into footprint **FP**

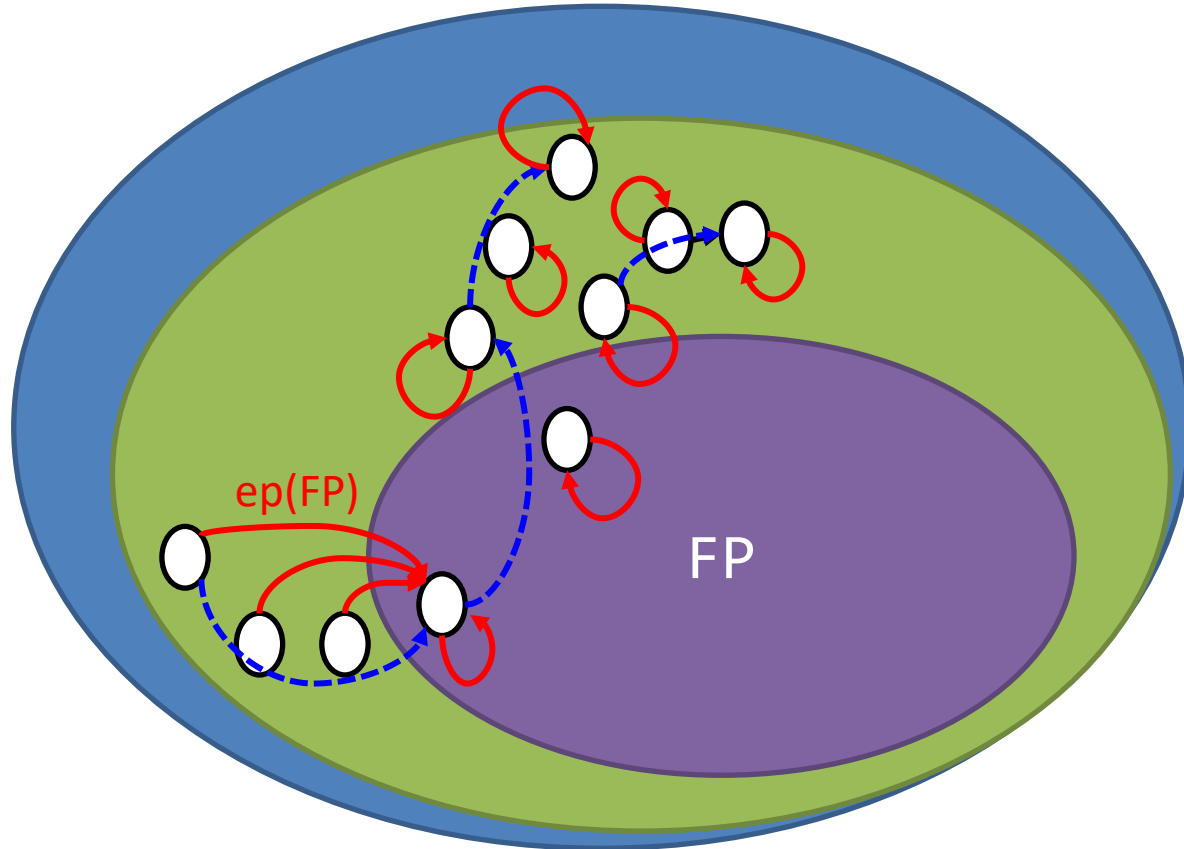
# Axiomatizing the Frame Predicate



Local changes have global effect on reachability

Track **entry points (ep)** into footprint **FP**

# Axiomatizing the Frame Predicate

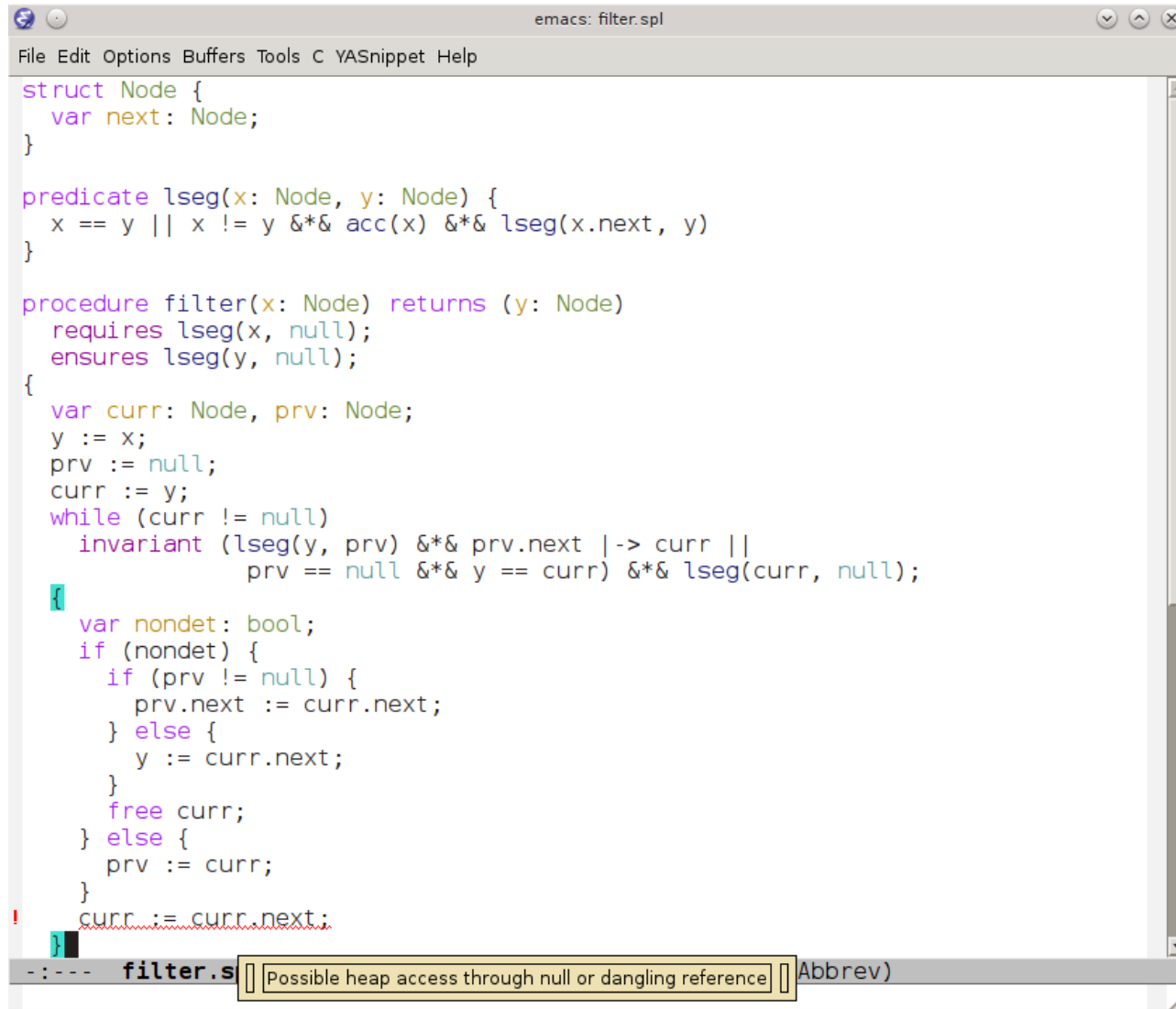


Local changes have global effect on reachability

Track **entry points (ep)** into footprint **FP**

# GRASShopper

<http://github.com/wies/grasshopper>



```
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struct Node {
  var next: Node;
}

predicate lseg(x: Node, y: Node) {
  x == y || x != y && acc(x) && lseg(x.next, y)
}

procedure filter(x: Node) returns (y: Node)
  requires lseg(x, null);
  ensures lseg(y, null);
{
  var curr: Node, prv: Node;
  y := x;
  prv := null;
  curr := y;
  while (curr != null)
    invariant (lseg(y, prv) && prv.next |-> curr ||
              prv == null && y == curr) && lseg(curr, null);
  {
    var nondet: bool;
    if (nondet) {
      if (prv != null) {
        prv.next := curr.next;
      } else {
        y := curr.next;
      }
      free curr;
    } else {
      prv := curr;
    }
  }
  curr := curr.next;
}

!
filter.s Possible heap access through null or dangling reference Abbrev)
```

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- Key features
  - C-like language with mixed SL/FOL specifications
  - Compiles to C
  - Supported back-end solvers: Z3 and CVC4
- Benchmarks (several thousand LoC)
  - List data structures
    - Singly/doubly linked, bounded/sorted, with content, ...
    - sorting algorithms, set containers, ...
  - Tree data structures (still in NP!)
    - Binary search trees, skew heaps, union/find, ...
  - Arrays
- Used as backend solver by other tools
  - Viper
  - Starling [Windsor et al. CAV'17]