

# Distribute Spanners

Spanners: For a graph  $G$ ,  
 a subgraph  $H$  is an  $\alpha$ -spanner  
 if  $\forall (u, v) \in E(G)$  we have  
 $d_H(u, v) \leq \alpha$

$\hookrightarrow$  length of the shortest  
 $u$  to  $v$  path in  $H$

## Baswana Sen Algorithm

- Input: Graph  $G$ , integer  $k$
- Output: A subgraph  $H$  that  
 is a  $(2k-1)$  spanner
- The idea is to gradually construct  
 $H$  by adding edges over iterations.  
 In each iteration we grow and  
 collapse clusters of small radius
- A cluster  $C$  is a subset of vertices

- A cluster  $C$  is a subset of vertices such that  $G[C]$  has a spanning tree  $T_C$  rooted at a vertex  $\sigma_C \in C$  [Center of cluster  $C$ ]

$$\text{radius}(C) = \text{height}(T_C) = \begin{cases} \text{max distance b/w} \\ \sigma_C \text{ and any } v \in C \\ \text{in } T_C \end{cases}$$

- Set  $H = (V, \emptyset)$   
 $\hookrightarrow$  no edges
- All vertices in  $V$  are marked as available

Phase 1: ( $k-1$  iterations)

- Iteration 1:
  - every vertex is a cluster by itself
  - So we have  $n$  clusters, each of radius 1
- $\mathcal{C}_1 = \{ \{v\} \mid v \in V \}$  is the

- $\mathcal{C}_1 = \{ \{v\} \mid v \in V \}$  is the collection of clusters after iteration 1
- Iteration  $i = 2, 3, \dots, k-1$ 
  - We have clusters  $\mathcal{C}_{i-1}$  from the previous iteration
  - for each cluster  $C \in \mathcal{C}_{i-1}$  the center  $\pi_C \in C$  randomly decides to become inactive with Probability  $= 1 - \frac{1}{n^{1/k}}$ 
    - if  $\pi_C \in C$  becomes inactive then the cluster  $C$  dissolves otherwise cluster  $C$  is active
  - ∴  $\Pr[C \text{ is active}] = \frac{1}{n^{1/k}}$
- Consider a vertex  $u$  such that the cluster  $\rightarrow C(u) \in \mathcal{C}_{i-1}$  dissolves  
cluster in  $\mathcal{C}_{i-1}$  containing  $u$

cluster in  $C_{i-1}$  containing  $x$

- if  $x$  has a neighbor  $y$  in  $G$  such that cluster  $C(y)$  is active
  - $x$  joins  $C(y)$  using edge  $(x, y)$

The cluster  $C(y)$  grows  
 $(x, y)$  becomes part of  
the rooted spanning tree  
 $T_{C(y)}$  of the grown cluster

- Other every neighbor of  $x$  is
  - in a dissolved cluster
  - or has dropped out
- All neighbors of  $x$  that are available (i.e. has not dropped out) lie in some dissolved cluster
  - Let  $y_1, \dots, y_\ell \in N_G(x)$

Let  $y_1, \dots, y_\ell \in V(G(u))$   
such that each  $y_i$  lies  
in a distinct (dissolved) cluster

- $u$  adds the edges  
 $(u, y_1), (u, y_2), \dots, (u, y_\ell)$   
to the spanner  $H$

- Then  $u$  drops out  
- i.e. it is marked as  
dropped out

- We do the above process  
for every vertex which is  
available and lies in a dissolved  
cluster

- Let  $C_1, C_2, \dots, C_\ell$  be the  
clusters that have remained  
active and possibly grown

- Let  $T_{C_i}$  be the rooted  
spanning tree of  $G[C_i]$

Ex: why? ←

- We may have grown  $C_i$  and increased  $\text{height}(T_{C_i})$  by at most 1
- We add all edges of  $T_{C_i}$  to the spanner  $H$

## - Phase 2:

- After the last  $(k-1)$ th iteration of Phase 1, we obtain the cluster  $\mathcal{C}_{k-1}$
- For each cluster  $C \in \mathcal{C}_{k-1}$ 
  - grow  $C$  to  $C'$  as follows:
    - for each  $v \in N_G(C)$ ,  
add  $v$  to  $C$  using edge  $(u, v)$ , where  $u \in N_G(v) \cap C$
- This gives a rooted spanning tree  $T'$  of  $G[C']$  such that  $\text{height}(T') = \text{height}(T) + 1$

$$\text{height}(T') = \text{height}(T) + 1$$

The rooted spanning tree of  $G[C]$

— Add all edges of  $T'$  to the spanner  $H$

— Output  $H$  as the spanner

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## Correctness

Lemma: If  $C \in \mathcal{C}_i$  then the spanning tree  $T_C$  of  $G[C]$  has  $\text{height}(T_C) \leq i$

Proof: In iteration 1,  
 $\forall C \in \mathcal{C}_1, \text{height}(T_C) = 1$

In each iteration  $i = 2 \dots k-1$   
 if the cluster remains active and grows,  $\text{height}(T_C)$  increases by at most 1.

by at most 1.

↳ why?

∴ lemma is true

□

Obs: At the end of iteration  $i$ , any vertex that has not yet dropped out lies in some cluster  $C \in \mathcal{C}_i$  → why?

Lemma: The output  $H$  of the algo is a  $(2k-1)$  spanner

Proof: Consider an edge  $(u,v)$  that is not in  $S$

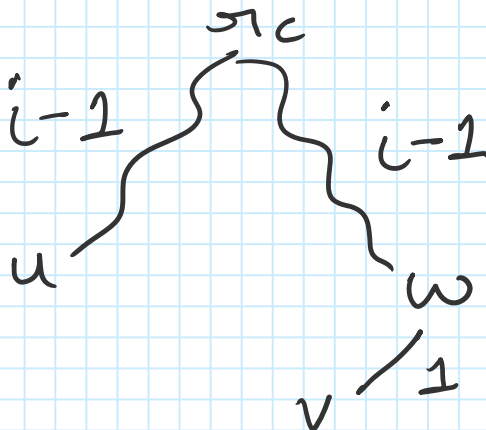
Suppose vertex  $v$  drops out at iter  $i \leq k-1$  of Phase 1  
and  $u$  drops out at iter  $i$  or later or never

– Since  $v$  drops out,  
all clusters containing  $a$



all clusters containing a neighbor of  $v$  were dissolved at iter  $i$

- $v$  picks an arbitrary neighbor to each such cluster  $C$
- let  $v$  pick  $w \in C$  where  $C$  contains  $u$
- Then  $C \in \mathcal{C}_{i-1}$ , which was active at iter  $i-1$ , added  $T_C$  to spanner  $H$ 
  - $\text{height}(T_C) \leq i-1$   
(above lemma)
- $\therefore$  we have a path in  $H$  from  $v$  to  $u$  as follows



length of this path is at most  
 $2(i-1)+1 \leq 2i-1$

- The other case is when both endpoints  $u$  and  $v$  of the edge are available after iter  $(k-1)$  of Phase 1
  - if  $u$  and  $v$  lie in the same cluster  $C$  of  $C_{k-1}$ 
    - $\Rightarrow$  we have a path of length  $2k-2$  from  $T_C$
  - otherwise  $v \in N_G(C(u))$ 
    - $\Rightarrow v \in C'(u)$  from Phase 2
      - $\circ \circ T'_{C(u)}$  has a path of length  $2k-1$  between  $u$  and  $v$ , that is also in  $H$

Lemma:  $H$  contains  $O(k n^{1+1/k} \log n)$  edges with prob  $\geq 1 - 1/n^{98}$

Pf: In phase 1, in iteration  $i$

The clusters in  $\mathcal{C}_i$  are vertex disjoint

- we add a spanning tree to  $H$  for each cluster in  $\mathcal{C}_i$

$\Rightarrow$  at most  $(n-1)$  edges in total

- Some vertices drop out in this iteration, at which point they add some edges to  $H$

Consider a vertex  $v$  that has neighbors in  $c n^{1/k} \log n$  clusters of  $\mathcal{C}_{i-1}$

$\uparrow$  a constant we fix to 101

Claim:  $\Pr_{\text{iteration } i} [v \text{ drops out in}] \leq \frac{1}{n^c}$

Proof:  $\Pr[v \text{ drops out}]$   
 $= \Pr[\text{every cluster of } \mathcal{C}_{i-1} \text{ with a neighbor of } v \text{ dissolves}]$   
 $\leq \left(1 - \frac{1}{n^{1/k}}\right)^{cn^{1/k} \log n}$   
 $\leq (1/e)^{c \log n}$   
 $= \frac{1}{n^c}$

Call  $v$  as High Degree if  
 $v$  has neighbors in  $cn^{1/k} \log n$   
clusters of  $\mathcal{C}_{i-1}$

$$\Pr[\text{some high degree vertex drops out in iter } i] \leq n \times \frac{1}{n^c} = \frac{1}{n^{c-1}}$$

$$= \frac{1}{n^{c-1}}$$

◦◦ with  $P_n \geq 1 - \frac{1}{n^{c-1}}$  every vertex that drops out at iter  $i$  has neighbors in  $\leq c n^{1/k} \log n$  clusters of  $C_{i-1}$

— so every vertex that drops out in iter  $i$  adds  $c n^{1/k} \log n$  edges to  $H$  with  $P_n \geq 1 - \frac{1}{n^{c-1}}$

◦◦ total number of edges added to  $H$  by all vertices that drop out at iter  $i$  is at most  $c n^{1+1/k} \log n$  with  $P_n \geq 1 - \frac{1}{n^{c-1}}$

◦◦ the  $i$ -th iteration adds  $O(n^{1+1/k} \log n)$  edges to  $H$

$O(n \log n)$  edges to  $T$   
with  $P_T \geq 1 - \frac{1}{n^{c-1}}$

$$\begin{aligned} \therefore P_T & \left[ \text{this happens over all } (k-1 \text{ items}) \right] \text{ (Bad Event)} \\ &= 1 - P_T [\text{Bad Event happens for some item } i] \\ &\leq 1 - (k-1) \frac{1}{n^{c-1}} \text{ (union bound)} \\ &\leq 1 - \frac{1}{n^{c-2}} \end{aligned}$$

We also need to consider Phase 2

- Bound number of clusters in  $\mathcal{C}_{k-1}$
- we start with  $n$  clusters in iter 1 (i.e.  $|\mathcal{C}_1| = n$ )
- Then in each iteration  $i$ , the center of clusters

the center of clusters  
that were active in iter  $i-1$   
randomly decide to dissolve  
it's cluster, or stay active

- consider vertex  $v \in V$

$\Pr[v\text{'s cluster is active after } k-1 \text{ iterations}]$

$$= \left( \frac{1}{n^{1/k}} \right)^{k-1}$$

$$= \frac{1}{n^{k-1/k}}$$

$$\therefore \mathbb{E}[\text{active clusters after } k-1 \text{ iter}] = n \cdot \frac{1}{n^{k-1/k}} = n^{1/k}$$

$\Pr[\text{more than } 2n^{1/k} \text{ active clusters after } k-1 \text{ iter}]$  (Bad Event)

(Chernoff bounds)

$$\leq \frac{1}{e} n^{1/k} / 3$$

(Chernoff bounds)

$$P[X \geq (1+\delta)\mu]$$

$$\leq \frac{1}{e} \frac{\delta^2 \mu}{2+\delta}$$

$$\mu = E[X] = n^{1/k}$$

$$\left. \begin{array}{l} X = X_1 + X_2 + \dots + X_n \\ X_i = 1 \text{ if } v_i \text{'s cluster is active} \end{array} \right\}$$

$$\leq \frac{1}{n^{100}} \quad (\text{when } n \text{ is large enough and } k \text{ is const})$$

$$n^{100} < e^{n^{1/k}/3}$$

$$\Rightarrow 300 \log n < n^{1/k}$$

$$\Rightarrow \log 300 + \log \log n \leq \frac{\log n}{k}$$

$$\therefore P[\text{at most } 2n^{1/k} \text{ clusters in } C_{k-1}] \geq 1 - \frac{1}{n^{100}}$$



For each of these  $2n^{1/k}$  clusters  
 we add upto  $n$  new edges  
 ( $C \in \mathcal{C}_{k-1}$  grows to  $C' \subseteq C \cup N_G(C)$ )

∴ in total we add  
 $2n^{1+1/k}$  new edges to  $H$   
 in Phase 2

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— In total over Phase 1 and 2  
 we add  $O(kn^{1+1/k} \log n)$  edges  
 to the spanner  $H$

— Now to bound total error probability

$\Pr[\text{Bad Event}]$

$= \Pr[\text{Bad Event in Phase 1}] + \Pr[\text{Bad Event in Phase 2}]$

$$101 \left[ \text{Bad Event in Phone 1} \right] + 101 \left[ \text{Bad Event in Phone 2} \right]$$

$$\leq \frac{1}{n^{c-2}} + \frac{1}{n^{100}}$$

$$\leq \frac{1}{n^{98}} \quad (\text{for } c=101)$$