

# Parameterized Algorithms

## Lecture 5: Kernelization II

June 05, 2020

Max-Planck Institute for Informatics, Germany.

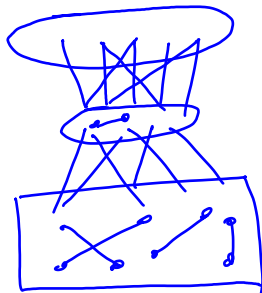
## More Kernelization techniques

# Crown Decomposition

## Crown Decomposition

A partition of the vertex set of a graph into 3 parts (crown)  $C$ , (head)  $H$  and (the rest)  $R$ , such that:

- $C$  is non-empty and an independent set, with edges to vertices of  $H$  alone.
- The bipartite graph between  $C$  and  $H$  in  $G$  contains a matching of size  $|H|$ .



# Crown Decomposition

## Lemma

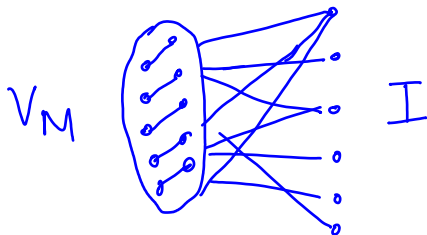
*Let  $G$  be a graph on at least  $3k + 1$  vertices. Then in polynomial time, either we can find a matching of size  $k + 1$  or a Crown Decomposition of  $G$ .*

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- Find a greedy matching  $M$  of  $G$ , if  $|M| \geq k + 1$  we are done
- Else  $V_M$  be the endpoints of  $M$  and  $I = V(G) \setminus V_M$
- Consider the bipartite graph  $G'$  between  $V_M$  and  $I$ , compute a minimum vertex cover  $X$  of  $G'$



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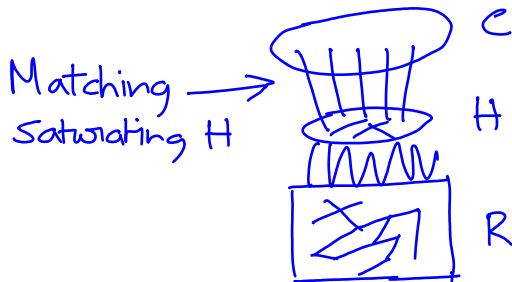
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- Else  $V_M$  be the endpoints of  $M$  and  $I = V(G) \setminus V_M$
- Consider the bipartite graph  $G'$  between  $V_M$  and  $I$ , compute a minimum vertex cover  $X$  of  $G'$
- If  $X \cap V_M = \emptyset$ , then  $|I| \leq k$ , and hence  $|V(G)| \leq 3k$
- Else,  $M'$  be a maximum matching in  $G'$ , and  $M^*$  is subset of edges with exactly one endpoint in  $X$ .
- Crown Decomposition:

$$C = V(M^*) \cap I, H = V(M^*) \cap X, R$$

# Crown Decomposition

VERTEX COVER kernel on  $3k$  vertices.

- Remove all isolated vertices in  $G$
- Find a Crown Decomposition  $(C, H, R)$  or a  $k + 1$  matching
- In the former case, the reduced instance is  ~~$(G - C, k - |C|)$~~
- In the latter case, a trivial no instance  $(G - C \cup H, k - |H|)$





# Linear Programming

# Linear Programming

$$\min \sum_{v \in V(G)} x_v$$

$$x_u + x_v \geq 1 \quad \forall (u, v) \in E(G)$$

$$x_v \geq 0 \quad \forall v \in V(G)$$

Consider a (fractional) optimal solution  $x$

$$V_0 = \{v \mid x_v < \frac{1}{2}\}, V_{\frac{1}{2}} = \{v \mid x_v = \frac{1}{2}\}, V_1 = \{v \mid x_v > \frac{1}{2}\}$$

Theorem (Nemhauser-Trotter)

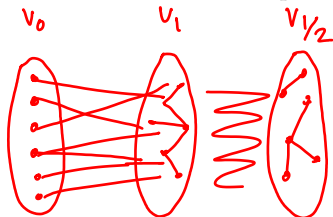
*There is an optimum vertex cover  $S$  such that  $V_1 \subseteq S \subseteq V_1 \cup V_{\frac{1}{2}}$*

# Linear Programming

- Observe:  $V_0$  is an independent set, and has edges only to  $V_1$ .
- Given an optimum vertex cover  $S'$ , let  $S = (S' \setminus V_0) \cup V_1$ .
- If  $|S| > |S'|$  then  $|S' \cap V_0| < |V_1 \setminus S'|$
- Let  $\epsilon = \min_{v \in V_1 \cup V_0} |\frac{1}{2} - x_v|$
- Consider the LP solution where,

we decrease  $x_v$  by  $\epsilon$  for  $v \in V_1 \setminus S'$   
we increase  $x_v$  by  $\epsilon$  for  $v \in V_0 \cap S'$

- It is feasible, and smaller than LP-Opt! Hence,  $|S| = |S'|$



# Linear Programming

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## Theorem (Nemhauser-Trotter)

*There is an optimum vertex cover  $S$  such that  $V_1 \subseteq S \subseteq V_1 \cup V_{\frac{1}{2}}$*

- Reduction Rule: Delete  $V_0 \cup V_1$ , and reduce  $k$  by  $|V_1|$ .
- When reduction doesn't apply, every vertex is in  $V_{\frac{1}{2}}$ , i.e. there are  $2k$  Vertices.

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Vertex Cover has a kernel on  $2k$  vertices

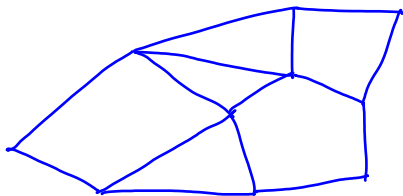
# Planar Graphs

## CONNECTED VERTEX COVER in Planar Graphs

- Planar Graphs: Graphs that can be drawn on a plane, without crossing edges.
- Euler's formula:  $f = |E(G)| - |V(G)| + 2$

### Lemma

Let  $G$  be a planar graph and  $A$  be a subset of vertices. Then  $G - A$  has at most  $2|A|$  connected components that see  $3$  vertices of  $A$ .



## CONNECTED VERTEX COVER in Planar Graphs

CONNECTED VERTEX COVER: Find a vertex cover  $X$  of size  $k$  such that  $G[X]$  is connected.

- Remove all isolated vertices, and  $G$  must be connected with at least 3 vertices
- Keep at most one degree-1 neighbors of a vertex
- If  $v$  is a degree-2 cut vertex, contract it;  $k$  drops by 1.

### Lemma

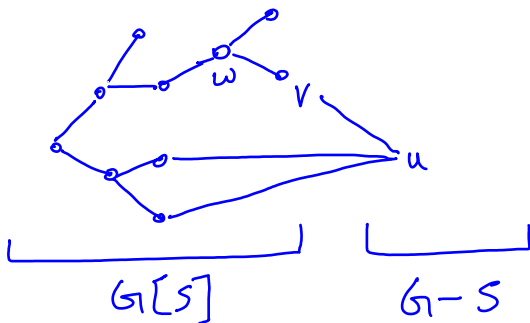
*If  $v$  is a degree-2 vertex, but not a cut vertex, then there is an optimum CVC  $S$  that excludes  $v$*



## CONNECTED VERTEX COVER in Planar Graphs

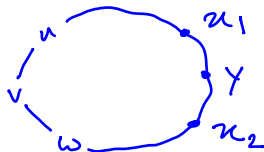
- If  $v \in S$ , then one of its two neighbors  $u, w$  in  $S$
- Suppose  $v, w \in S$ , and  $u \notin S$ , then consider  $S' = S - v + u$
- $S'$  is a connected vertex cover

Consider a spanning tree of  $G[S]$ ,  $v$  is a leaf there, and all other neighbors of  $u$  are present in  $S$ .



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Consider a spanning tree of  $G[S]$ ,  $v$  is a leaf there, and all other neighbors of  $u$  are present in  $S$ .
- Otherwise  $u, v, w \in S$ . Then  $S - v$  is a vertex cover but perhaps not connected. Let  $X_1, X_2$  be two components of  $G[S] - v$ .
- Consider a cycle  $C$  in  $G$  contain  $u, v, w$ .  
There are 3 consecutive vertices in  $C - v$ , say  $x_1 y x_2$  such that  $x_1 \in X_1, x_2 \in X_2$  and  $y \notin S$
- $S - v + y$  is a connected vertex cover



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## Lemma

*When no reduction rules apply, if  $G$  has a CVC of size  $k$ , then  $|V(G)| \leq 4k$ .*

Recall,  $G - S$  can have at most  $2k$  vertices that see 3 or more vertices of  $S$ . Any other vertex is a degree-2 vertex, which can be reduced, or a degree-1 vertex, of which there are at most  $k$ . Hence, at most  $4k$  vertices.

# Kernelization Lower Bounds

## Intuition

- $k$ -PATH: Decide if  $G$  contains a path of length  $k$ .
- Suppose that  $k$ -PATH has a kernel of size  $k^3$ .

It can be encoded in  $k^6$  bits.

- Consider a collection of  $t$  instances of  $k$ -PATH, for  $t = k^7$ .

$$(G_1, k), (G_2, k) \dots (G_t, k)$$

- $G = G_1 \cup G_2 \dots G_t$  has a path of length  $k$  if and only if one of  $G_i$  does.

i.e.  $(G, k)$  is an OR of  $(G_1, k) \dots, (G_t, k)$

- Let  $(H, k')$  be the kernel for  $(G, k)$ .  
 $(H, k')$  has “lost information” about some of the  $t$  instances!
- The Kernelization algorithm must have “solved” these forgotten instances.

NP-hard problem in polytime!

## Distillation

- Let  $L, R \subseteq \Sigma^*$  be two languages. An OR-distillation of  $L$  into  $R$  is an **algorithm** that given a sequence of strings  $x_1, x_2, \dots, x_t \in \Sigma^*$  each of maximum length  $\ell$ , runs in polynomial time in the total length of these strings and produces a string  $y \in \Sigma^*$  such that  $|y| = \text{poly}(\ell)$  and  $y \in R$  if and only if some  $x_i \in L$ .
- A language  $L$  is in the complexity class  $\text{coNP/poly}$  if there is a Turing machine  $M$  and for each integer  $n$ , there is a string  $\alpha_n$  of length  $\text{poly}(n)$ , called *advice* such that given any string  $x \in \Sigma^n$ , using  $\alpha_n$   $M$  can decide if  $x \in L$  in *non-deterministic polynomial time*.

### Theorem

Let  $L, R \subseteq \Sigma^*$  be two languages. If there is an OR-distillation of  $L$  into  $R$ , then  $L \in \text{coNP/poly}$ .

If  $L$  were NP-hard, then  $\text{NP} \subseteq \text{coNP/Poly}$

## Kernelization + Composition $\implies$ Distillation

- An equivalence relation  $R$  on the set  $\Sigma^*$  is called a polynomial equivalence relation if (i) there exists an algorithm that, given strings  $x, y \in \Sigma^*$ , resolves whether  $x \equiv_R y$  in time polynomial in  $|x| + |y|$ ; and (b) Relation  $R$  restricted to the set  $\Sigma^n$  has at most  $poly(n)$  equivalence classes.
- Let  $L \subseteq \Sigma^*$  be a language and  $Q \subseteq \Sigma^* \times N$  be a parameterized language. We say that  $L$  cross-composes into  $Q$  if there exists a polynomial equivalence relation  $R$  and an algorithm  $A$  that takes as input a sequence of strings  $x_1, x_2, \dots, x_t \in \Sigma^*$  that are equivalent with respect to  $R$ , runs in time polynomial in total length of the strings, and outputs one instance  $(y, k') \in \Sigma^* \times N$  such that:
  - (a)  $k' \leq poly(k + t)$  where  $k$  is the max length a string  $x_i$ , and
  - (b)  $(y, k') \in Q$  if and only if some  $x_i \in L$

### Theorem (Main Tool)

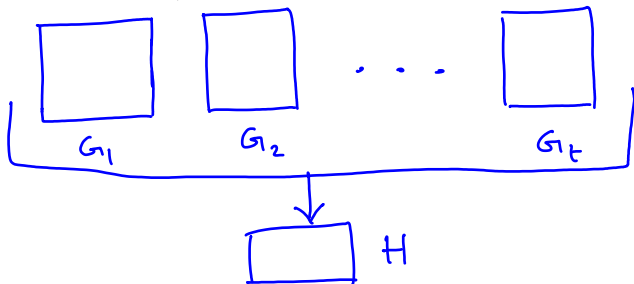
*If an NP-hard language  $L$  cross-composes into a parameterized language  $Q$ , then  $Q$  does not admit a polynomial compression, unless  $NP \subseteq coNP / poly$ .*



## $k$ -PATH

HAM-PATH cross-composes into  $k$ -PATH

- Equiv Relation  $R$ : all malformed instances (in  $\Sigma^*$ ) in one-class, and all well-formed instances in another.
- Given  $t$  instances of HAM-PATH  $G_1, G_2, \dots, G_t$  on  $n$ -vertices, let  $(G, k)$  where  $G = G_1 \cup \dots \cup G_t$  and  $k = n$  be an instance of  $k$ -PATH.
- Therefore  $k$ -PATH has no polynomial kernel (or compression).



## $k$ -PATH

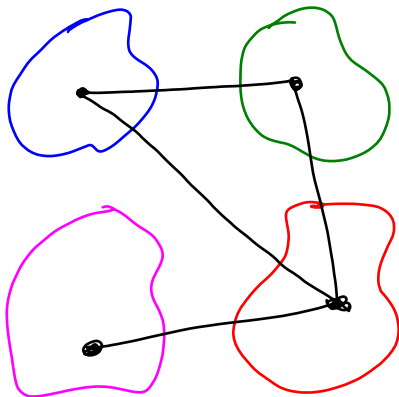
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Similarly we have AND-Distillation and AND-Composition

# GRAPH MOTIF

GRAPH MOTIF: Given a graph  $G$ , integer  $k$  and a coloring  $c$  of  $V(G)$  using  $k$  colors, find a connected subgraph  $H$  on  $k$  vertices with exactly one vertex of each color.



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OR-Composition:  $t$  instances with same number of colors  $k$

$$(G_1, k, c_1), (G_2, k, c_2), \dots, (G_t, k, c_t)$$

Define  $(G, k, c)$  via disjoint union

$(G, k, c)$  has a colorful motif  $H$  if and only if some  $(G_i, k, c_i)$  does

### Lemma

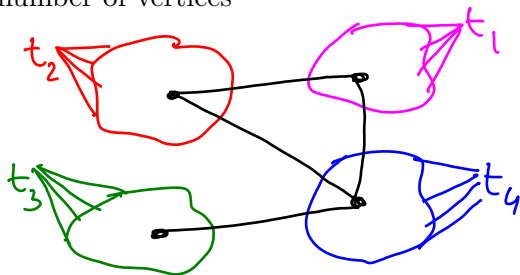
GRAPH MOTIF *has no polynomial kernel parameterized by the number of colors  $k$ .*

# STEINER TREE par. by tree-size

Polynomial Parameter Transform: A polynomial time reduction that preserves the parameter value up to a polynomial factor, (i.e.  $k$  becomes  $poly(k)$ ).

GRAPH MOTIF to STEINER TREE par. by tree-size

- Given  $(G, k, c)$ , construct  $G'$  by add  $k$  new terminal vertices adjacent to each color class. Consider  $(G', T, \ell)$  as the STEINER TREE INSTANCE where  $\ell = 2k$ .
- Note: Tree-size  $\ell =$  number of vertices



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### Theorem

STEINER TREE *parameterized by the tree-size, has no polynomial kernel.*

Thank You.