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# Gibbard-Satterthwaite Theorem (and a bit about Revelation Principle)

Mechanism Design Without Money

Kurt Mehlhorn, **Javier Cembrano**, Golnoosh Shahkarami

April 29, 2025



# Social Choice Functions

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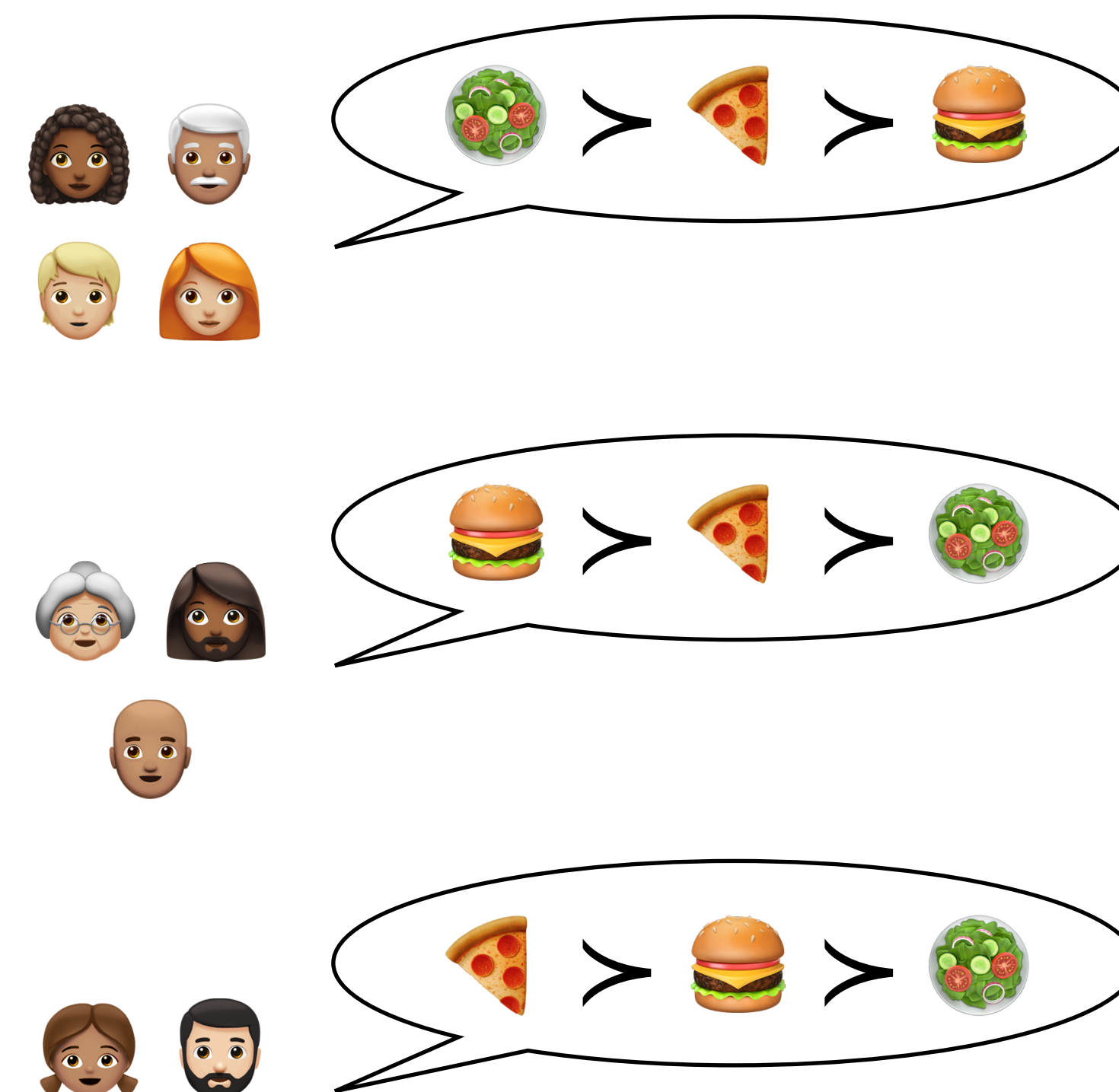


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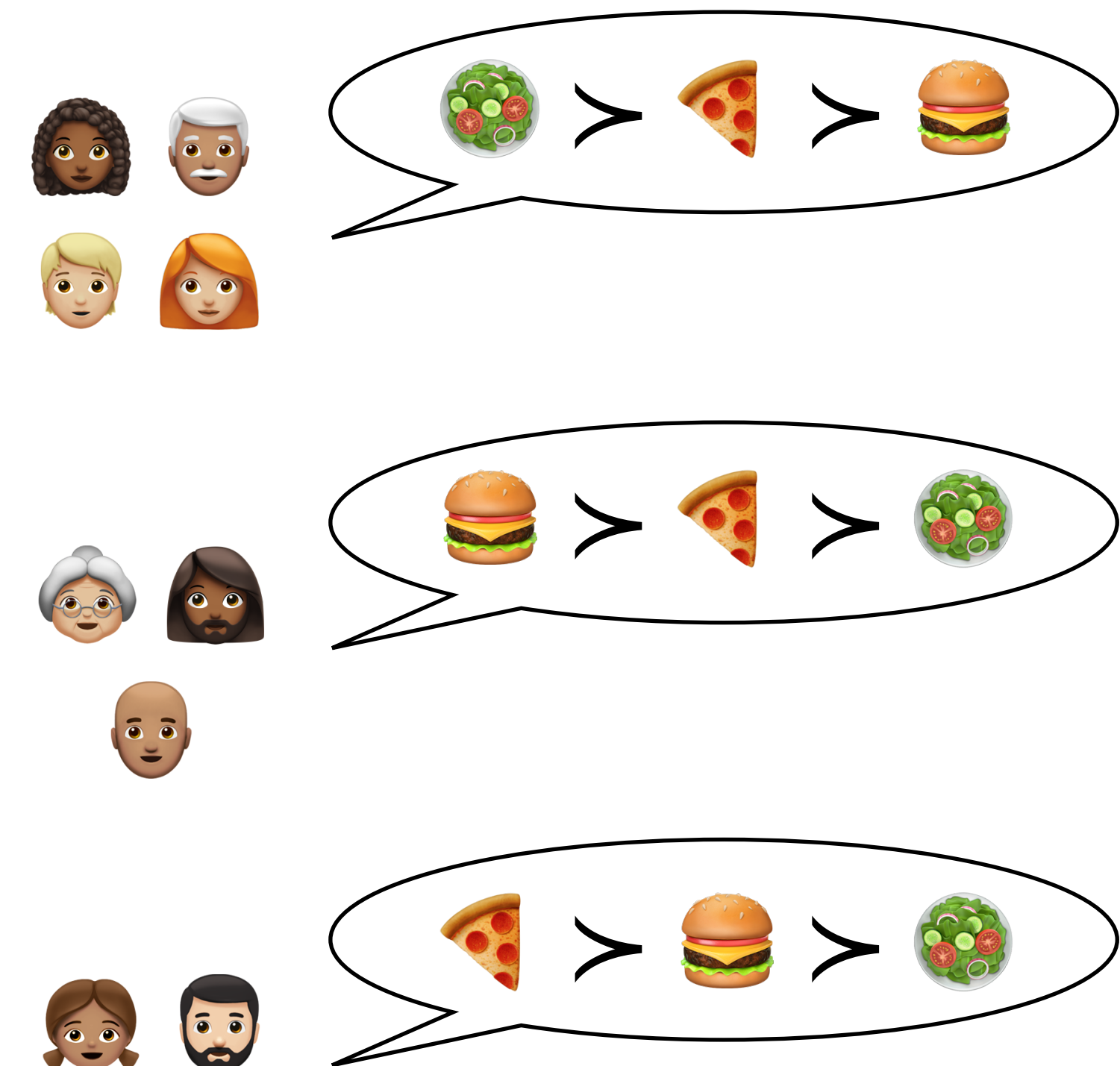


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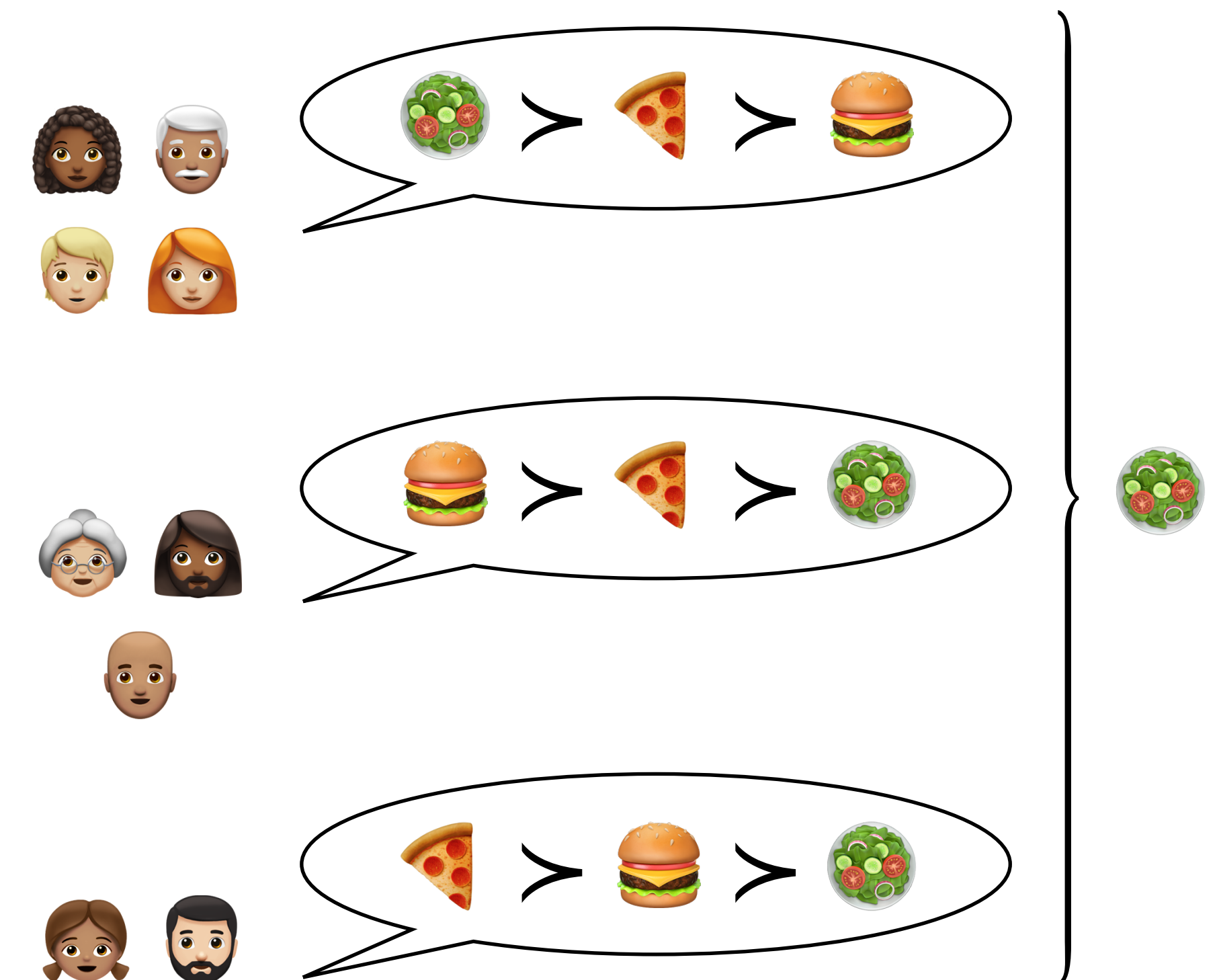


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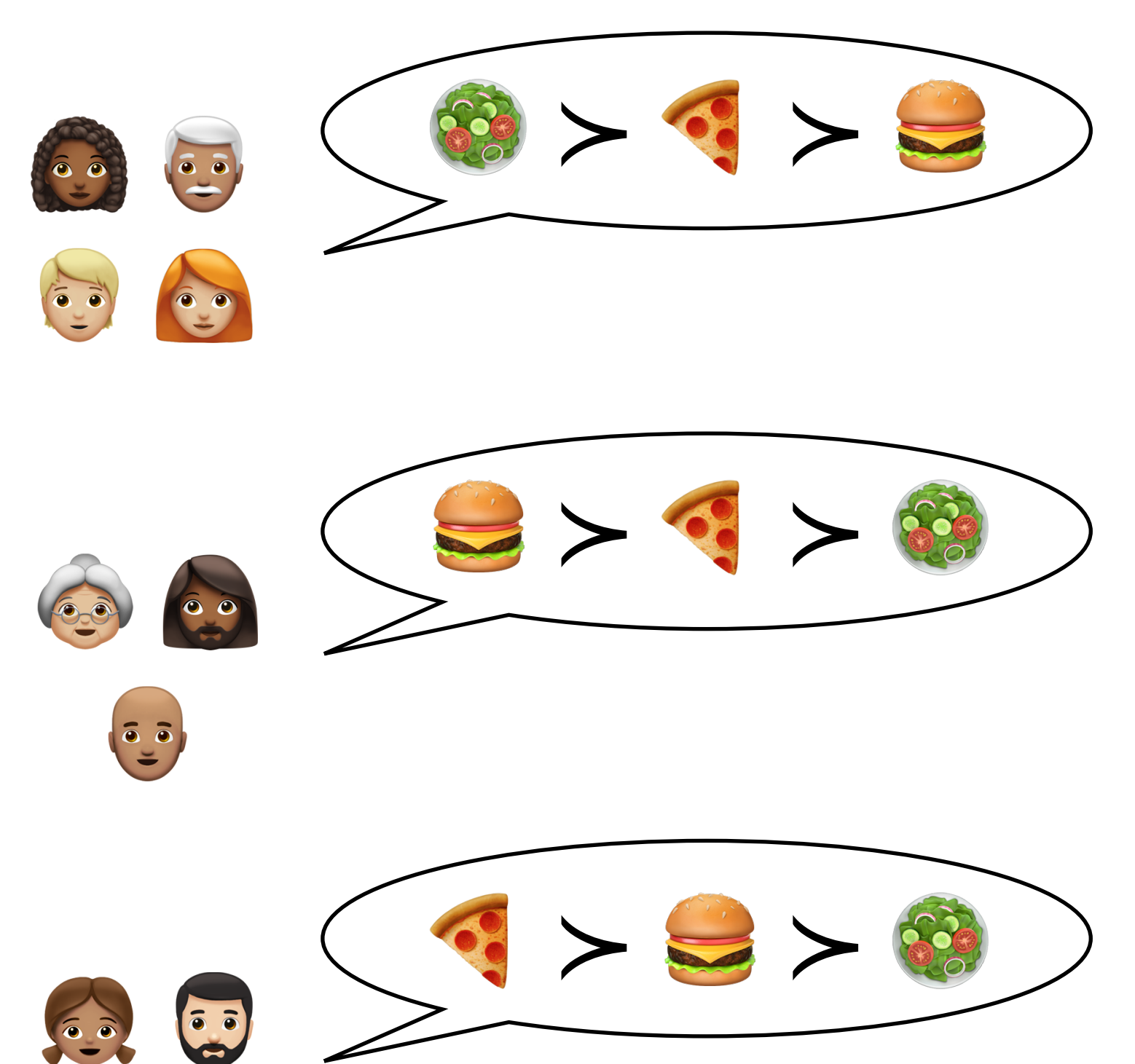
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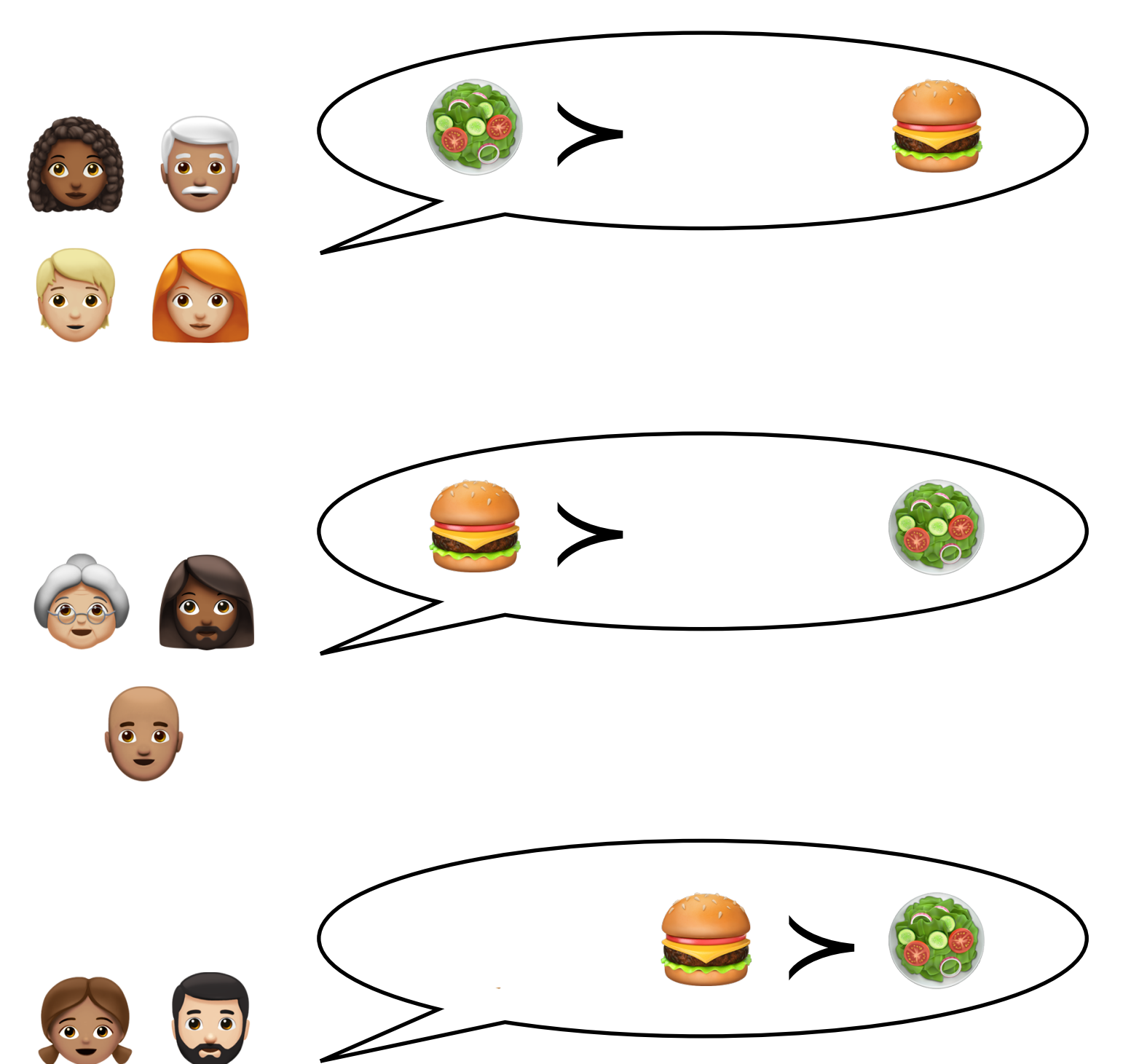
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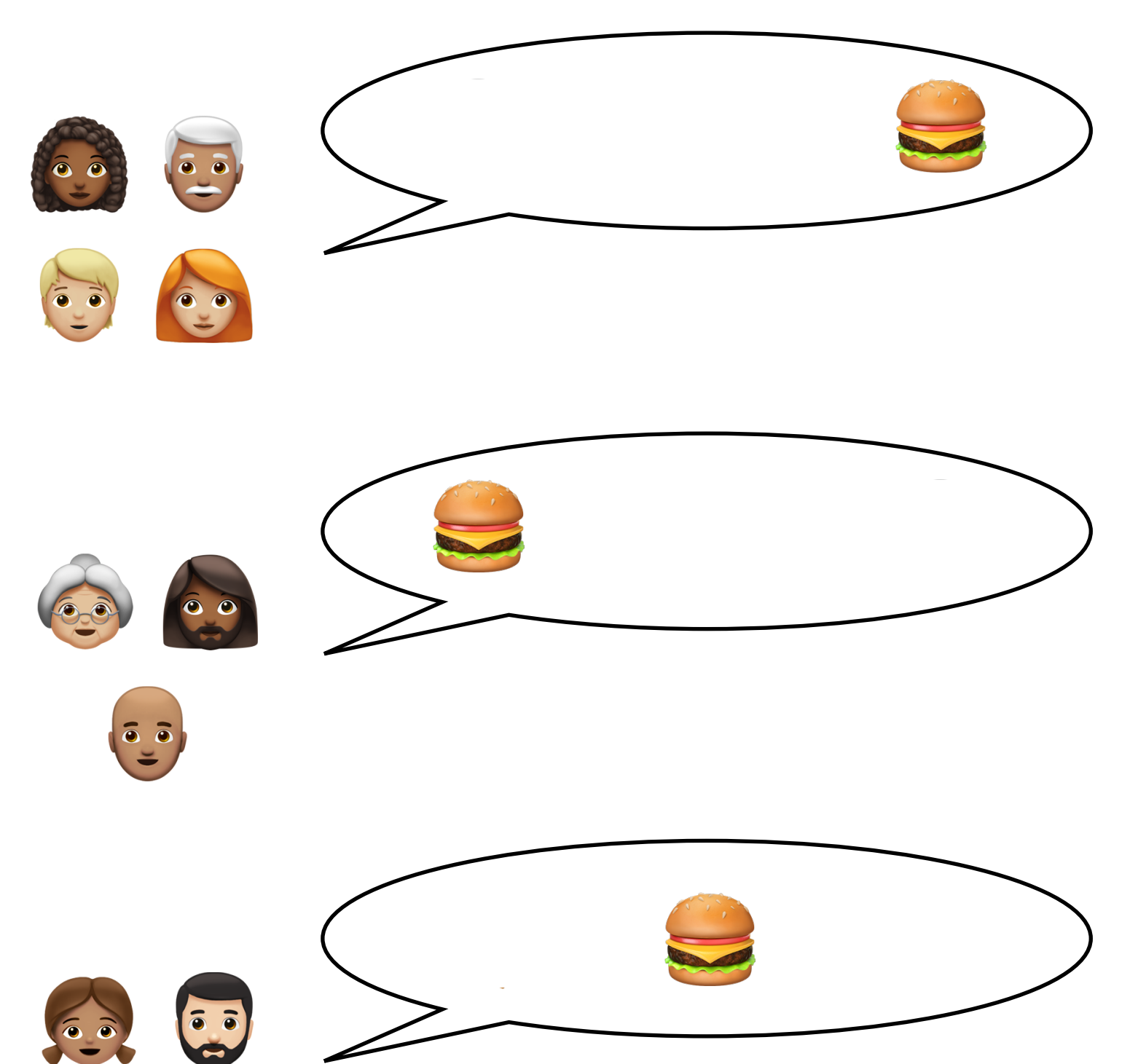
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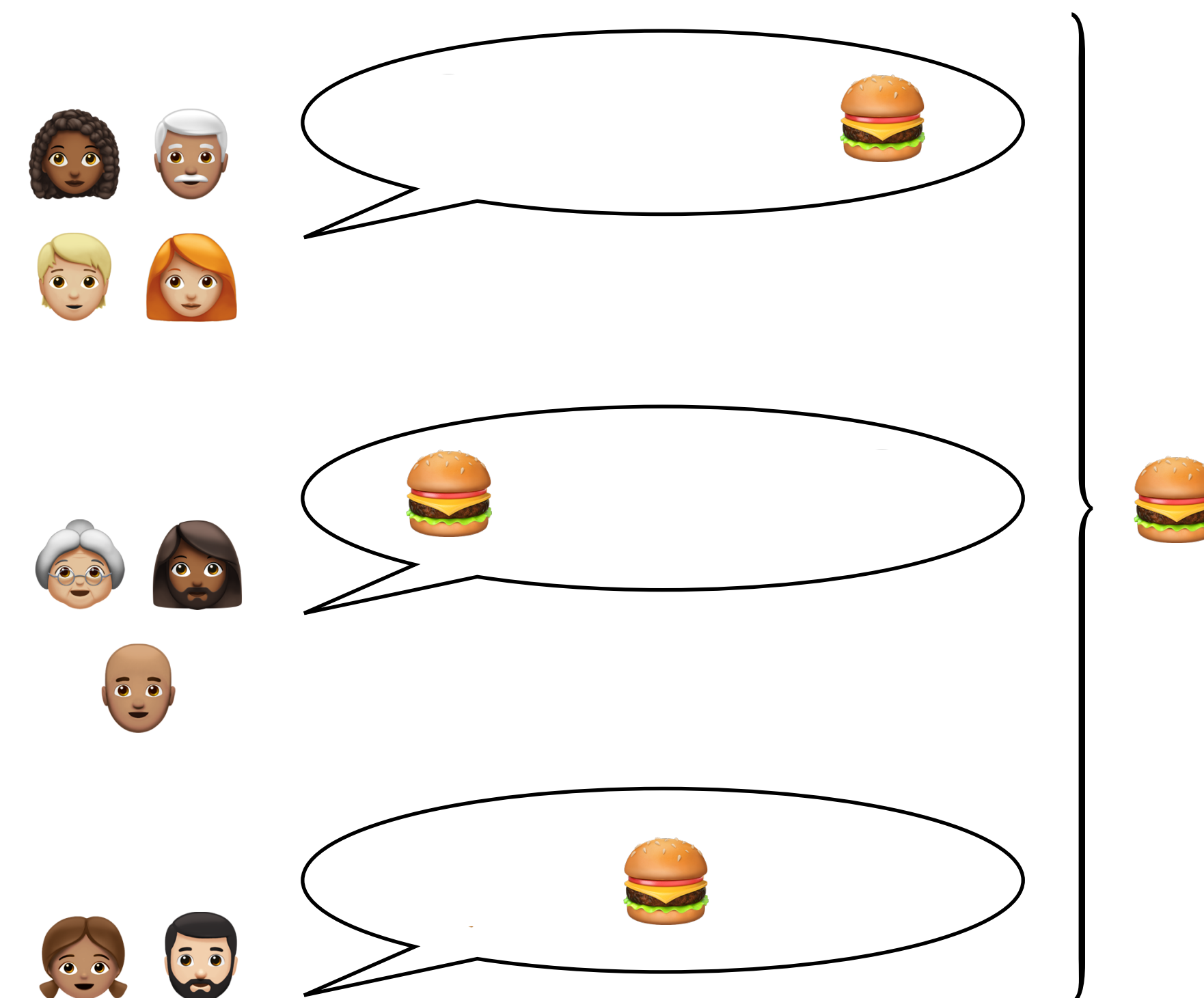
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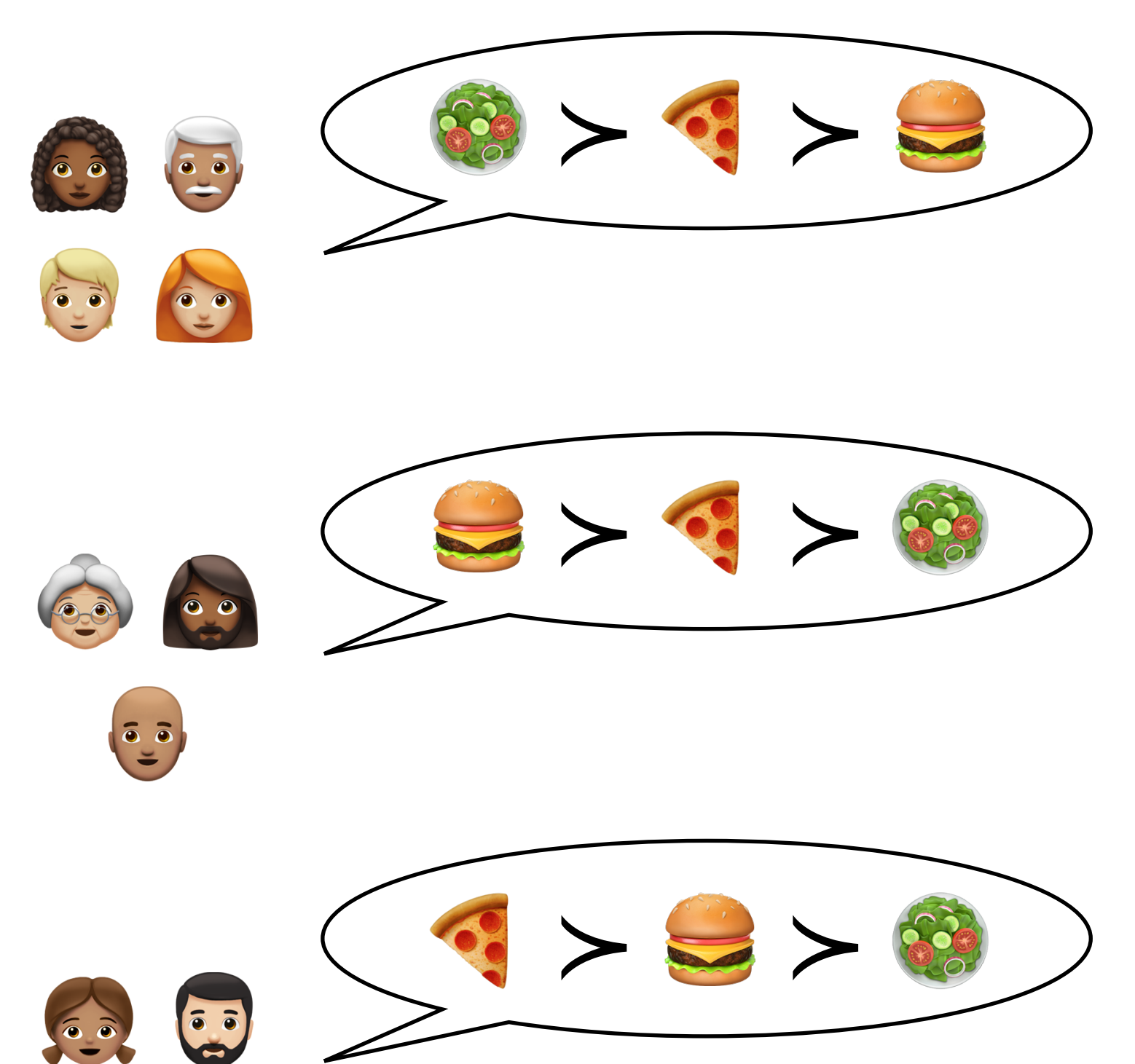
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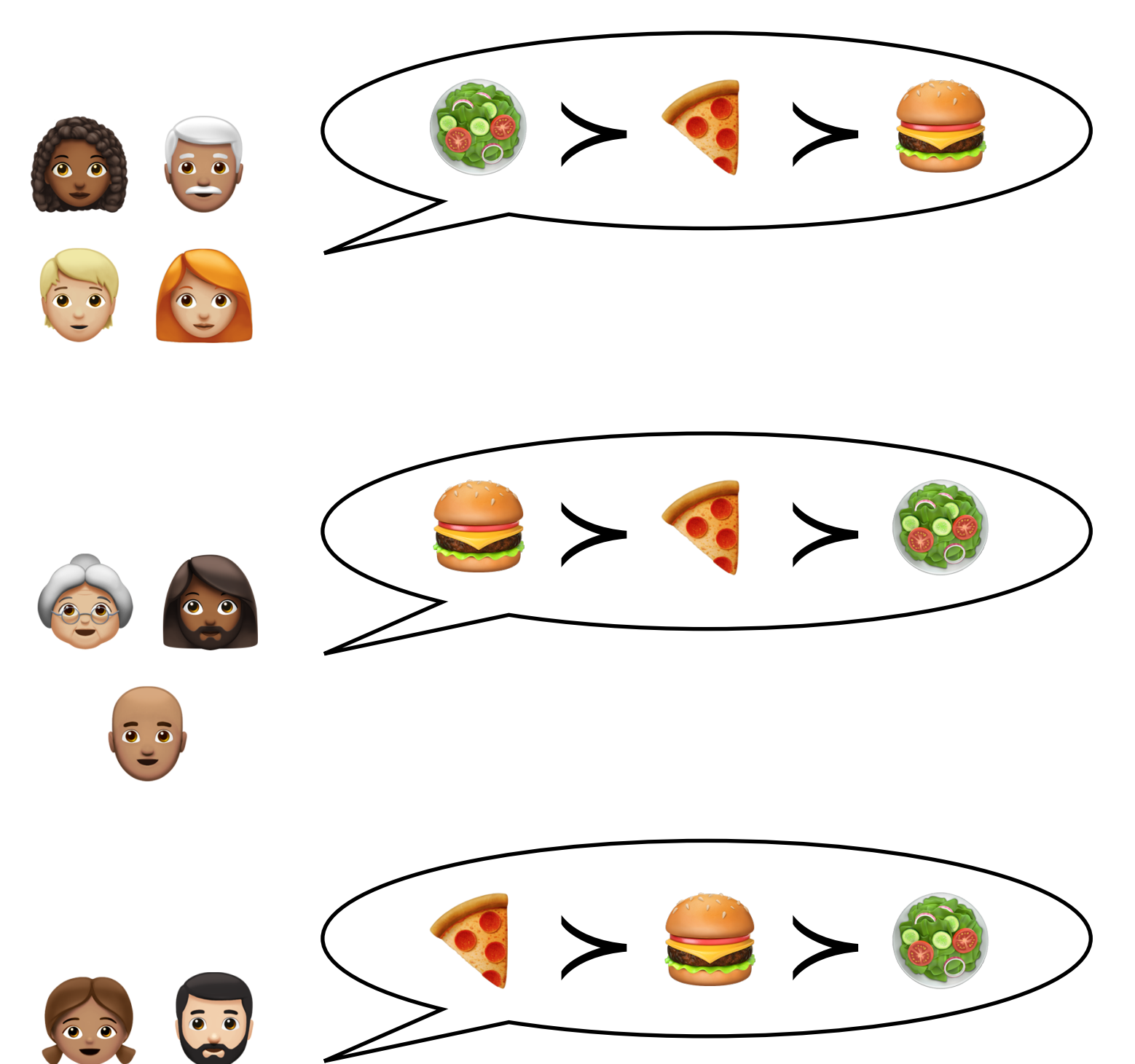
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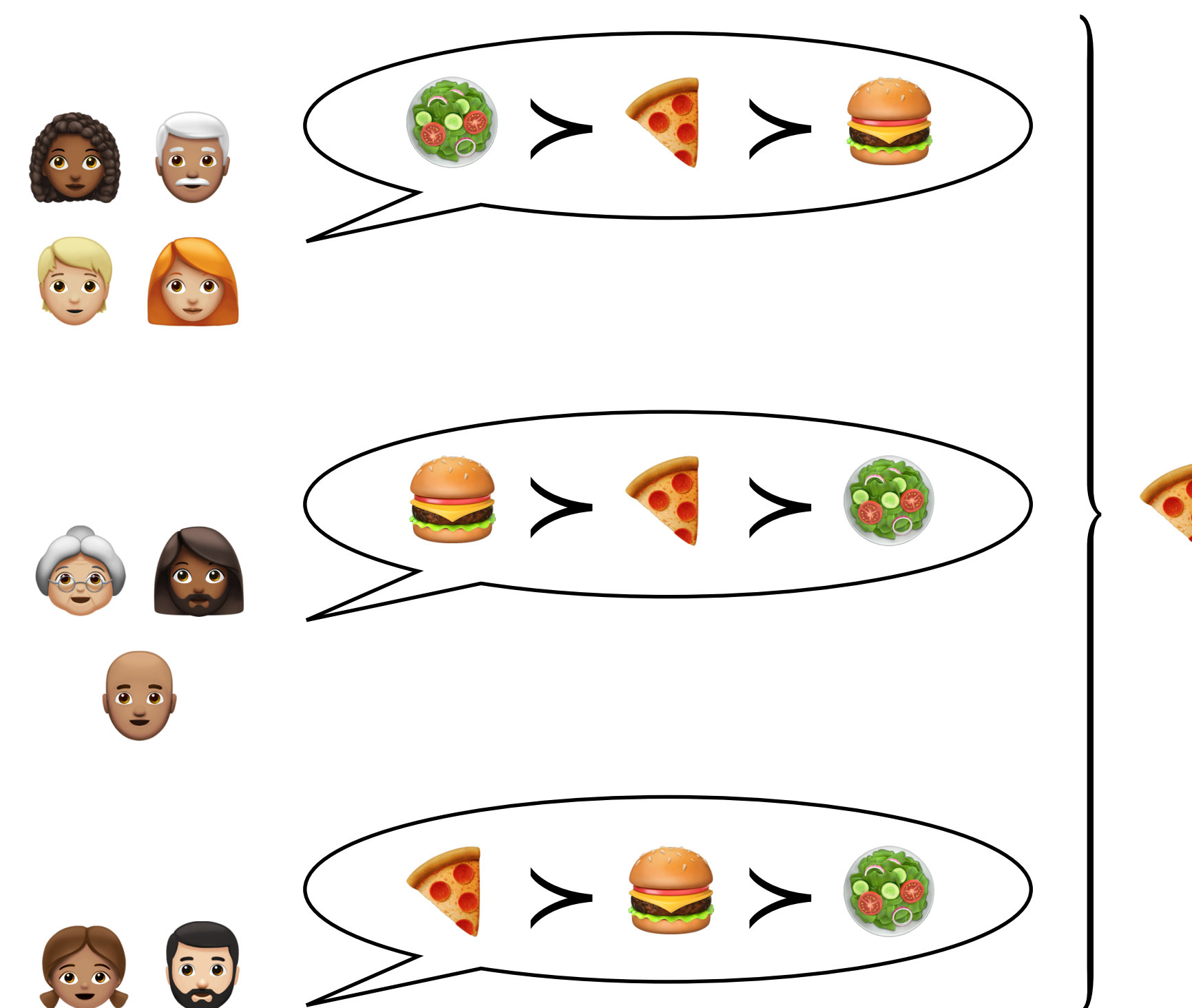
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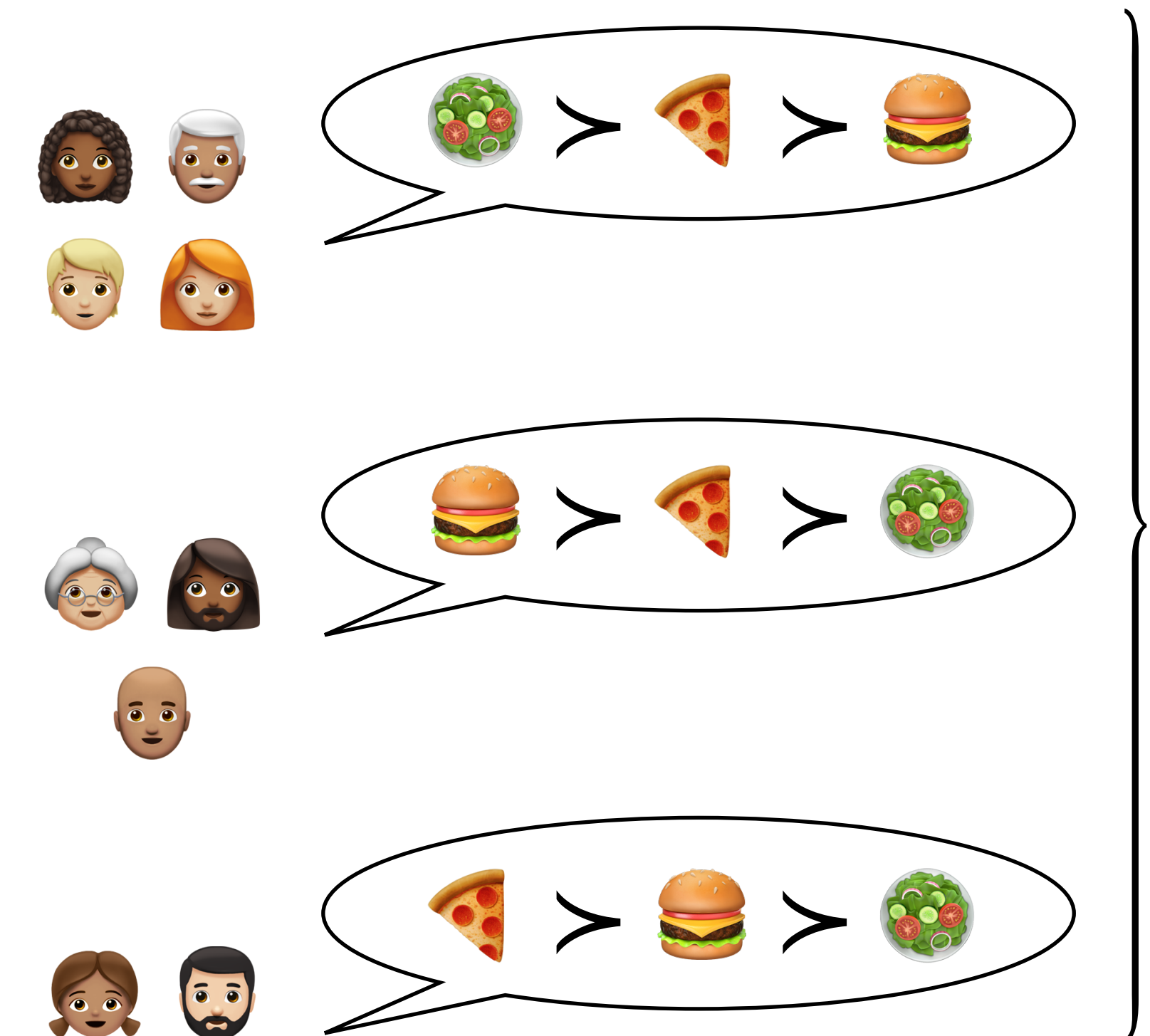
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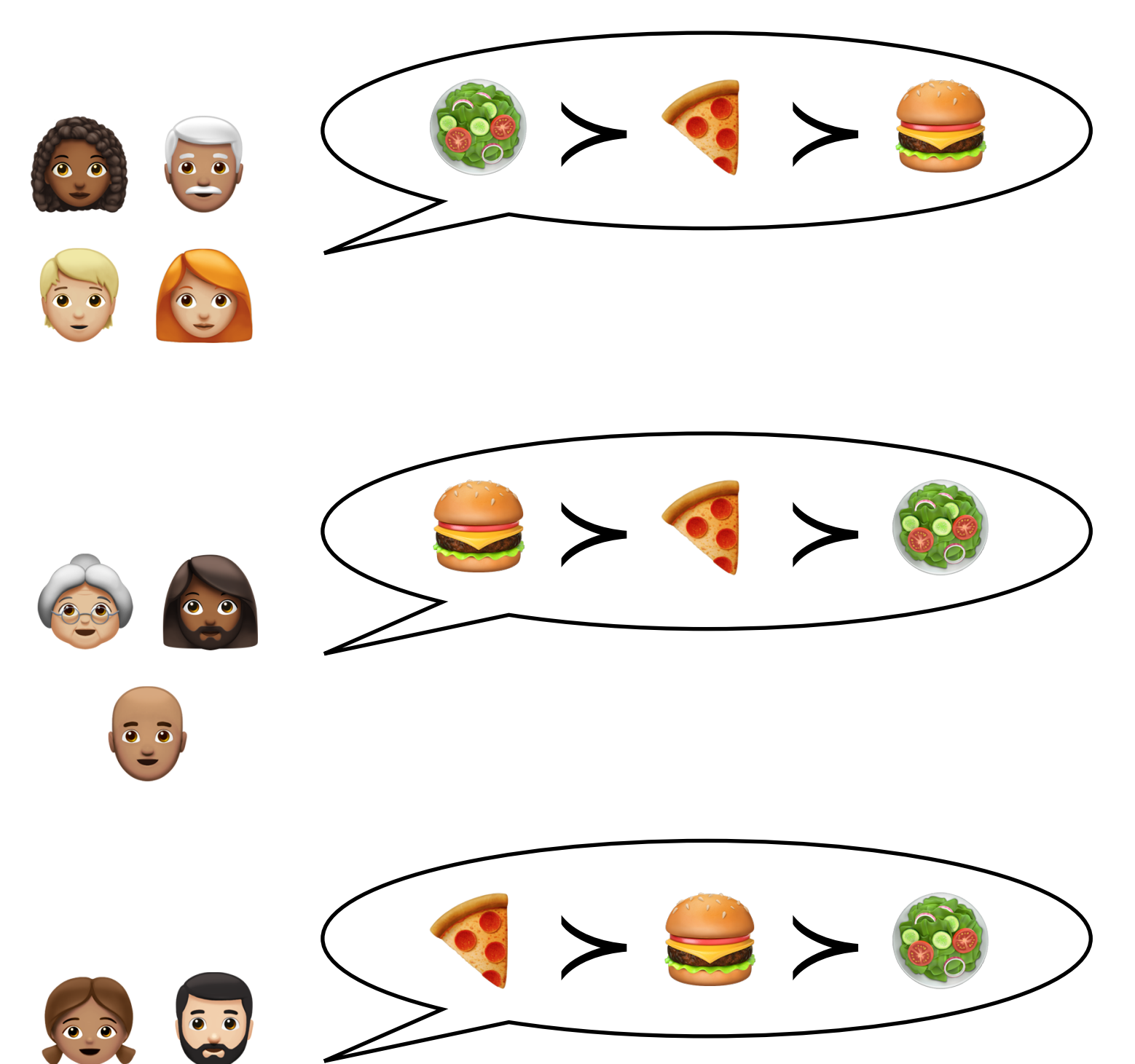
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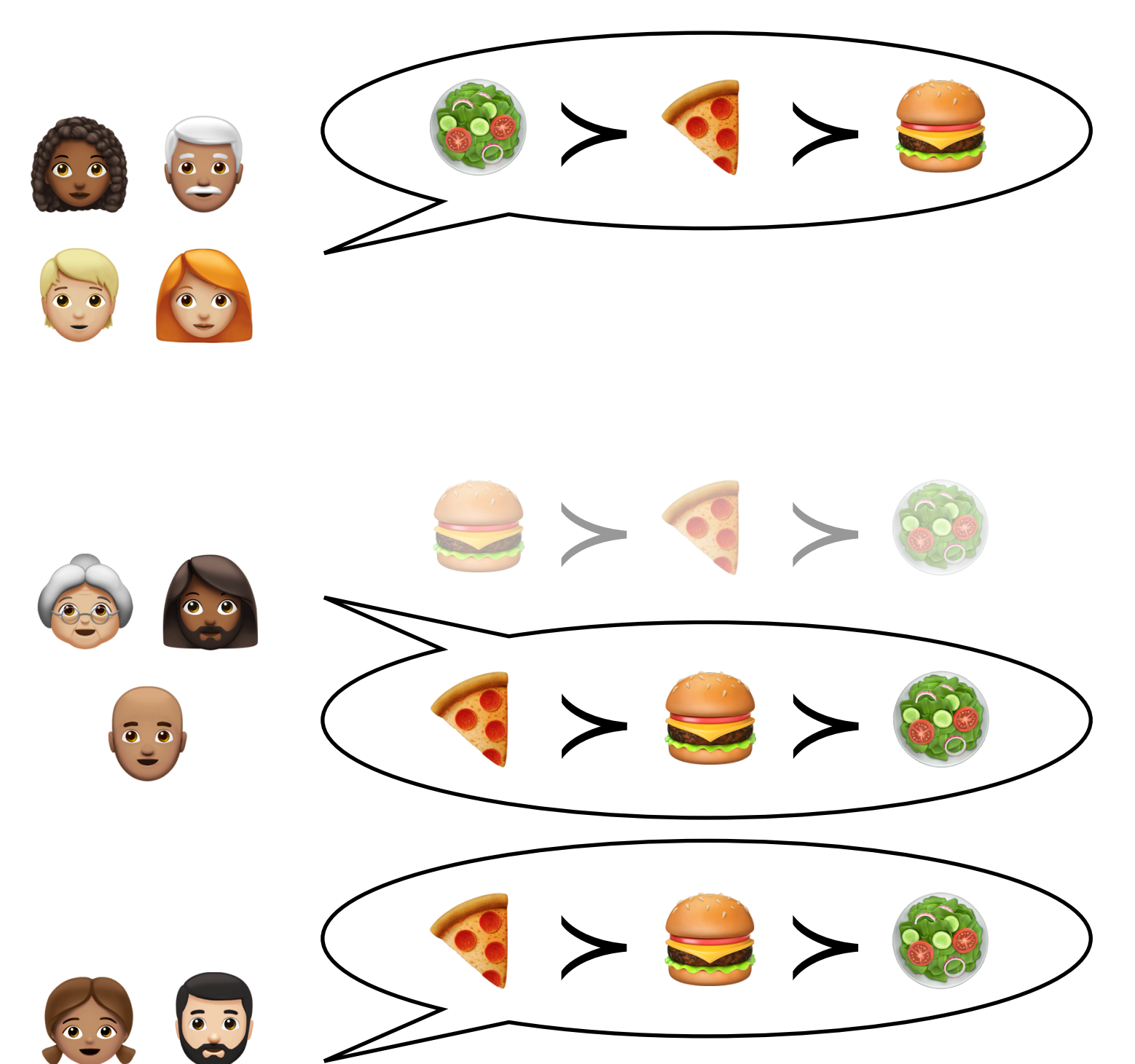
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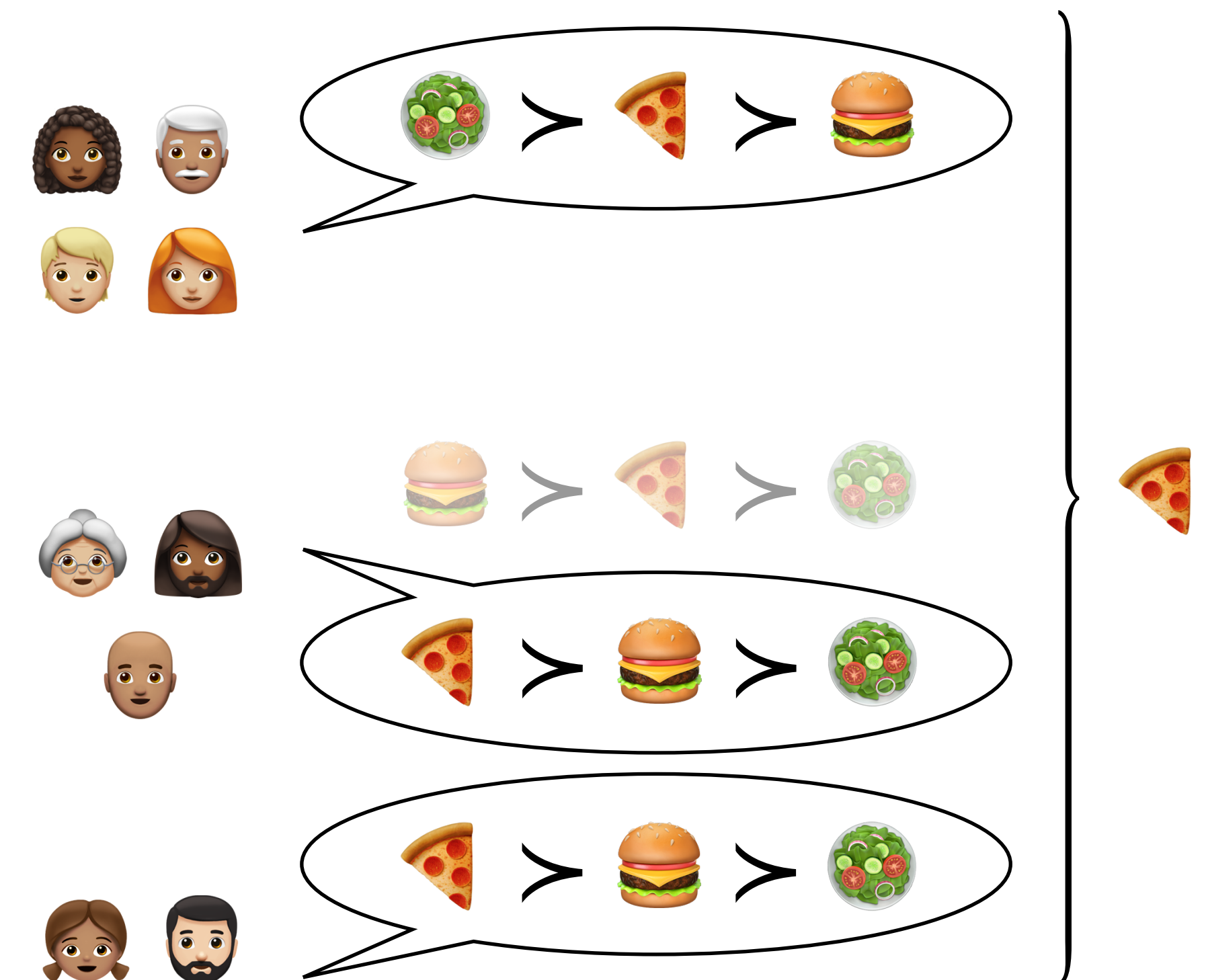
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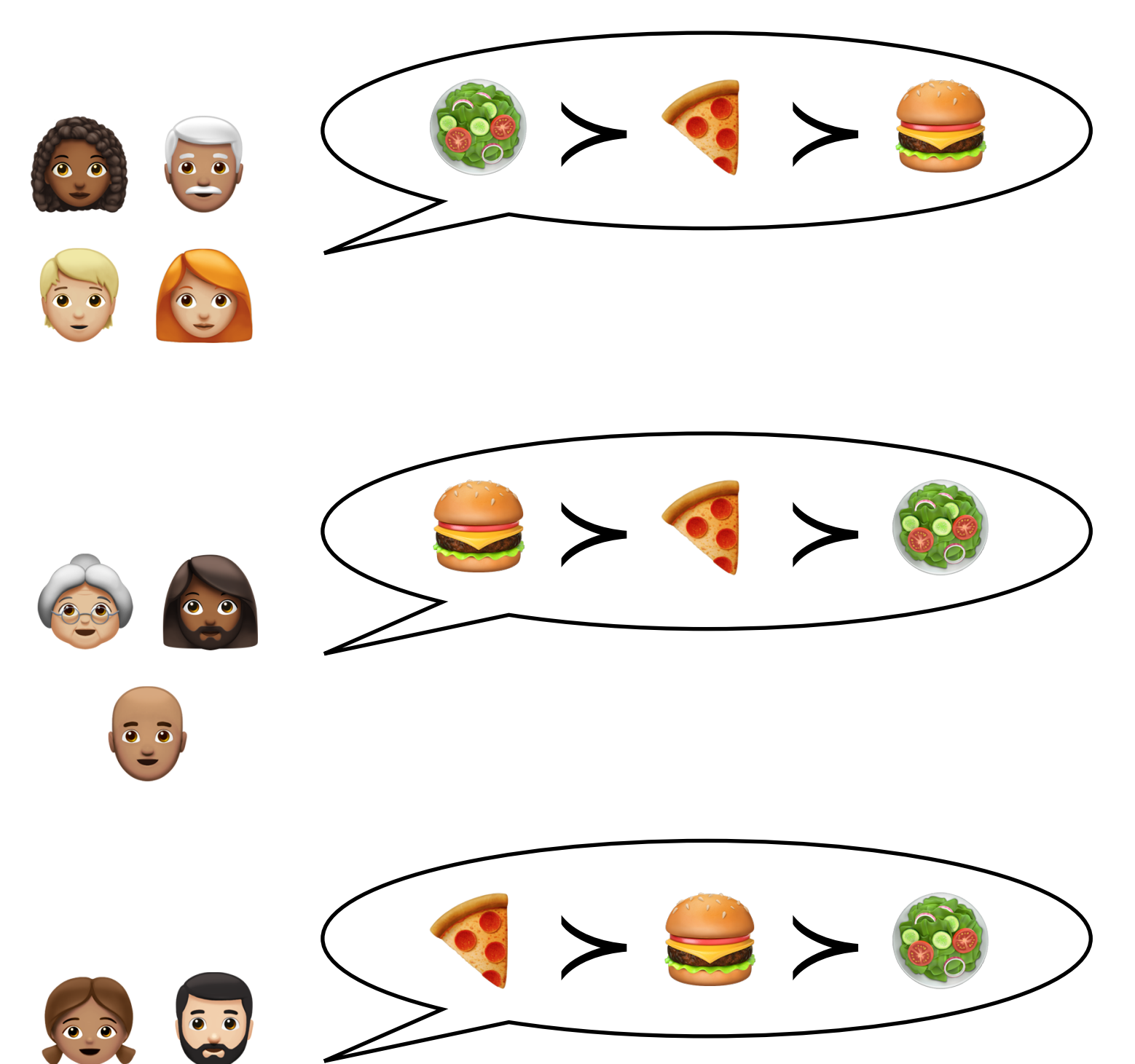
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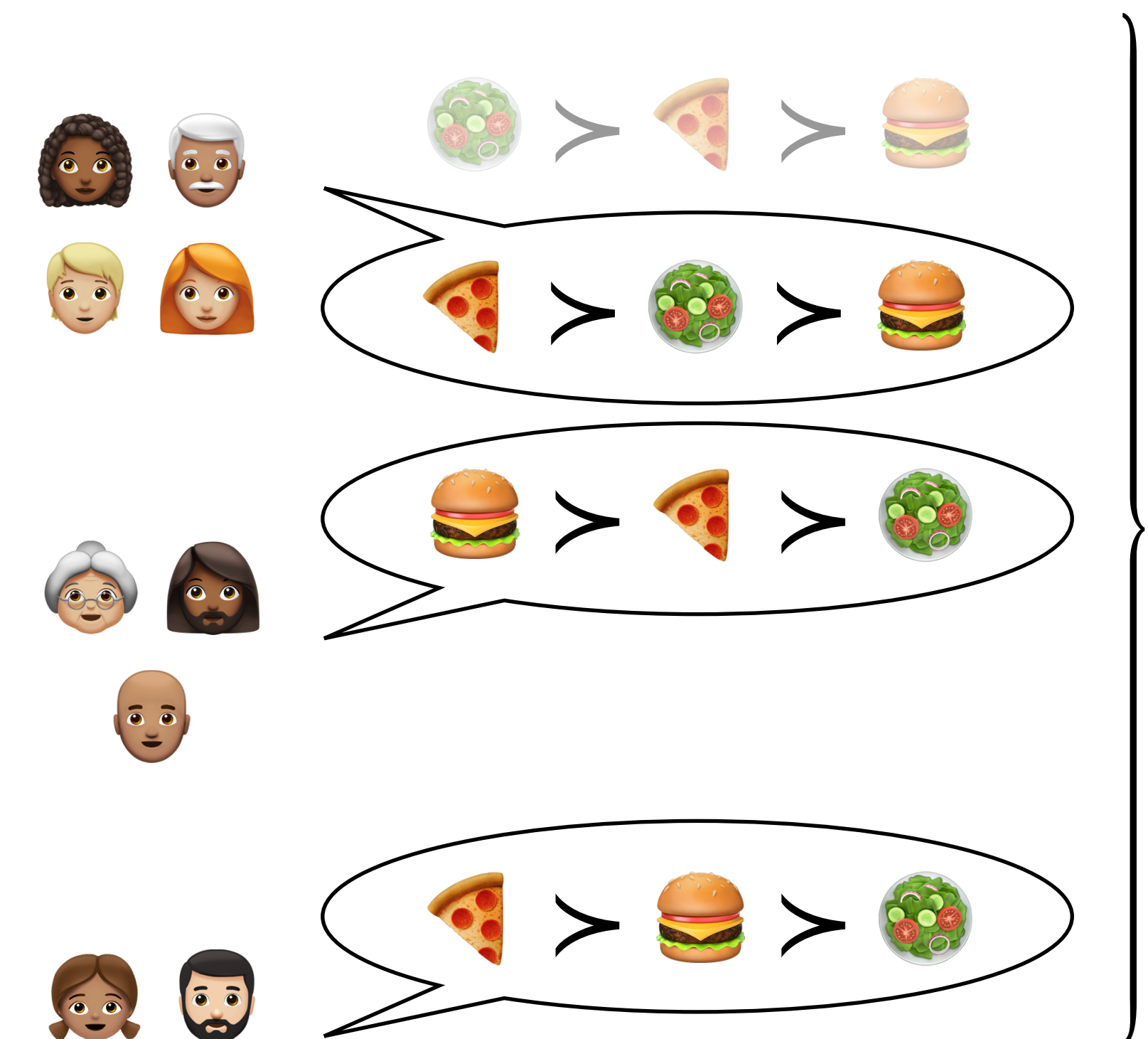
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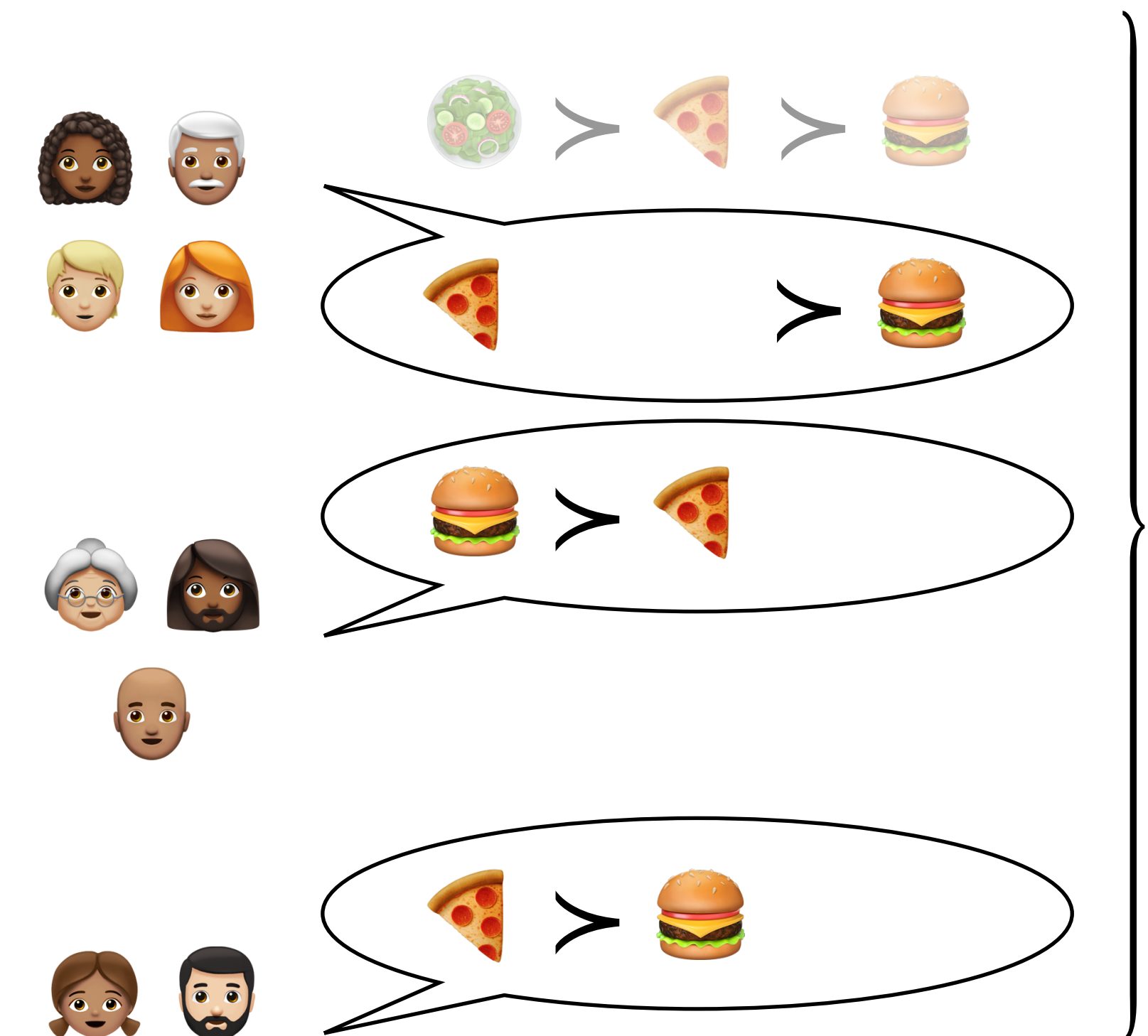
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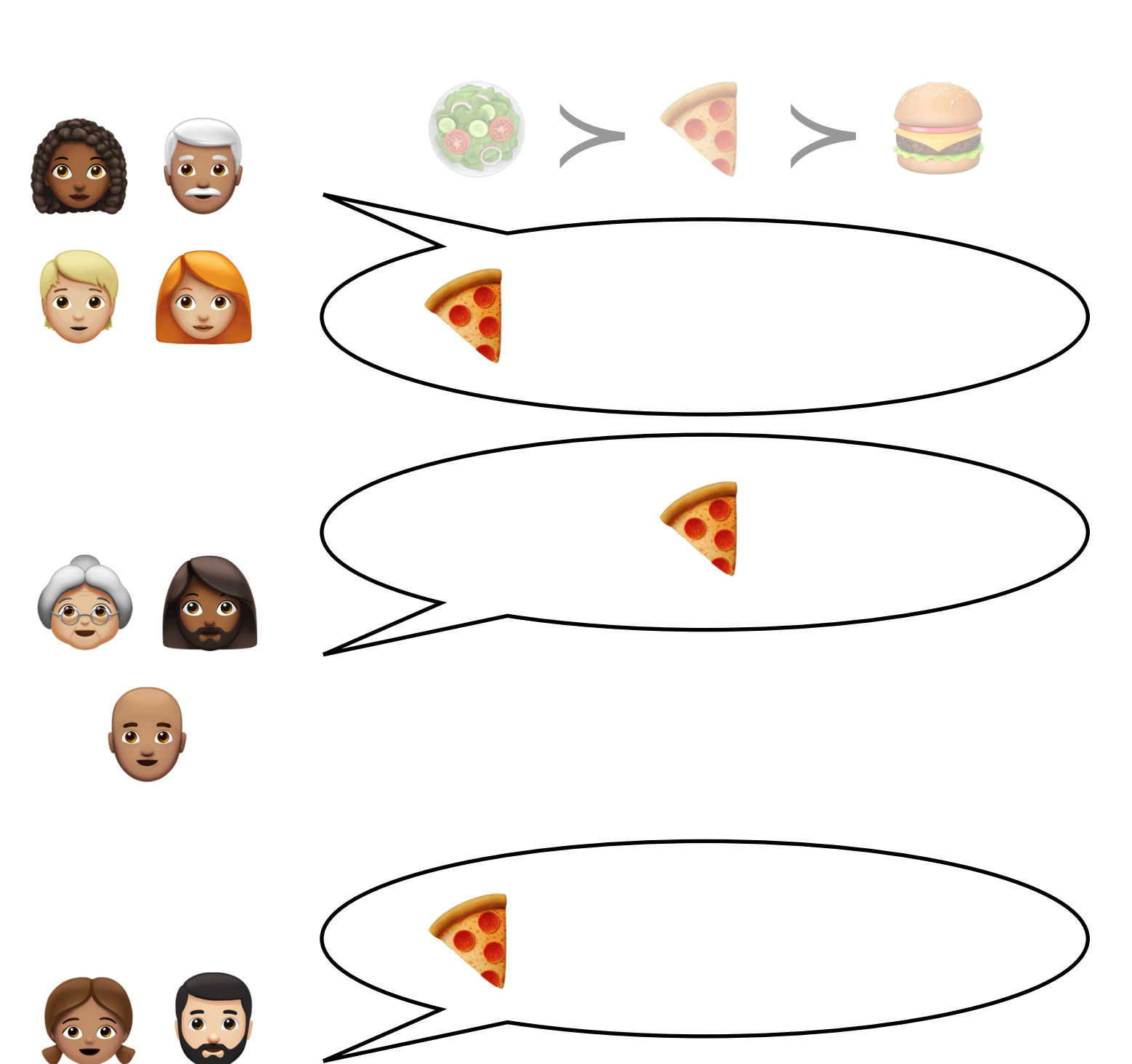
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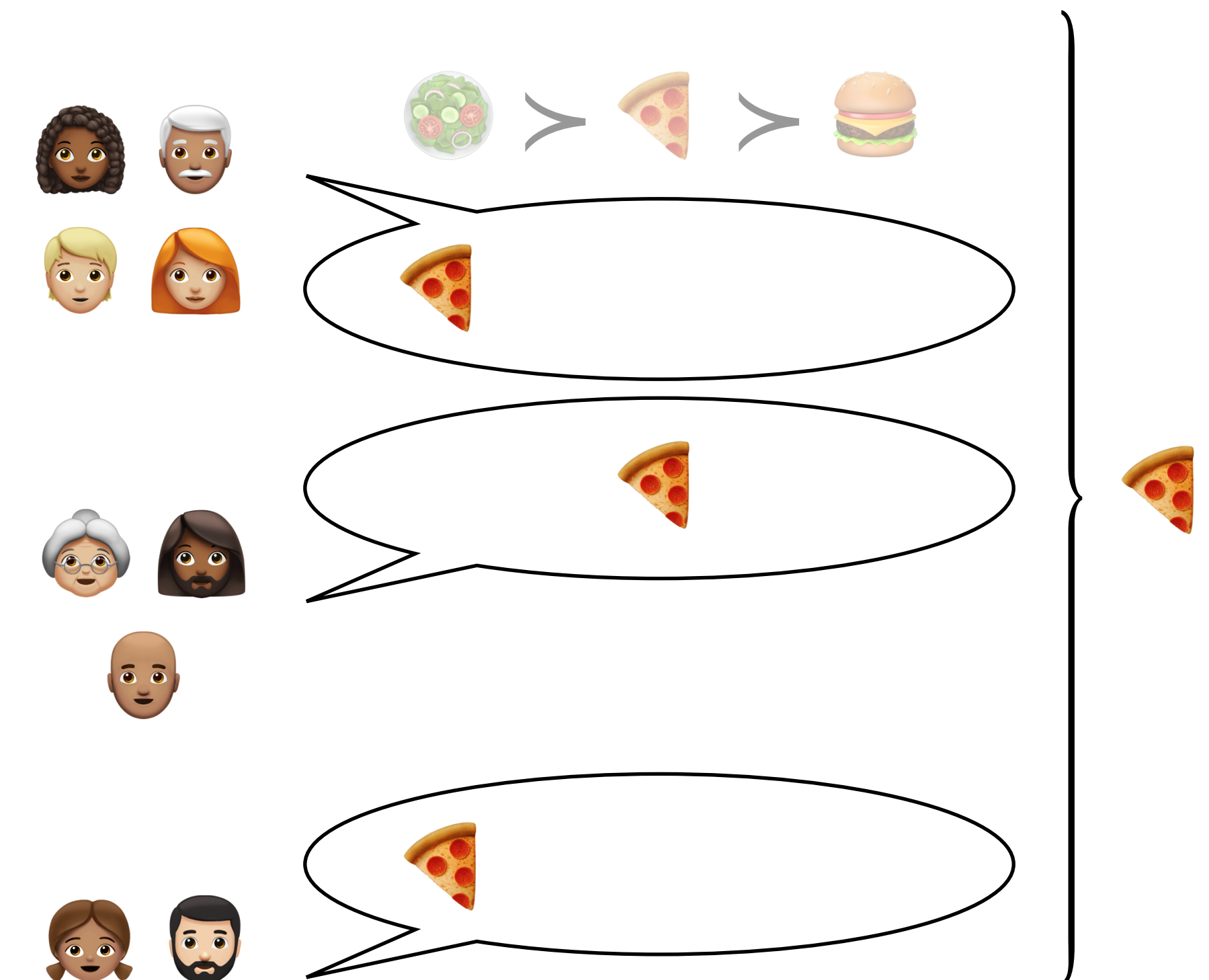
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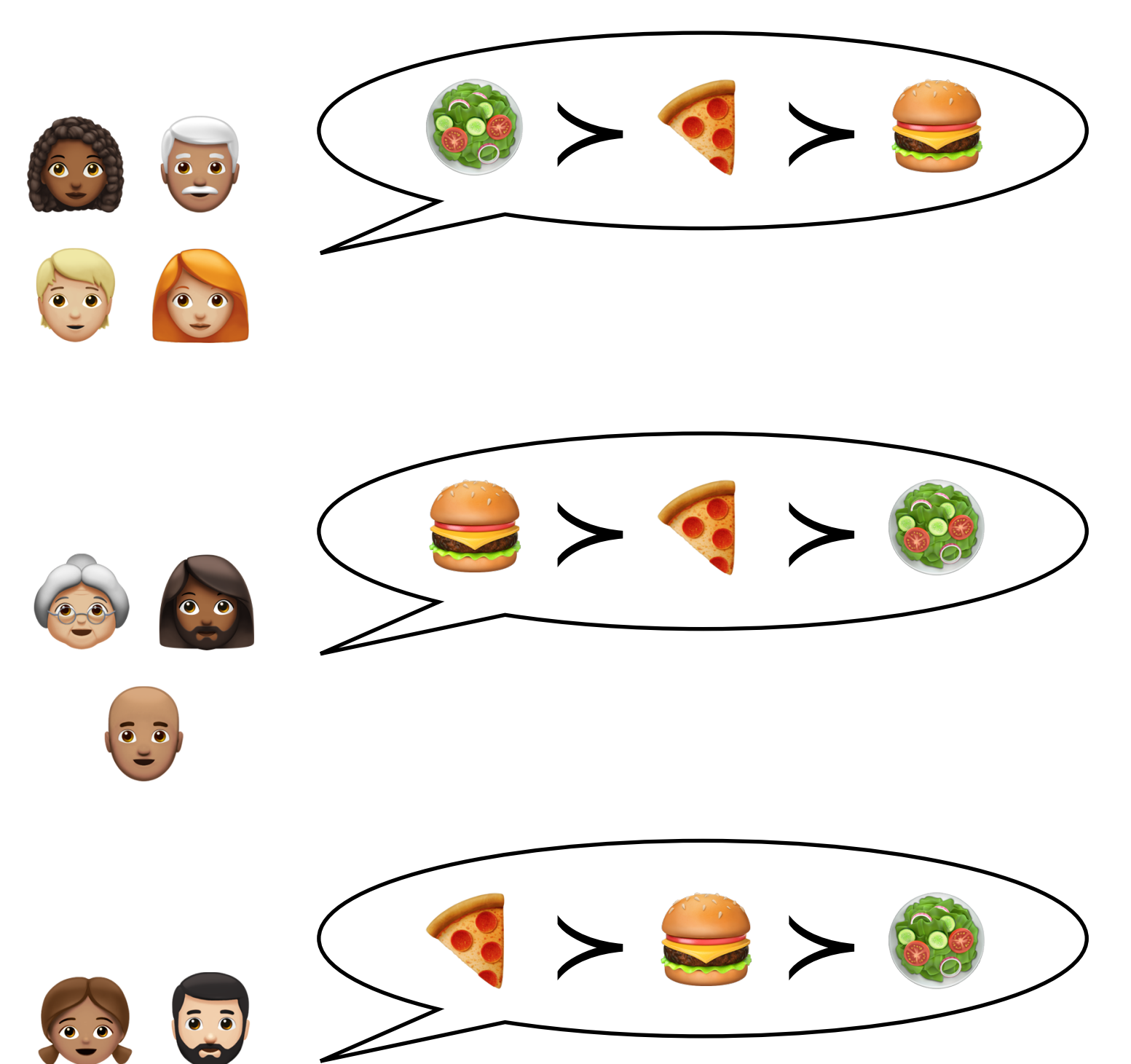
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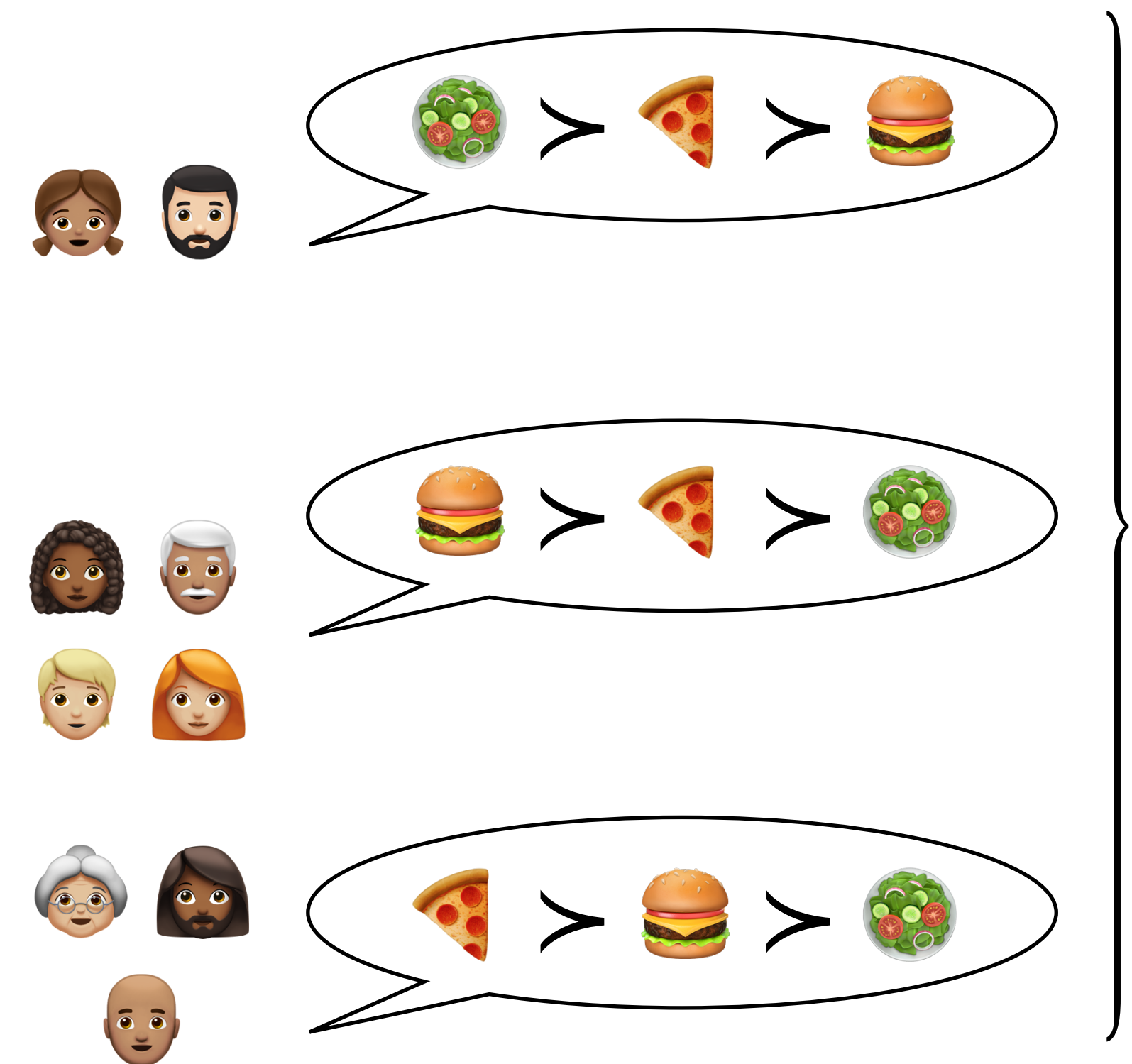
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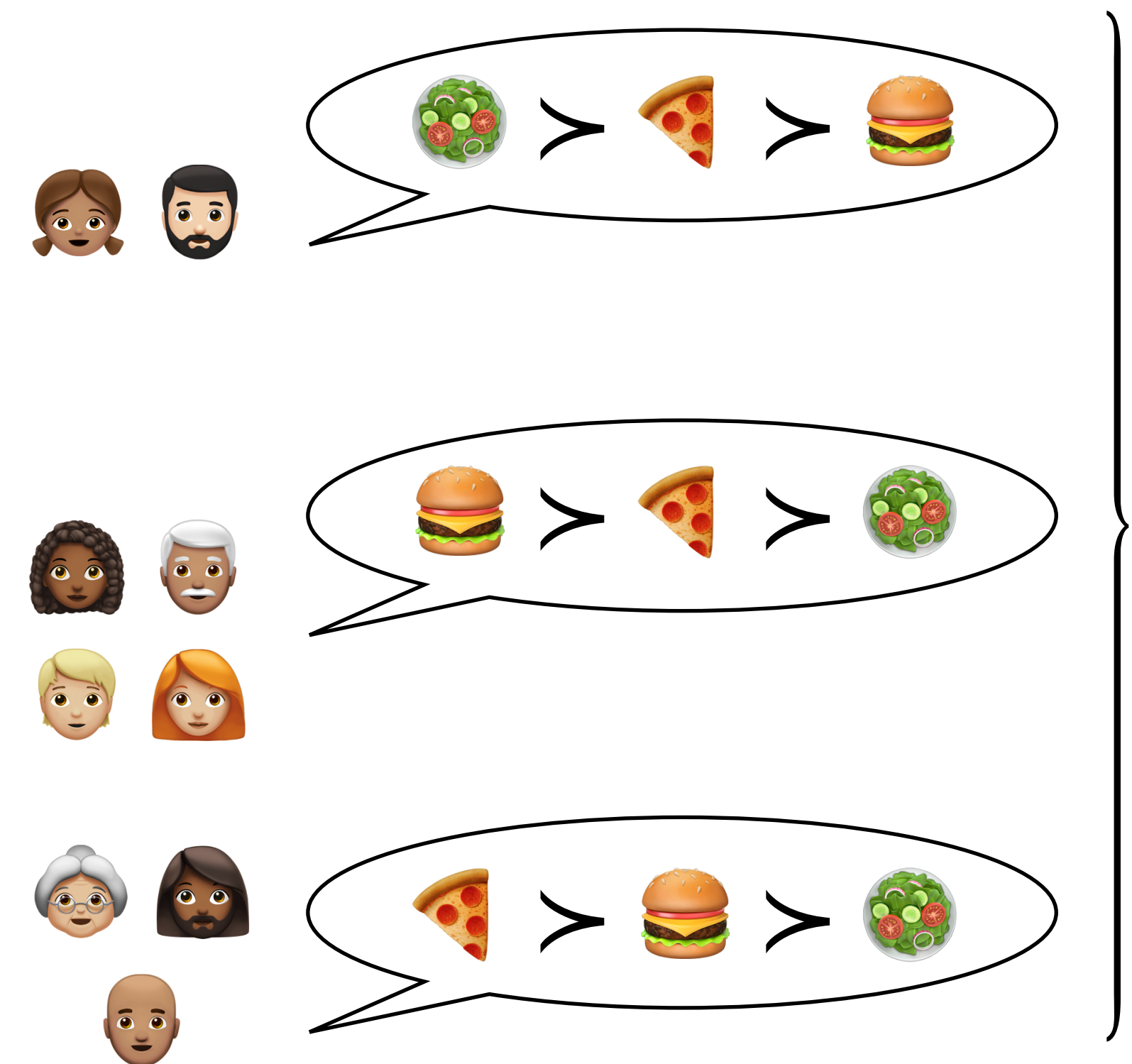
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$$\text{🥗} = 2 \cdot 2 + 4 \cdot 0 + 3 \cdot 0 = 4$$

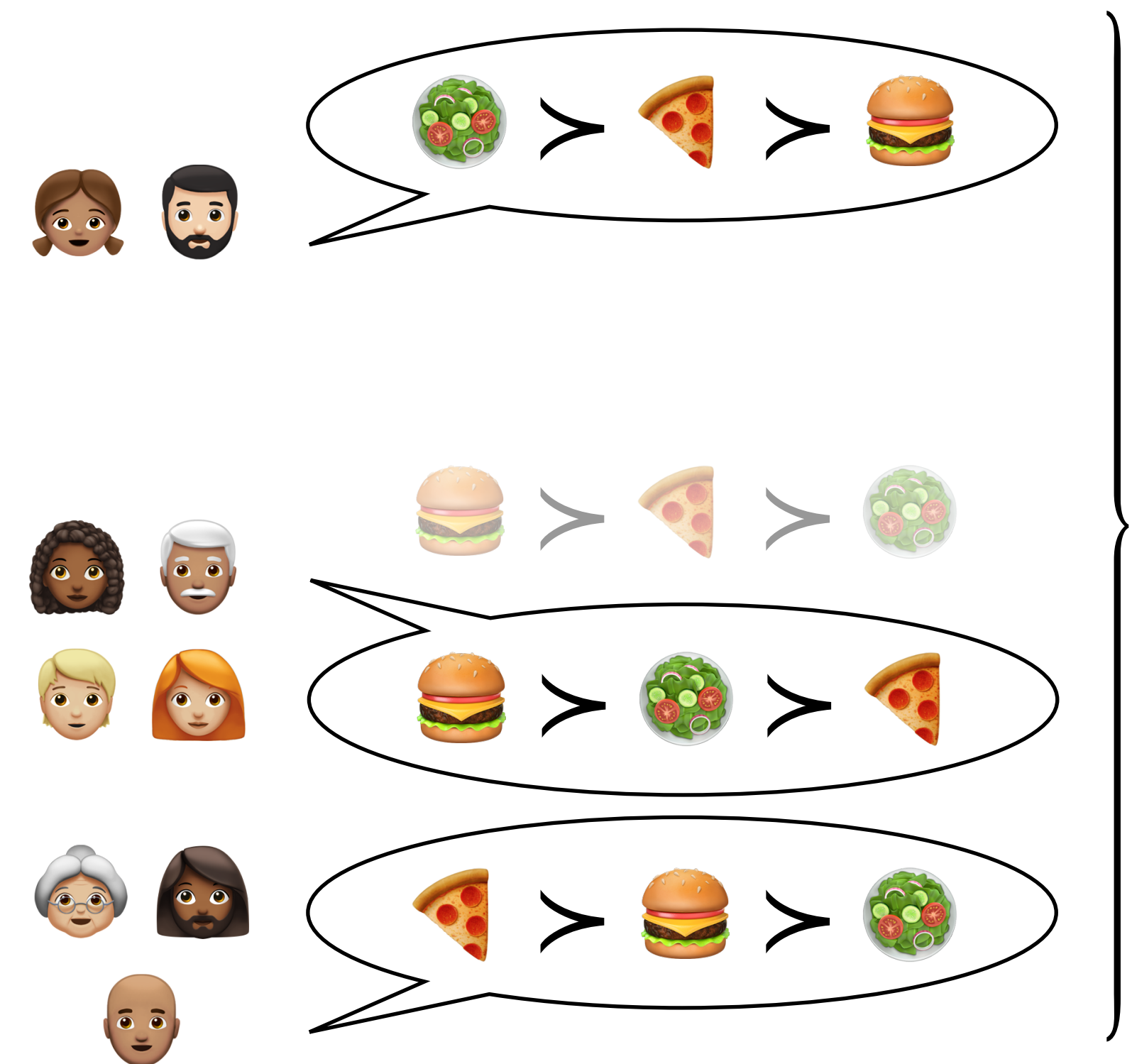
$$\text{🍕} = 2 \cdot 1 + 4 \cdot 1 + 3 \cdot 2 = 12$$

$$\text{🍔} = 2 \cdot 0 + 4 \cdot 2 + 3 \cdot 1 = 11$$

- ▶ **Borda:** select the alternative with largest total score, where position  $j \in \{1, \dots, m\}$  gives score  $m - j$
- ▶ can (a group of) voters misreport their preferences and obtain a better outcome?

$\mathcal{L}(A)$ : set of binary relations  $\succ$  satisfying

- either  $a \succ b$  or  $b \succ a$   
for every  $a, b \in A$  with  $a \neq b$
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# Social Choice Functions

- ▶ voters  $V = \{1, \dots, n\}$ , alternatives  $A$  with  $|A| = m$
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$$\text{🥗} = 2 \cdot 2 + 4 \cdot 1 + 3 \cdot 0 = 8$$

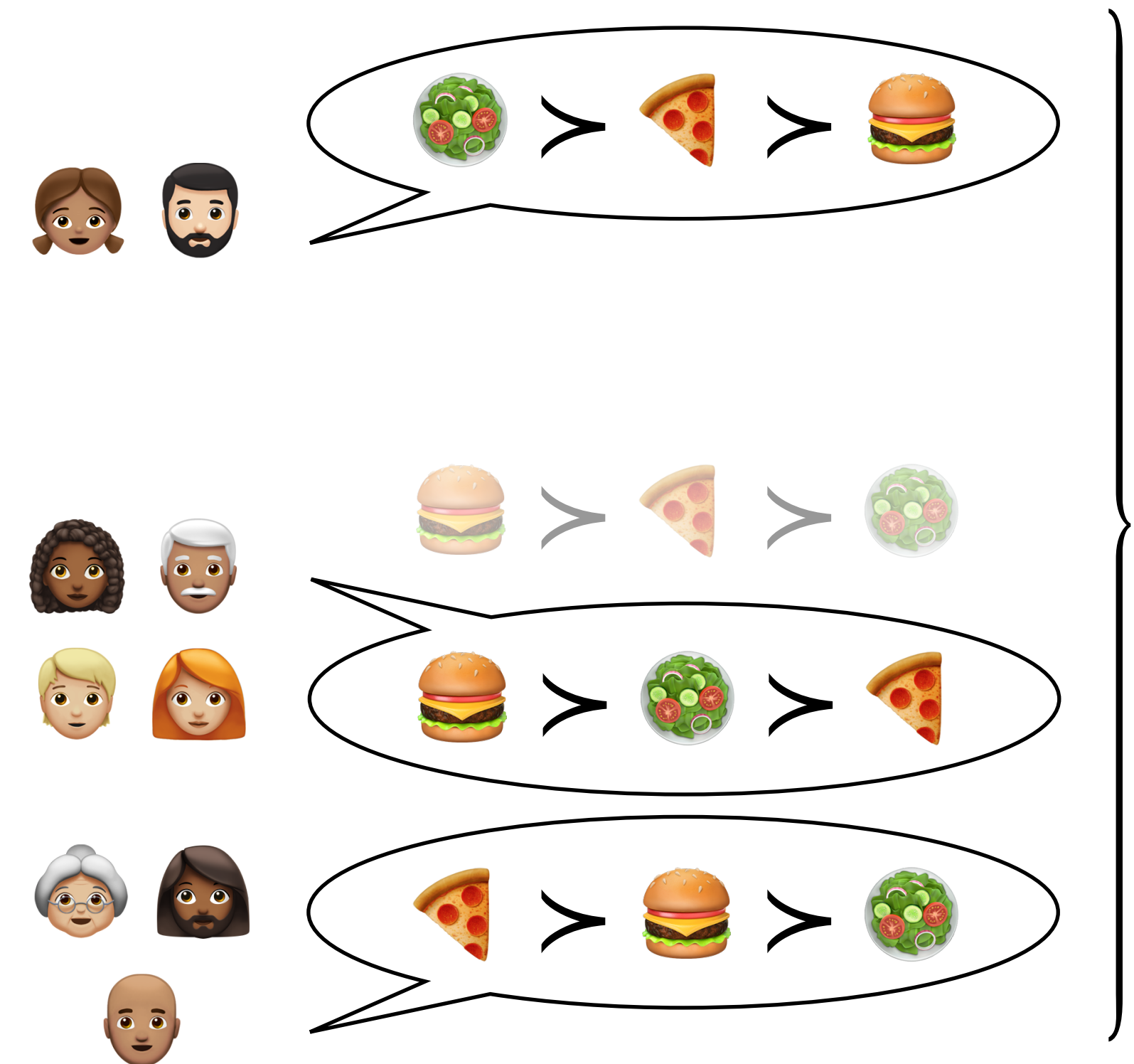
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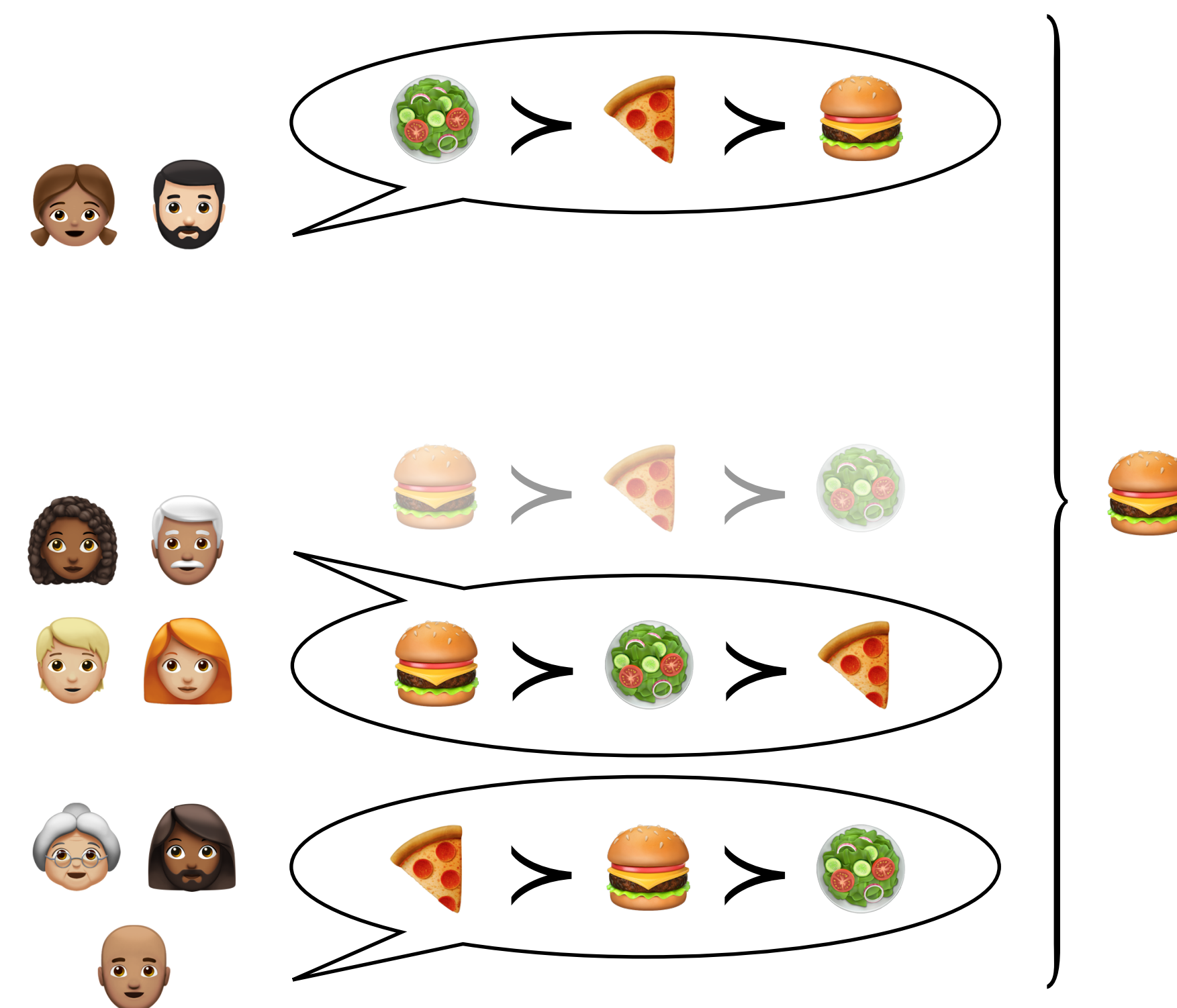
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# The Gibbard-Satterthwaite Theorem

- ▶  $f$  is **strategyproof** if  $f(\succ) \succeq_i f(\succ'_i, \succ_{-i})$  holds for every  $\succ \in \mathcal{L}(A)^n$ ,  $i \in V$ , and  $\succ'_i \in \mathcal{L}(A)$   
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top choice of  $i$  is always selected



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$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$
$\cdot$	$\dots$	$\cdot$	$a$	$b$	$\dots$	$\cdot$
$\cdot$	$\dots$	$b$	$\cdot$	$a$	$\dots$	$b$
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$
$a$	$\dots$	$\cdot$	$b$	$\cdot$	$\dots$	$\cdot$
$b$	$\dots$	$a$	$\cdot$	$\cdot$	$\dots$	$\cdot$





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$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$
$\cdot$	$\dots$	$\cdot$	$a$	$b$	$\dots$	$\cdot$
$\cdot$	$\dots$	$b$	$\cdot$	$a$	$\dots$	$b$
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$
$a$	$\dots$	$\cdot$	$b$	$\cdot$	$\dots$	$\cdot$
$b$	$\dots$	$a$	$\cdot$	$\cdot$	$\dots$	$\cdot$

$\downarrow$   
 $a$



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$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$
$b$	$\dots$	$b$	$a$	$b$	$\dots$	$b$
$\cdot$	$\dots$	$\cdot$	$b$	$\cdot$	$\dots$	$\cdot$
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$\cdot$	$\dots$	$\cdot$
$a$	$\dots$	$a$	$\cdot$	$a$	$\dots$	$a$

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- ▶ any dictatorship is surjective and strategyproof

$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$
$b$	$\dots$	$b$	$a$	$b$	$\dots$	$b$
$\cdot$	$\dots$	$\cdot$	$b$	$\cdot$	$\dots$	$\cdot$
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$\cdot$	$\dots$	$\cdot$
$a$	$\dots$	$a$	$\cdot$	$a$	$\dots$	$a$

$\downarrow$   
 $a$



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- ▶ the converse is also true!

$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$
$b$	$\dots$	$b$	$a$	$b$	$\dots$	$b$
$\cdot$	$\dots$	$\cdot$	$b$	$\cdot$	$\dots$	$\cdot$
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$\cdot$	$\dots$	$\cdot$
$a$	$\dots$	$a$	$\cdot$	$a$	$\dots$	$a$

$\downarrow$   
 $a$





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$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$
$b$	$\dots$	$b$	$a$	$b$	$\dots$	$b$
$\cdot$	$\dots$	$\cdot$	$b$	$\cdot$	$\dots$	$\cdot$
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$\cdot$	$\dots$	$\cdot$
$a$	$\dots$	$a$	$\cdot$	$a$	$\dots$	$a$

$\downarrow$   
 $a$

- ▶ **Theorem** [Gibbard '73, Satterthwaite '75]  
Let  $f: \mathcal{L}(A)^n \rightarrow A$  be a surjective and strategyproof social choice function, where  $|A| \geq 3$ .  
Then,  $f$  is dictatorial.



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- ▶  $f$  is **unanimous** if  $f(\succ) = a$  whenever  $a \succ_i b$  for every  $i \in V$  and  $b \in A \setminus \{a\}$   
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$a$	$\dots$	$a$	$a$	$a$	$\dots$	$a$
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$\cdot$	$\dots$	$\cdot$



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$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$
$a$	$\dots$	$a$	$a$	$a$	$\dots$	$a$
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$\cdot$	$\dots$	$\cdot$

$\downarrow$   
 $a$





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a selected alternative remains selected  
if dominated alternatives in all rankings remain dominated

$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$
$a$	$\dots$	$a$	$a$	$a$	$\dots$	$a$
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$\cdot$	$\dots$	$\cdot$

$\downarrow$   
 $a$



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$a$	$\dots$	$a$	$a$	$a$	$\dots$	$a$
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$\cdot$	$\dots$	$\cdot$

$\downarrow$   
 $a$

$\succ_1$	$\succ_2$	$\succ_3$	$\succ_4$
$a$	$c$	$b$	$c$
$b$	$d$	$a$	$a$
$c$	$a$	$d$	$d$
$d$	$b$	$c$	$b$

$\downarrow$   
 $a$



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$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$
$a$	$\dots$	$a$	$a$	$a$	$\dots$	$a$
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$\cdot$	$\dots$	$\cdot$

$\downarrow$   
 $a$

$\succ'_1$	$\succ_2$	$\succ_3$	$\succ_4$
$a$	$c$	$b$	$c$
$d$	$d$	$a$	$a$
$b$	$a$	$d$	$d$
$c$	$b$	$c$	$b$

$\downarrow$   
 $a$



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$a$	$\dots$	$a$	$a$	$a$	$\dots$	$a$
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$\cdot$	$\dots$	$\cdot$

$\downarrow$   
 $a$

$\succ'_1$	$\succ'_2$	$\succ_3$	$\succ_4$
$a$	$c$	$b$	$c$
$d$	$a$	$a$	$a$
$b$	$b$	$d$	$d$
$c$	$d$	$c$	$b$

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 $a$





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$a$	$\dots$	$a$	$a$	$a$	$\dots$	$a$
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$\cdot$	$\dots$	$\cdot$

$\downarrow$   
 $a$

$\succ'_1$	$\succ'_2$	$\succ'_3$	$\succ'_4$
$a$	$c$	$b$	$c$
$d$	$a$	$a$	$a$
$b$	$b$	$c$	$d$
$c$	$d$	$d$	$b$

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$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$
$a$	$\dots$	$a$	$a$	$a$	$\dots$	$a$
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$\cdot$	$\dots$	$\cdot$

$\downarrow$   
 $a$

$\succ'_1$	$\succ'_2$	$\succ'_3$	$\succ'_4$
$a$	$c$	$b$	$a$
$d$	$a$	$a$	$b$
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$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$
$a$	$\dots$	$a$	$a$	$a$	$\dots$	$a$
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$\cdot$	$\dots$	$\cdot$

$a$

$\succ'_1$	$\succ'_2$	$\succ'_3$	$\succ'_4$
$a$	$c$	$b$	$a$
$d$	$a$	$a$	$b$
$b$	$b$	$c$	$d$
$c$	$d$	$d$	$c$

$a$

## Theorem

[Muller, Satterthwaite '77]

Let  $f: \mathcal{L}(A)^n \rightarrow A$  be a unanimous and monotone social choice function, where  $|A| \geq 3$ .

Then,  $f$  is dictatorial.



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- ▶ we give a proof of this theorem due to Reny ['00],  
so let  $f$  be as in the statement

$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$
$a$	$\dots$	$a$	$a$	$a$	$\dots$	$a$
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$\cdot$	$\dots$	$\cdot$

$\downarrow$   
 $a$

$\succ'_1$	$\succ'_2$	$\succ'_3$	$\succ'_4$
$a$	$c$	$b$	$a$
$d$	$a$	$a$	$b$
$b$	$b$	$c$	$d$
$c$	$d$	$d$	$c$

$\downarrow$   
 $a$





# Pivotal Voter

- ▶ we consider two fixed alternatives  $a, b \in A$



# Pivotal Voter

- ▶ we consider two fixed alternatives  $a, b \in A$
- ▶ we move from a profile where  $a$  is ranked highest by all voters to a profile where  $b$  is moving  $b$  one position at a time and one voter at a time



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moving  $b$  one position at a time and one voter at a time

$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$
$a$	$\dots$	$a$	$a$	$a$	$\dots$	$a$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$\cdot$	$\dots$	$\cdot$
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$\cdot$	$\dots$	$\cdot$
$b$	$\dots$	$b$	$b$	$b$	$\dots$	$b$

$\downarrow$   
 $a$



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- ▶ we consider two fixed alternatives  $a, b \in A$
- ▶ we move from a profile where  $a$  is ranked highest by all voters to a profile where  $b$  is moving  $b$  one position at a time and one voter at a time

$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$
$a$	$\dots$	$a$	$a$	$a$	$\dots$	$a$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$\cdot$	$\dots$	$\cdot$
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$
$b$	$\dots$	$\cdot$	$\cdot$	$\cdot$	$\dots$	$\cdot$
$\cdot$	$\dots$	$b$	$b$	$b$	$\dots$	$b$

$\downarrow$   
 $a$





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- ▶ we consider two fixed alternatives  $a, b \in A$
- ▶ we move from a profile where  $a$  is ranked highest by all voters to a profile where  $b$  is moving  $b$  one position at a time and one voter at a time

$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$
$a$	$\dots$	$a$	$a$	$a$	$\dots$	$a$
$b$	$\dots$	$\cdot$	$\cdot$	$\cdot$	$\dots$	$\cdot$
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$\cdot$	$\dots$	$\cdot$
$\cdot$	$\dots$	$b$	$b$	$b$	$\dots$	$b$

$\downarrow$   
 $a$



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- ▶ we consider two fixed alternatives  $a, b \in A$
- ▶ we move from a profile where  $a$  is ranked highest by all voters to a profile where  $b$  is moving  $b$  one position at a time and one voter at a time

$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$
$b$	$\dots$	$a$	$a$	$a$	$\dots$	$a$
$a$	$\dots$	$\cdot$	$\cdot$	$\cdot$	$\dots$	$\cdot$
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$\cdot$	$\dots$	$\cdot$
$\cdot$	$\dots$	$b$	$b$	$b$	$\dots$	$b$

$\downarrow$   
 $a$



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- ▶ we consider two fixed alternatives  $a, b \in A$
- ▶ we move from a profile where  $a$  is ranked highest by all voters to a profile where  $b$  is moving  $b$  one position at a time and one voter at a time

$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$
$b$	$\dots$	$a$	$a$	$a$	$\dots$	$a$
$a$	$\dots$	$b$	$\cdot$	$\cdot$	$\dots$	$\cdot$
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$\cdot$	$\dots$	$\cdot$
$\cdot$	$\dots$	$\cdot$	$b$	$b$	$\dots$	$b$

$\downarrow$   
 $a$



# Pivotal Voter

- ▶ we consider two fixed alternatives  $a, b \in A$
- ▶ we move from a profile where  $a$  is ranked highest by all voters to a profile where  $b$  is  
moving  $b$  one position at a time and one voter at a time

$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$
$b$	$\dots$	$b$	$a$	$a$	$\dots$	$a$
$a$	$\dots$	$a$	$\cdot$	$\cdot$	$\dots$	$\cdot$
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$\cdot$	$\dots$	$\cdot$
$\cdot$	$\dots$	$\cdot$	$b$	$b$	$\dots$	$b$

$\downarrow$   
 $a$





# Pivotal Voter

- ▶ we consider two fixed alternatives  $a, b \in A$
- ▶ we move from a profile where  $a$  is ranked highest by all voters to a profile where  $b$  is moving  $b$  one position at a time and one voter at a time

$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$
$b$	$\dots$	$b$	$a$	$a$	$\dots$	$a$
$a$	$\dots$	$a$	$b$	$\cdot$	$\dots$	$\cdot$
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$\cdot$	$\dots$	$\cdot$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$b$	$\dots$	$b$

$\downarrow$   
 $a$



# Pivotal Voter

- ▶ we consider two fixed alternatives  $a, b \in A$
- ▶ we move from a profile where  $a$  is ranked highest by all voters to a profile where  $b$  is moving  $b$  one position at a time and one voter at a time

$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$
$b$	$\dots$	$b$	$a$	$a$	$\dots$	$a$
$a$	$\dots$	$a$	$b$	$\cdot$	$\dots$	$\cdot$
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$\cdot$	$\dots$	$\cdot$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$b$	$\dots$	$b$

$a$

$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$
$b$	$\dots$	$b$	$b$	$a$	$\dots$	$a$
$a$	$\dots$	$a$	$a$	$\cdot$	$\dots$	$\cdot$
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$\cdot$	$\dots$	$\cdot$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$b$	$\dots$	$b$

$b$



# Pivotal Voter

- ▶ we consider two fixed alternatives  $a, b \in A$
- ▶ we move from a profile where  $a$  is ranked highest by all voters to a profile where  $b$  is moving  $b$  one position at a time and one voter at a time

P1

$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$
$b$	$\dots$	$b$	$a$	$a$	$\dots$	$a$
$a$	$\dots$	$a$	$b$	$\cdot$	$\dots$	$\cdot$
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$\cdot$	$\dots$	$\cdot$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$b$	$\dots$	$b$

$a$

P2

$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$
$b$	$\dots$	$b$	$b$	$a$	$\dots$	$a$
$a$	$\dots$	$a$	$a$	$\cdot$	$\dots$	$\cdot$
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$\cdot$	$\dots$	$\cdot$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$b$	$\dots$	$b$

$b$



# Pivotal Voter

- ▶ we consider two fixed alternatives  $a, b \in A$
- ▶ we move from a profile where  $a$  is ranked highest by all voters to a profile where  $b$  is  
moving  $b$  one position at a time and one voter at a time
- ▶ by unanimity, the outcome must change from  $a$  to  $b$   
voter  $i$  changes profile P1 to P2

P1

$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$
$b$	$\dots$	$b$	$a$	$a$	$\dots$	$a$
$a$	$\dots$	$a$	$b$	$\cdot$	$\dots$	$\cdot$
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$\cdot$	$\dots$	$\cdot$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$b$	$\dots$	$b$

$a$

P2

$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$
$b$	$\dots$	$b$	$b$	$a$	$\dots$	$a$
$a$	$\dots$	$a$	$a$	$\cdot$	$\dots$	$\cdot$
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$\cdot$	$\dots$	$\cdot$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$b$	$\dots$	$b$

$b$



# Pivotal Voter

- ▶ we consider two fixed alternatives  $a, b \in A$
- ▶ we move from a profile where  $a$  is ranked highest by all voters to a profile where  $b$  is moving  $b$  one position at a time and one voter at a time
- ▶ by unanimity, the outcome must change from  $a$  to  $b$  voter  $i$  changes profile P1 to P2
- ▶ start from P2 and move  $a$  below for all voters but  $i$ , without changing pairwise relationships with  $b$

P1

$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$
$b$	$\dots$	$b$	$a$	$a$	$\dots$	$a$
$a$	$\dots$	$a$	$b$	$\cdot$	$\dots$	$\cdot$
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$\cdot$	$\dots$	$\cdot$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$b$	$\dots$	$b$

$a$

P2

$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$
$b$	$\dots$	$b$	$b$	$a$	$\dots$	$a$
$a$	$\dots$	$a$	$a$	$\cdot$	$\dots$	$\cdot$
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$\cdot$	$\dots$	$\cdot$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$b$	$\dots$	$b$

$b$





# Pivotal Voter

- ▶ we consider two fixed alternatives  $a, b \in A$
- ▶ we move from a profile where  $a$  is ranked highest by all voters to a profile where  $b$  is moving  $b$  one position at a time and one voter at a time
- ▶ by unanimity, the outcome must change from  $a$  to  $b$  voter  $i$  changes profile P1 to P2
- ▶ start from P2 and move  $a$  below for all voters but  $i$ , without changing pairwise relationships with  $b$

P1

$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$
$b$	$\dots$	$b$	$a$	$a$	$\dots$	$a$
$a$	$\dots$	$a$	$b$	$\cdot$	$\dots$	$\cdot$
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$\cdot$	$\dots$	$\cdot$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$b$	$\dots$	$b$

$a$

$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$
$b$	$\dots$	$b$	$b$	$\cdot$	$\dots$	$\cdot$
$\cdot$	$\dots$	$\cdot$	$a$	$\cdot$	$\dots$	$\cdot$
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$a$	$\dots$	$a$
$a$	$\dots$	$a$	$\cdot$	$b$	$\dots$	$b$

$b$



# Pivotal Voter

- ▶ we consider two fixed alternatives  $a, b \in A$
- ▶ we move from a profile where  $a$  is ranked highest by all voters to a profile where  $b$  is moving  $b$  one position at a time and one voter at a time
- ▶ by unanimity, the outcome must change from  $a$  to  $b$  voter  $i$  changes profile P1 to P2
- ▶ start from P2 and move  $a$  below for all voters but  $i$ , without changing pairwise relationships with  $b$ 
  - by monotonicity,  $b$  must remain selected

P1

$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$
$b$	$\dots$	$b$	$a$	$a$	$\dots$	$a$
$a$	$\dots$	$a$	$b$	$\cdot$	$\dots$	$\cdot$
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$\cdot$	$\dots$	$\cdot$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$b$	$\dots$	$b$

$a$

$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$
$b$	$\dots$	$b$	$b$	$\cdot$	$\dots$	$\cdot$
$\cdot$	$\dots$	$\cdot$	$a$	$\cdot$	$\dots$	$\cdot$
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$a$	$\dots$	$a$
$a$	$\dots$	$a$	$\cdot$	$b$	$\dots$	$b$

$b$



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- ▶ we consider two fixed alternatives  $a, b \in A$
- ▶ we move from a profile where  $a$  is ranked highest by all voters to a profile where  $b$  is moving  $b$  one position at a time and one voter at a time
- ▶ by unanimity, the outcome must change from  $a$  to  $b$  voter  $i$  changes profile P1 to P2
- ▶ start from P2 and move  $a$  below for all voters but  $i$ , without changing pairwise relationships with  $b$ 
  - by monotonicity,  $b$  must remain selected
- ▶ flip  $a$  and  $b$  in  $i$ 's ranking and call the resulting profile P3

P1

$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$
$b$	$\dots$	$b$	$a$	$a$	$\dots$	$a$
$a$	$\dots$	$a$	$b$	$\cdot$	$\dots$	$\cdot$
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$\cdot$	$\dots$	$\cdot$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$b$	$\dots$	$b$

$a$

$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$
$b$	$\dots$	$b$	$b$	$\cdot$	$\dots$	$\cdot$
$\cdot$	$\dots$	$\cdot$	$a$	$\cdot$	$\dots$	$\cdot$
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$a$	$\dots$	$a$
$a$	$\dots$	$a$	$\cdot$	$b$	$\dots$	$b$

$b$



# Pivotal Voter

- ▶ we consider two fixed alternatives  $a, b \in A$
- ▶ we move from a profile where  $a$  is ranked highest by all voters to a profile where  $b$  is moving  $b$  one position at a time and one voter at a time
- ▶ by unanimity, the outcome must change from  $a$  to  $b$  voter  $i$  changes profile P1 to P2
- ▶ start from P2 and move  $a$  below for all voters but  $i$ , without changing pairwise relationships with  $b$ 
  - by monotonicity,  $b$  must remain selected
- ▶ flip  $a$  and  $b$  in  $i$ 's ranking and call the resulting profile P3

P1

$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$
$b$	$\dots$	$b$	$a$	$a$	$\dots$	$a$
$a$	$\dots$	$a$	$b$	$\cdot$	$\dots$	$\cdot$
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$\cdot$	$\dots$	$\cdot$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$b$	$\dots$	$b$

$a$

P3

$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$
$b$	$\dots$	$b$	$a$	$\cdot$	$\dots$	$\cdot$
$\cdot$	$\dots$	$\cdot$	$b$	$\cdot$	$\dots$	$\cdot$
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$a$	$\dots$	$a$
$a$	$\dots$	$a$	$\cdot$	$b$	$\dots$	$b$



# Pivotal Voter

- ▶ we consider two fixed alternatives  $a, b \in A$
- ▶ we move from a profile where  $a$  is ranked highest by all voters to a profile where  $b$  is moving  $b$  one position at a time and one voter at a time
- ▶ by unanimity, the outcome must change from  $a$  to  $b$  voter  $i$  changes profile P1 to P2
- ▶ start from P2 and move  $a$  below for all voters but  $i$ , without changing pairwise relationships with  $b$ 
  - by monotonicity,  $b$  must remain selected
- ▶ flip  $a$  and  $b$  in  $i$ 's ranking and call the resulting profile P3
  - by monotonicity, either  $a$  or  $b$  is selected

P1

$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$
$b$	$\dots$	$b$	$a$	$a$	$\dots$	$a$
$a$	$\dots$	$a$	$b$	$\cdot$	$\dots$	$\cdot$
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$\cdot$	$\dots$	$\cdot$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$b$	$\dots$	$b$

$a$

P3

$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$
$b$	$\dots$	$b$	$a$	$\cdot$	$\dots$	$\cdot$
$\cdot$	$\dots$	$\cdot$	$b$	$\cdot$	$\dots$	$\cdot$
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$a$	$\dots$	$a$
$a$	$\dots$	$a$	$\cdot$	$b$	$\dots$	$b$





# Pivotal Voter

- ▶ we consider two fixed alternatives  $a, b \in A$
- ▶ we move from a profile where  $a$  is ranked highest by all voters to a profile where  $b$  is moving  $b$  one position at a time and one voter at a time
- ▶ by unanimity, the outcome must change from  $a$  to  $b$  voter  $i$  changes profile P1 to P2
- ▶ start from P2 and move  $a$  below for all voters but  $i$ , without changing pairwise relationships with  $b$ 
  - by monotonicity,  $b$  must remain selected
- ▶ flip  $a$  and  $b$  in  $i$ 's ranking and call the resulting profile P3
  - by monotonicity, either  $a$  or  $b$  is selected
  - selecting  $b$  would imply that  $b$  is selected in P1

P1

$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$
$b$	$\dots$	$b$	$a$	$a$	$\dots$	$a$
$a$	$\dots$	$a$	$b$	$\cdot$	$\dots$	$\cdot$
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$\cdot$	$\dots$	$\cdot$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$b$	$\dots$	$b$

$a$

P3

$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$
$b$	$\dots$	$b$	$a$	$\cdot$	$\dots$	$\cdot$
$\cdot$	$\dots$	$\cdot$	$b$	$\cdot$	$\dots$	$\cdot$
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$a$	$\dots$	$a$
$a$	$\dots$	$a$	$\cdot$	$b$	$\dots$	$b$



# Pivotal Voter

- ▶ we consider two fixed alternatives  $a, b \in A$
- ▶ we move from a profile where  $a$  is ranked highest by all voters to a profile where  $b$  is moving  $b$  one position at a time and one voter at a time
- ▶ by unanimity, the outcome must change from  $a$  to  $b$  voter  $i$  changes profile P1 to P2
- ▶ start from P2 and move  $a$  below for all voters but  $i$ , without changing pairwise relationships with  $b$ 
  - by monotonicity,  $b$  must remain selected
- ▶ flip  $a$  and  $b$  in  $i$ 's ranking and call the resulting profile P3
  - by monotonicity, either  $a$  or  $b$  is selected
  - selecting  $b$  would imply that  $b$  is selected in P1

P1

$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$
$b$	$\dots$	$b$	$a$	$a$	$\dots$	$a$
$a$	$\dots$	$a$	$b$	$\cdot$	$\dots$	$\cdot$
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$\cdot$	$\dots$	$\cdot$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$b$	$\dots$	$b$

$a$

P3

$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$
$b$	$\dots$	$b$	$a$	$\cdot$	$\dots$	$\cdot$
$\cdot$	$\dots$	$\cdot$	$b$	$\cdot$	$\dots$	$\cdot$
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$a$	$\dots$	$a$
$a$	$\dots$	$a$	$\cdot$	$b$	$\dots$	$b$

$a$



# From Pivotal to Dictator

- consider  $c \in A \setminus \{a, b\}$  and profile P4

P3

$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$	
$b$	$\dots$	$b$	$a$	$\cdot$	$\dots$	$\cdot$	$\rightarrow a$
$\cdot$	$\dots$	$\cdot$	$b$	$\cdot$	$\dots$	$\cdot$	
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$	
$\cdot$	$\dots$	$\cdot$	$\cdot$	$a$	$\dots$	$a$	
$a$	$\dots$	$a$	$\cdot$	$b$	$\dots$	$b$	

P4

$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$
$\cdot$	$\dots$	$\cdot$	$a$	$\cdot$	$\dots$	$\cdot$
$\cdot$	$\dots$	$\cdot$	$c$	$\cdot$	$\dots$	$\cdot$
$\cdot$	$\dots$	$\cdot$	$b$	$\cdot$	$\dots$	$\cdot$
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$
$c$	$\dots$	$c$	$\cdot$	$c$	$\dots$	$c$
$b$	$\dots$	$b$	$\cdot$	$a$	$\dots$	$a$
$a$	$\dots$	$a$	$\cdot$	$b$	$\dots$	$b$



# From Pivotal to Dictator

- ▶ consider  $c \in A \setminus \{a, b\}$  and profile P4
  - by monotonicity,  $a$  remains selected

P3

$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$
$b$	$\dots$	$b$	$a$	$\cdot$	$\dots$	$\cdot$
$\cdot$	$\dots$	$\cdot$	$b$	$\cdot$	$\dots$	$\cdot$
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$
$\cdot$	$\dots$	$\cdot$	$\cdot$	$a$	$\dots$	$a$
$a$	$\dots$	$a$	$\cdot$	$b$	$\dots$	$b$

→  $a$

P4

$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$
$\cdot$	$\dots$	$\cdot$	$a$	$\cdot$	$\dots$	$\cdot$
$\cdot$	$\dots$	$\cdot$	$c$	$\cdot$	$\dots$	$\cdot$
$\cdot$	$\dots$	$\cdot$	$b$	$\cdot$	$\dots$	$\cdot$
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$
$c$	$\dots$	$c$	$\cdot$	$c$	$\dots$	$c$
$b$	$\dots$	$b$	$\cdot$	$a$	$\dots$	$a$
$a$	$\dots$	$a$	$\cdot$	$b$	$\dots$	$b$

→  $a$



# From Pivotal to Dictator

- ▶ consider  $c \in A \setminus \{a, b\}$  and profile P4
  - by monotonicity,  $a$  remains selected
- ▶ flip  $a$  and  $b$  in the ranking of all voters  $j > i$

P3

$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$	
$b$	$\dots$	$b$	$a$	$\cdot$	$\dots$	$\cdot$	$\rightarrow a$
$\cdot$	$\dots$	$\cdot$	$b$	$\cdot$	$\dots$	$\cdot$	
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$	
$\cdot$	$\dots$	$\cdot$	$\cdot$	$a$	$\dots$	$a$	
$a$	$\dots$	$a$	$\cdot$	$b$	$\dots$	$b$	

P4

$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$	
$\cdot$	$\dots$	$\cdot$	$a$	$\cdot$	$\dots$	$\cdot$	$\rightarrow a$
$\cdot$	$\dots$	$\cdot$	$c$	$\cdot$	$\dots$	$\cdot$	
$\cdot$	$\dots$	$\cdot$	$b$	$\cdot$	$\dots$	$\cdot$	
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$	
$c$	$\dots$	$c$	$\cdot$	$c$	$\dots$	$c$	
$b$	$\dots$	$b$	$\cdot$	$a$	$\dots$	$a$	
$a$	$\dots$	$a$	$\cdot$	$b$	$\dots$	$b$	





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P3

$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$	
$b$	$\dots$	$b$	$a$	$\cdot$	$\dots$	$\cdot$	$\rightarrow a$
$\cdot$	$\dots$	$\cdot$	$b$	$\cdot$	$\dots$	$\cdot$	
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$	
$\cdot$	$\dots$	$\cdot$	$\cdot$	$a$	$\dots$	$a$	
$a$	$\dots$	$a$	$\cdot$	$b$	$\dots$	$b$	

$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$	
$\cdot$	$\dots$	$\cdot$	$a$	$\cdot$	$\dots$	$\cdot$	$\rightarrow$
$\cdot$	$\dots$	$\cdot$	$c$	$\cdot$	$\dots$	$\cdot$	
$\cdot$	$\dots$	$\cdot$	$b$	$\cdot$	$\dots$	$\cdot$	
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$	
$c$	$\dots$	$c$	$\cdot$	$c$	$\dots$	$c$	
$b$	$\dots$	$b$	$\cdot$	$b$	$\dots$	$b$	
$a$	$\dots$	$a$	$\cdot$	$a$	$\dots$	$a$	



# From Pivotal to Dictator

- ▶ consider  $c \in A \setminus \{a, b\}$  and profile P4
  - by monotonicity,  $a$  remains selected
- ▶ flip  $a$  and  $b$  in the ranking of all voters  $j > i$ 
  - by monotonicity, either  $a$  or  $b$  is selected

P3

$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$	
$b$	$\dots$	$b$	$a$	$\cdot$	$\dots$	$\cdot$	$\rightarrow a$
$\cdot$	$\dots$	$\cdot$	$b$	$\cdot$	$\dots$	$\cdot$	
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$	
$\cdot$	$\dots$	$\cdot$	$\cdot$	$a$	$\dots$	$a$	
$a$	$\dots$	$a$	$\cdot$	$b$	$\dots$	$b$	

$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$	
$\cdot$	$\dots$	$\cdot$	$a$	$\cdot$	$\dots$	$\cdot$	$\rightarrow$
$\cdot$	$\dots$	$\cdot$	$c$	$\cdot$	$\dots$	$\cdot$	
$\cdot$	$\dots$	$\cdot$	$b$	$\cdot$	$\dots$	$\cdot$	
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$	
$c$	$\dots$	$c$	$\cdot$	$c$	$\dots$	$c$	
$b$	$\dots$	$b$	$\cdot$	$b$	$\dots$	$b$	
$a$	$\dots$	$a$	$\cdot$	$a$	$\dots$	$a$	



# From Pivotal to Dictator

- ▶ consider  $c \in A \setminus \{a, b\}$  and profile P4
  - by monotonicity,  $a$  remains selected
- ▶ flip  $a$  and  $b$  in the ranking of all voters  $j > i$ 
  - by monotonicity, either  $a$  or  $b$  is selected
  - selecting  $b$  would imply that  $b$  is selected when  $c$  moves to the top of all rankings, contradicting unanimity

P3

$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$	
$b$	$\dots$	$b$	$a$	$\cdot$	$\dots$	$\cdot$	$\rightarrow a$
$\cdot$	$\dots$	$\cdot$	$b$	$\cdot$	$\dots$	$\cdot$	
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$	
$\cdot$	$\dots$	$\cdot$	$\cdot$	$a$	$\dots$	$a$	
$a$	$\dots$	$a$	$\cdot$	$b$	$\dots$	$b$	

$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$	
$\cdot$	$\dots$	$\cdot$	$a$	$\cdot$	$\dots$	$\cdot$	$\rightarrow$
$\cdot$	$\dots$	$\cdot$	$c$	$\cdot$	$\dots$	$\cdot$	
$\cdot$	$\dots$	$\cdot$	$b$	$\cdot$	$\dots$	$\cdot$	
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$	
$c$	$\dots$	$c$	$\cdot$	$c$	$\dots$	$c$	
$b$	$\dots$	$b$	$\cdot$	$b$	$\dots$	$b$	
$a$	$\dots$	$a$	$\cdot$	$a$	$\dots$	$a$	



# From Pivotal to Dictator

- ▶ consider  $c \in A \setminus \{a, b\}$  and profile P4
  - by monotonicity,  $a$  remains selected
- ▶ flip  $a$  and  $b$  in the ranking of all voters  $j > i$ 
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  - selecting  $b$  would imply that  $b$  is selected when  $c$  moves to the top of all rankings, contradicting unanimity

P3

$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$	
$b$	$\dots$	$b$	$a$	$\cdot$	$\dots$	$\cdot$	$\rightarrow a$
$\cdot$	$\dots$	$\cdot$	$b$	$\cdot$	$\dots$	$\cdot$	
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$	
$\cdot$	$\dots$	$\cdot$	$\cdot$	$a$	$\dots$	$a$	
$a$	$\dots$	$a$	$\cdot$	$b$	$\dots$	$b$	

$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$	
$\cdot$	$\dots$	$\cdot$	$a$	$\cdot$	$\dots$	$\cdot$	$\rightarrow a$
$\cdot$	$\dots$	$\cdot$	$c$	$\cdot$	$\dots$	$\cdot$	
$\cdot$	$\dots$	$\cdot$	$b$	$\cdot$	$\dots$	$\cdot$	
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$	
$c$	$\dots$	$c$	$\cdot$	$c$	$\dots$	$c$	
$b$	$\dots$	$b$	$\cdot$	$b$	$\dots$	$b$	
$a$	$\dots$	$a$	$\cdot$	$a$	$\dots$	$a$	



# From Pivotal to Dictator

- ▶ consider  $c \in A \setminus \{a, b\}$  and profile P4
  - by monotonicity,  $a$  remains selected
- ▶ flip  $a$  and  $b$  in the ranking of all voters  $j > i$ 
  - by monotonicity, either  $a$  or  $b$  is selected
  - selecting  $b$  would imply that  $b$  is selected when  $c$  moves to the top of all rankings, contradicting unanimity
- ▶ by monotonicity,  $a$  is selected for any profile where it is at the top of  $i$ 's ranking

P3

$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$	
$b$	$\dots$	$b$	$a$	$\cdot$	$\dots$	$\cdot$	$\rightarrow a$
$\cdot$	$\dots$	$\cdot$	$b$	$\cdot$	$\dots$	$\cdot$	
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$	
$\cdot$	$\dots$	$\cdot$	$\cdot$	$a$	$\dots$	$a$	
$a$	$\dots$	$a$	$\cdot$	$b$	$\dots$	$b$	

$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$	
$\cdot$	$\dots$	$\cdot$	$a$	$\cdot$	$\dots$	$\cdot$	$\rightarrow a$
$\cdot$	$\dots$	$\cdot$	$c$	$\cdot$	$\dots$	$\cdot$	
$\cdot$	$\dots$	$\cdot$	$b$	$\cdot$	$\dots$	$\cdot$	
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$	
$c$	$\dots$	$c$	$\cdot$	$c$	$\dots$	$c$	
$b$	$\dots$	$b$	$\cdot$	$b$	$\dots$	$b$	
$a$	$\dots$	$a$	$\cdot$	$a$	$\dots$	$a$	





# From Pivotal to Dictator

- ▶ consider  $c \in A \setminus \{a, b\}$  and profile P4
  - by monotonicity,  $a$  remains selected
- ▶ flip  $a$  and  $b$  in the ranking of all voters  $j > i$ 
  - by monotonicity, either  $a$  or  $b$  is selected
  - selecting  $b$  would imply that  $b$  is selected when  $c$  moves to the top of all rankings, contradicting unanimity
- ▶ by monotonicity,  $a$  is selected for any profile where it is at the top of  $i$ 's ranking
- ▶ there is a dictator for any alternative

P3

$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$	
$b$	$\dots$	$b$	$a$	$\cdot$	$\dots$	$\cdot$	$\rightarrow a$
$\cdot$	$\dots$	$\cdot$	$b$	$\cdot$	$\dots$	$\cdot$	
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$	
$\cdot$	$\dots$	$\cdot$	$\cdot$	$a$	$\dots$	$a$	
$a$	$\dots$	$a$	$\cdot$	$b$	$\dots$	$b$	

$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$	
$\cdot$	$\dots$	$\cdot$	$a$	$\cdot$	$\dots$	$\cdot$	$\rightarrow a$
$\cdot$	$\dots$	$\cdot$	$c$	$\cdot$	$\dots$	$\cdot$	
$\cdot$	$\dots$	$\cdot$	$b$	$\cdot$	$\dots$	$\cdot$	
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$	
$c$	$\dots$	$c$	$\cdot$	$c$	$\dots$	$c$	
$b$	$\dots$	$b$	$\cdot$	$b$	$\dots$	$b$	
$a$	$\dots$	$a$	$\cdot$	$a$	$\dots$	$a$	



# From Pivotal to Dictator

- ▶ consider  $c \in A \setminus \{a, b\}$  and profile P4
  - by monotonicity,  $a$  remains selected
- ▶ flip  $a$  and  $b$  in the ranking of all voters  $j > i$ 
  - by monotonicity, either  $a$  or  $b$  is selected
  - selecting  $b$  would imply that  $b$  is selected when  $c$  moves to the top of all rankings, contradicting unanimity
- ▶ by monotonicity,  $a$  is selected for any profile where it is at the top of  $i$ 's ranking
- ▶ there is a dictator for any alternative
  - there is a unique dictator for all alternatives

P3

$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$	
$b$	$\dots$	$b$	$a$	$\cdot$	$\dots$	$\cdot$	$\rightarrow a$
$\cdot$	$\dots$	$\cdot$	$b$	$\cdot$	$\dots$	$\cdot$	
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$	
$\cdot$	$\dots$	$\cdot$	$\cdot$	$a$	$\dots$	$a$	
$a$	$\dots$	$a$	$\cdot$	$b$	$\dots$	$b$	

$\succ_1$	$\dots$	$\succ_{i-1}$	$\succ_i$	$\succ_{i+1}$	$\dots$	$\succ_n$	
$\cdot$	$\dots$	$\cdot$	$a$	$\cdot$	$\dots$	$\cdot$	$\rightarrow a$
$\cdot$	$\dots$	$\cdot$	$c$	$\cdot$	$\dots$	$\cdot$	
$\cdot$	$\dots$	$\cdot$	$b$	$\cdot$	$\dots$	$\cdot$	
$\vdots$	$\dots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$	
$c$	$\dots$	$c$	$\cdot$	$c$	$\dots$	$c$	
$b$	$\dots$	$b$	$\cdot$	$b$	$\dots$	$b$	
$a$	$\dots$	$a$	$\cdot$	$a$	$\dots$	$a$	



# From Muller-Satterthwaite to Gibbard-Satterthwaite

## Lemma

[Muller, Satterthwaite '77]

If  $f: \mathcal{L}(A)^n \rightarrow A$  is surjective and strategyproof, then it is unanimous and monotone.



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### ▶ monotonicity:

- let  $\succ \in \mathcal{L}(A)^n$ ,  $\succ'_i \in \mathcal{L}(A)$  and  $a \in A$  be s.t.  $f(\succ) = a$  and  $a \succ'_i b$  whenever  $a \succ_i b$





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- suppose  $f(\succ'_i, \succ_{-i}) = b \neq a$
- by strategy-proofness,  $a \succ_i b$  (otherwise  $i$  would deviate from  $\succ_i$  to  $\succ'_i$  and improve)



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- analogously,  $b \succ'_i a$  (otherwise  $i$  would deviate from  $\succ'_i$  to  $\succ_i$  and improve), a contradiction



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- analogously,  $b \succ'_i a$  (otherwise  $i$  would deviate from  $\succ'_i$  to  $\succ_i$  and improve), a contradiction
- we conclude monotonicity by changing the rankings one agent at a time



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### ▶ unanimity





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- suppose  $f(\succ'_i, \succ_{-i}) = b \neq a$
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- we conclude monotonicity by changing the rankings one agent at a time

### ▶ unanimity

- fix  $a \in A$ ; by surjectivity,  $f(\succ) = a$  for some  $\succ \in \mathcal{L}(A)^n$



# From Muller-Satterthwaite to Gibbard-Satterthwaite

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- we conclude monotonicity by changing the rankings one agent at a time

### ▶ unanimity

- fix  $a \in A$ ; by surjectivity,  $f(\succ) = a$  for some  $\succ \in \mathcal{L}(A)^n$
- by monotonicity, this holds when we move  $a$  to the top of all rankings and shuffle the rest

# The Revelation Principle



# General Mechanisms

- ▶ player  $i \in V$  has **type**  $\theta_i \in \Theta_i$  and **utility**  $u_i: A \times \Theta_i \rightarrow \mathbb{R}$



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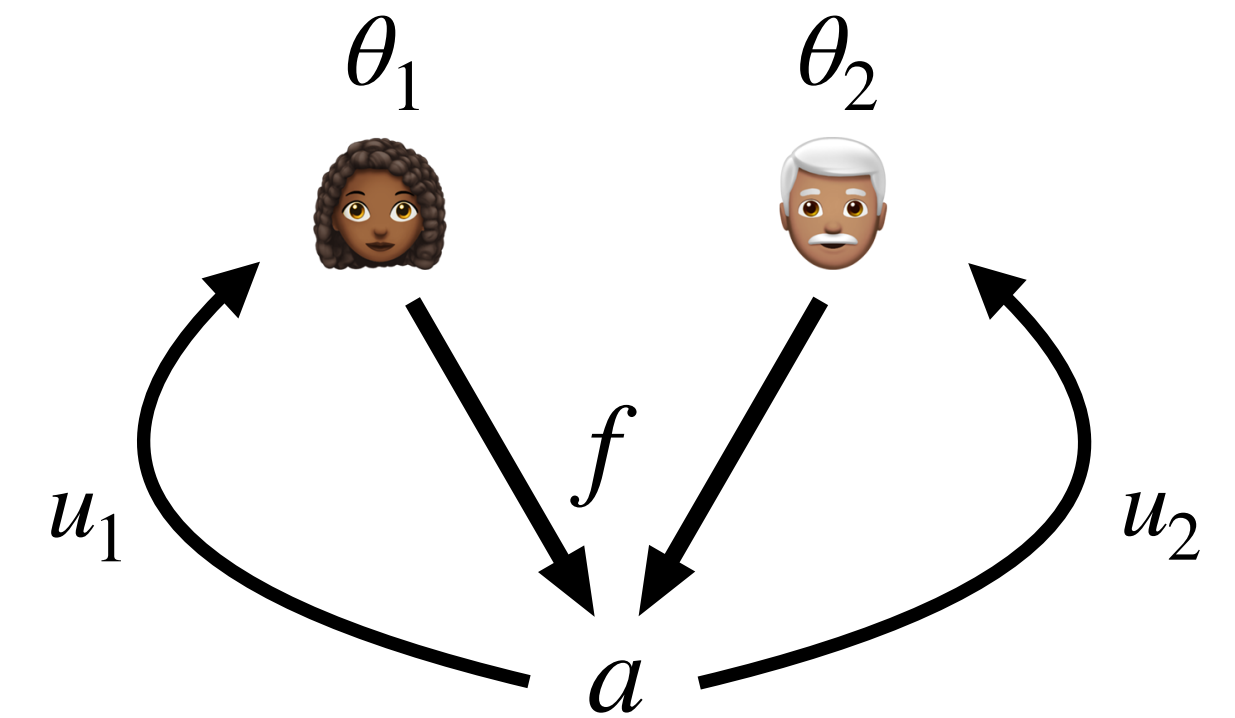
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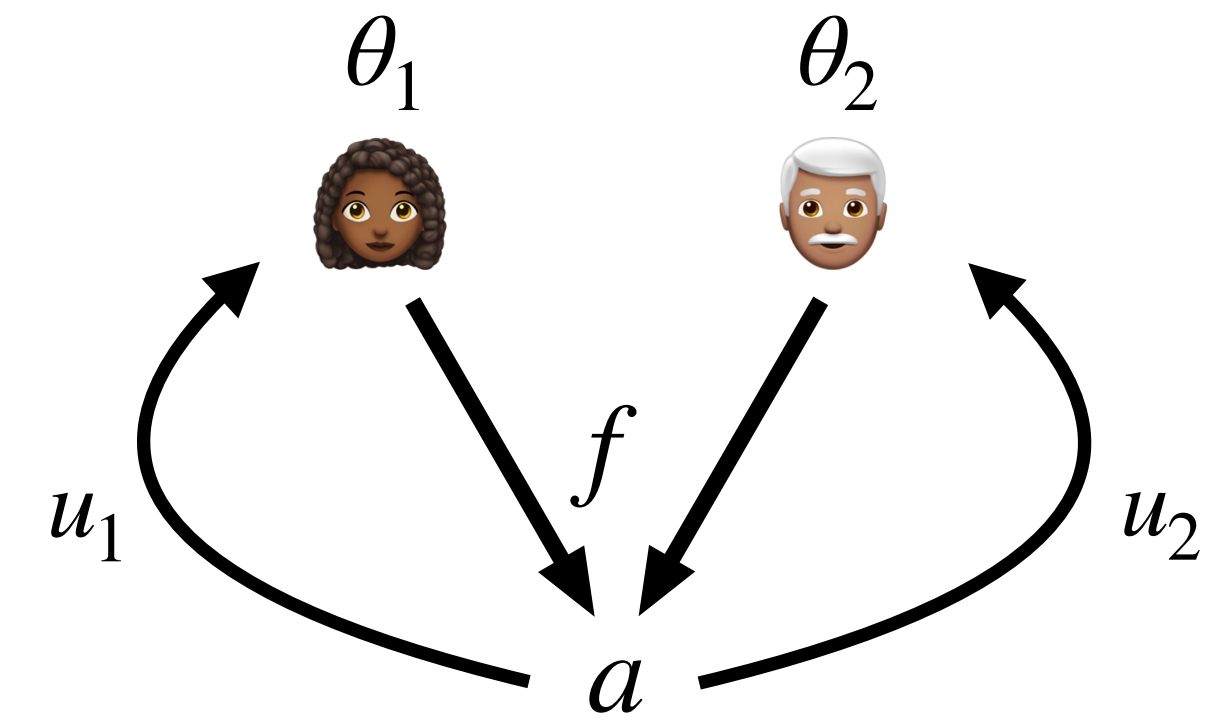
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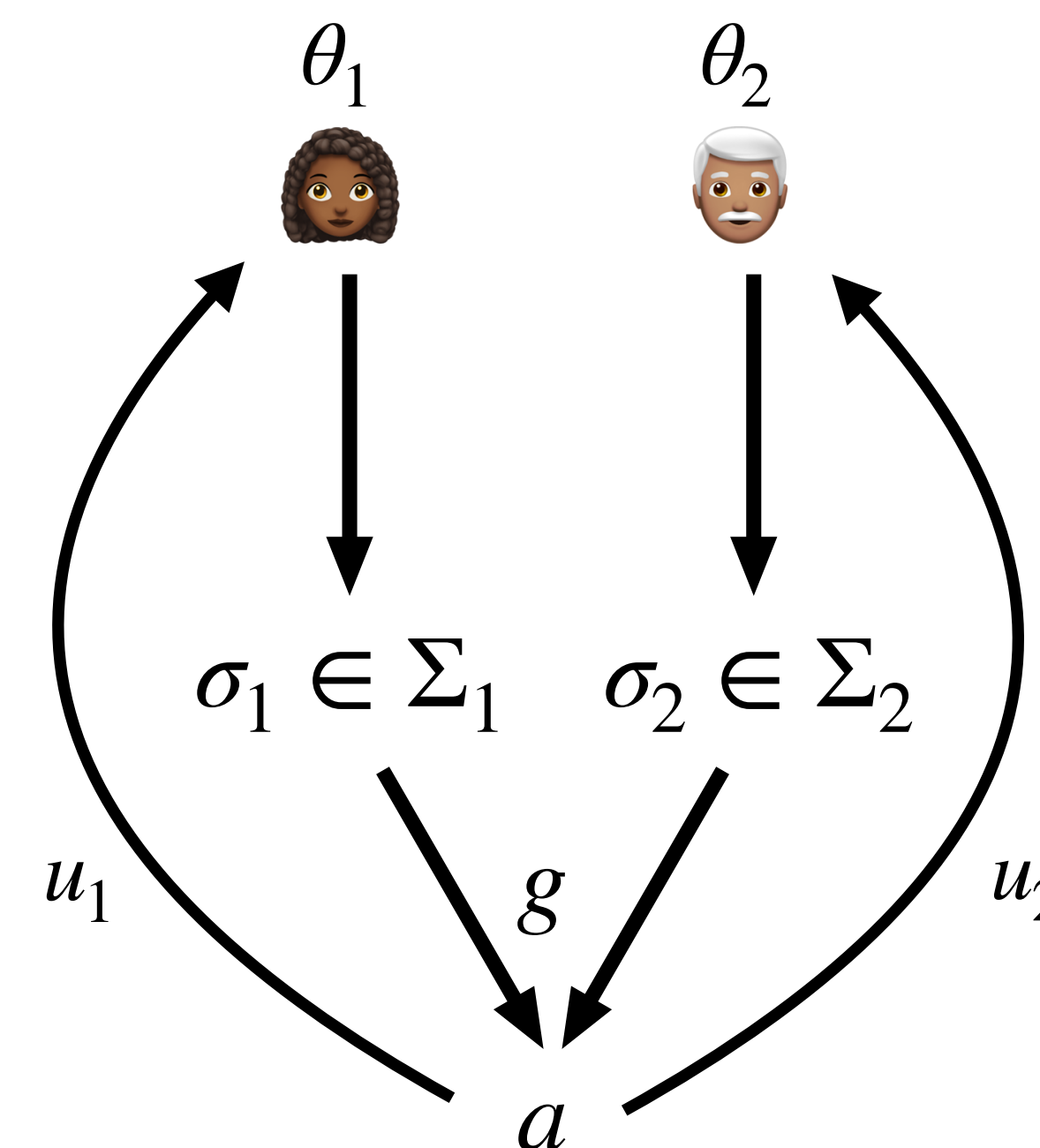
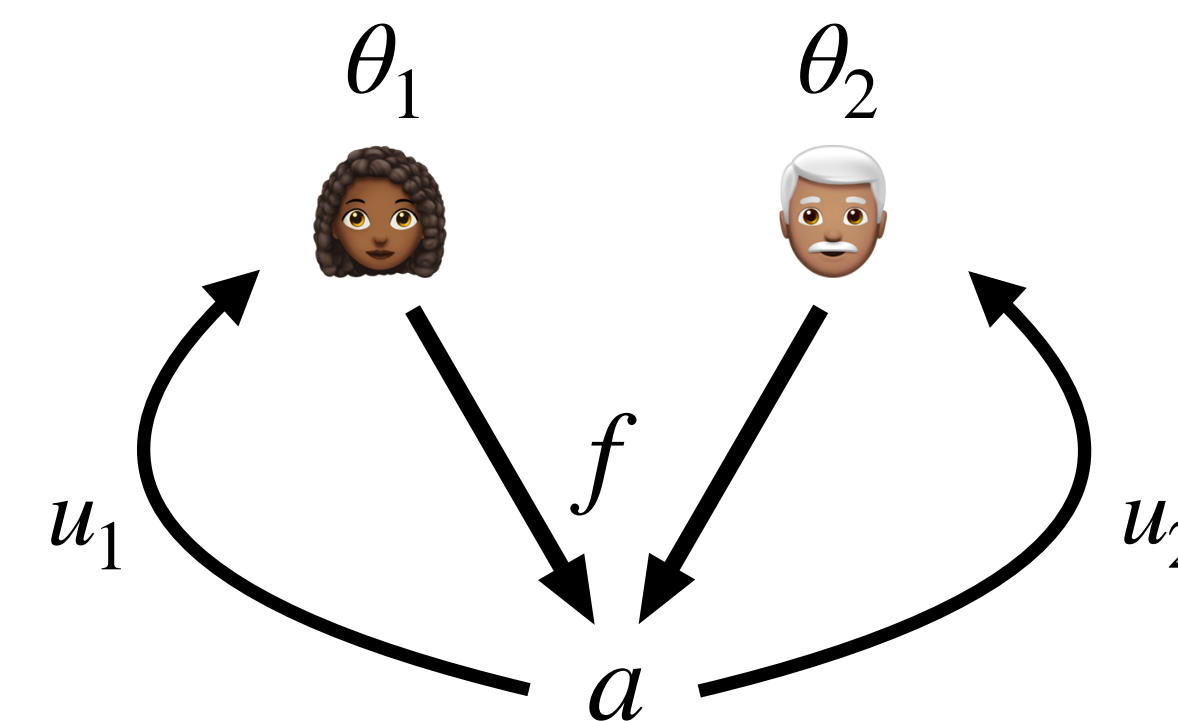
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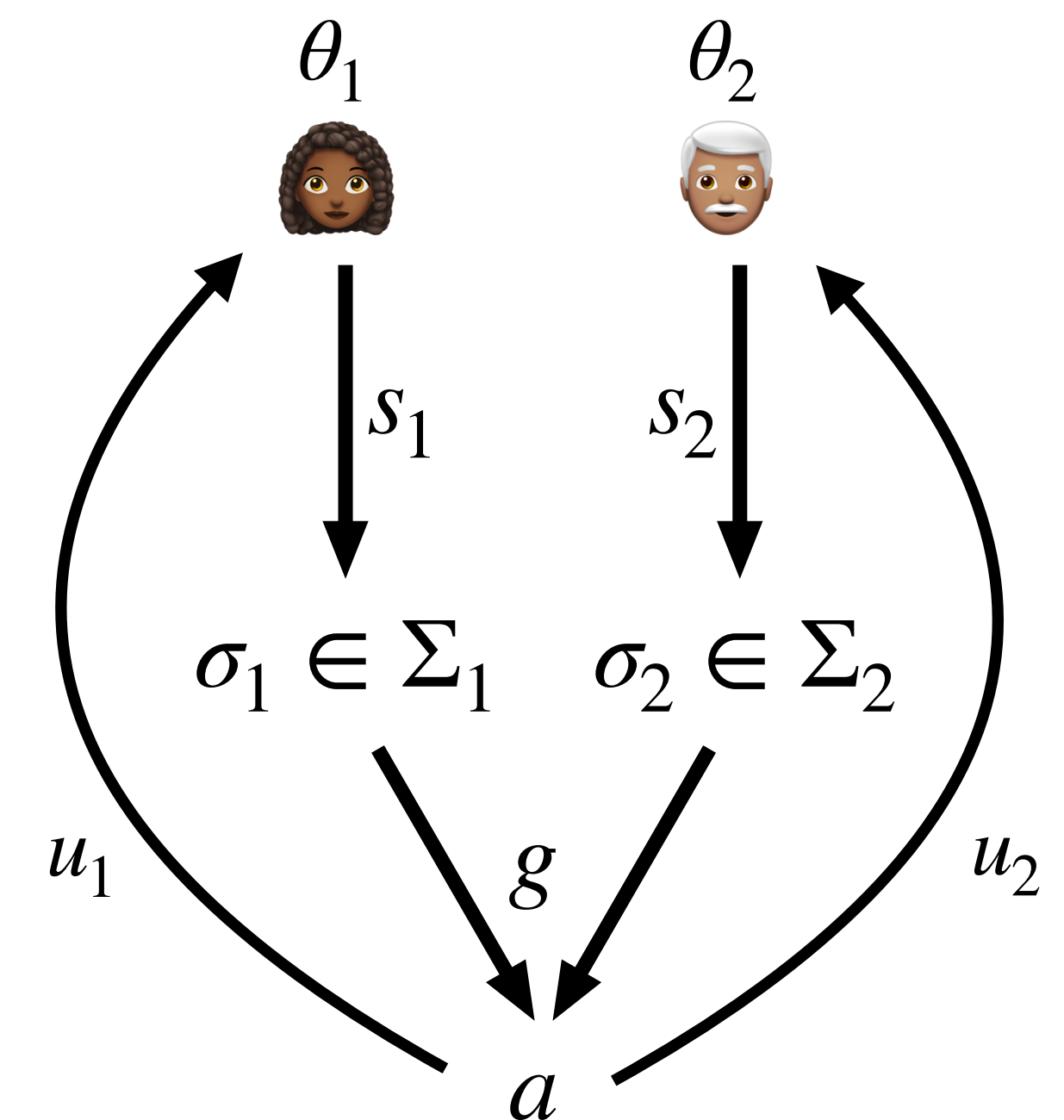
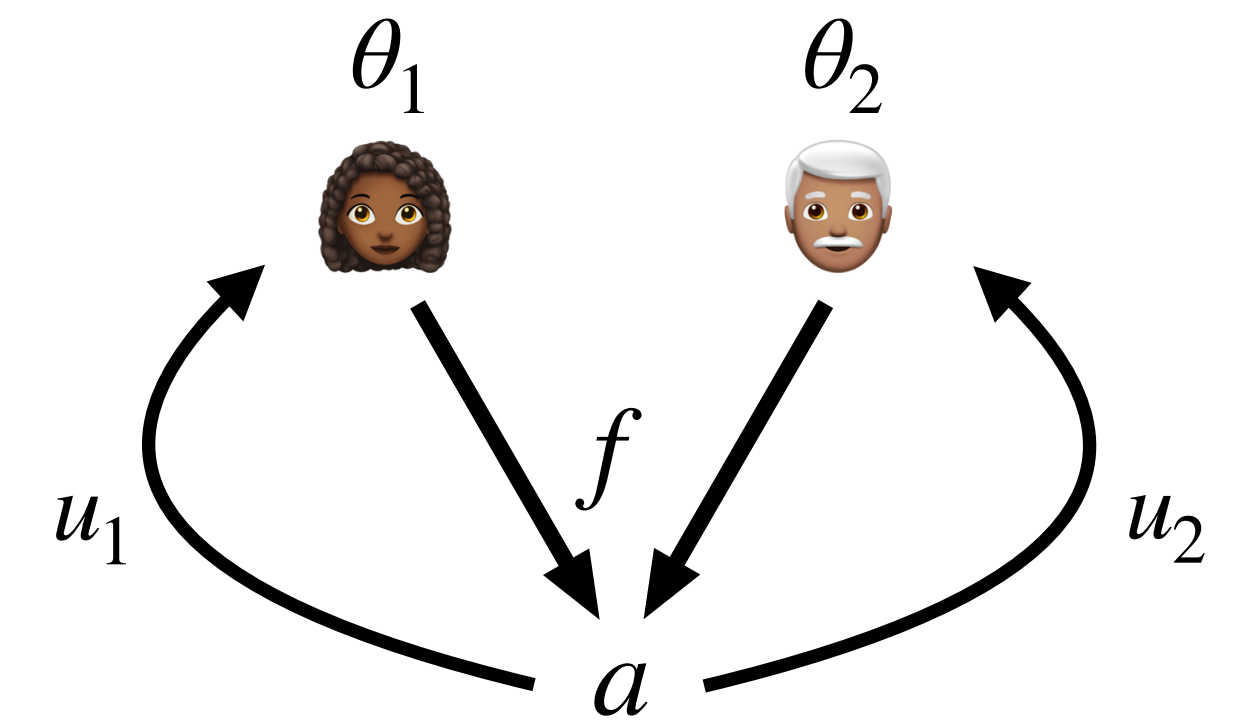
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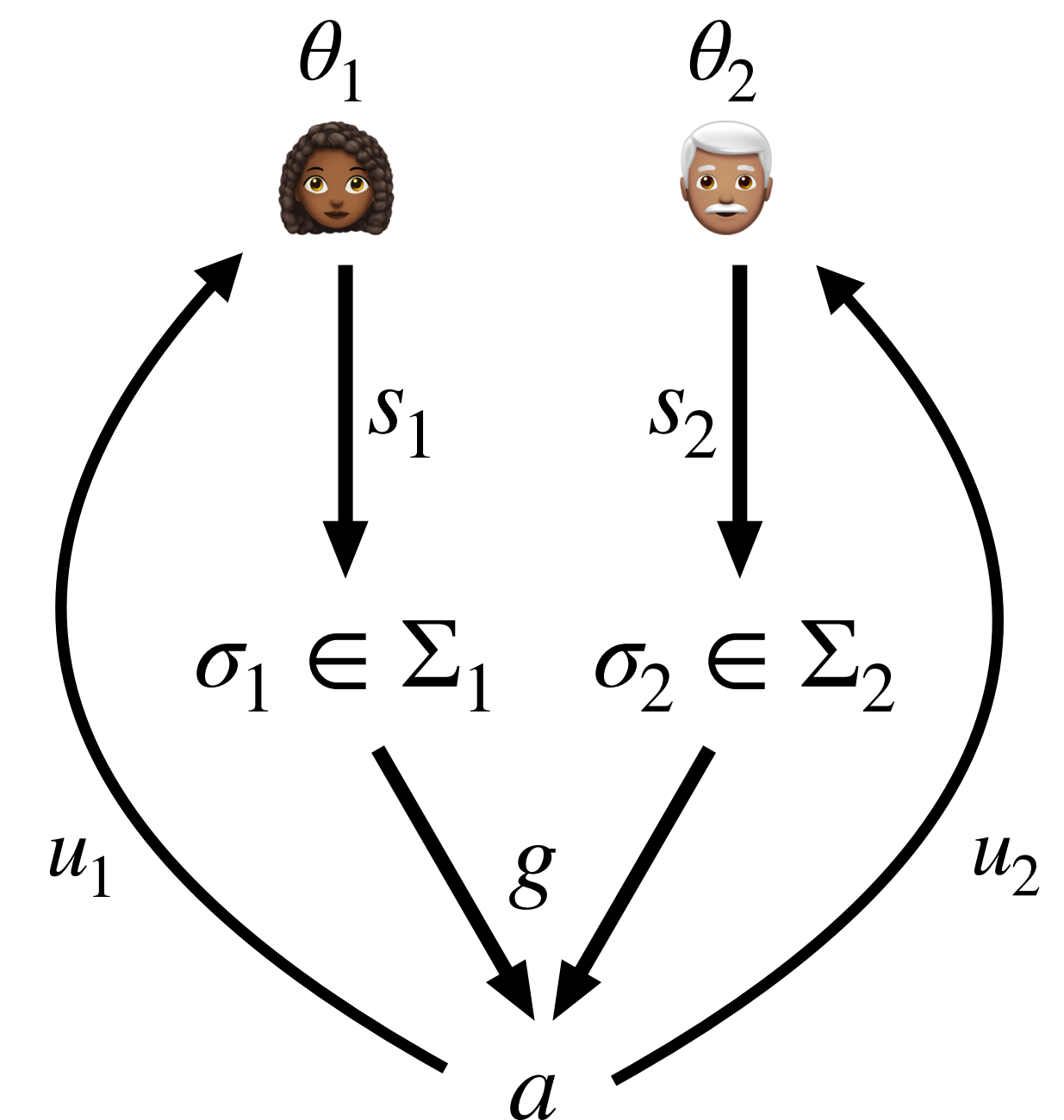
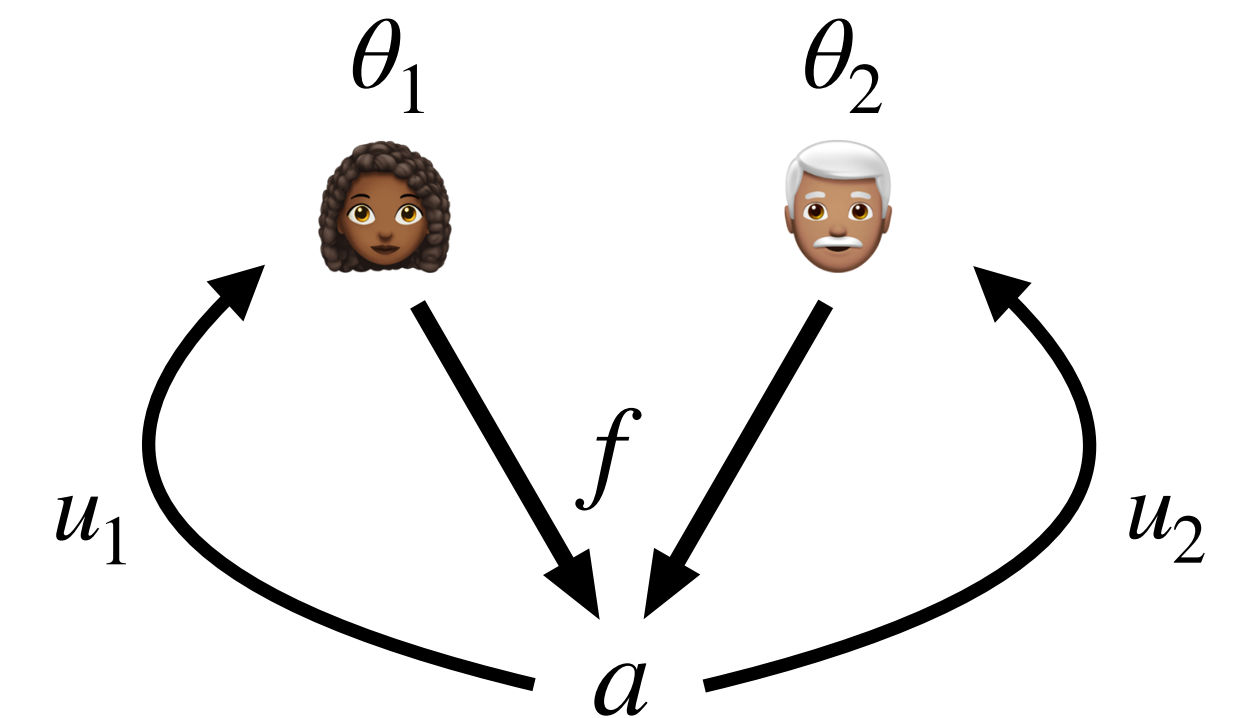
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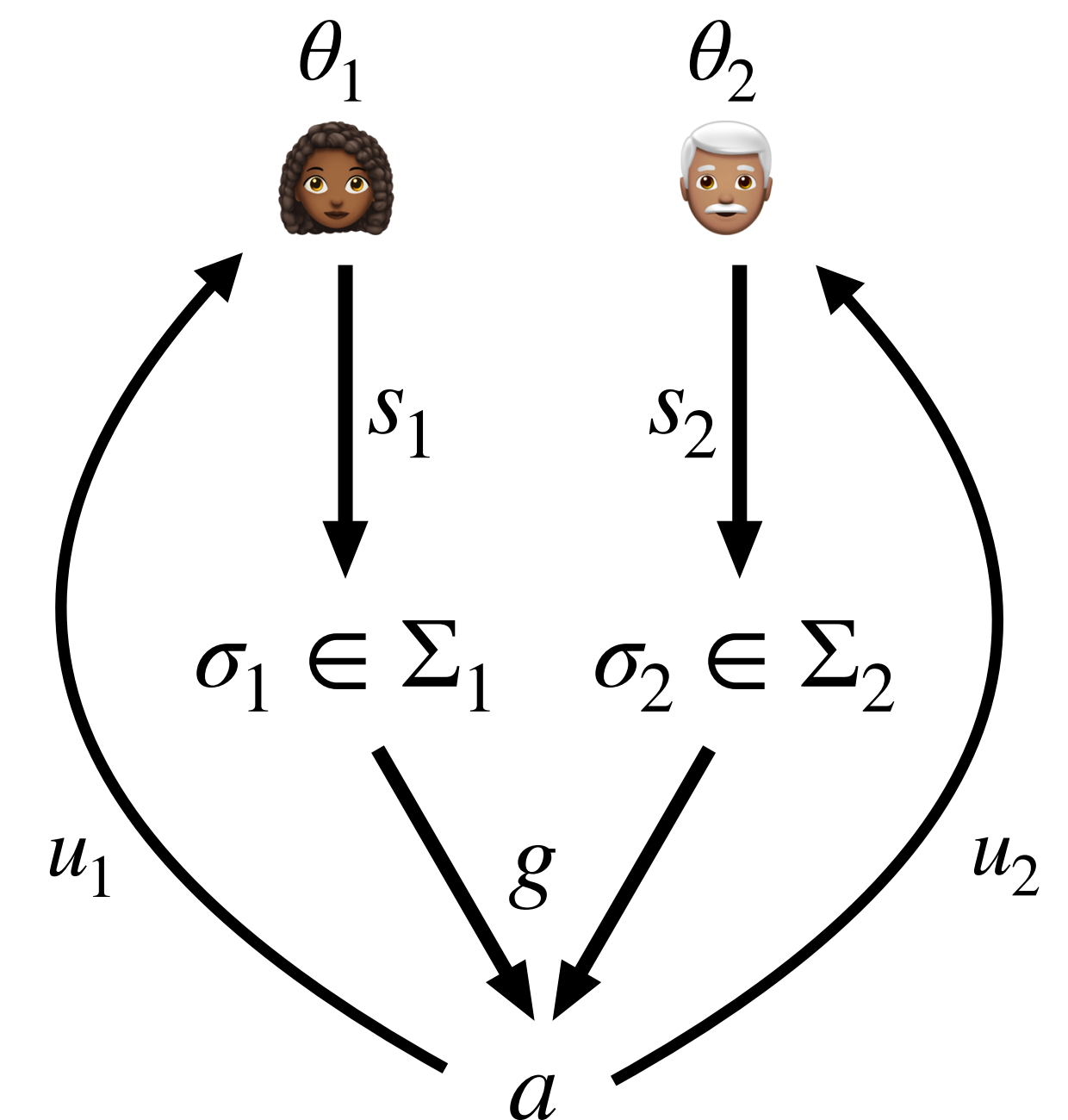
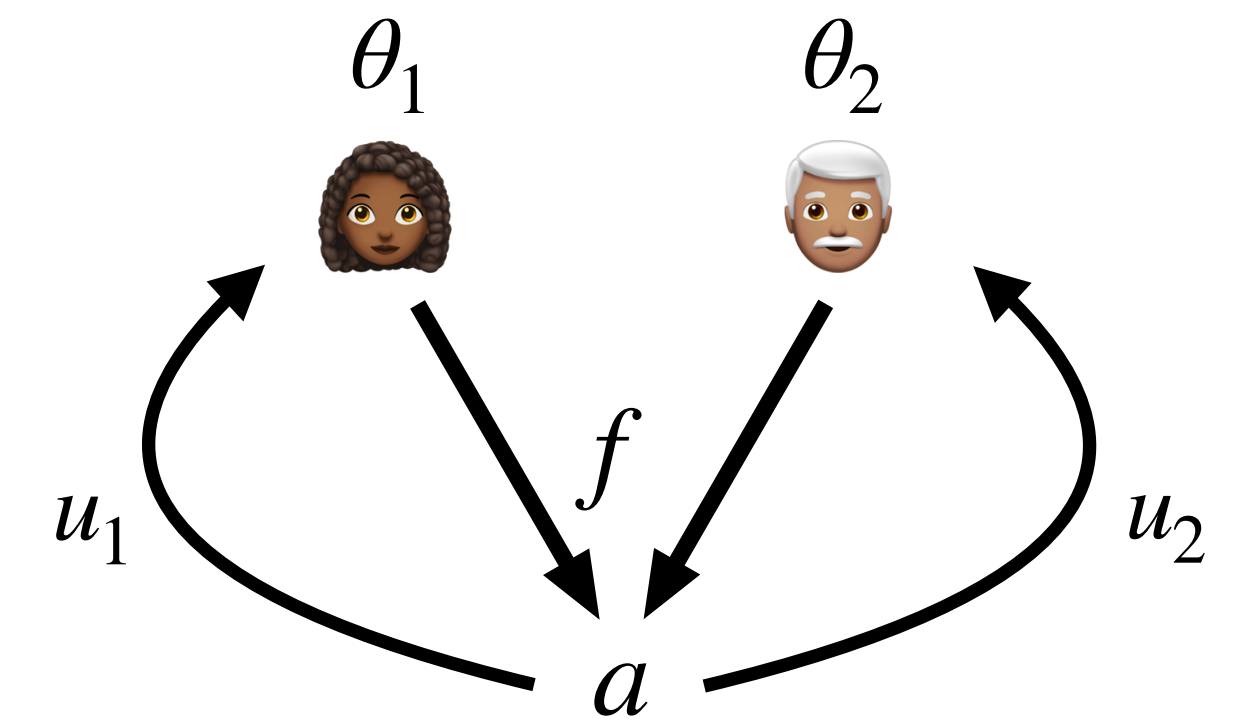
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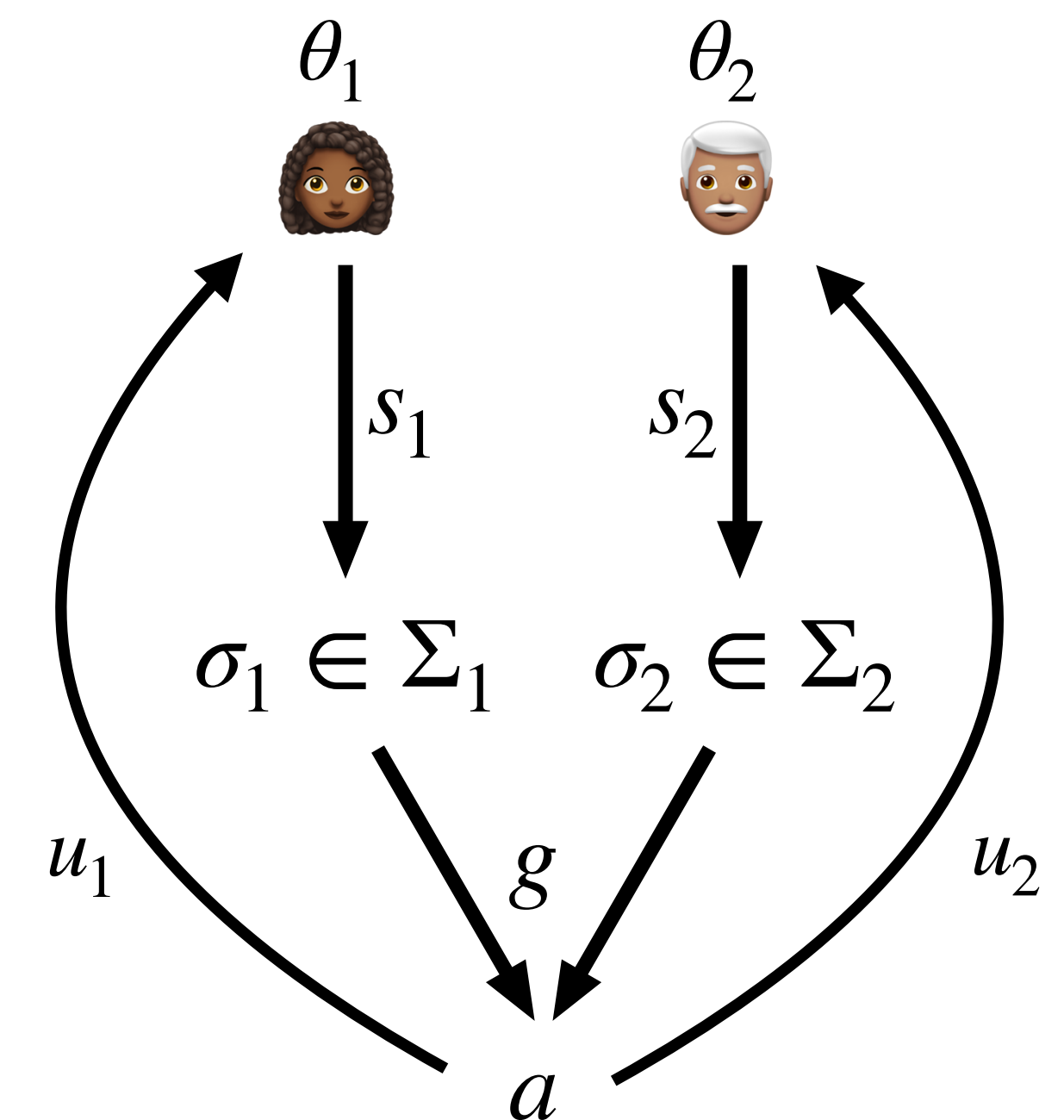
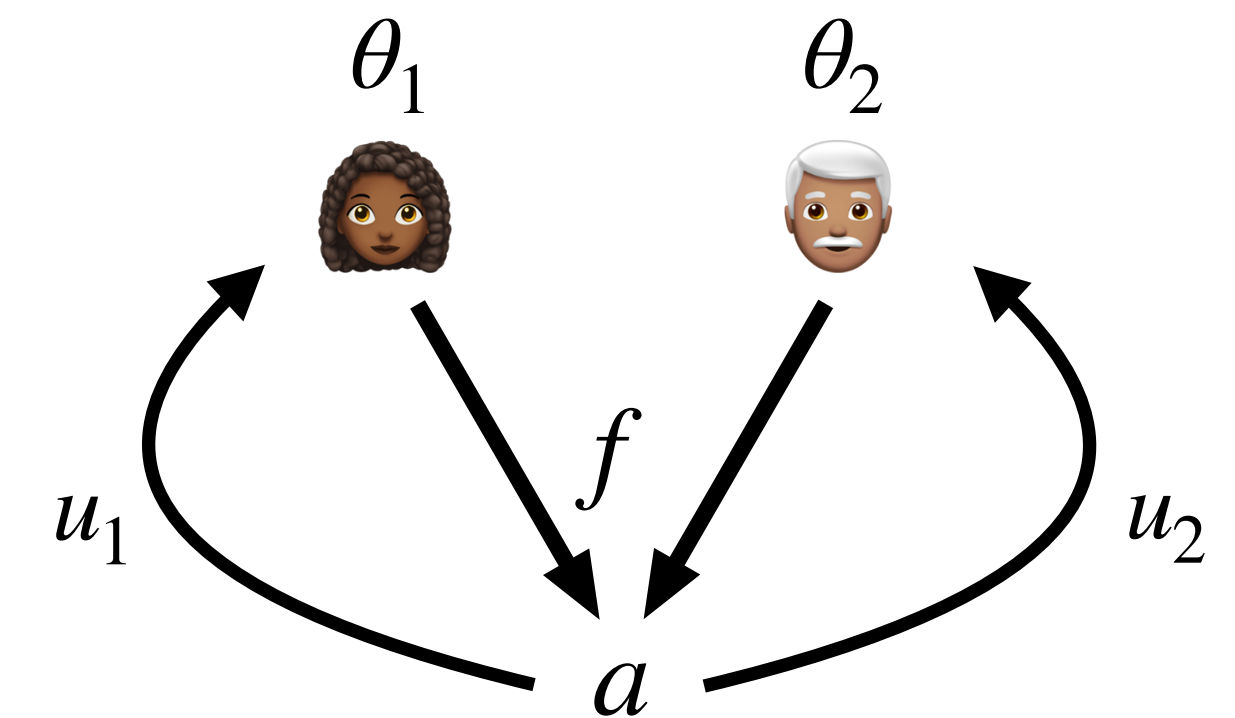






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*The G-S theorem seems to quash any hope of designing incentive-compatible social-choice functions. The whole field of Mechanism Design attempts escaping from this impossibility result using various modifications in the model. [Nisan '07]*



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