

Exercise 3: Impossible!

Task 1: Stop Failing, You Cowards!

The goal of this exercise is to show that under the synchronous message passing model, for any consensus algorithm there are executions with f crashes in which solving consensus requires at least $f + 1$ rounds.

Note that maximal fault-free extensions are unique. Given a pair of partial executions, call a node v to be *pivotal* if only this node's state makes a difference between outputs 0 and 1 in case there are no further faults in each execution.

- a) Show that there are inputs differing at a single node v_0 that result in different outputs in the respective maximal fault-free extension. Conclude that v_0 is therefore pivotal in round 0.

Hint: Use the same argument as for the asynchronous case.

- b) Prove that, given a pair of r -round executions (with $r \leq n - 3$) with a pivotal node v_r , crashing the node “in the right way”¹ yields a pair of $(r + 1)$ -round executions with a new pivotal node v_{r+1} .

Hint: The reasoning is similar as for a), but the “inputs” are replaced by the messages of v_r in round r of each of the executions—or their absence due to the node crashing.

- c) Conclude that for any $f \leq n - 2$, there are executions with f faults in which some node neither crashes nor terminates earlier than round $f + 1$.
- d)* For a small but fixed $f = n - 1$, find a fault-tolerant algorithm that solves consensus in f rounds. This is to show that not only is $f = n$ a special case, but $f = n - 1$ is a *different* special case, too!

¹this includes not crashing the node at all

Task 2: Impossible? We'll Do it in $f + 2$ Rounds!

The topology: complete.

The model: synchronous message passing.

The task: consensus.

The challenge: crash faults.

- a) Suppose each node maintains a bit p_i . In each round, each node sends its bit to all other nodes and sets it to 0 if it received a 0. Show that if a node receives messages from the same set of senders either all with opinion 0 or all with opinion 1 in two consecutive rounds, all nodes have the same bit p_i .
- b) Use this observation to construct a synchronous consensus algorithm tolerating an arbitrary number of faults.
- c) Prove that the algorithm is correct and terminates in at most $f + 3$ rounds in executions with at most f faults (if necessary, modify your algorithm to achieve this property).
- d)* Modify the algorithm to terminate in $f + 2$ rounds under the assumption that n is known!

Remark: Note that the algorithm can deal with an arbitrary number of faults, yet the running time is bounded in terms of the *actual* faults happening. This property is called *early-stopping*. Given that faults are supposed to be uncommon events, that's pretty neat!

Task 3*: Intense Sharing

- a) Find out what the term "consensus number" refers to!
- b) Ponder the consensus number of shared memory that, besides atomic reads, permits to write to up to $k > 1$ shared registers in a single atomic step!
- c) Share your insights in the exercise session!