

## Exercise 3: Impossible!

### Task 1: Stop Failing, You Cowards!

The goal of this exercise is to show that under the synchronous message passing model, for any consensus algorithm there are executions with  $f$  crashes in which solving consensus requires at least  $f + 1$  rounds.

Note that maximal fault-free extensions are unique. Given a pair of partial executions, call a node  $v$  to be *pivotal* if only this node's state makes a difference between outputs 0 and 1 in case there are no further faults in each execution.

- a) Show that there are inputs differing at a single node  $v_0$  that result in different outputs in the respective maximal fault-free extension. Conclude that  $v_0$  is therefore pivotal in round 0.

**Hint:** Use the same argument as for the asynchronous case.

- b) Prove that, given a pair of  $r$ -round executions (with  $r \leq n - 3$ ) with a pivotal node  $v_r$ , crashing the node “in the right way”<sup>1</sup> yields a pair of  $(r + 1)$ -round executions with a new pivotal node  $v_{r+1}$ .

**Hint:** The reasoning is similar as for a), but the “inputs” are replaced by the messages of  $v_r$  in round  $r$  of each of the executions—or their absence due to the node crashing.

- c) Conclude that for any  $f \leq n - 2$ , there are executions with  $f$  faults in which some node neither crashes nor terminates earlier than round  $f + 1$ .
- d)\* For a small but fixed  $f = n - 1$ , find a fault-tolerant algorithm that solves consensus in  $f$  rounds. This is to show that not only is  $f = n$  a special case, but  $f = n - 1$  is a *different* special case, too!

---

<sup>1</sup>this includes not crashing the node at all

## Task 2: Impossible? We'll Do it in $f + 2$ Rounds!

The topology: complete.

The model: synchronous message passing.

The task: consensus.

The challenge: crash faults.

- a) Suppose each node maintains a bit  $p_i$ . In each round, each node sends its bit to all other nodes and sets it to 0 if it received a 0. Show that if a node receives messages from the same set of senders either all with opinion 0 or all with opinion 1 in two consecutive rounds, all nodes have the same bit  $p_i$ .
- b) Use this observation to construct a synchronous consensus algorithm tolerating an arbitrary number of faults.
- c) Prove that the algorithm is correct and terminates in at most  $f + 3$  rounds in executions with at most  $f$  faults (if necessary, modify your algorithm to achieve this property).
- d)\* Modify the algorithm to terminate in  $f + 2$  rounds under the assumption that  $n$  is known!

Remark: Note that the algorithm can deal with an arbitrary number of faults, yet the running time is bounded in terms of the *actual* faults happening. This property is called *early-stopping*. Given that faults are supposed to be uncommon events, that's pretty neat!

## Task 3\*: Intense Sharing

- a) Find out what the term “consensus number” refers to!
- b) Ponder the consensus number of shared memory that, besides atomic reads, permits to write to up to  $k > 1$  shared registers in a single atomic step!
- c) Share your insights in the exercise session!