

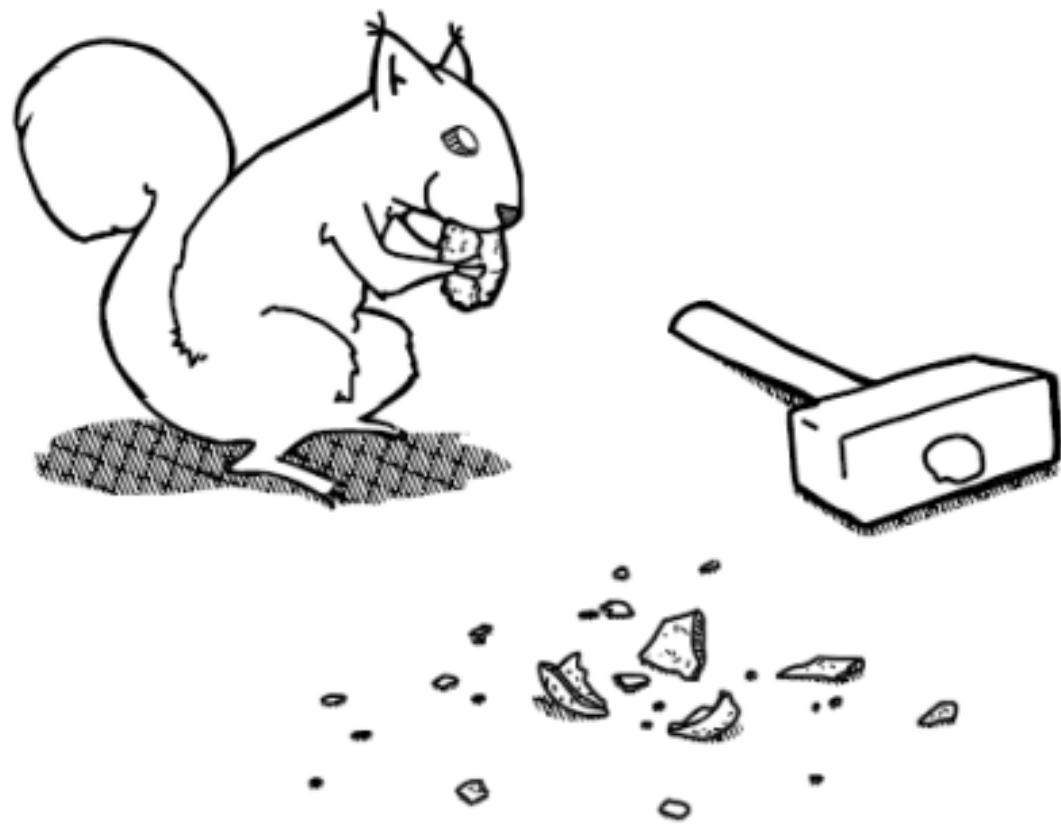
Kernelization++

- Turing Kernelization
- Lossy Kernelization



Lecture #14

Roohani Sharma
February 08, 2021



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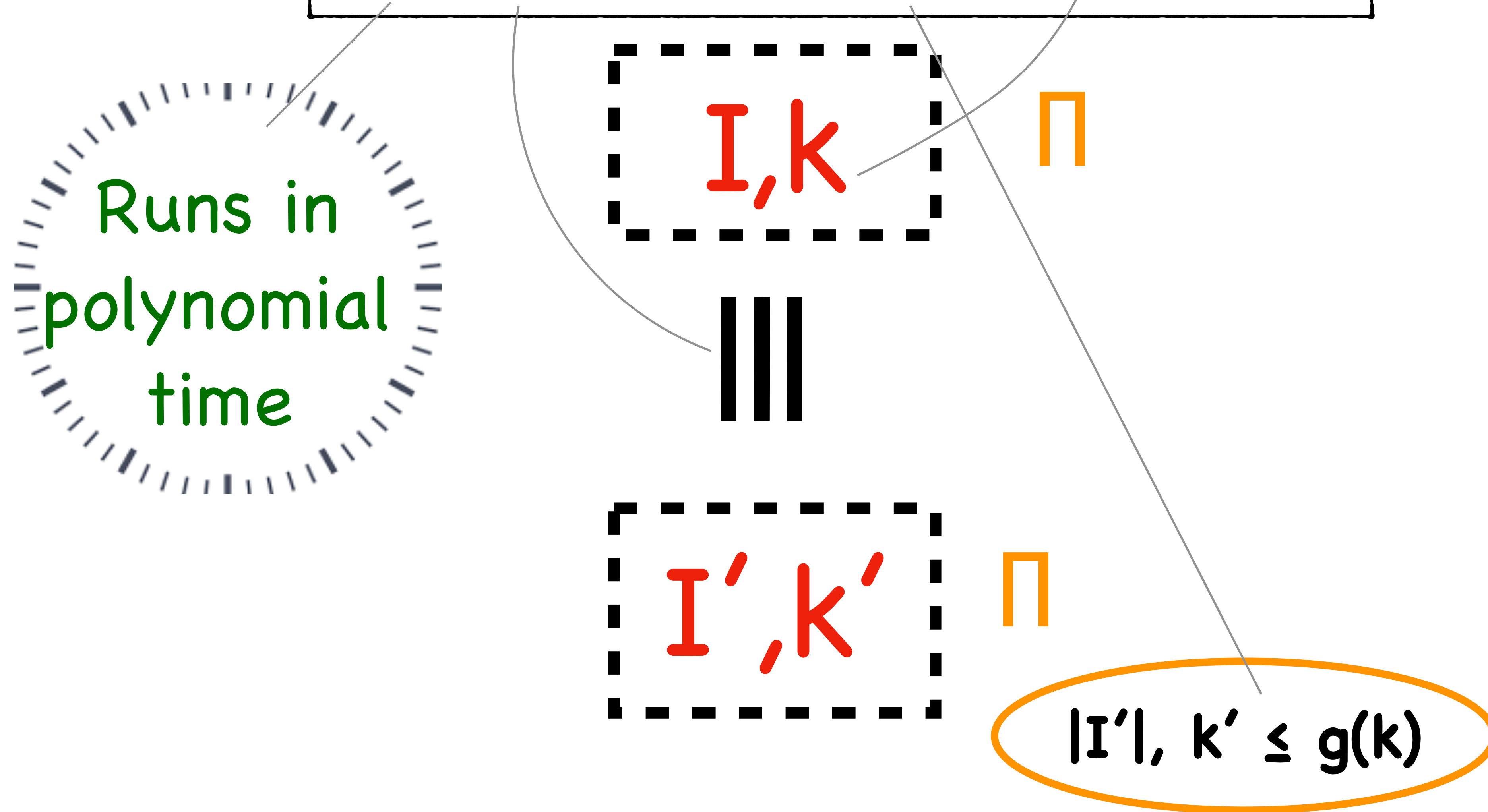
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Kernelization

- Efficient pre-processing with guarantees for parameterized problems



Π admits a kernel of **size $g(k)$** .

If $g(k)$ is a polynomial/exponential function, then Π admits a **polynomial/exponential kernel**.

OR-composition

Let L be a parameterized problem.

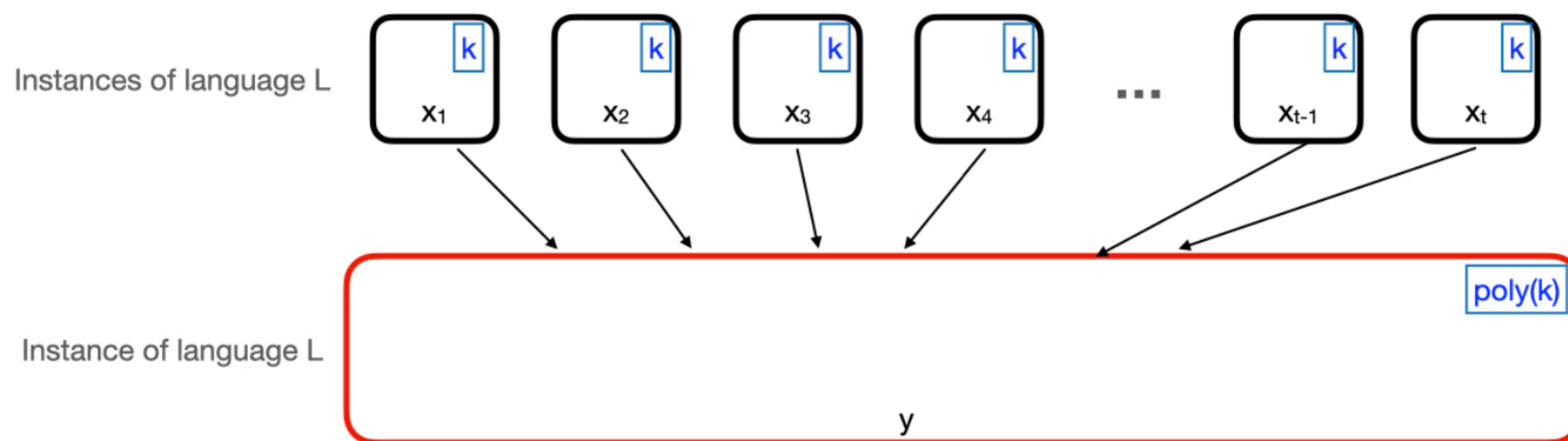
OR-composition for L

Input: $(x_1, k), \dots, (x_t, k)$ such that $x_i \in \Sigma^*$ and k is a non-negative integer.

Output: (y, k^*) such that

- $(y, k^*) \in L$ if and only if $(x_i, k) \in L$ for some i , and
- $k^* = \text{poly}(k)$.

Time: polynomial in the input, that is $\text{poly}(\sum_{i=1}^t |x_i| + k)$.



Eg. k-PATH, STEINER TREE, **MAX LEAF SUBGRAPH** (Exercise #03) do not admit poly kernel.

MAX LEAF SUBTREE

Input: A graph G , a positive integer k

Parameter: k

Question: Does G have a subtree with at least k leaves ?

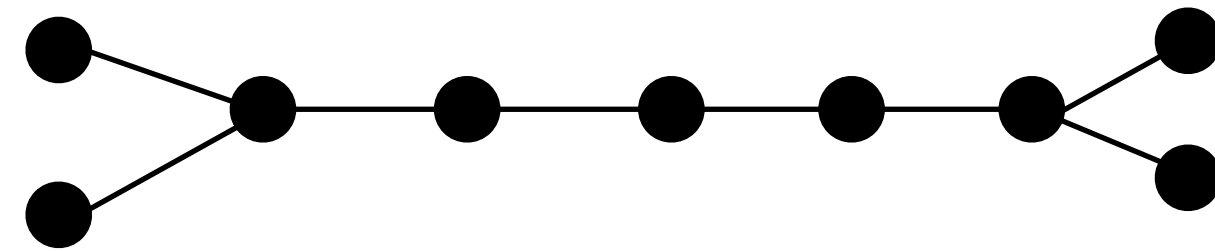
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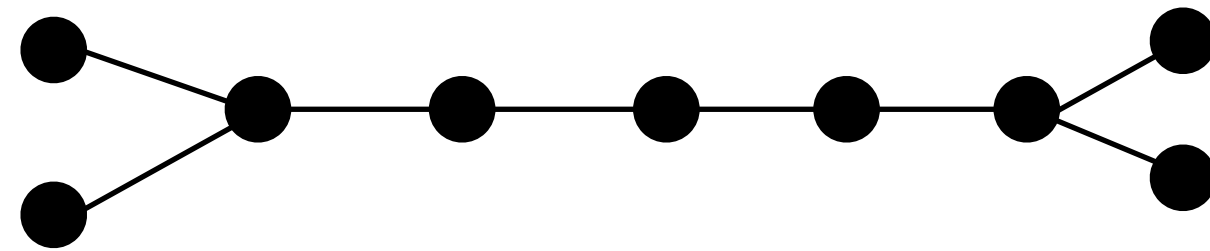
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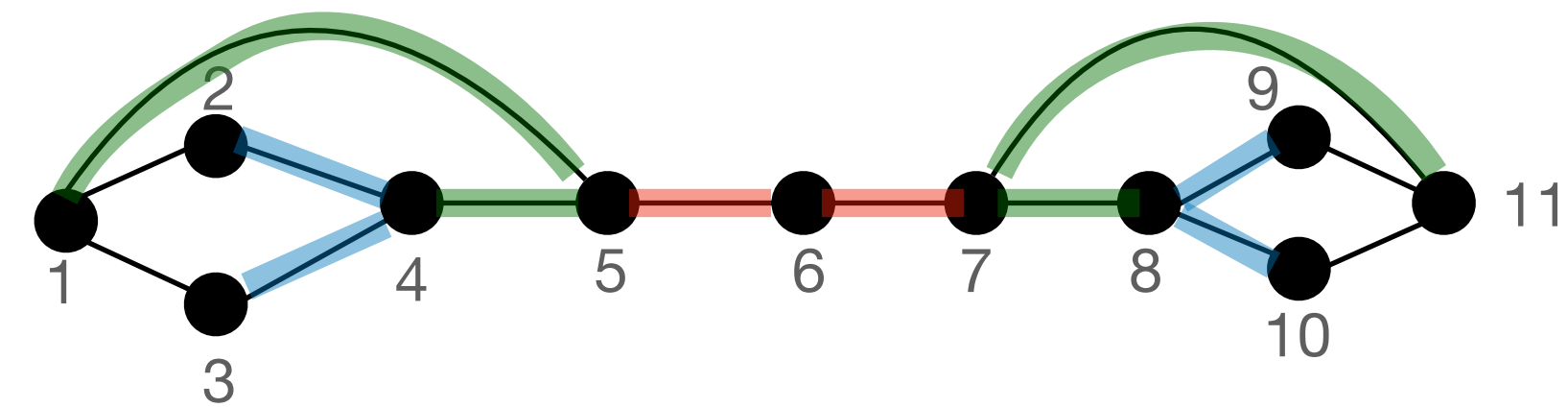
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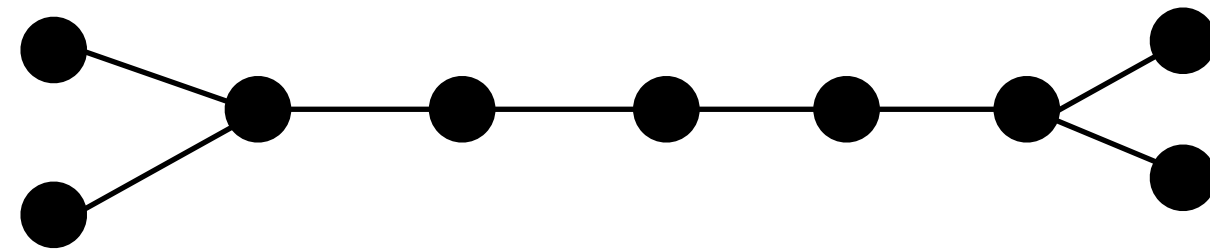
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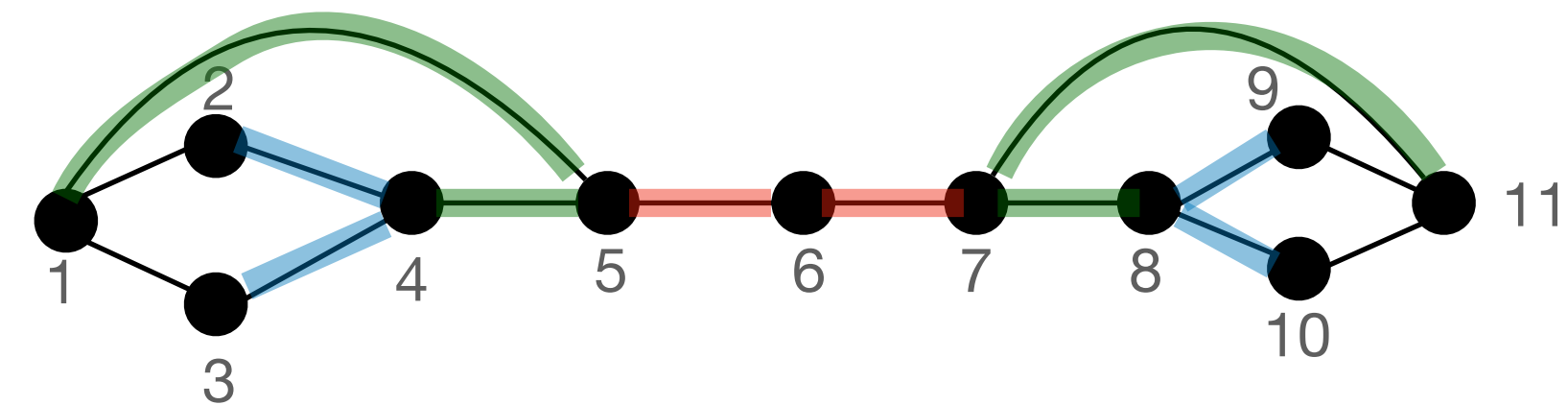
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Observe: It is not a coincidence that each solution subtree is a spanning

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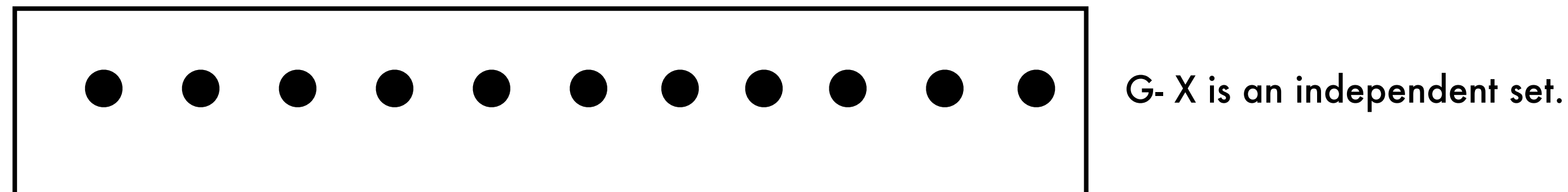
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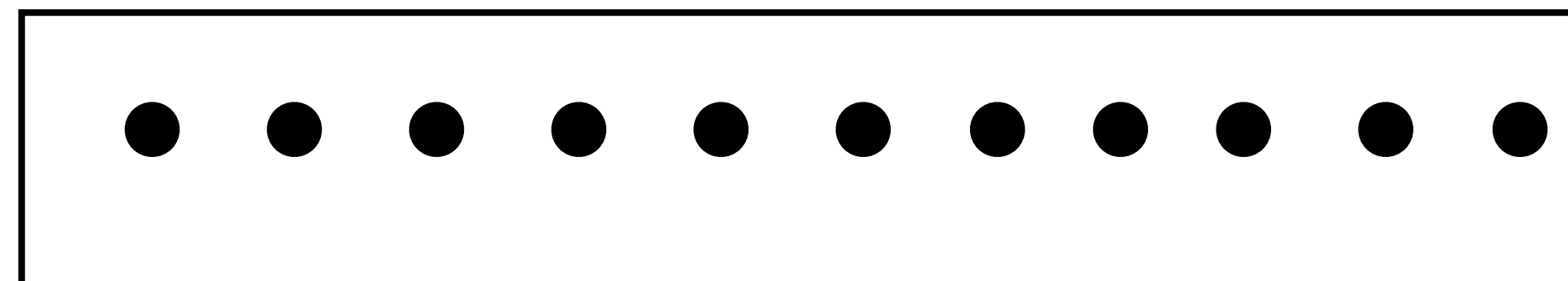
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$G-X$ is an independent set.

Any clique uses at most 1 vertex of $G-X$.

Turing Kernelization

Definition (Turing Kernel)

Let Q be a parameterized problem, and let $f : \mathbb{N} \rightarrow \mathbb{N}$ be a computable function. A **Turing Kernel** for Q of size f is an algorithm that can decide if an instance of the problem is a YES instance in polynomial time, given access to an Oracle that solves instance of size $f(k)$ in unit time.

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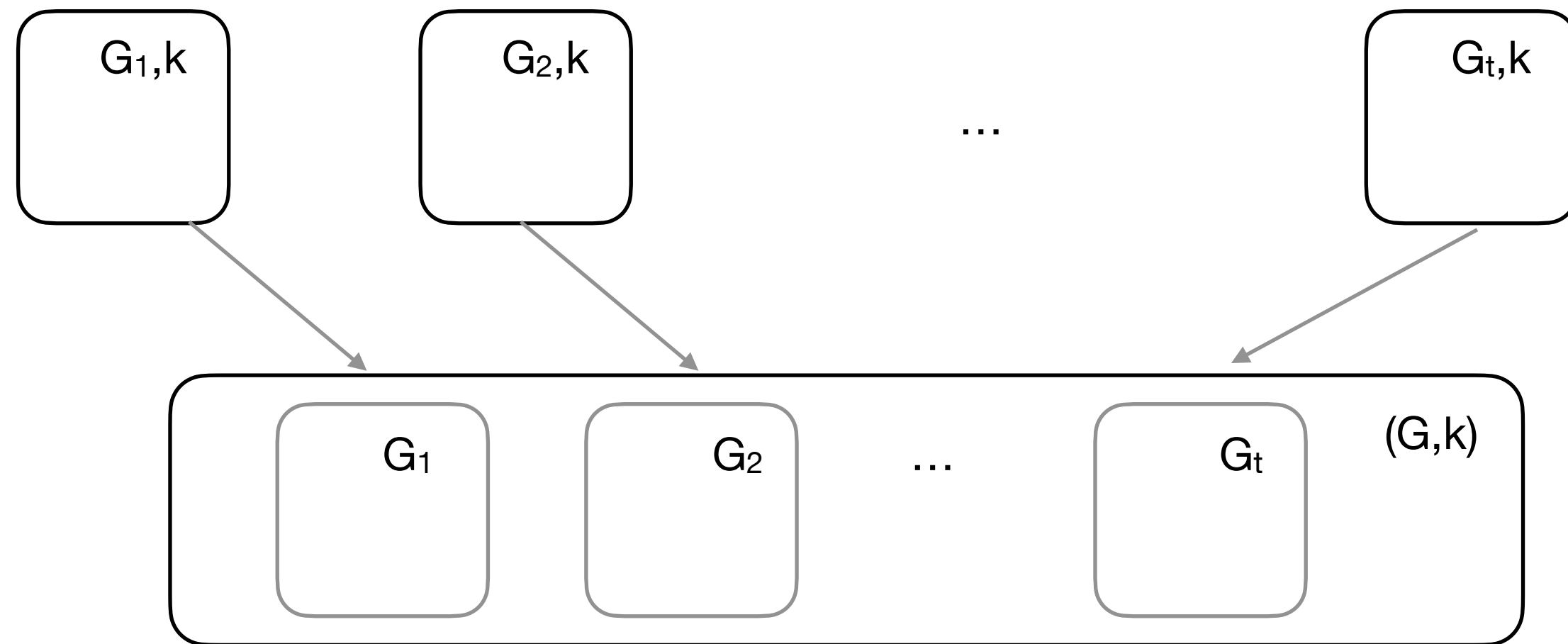
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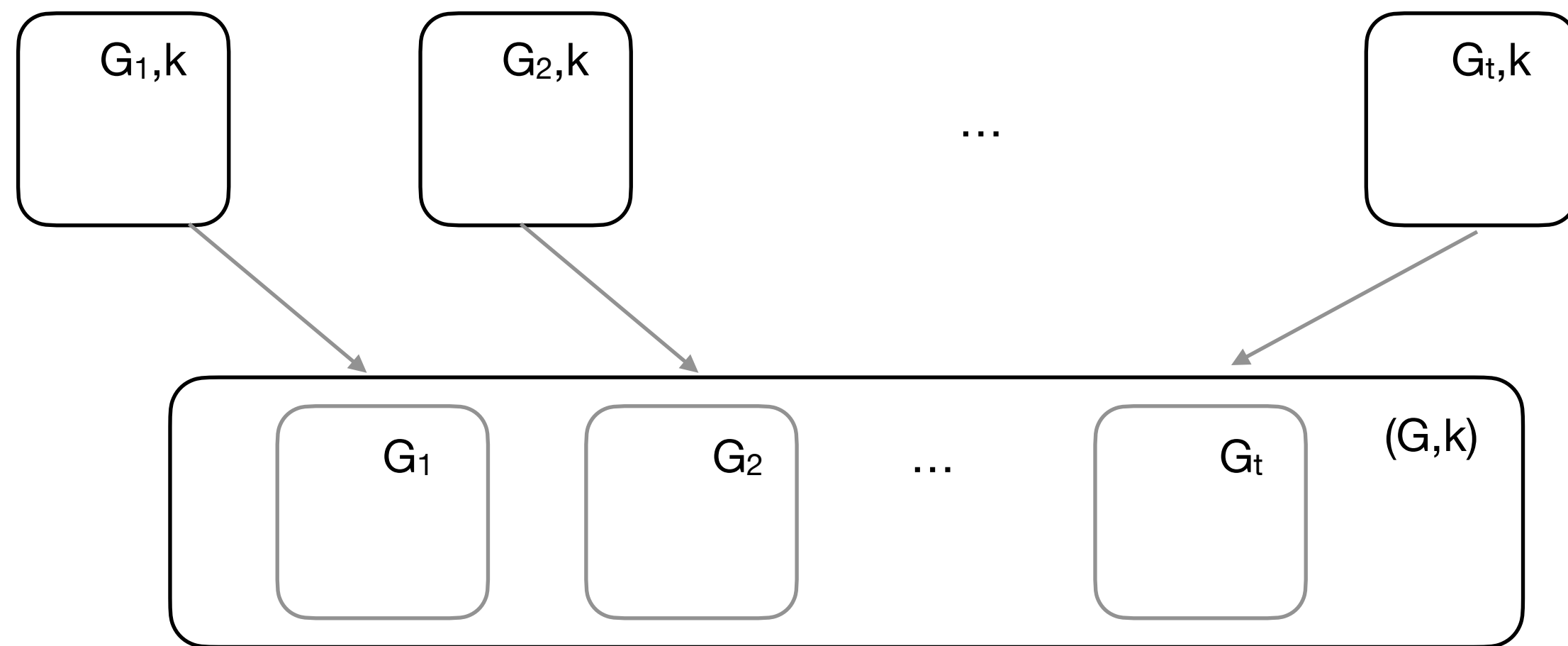
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- For **CLIQUE/VC**, we produced $O(n)$ instances, each of size $k+1$, such that each of them can be solved **independently** so give an output of the input instance.
- Generally speaking, one can produce instances such that the **i -th instance depends on the Oracle's answer to the previous $(i-1)$ instances**. Such kind of Turing kernels are known for **k-PATH** on certain graph classes.

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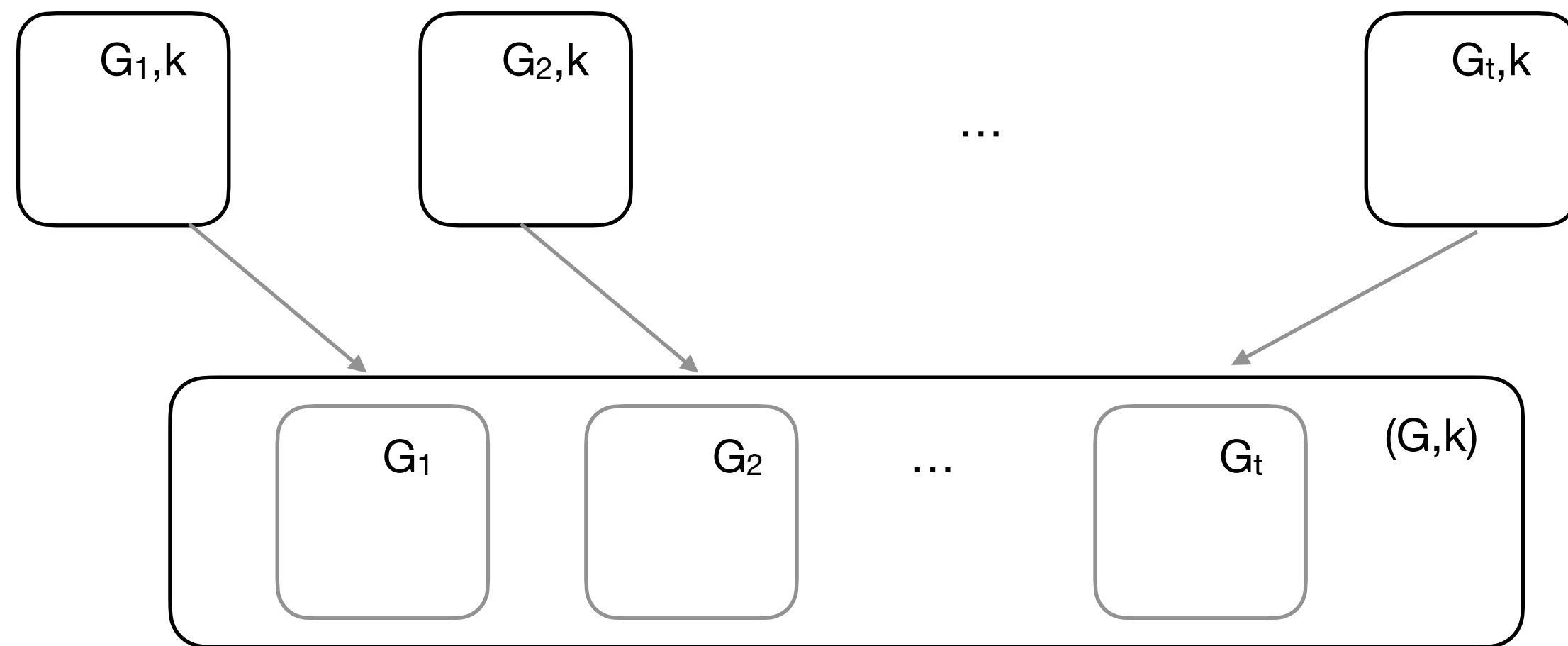


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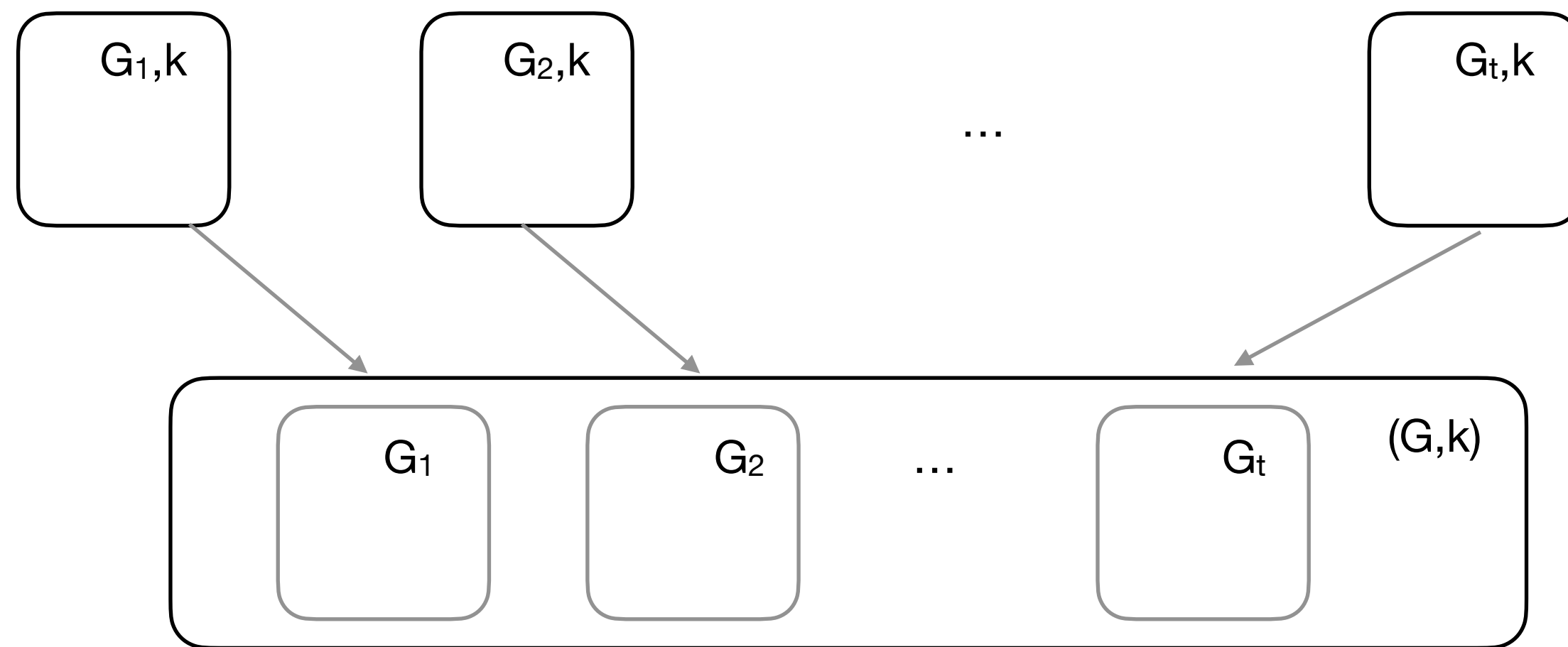
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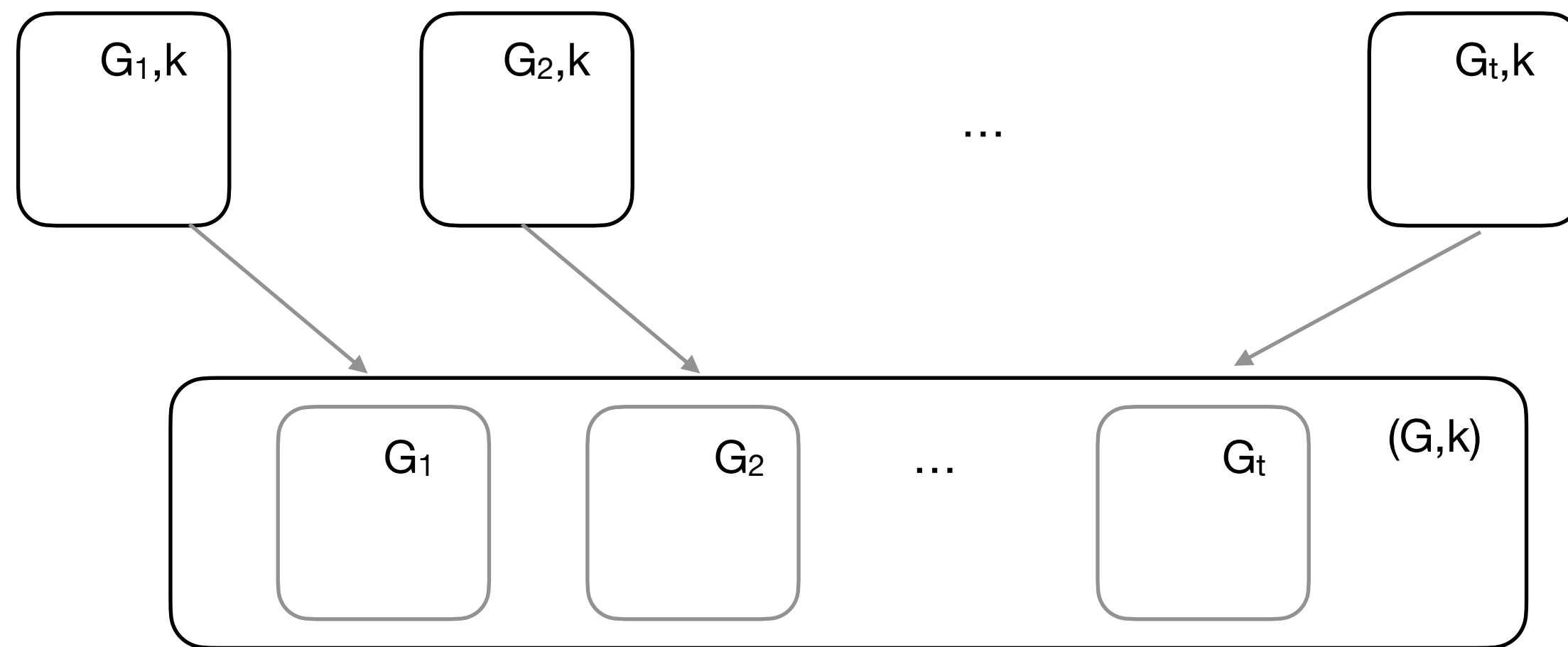


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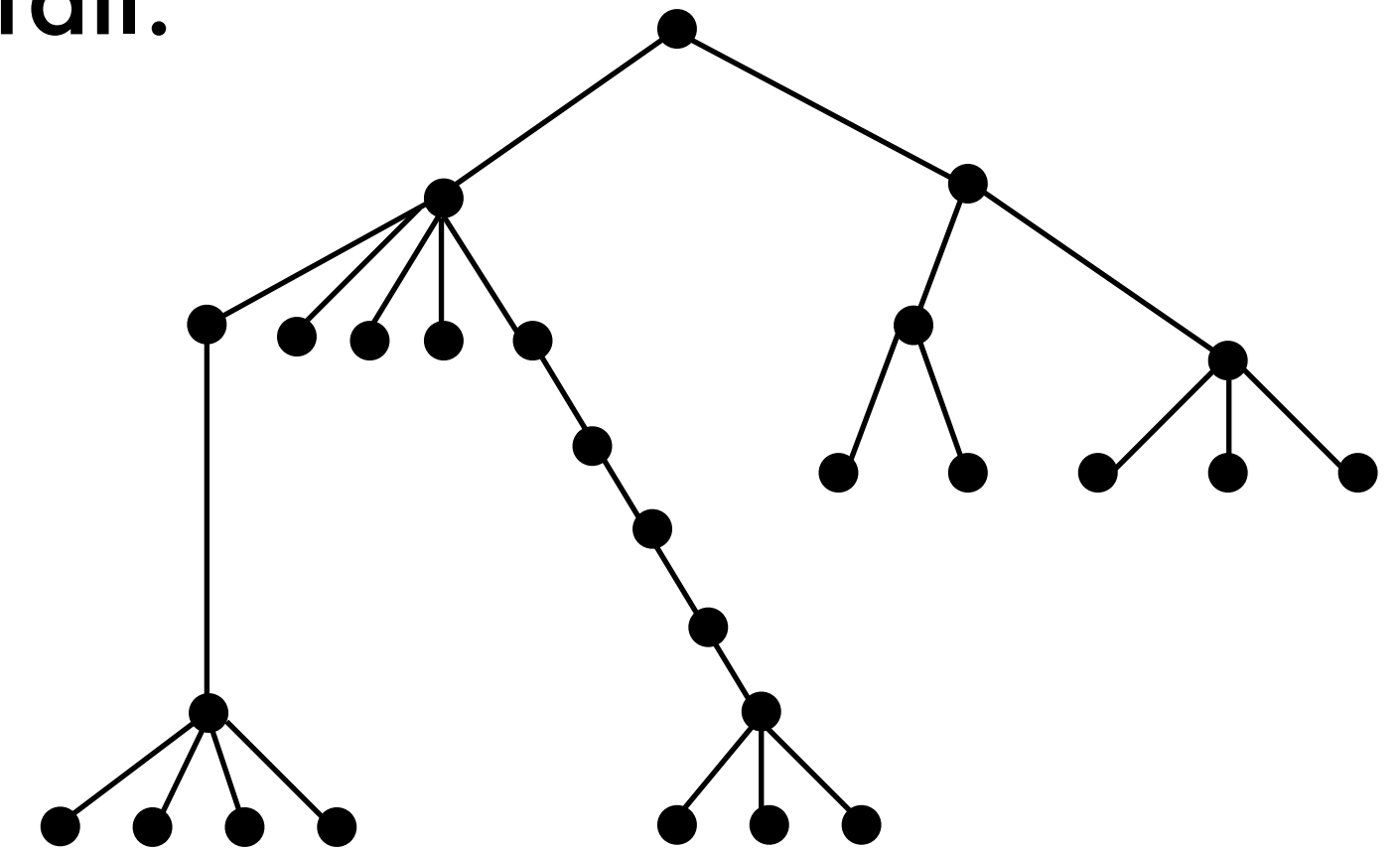
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Reduction rule for long degree-2 paths:

If there exists a path $v_1-v_2-v_3$ such that degree of each v_i is exactly 2 in G , then contract the edge v_1-v_2 .

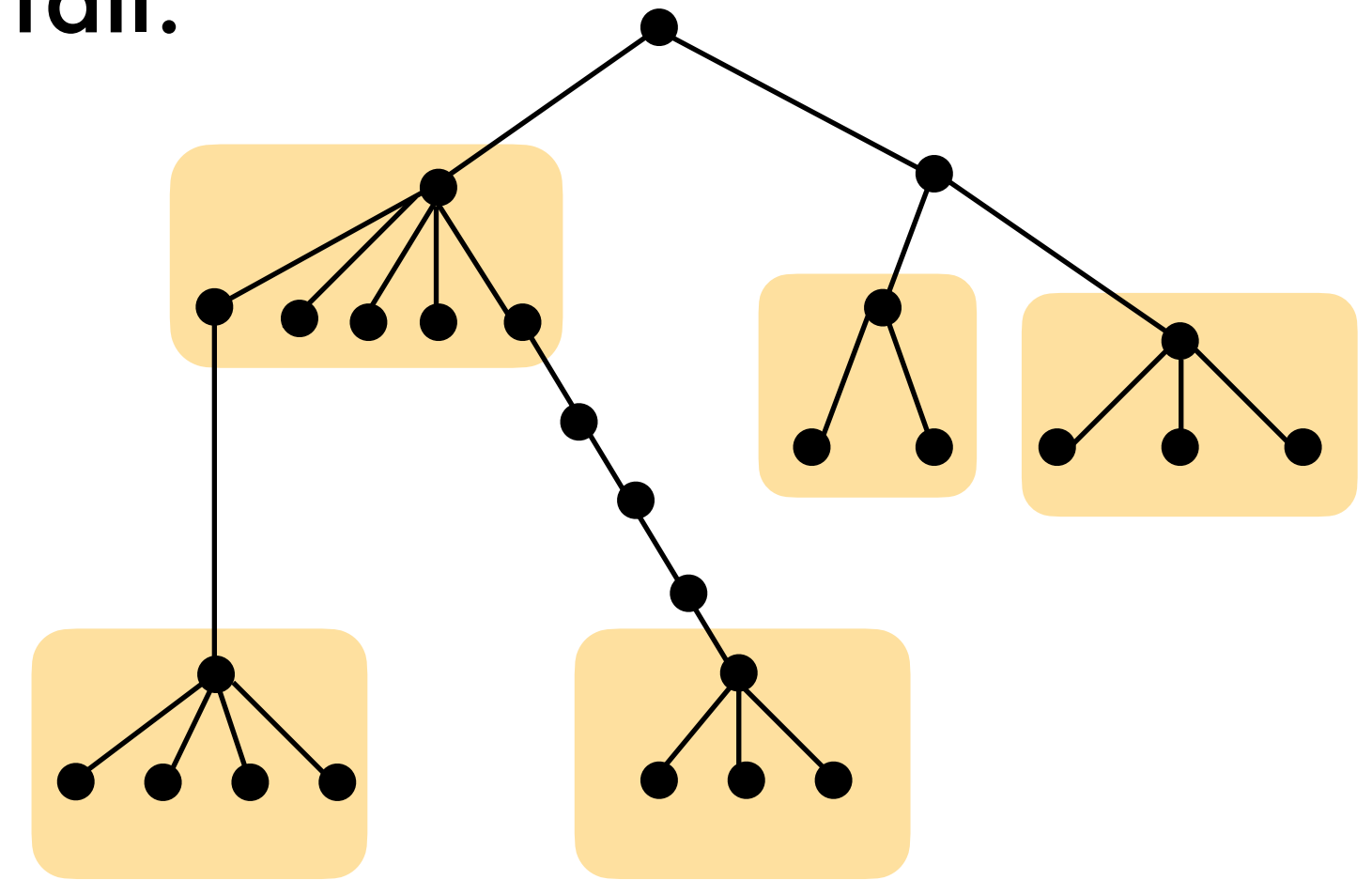
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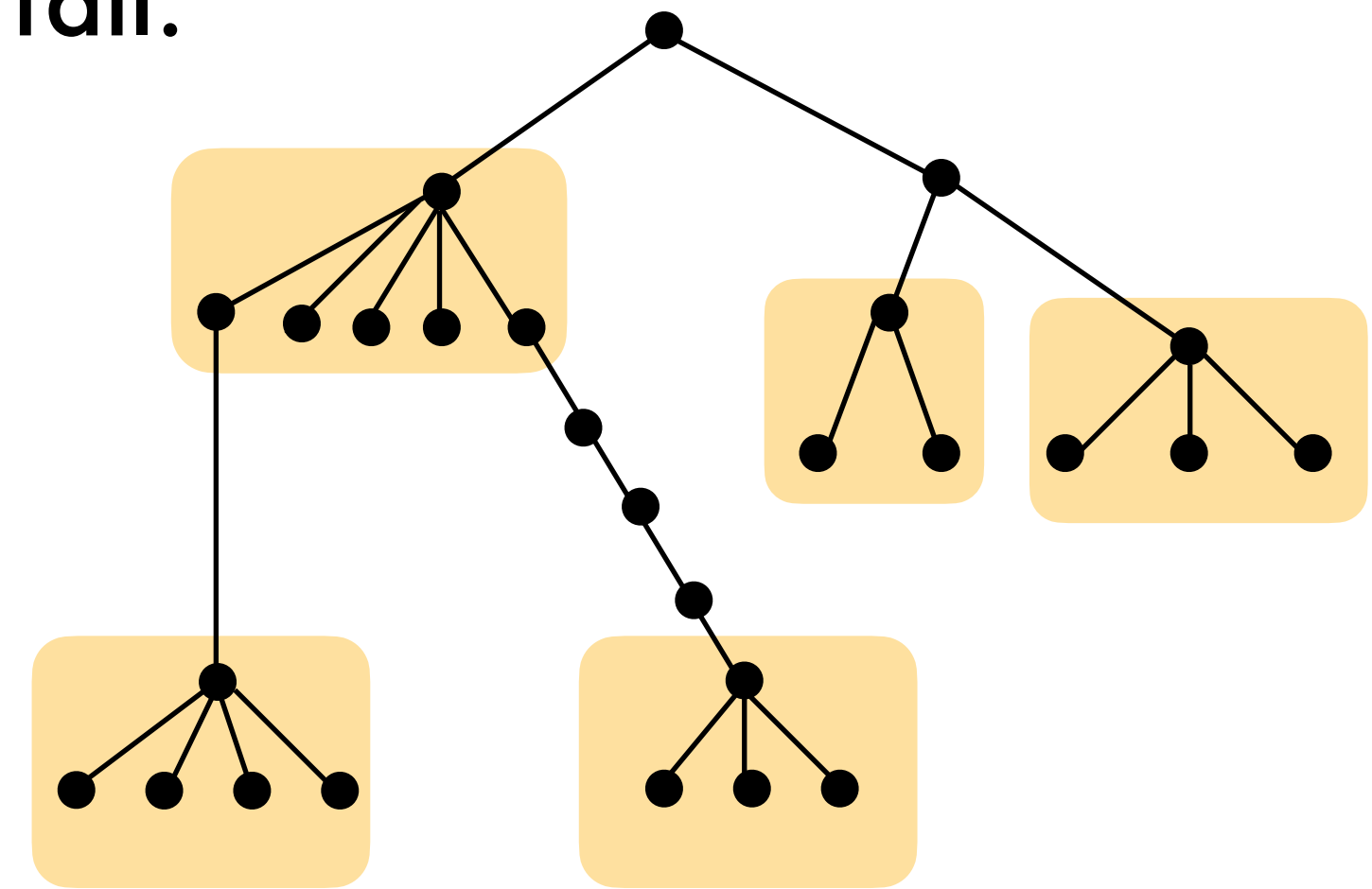
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Procedure: Let us try to construct vertex disjoint stars in G .



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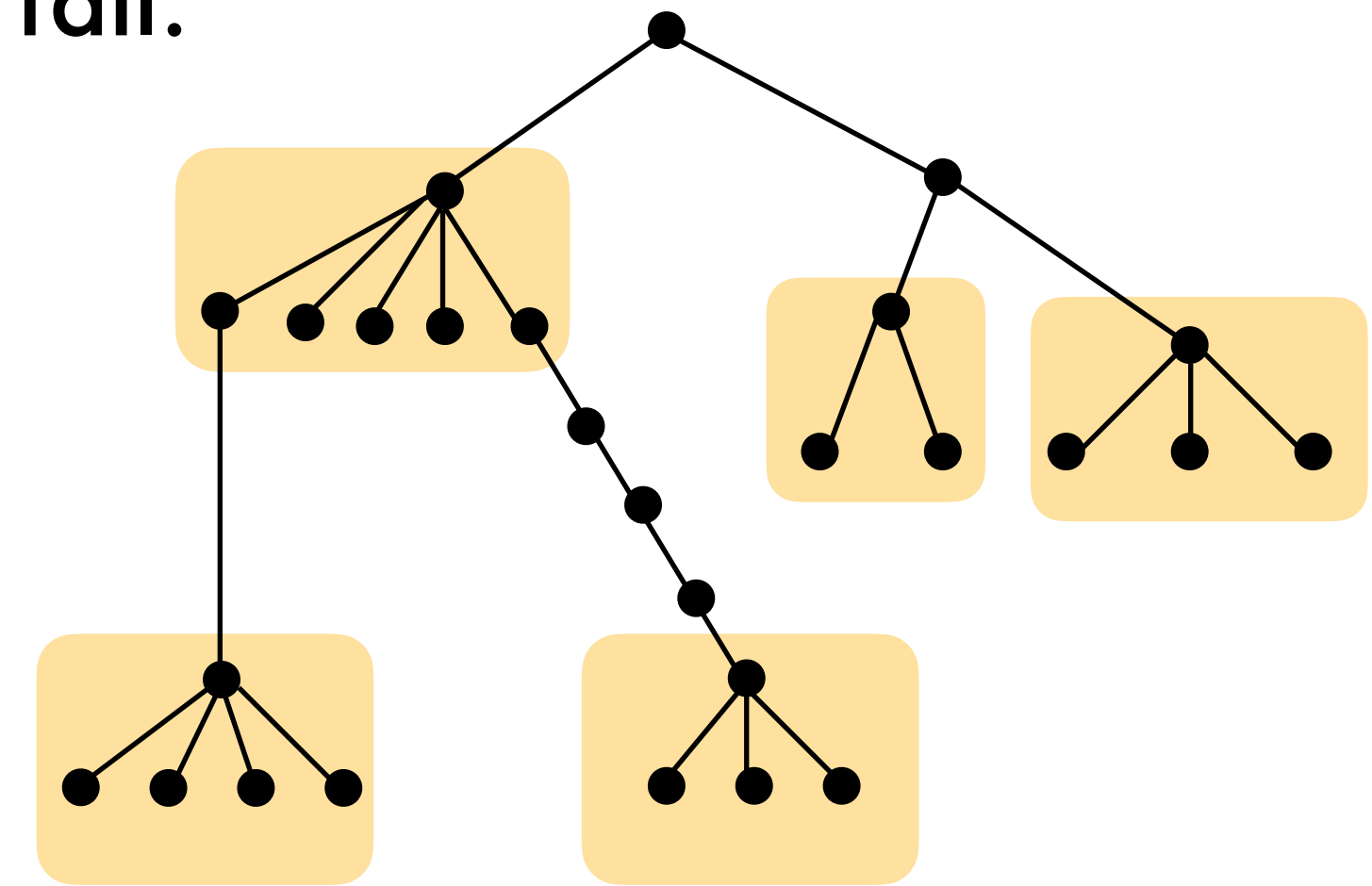
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Let v be a vertex of degree at least 3.

Let S_v be a star with v and its neighbours in (the original graph G).

Remove $N^2(v)$ from G and repeat (as long as there is a vertex of degree at least 3 in the resulting graph).



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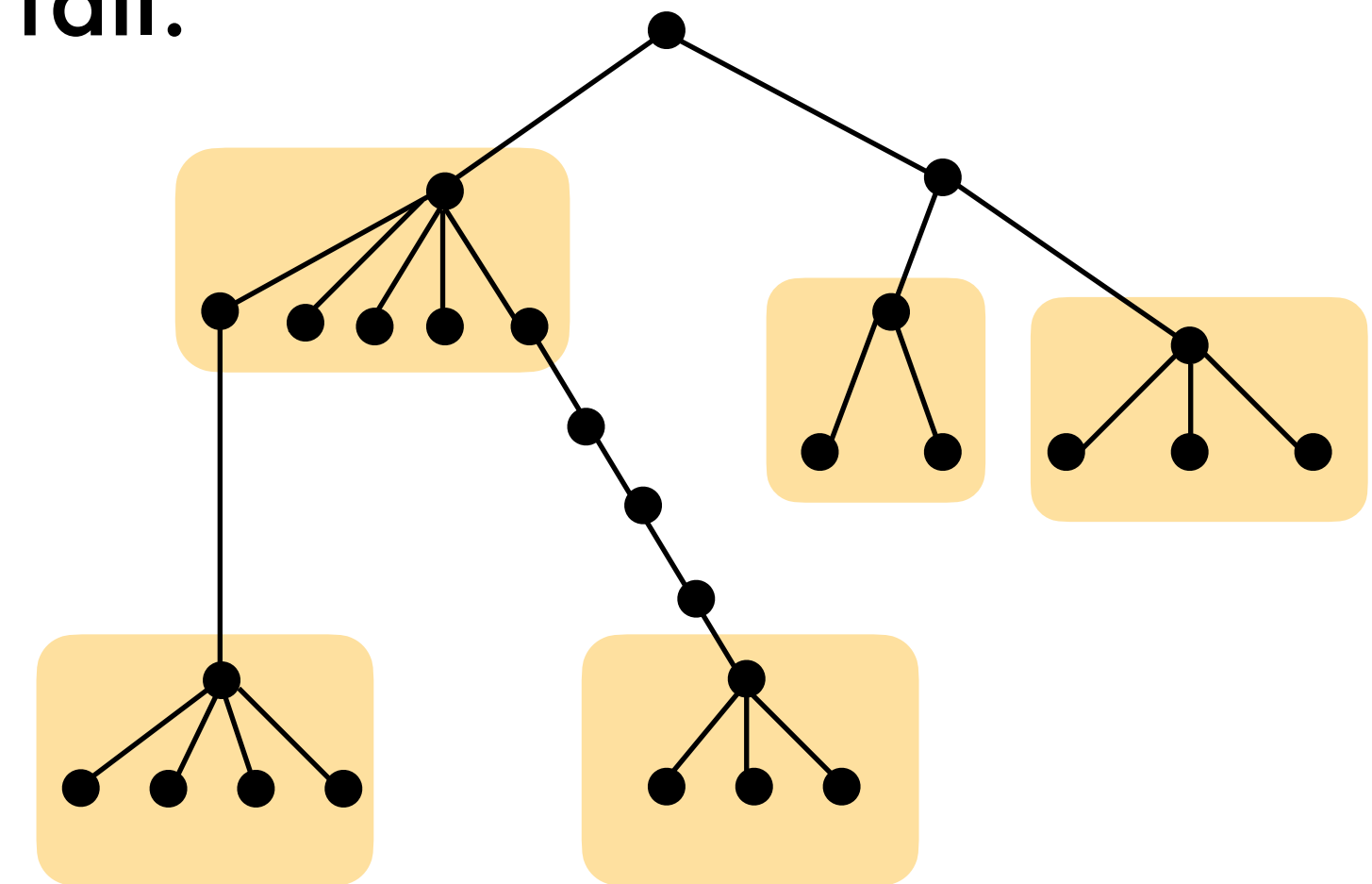
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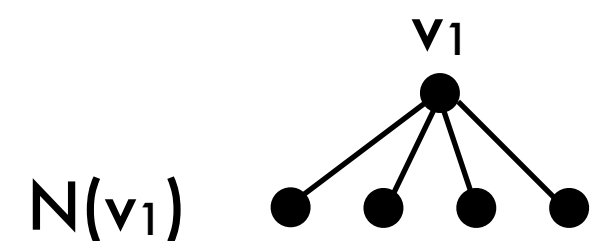
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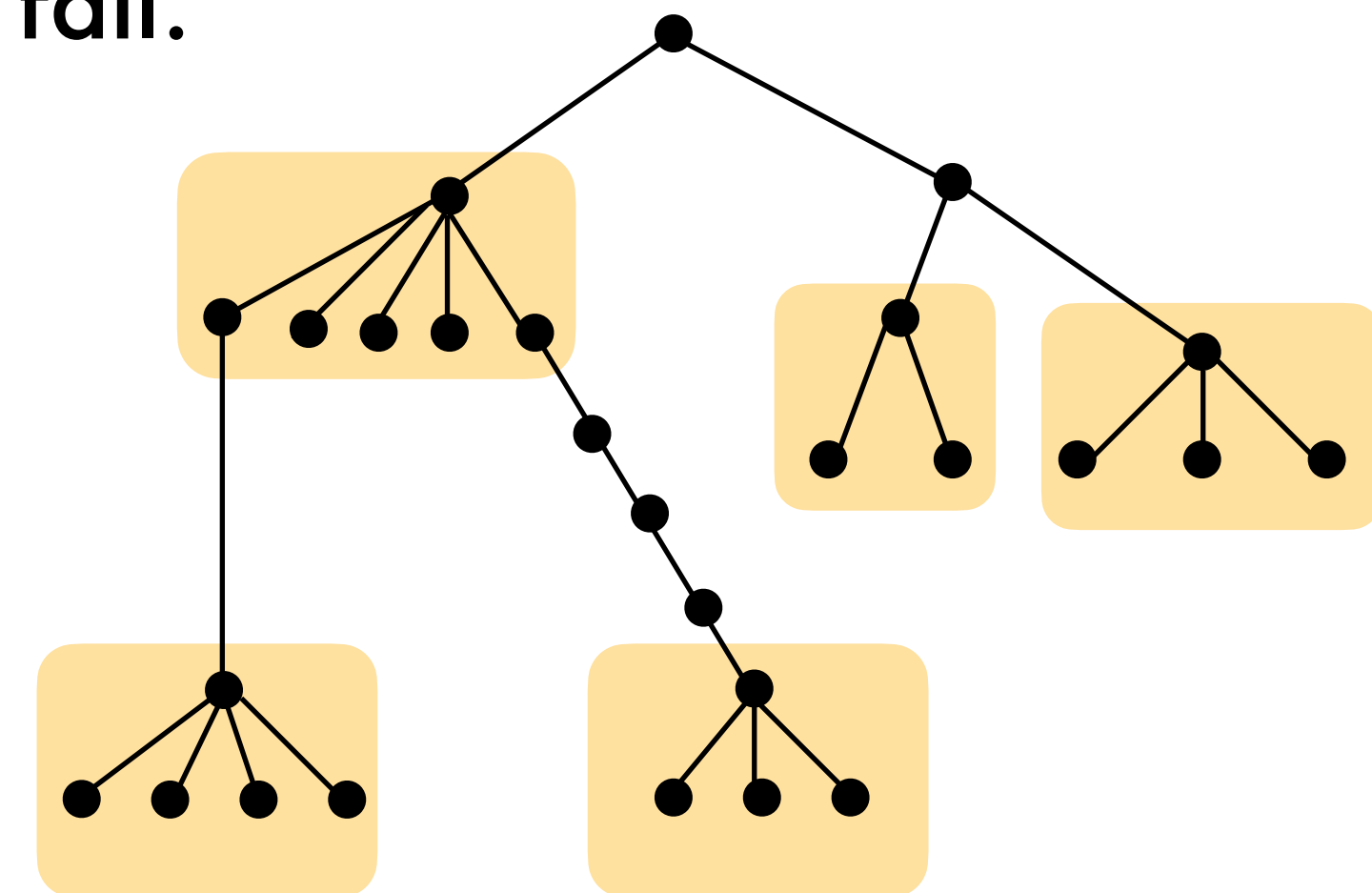
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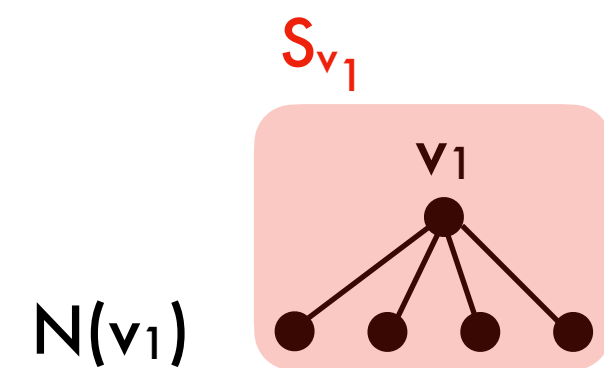
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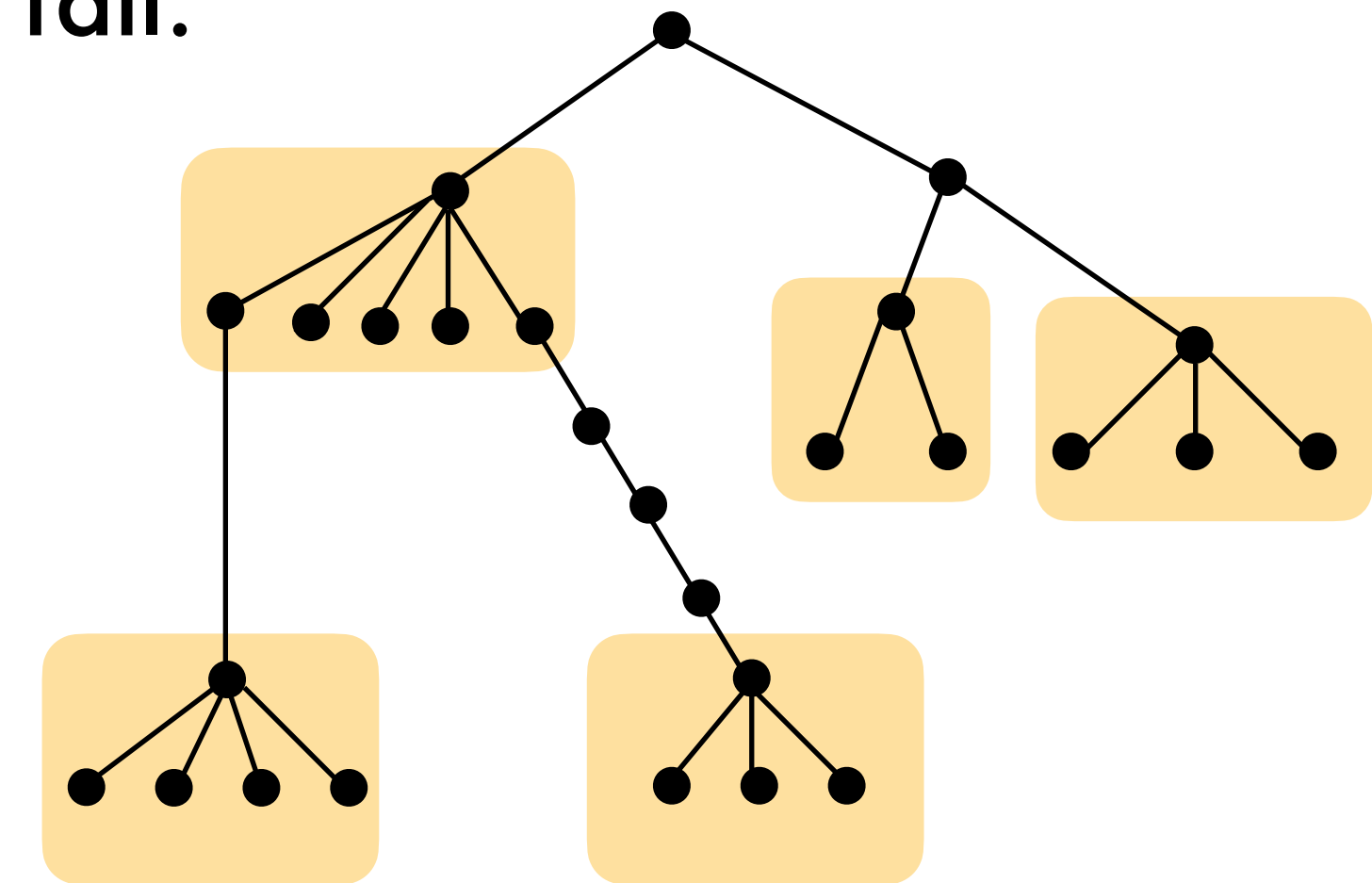
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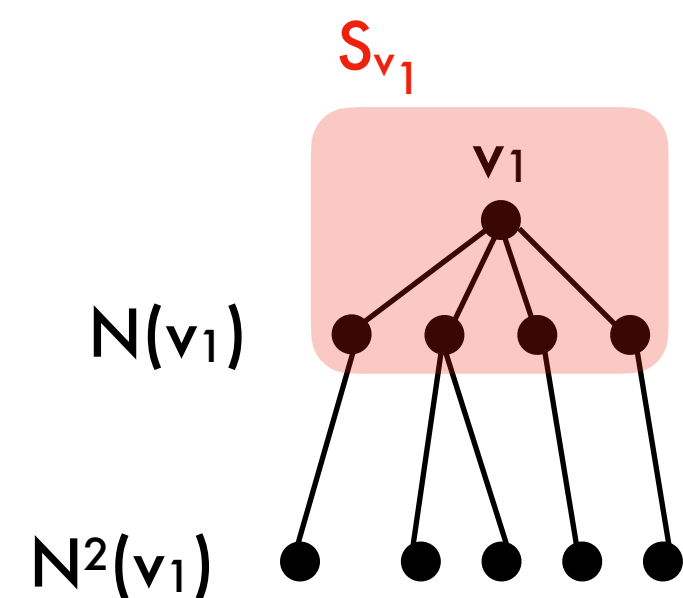
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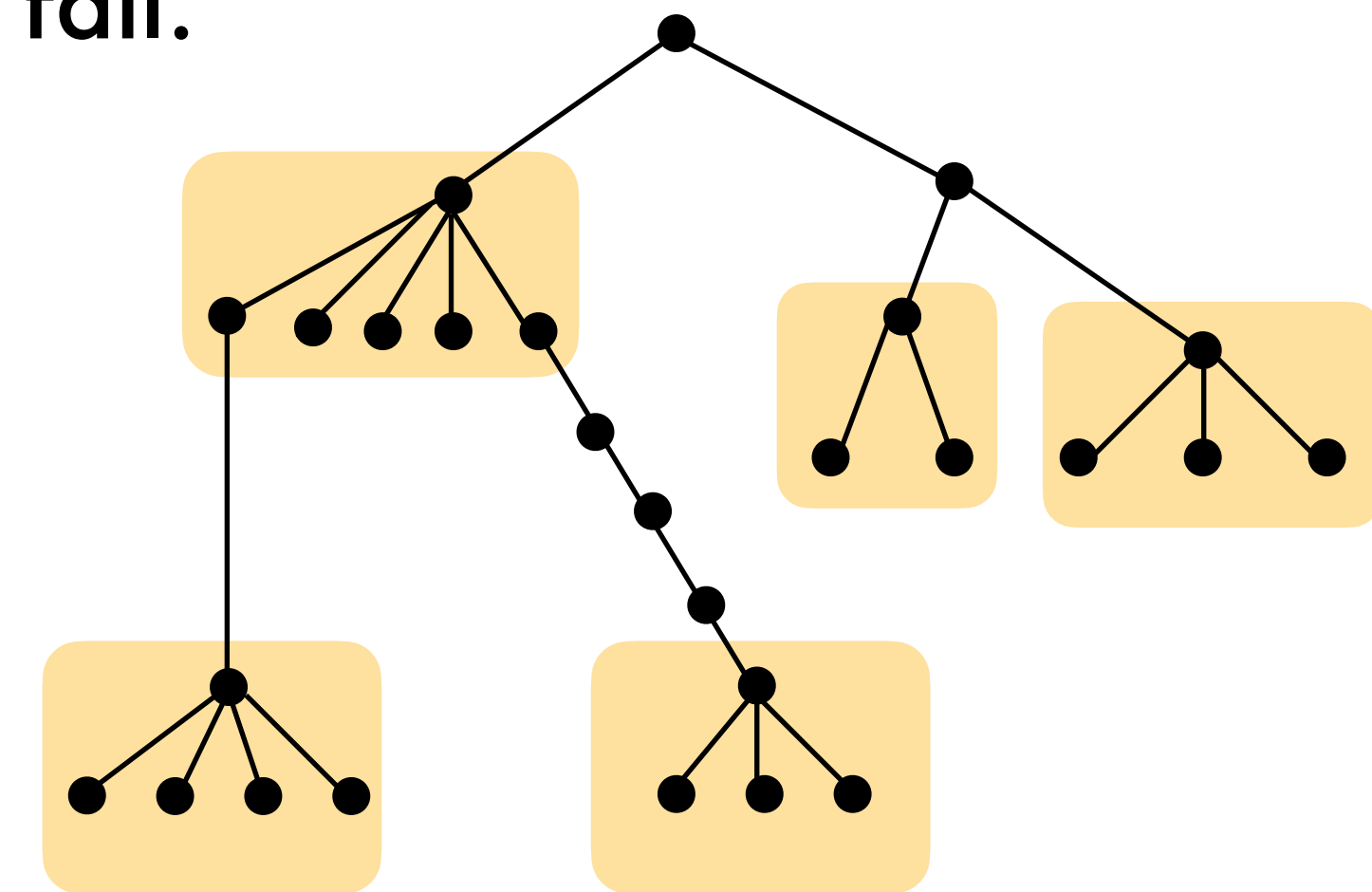
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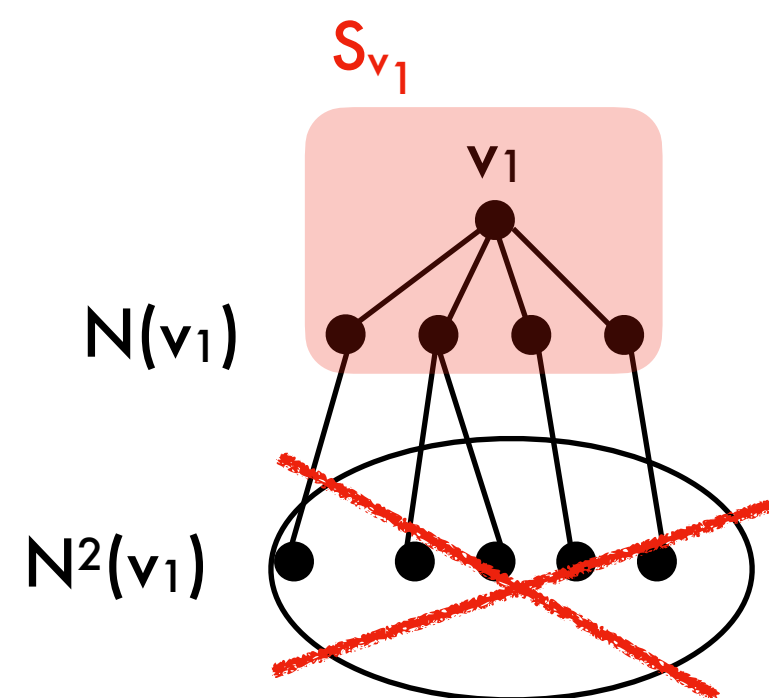
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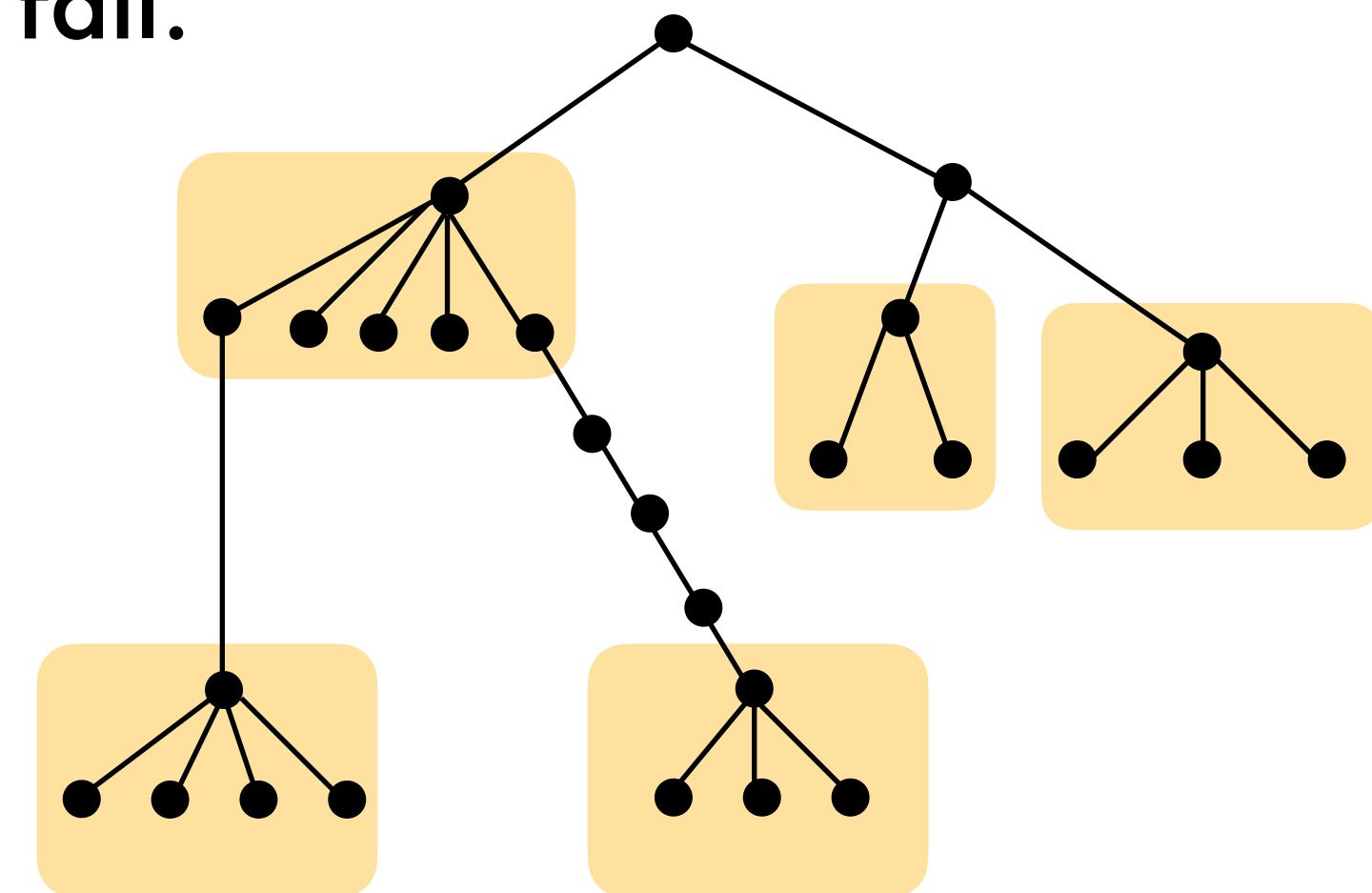
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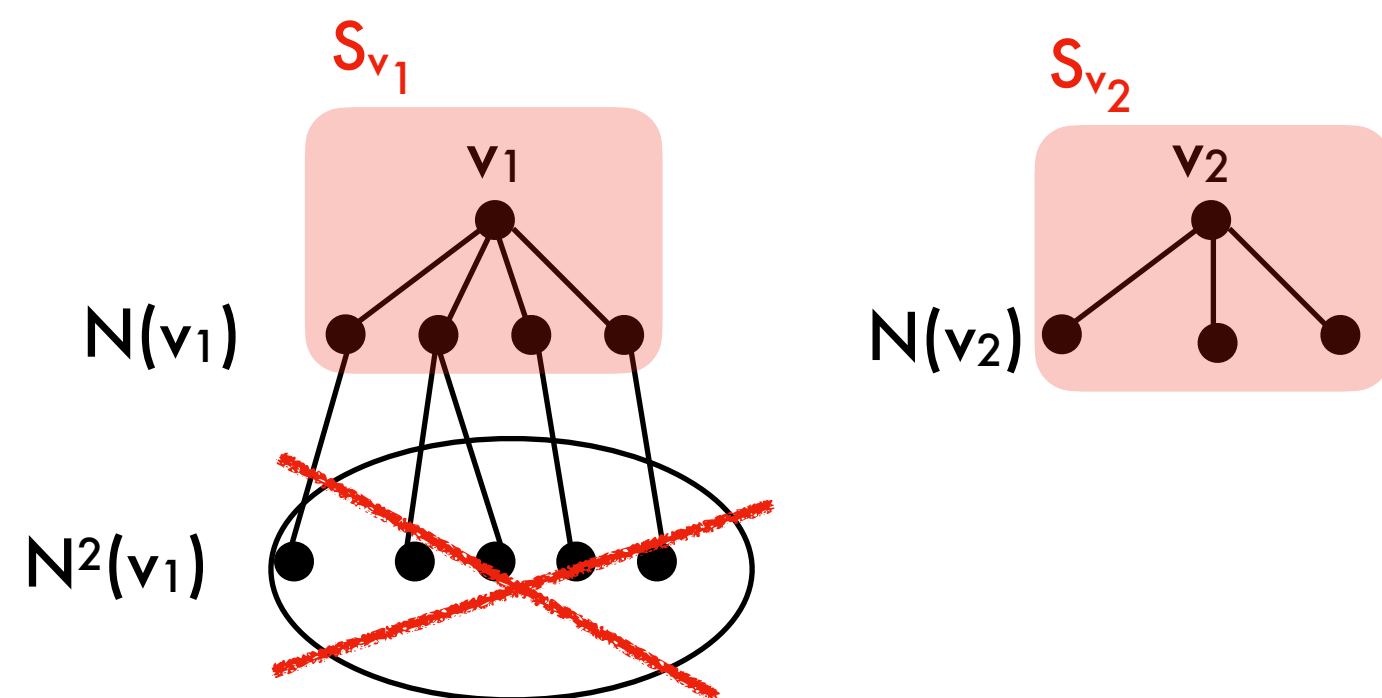
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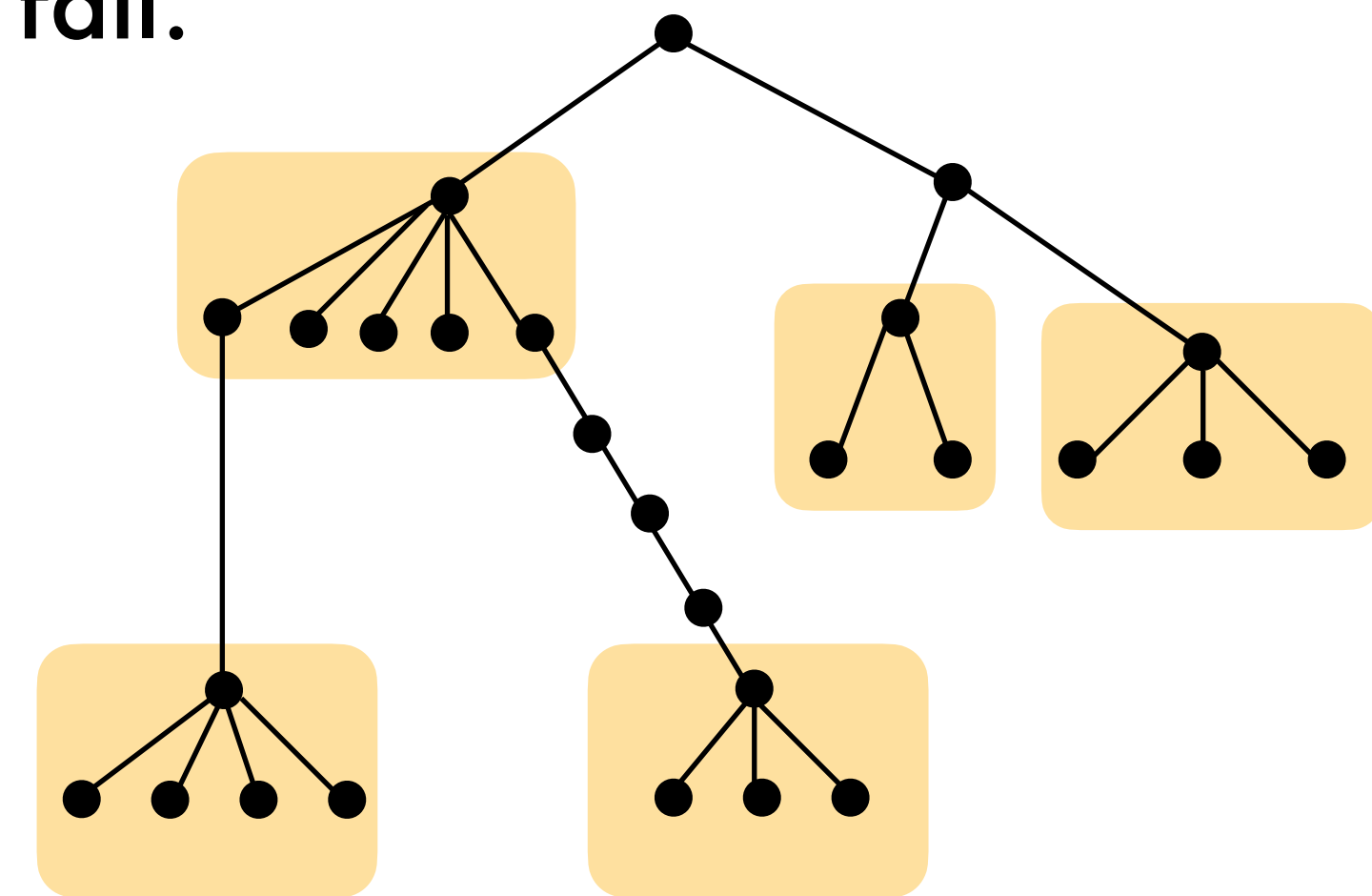
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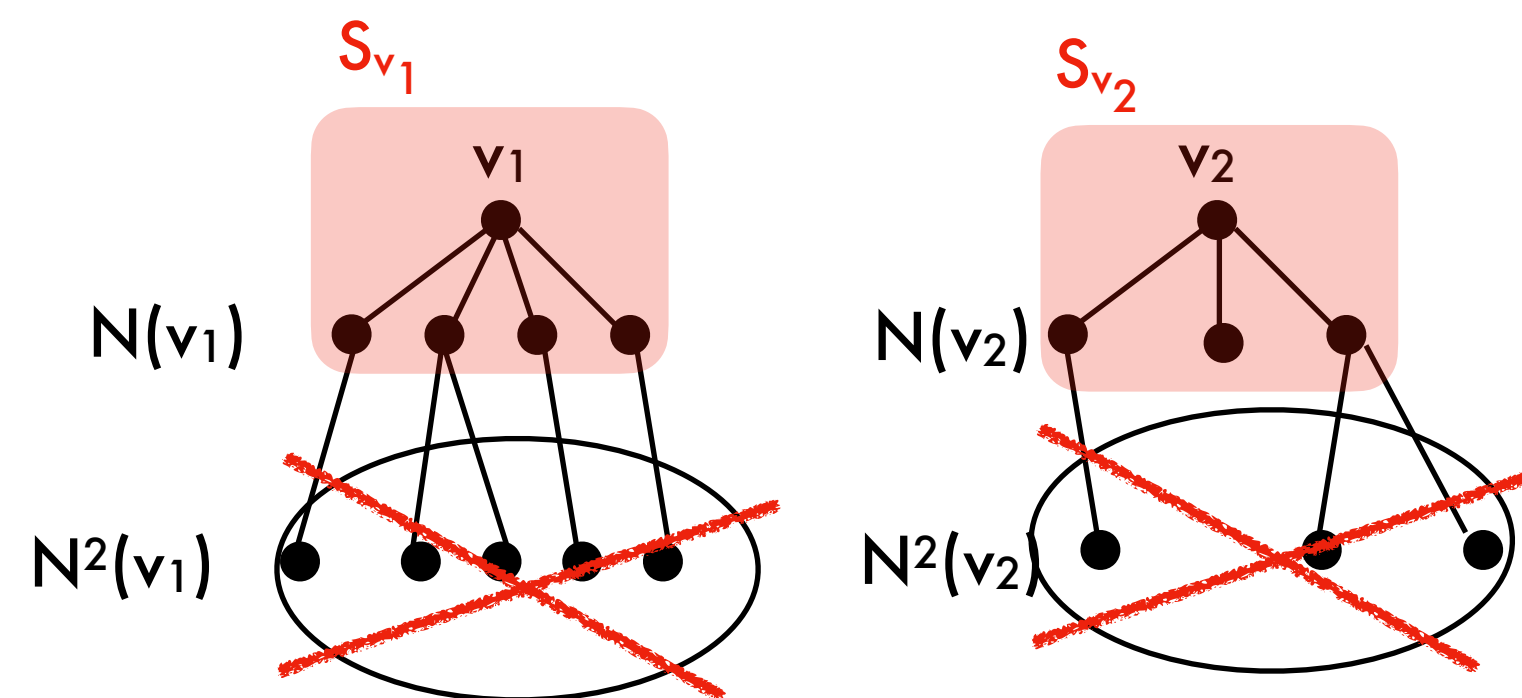
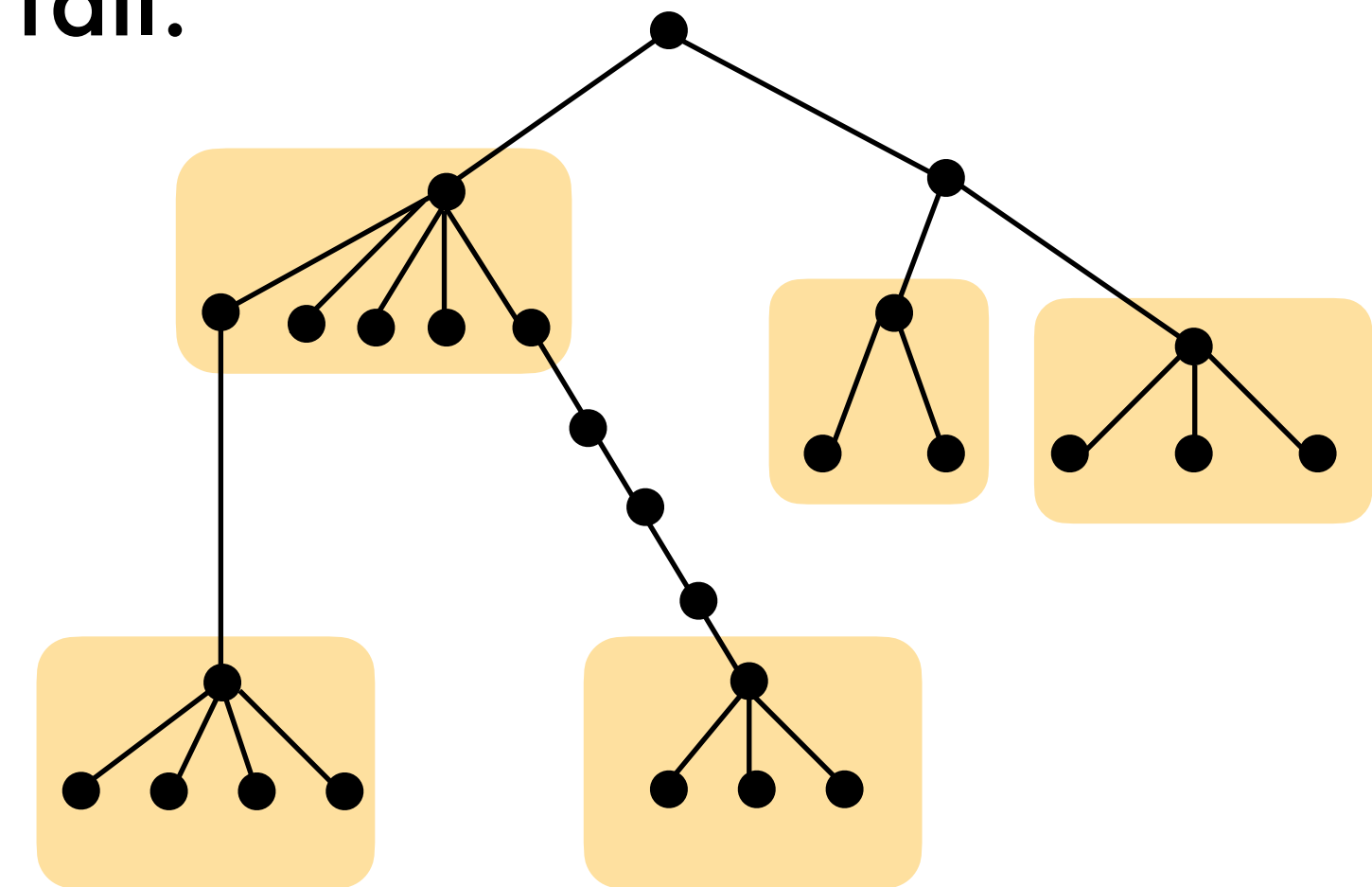
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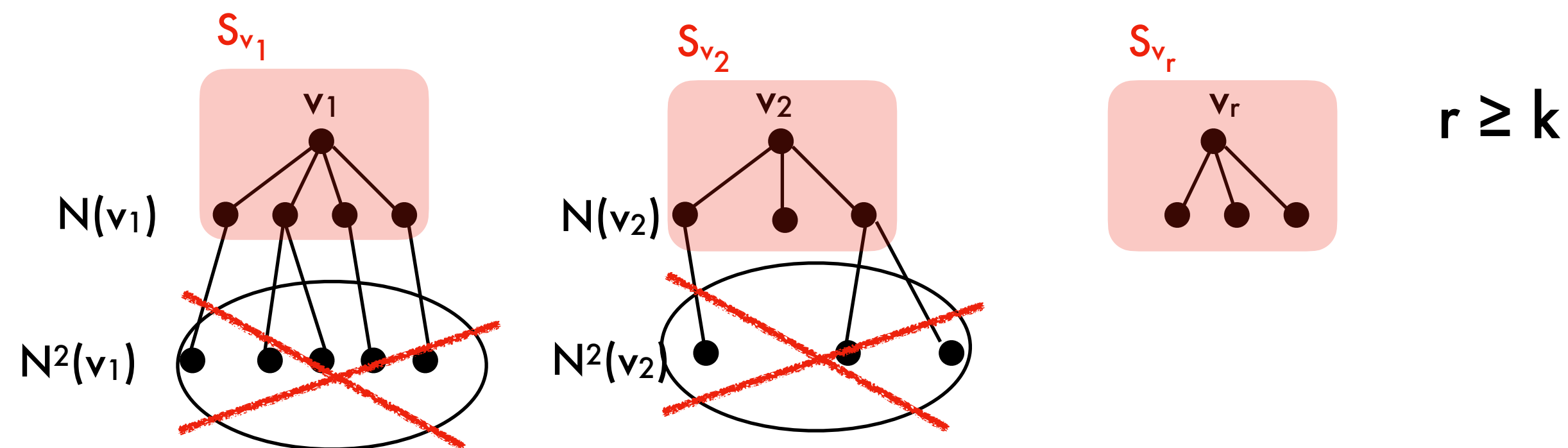
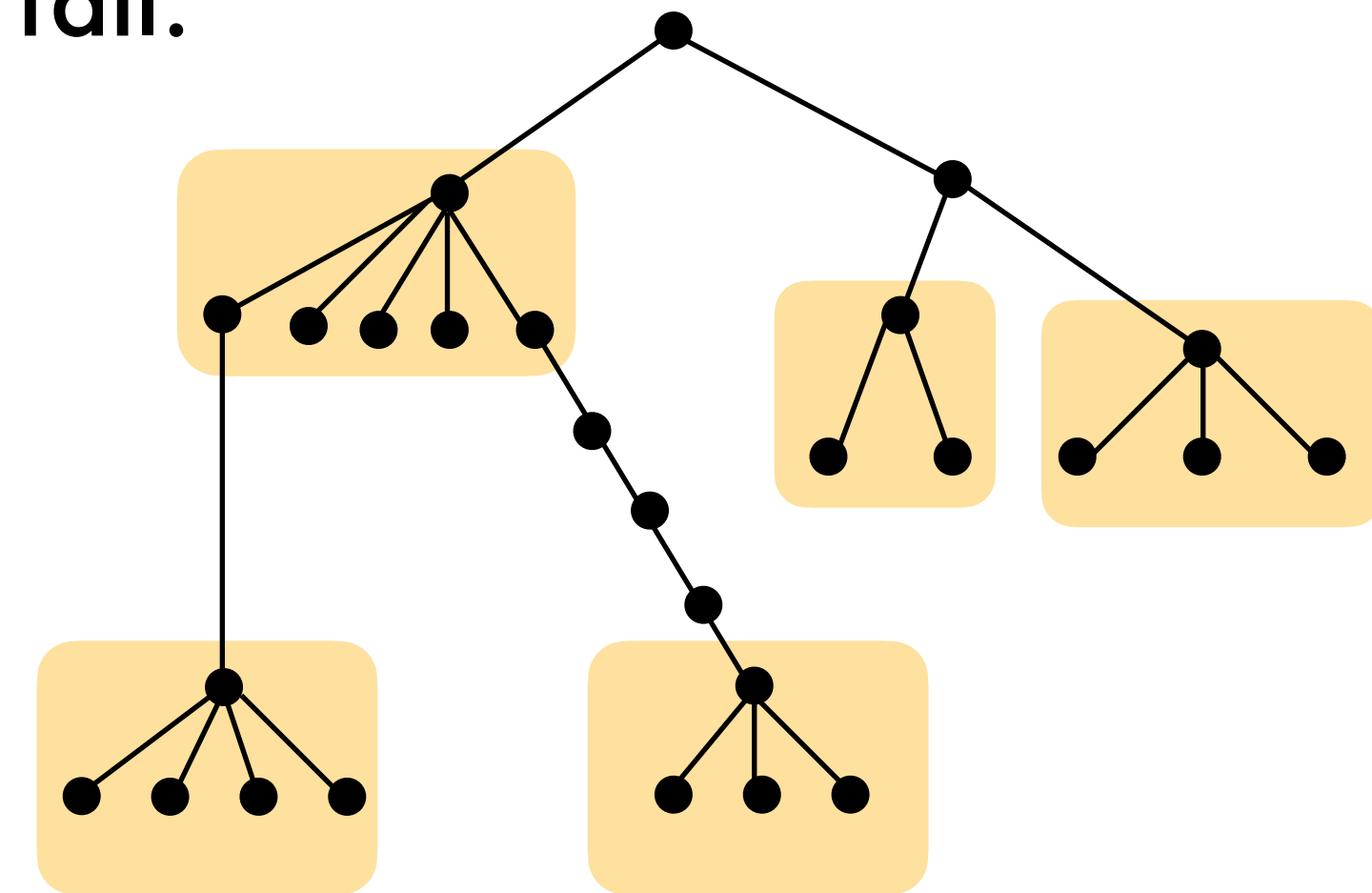
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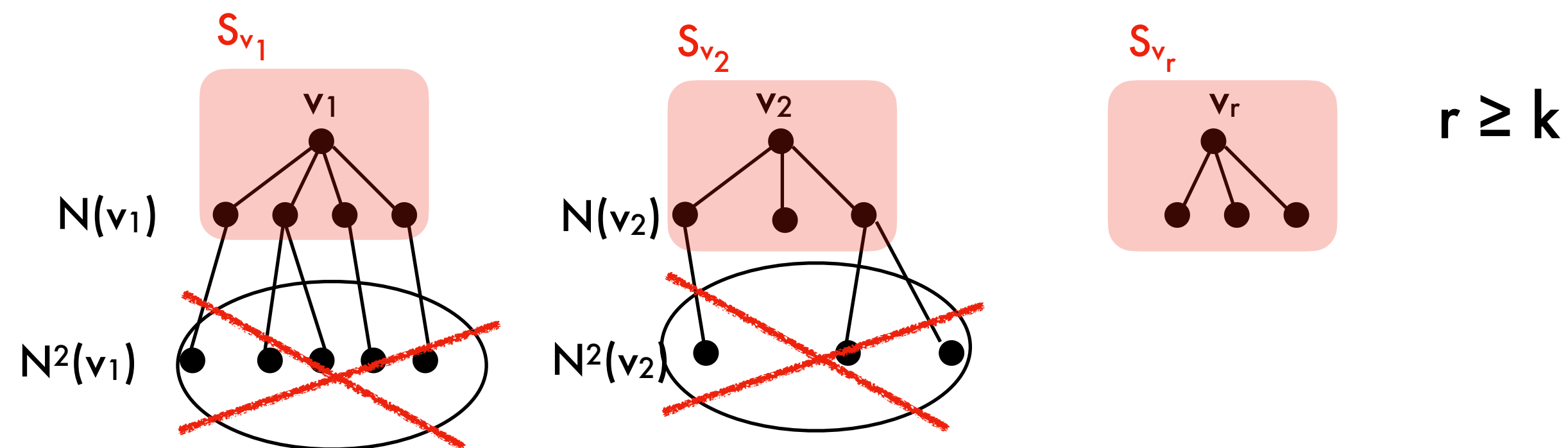
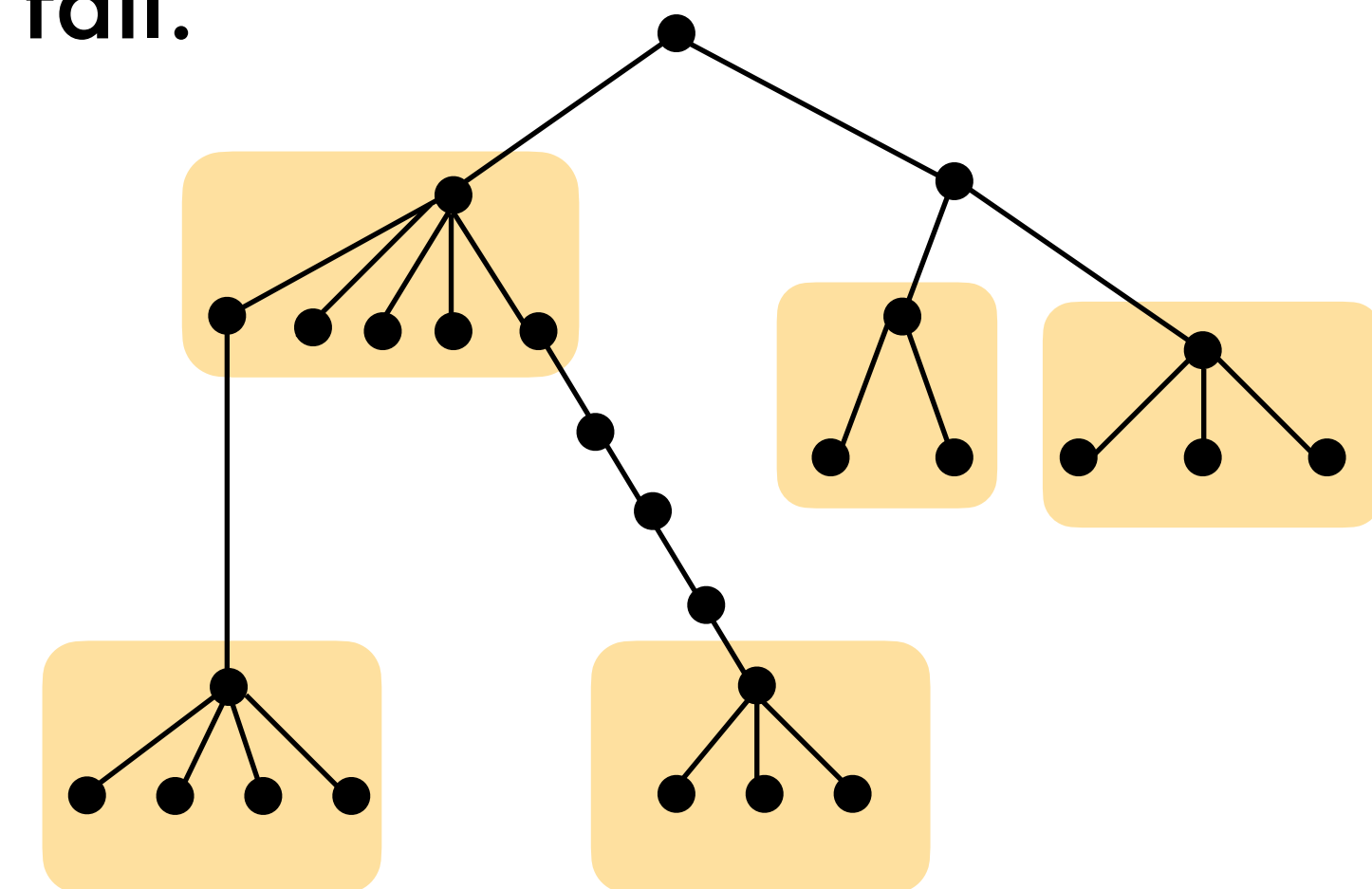
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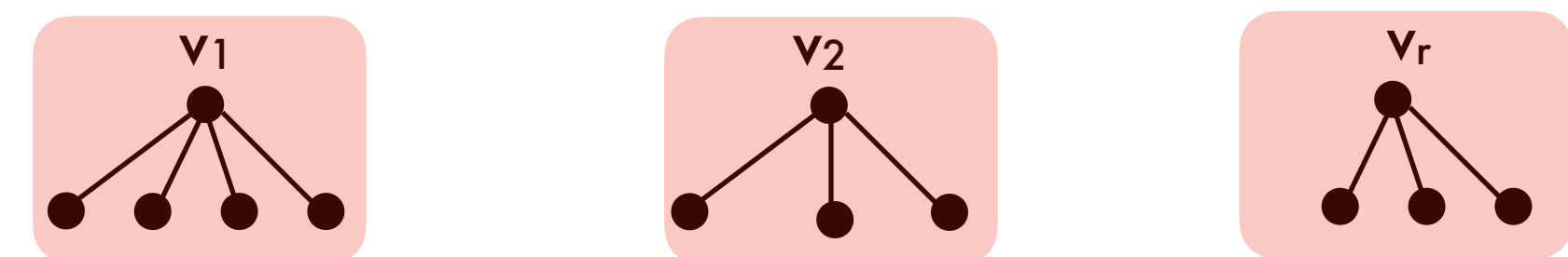
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Claim: The stars in the red boxes are disjoint.



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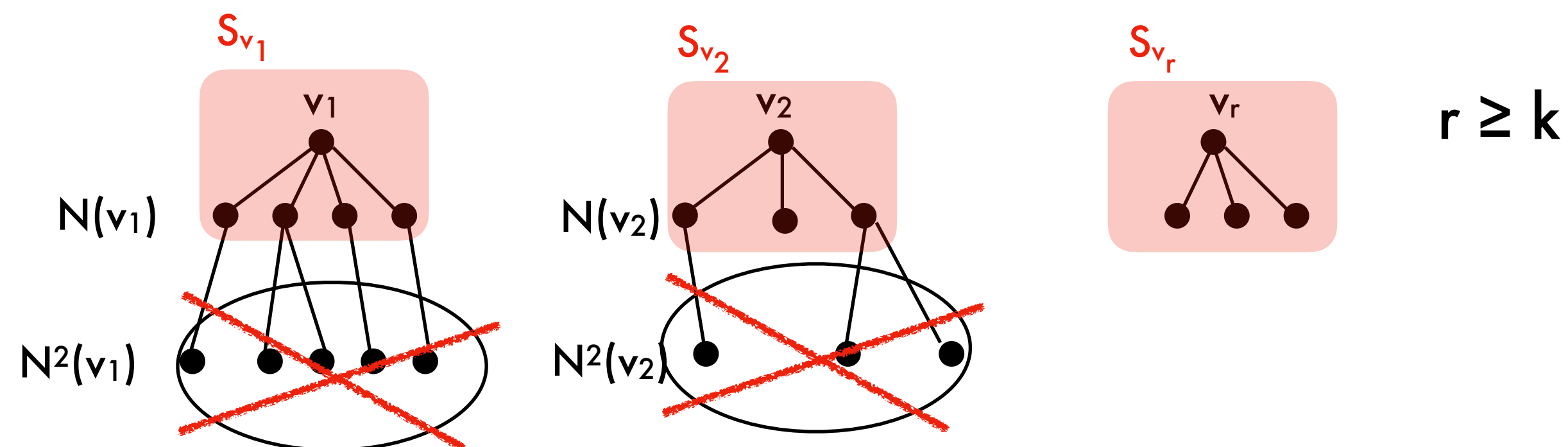
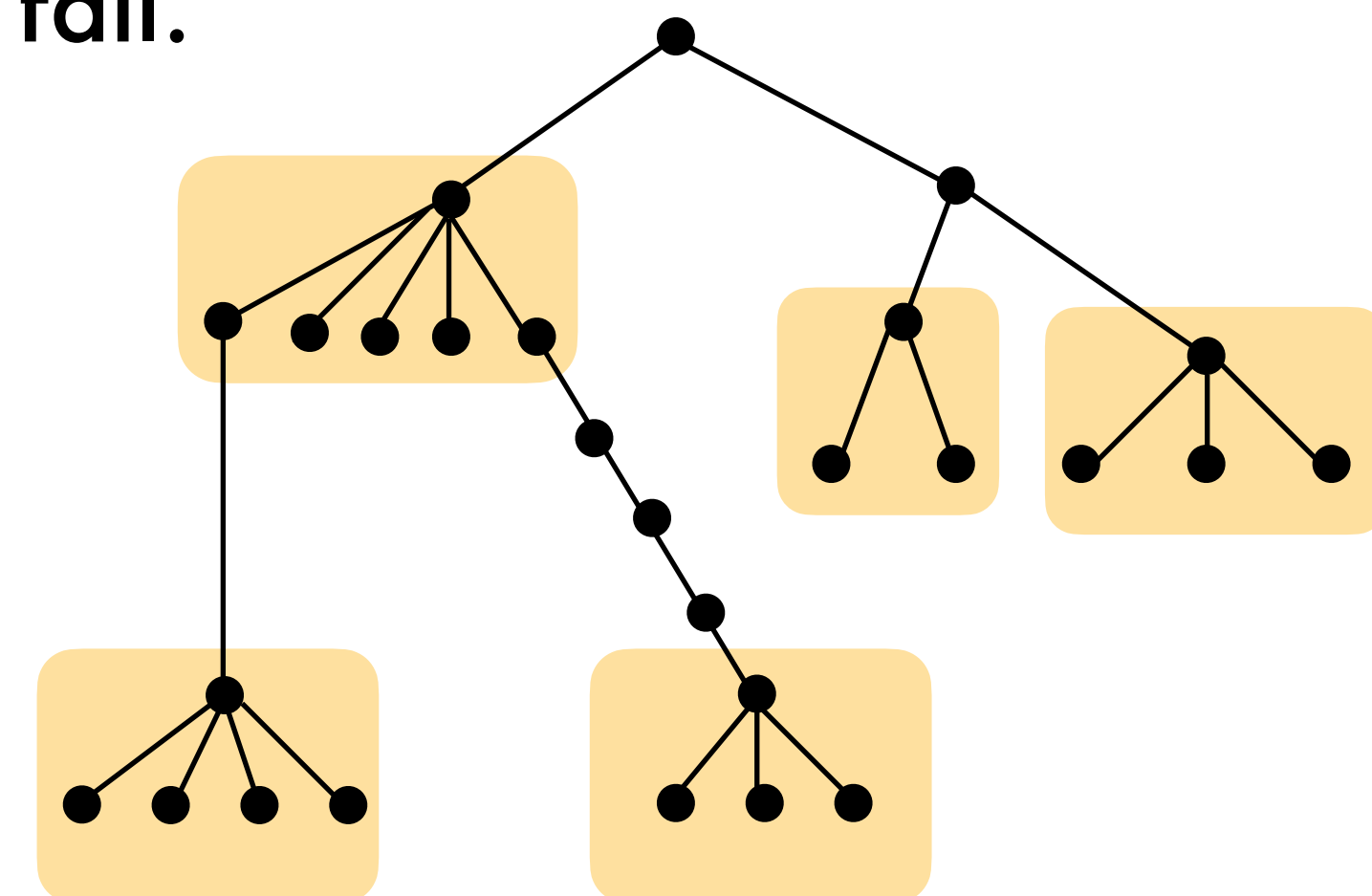
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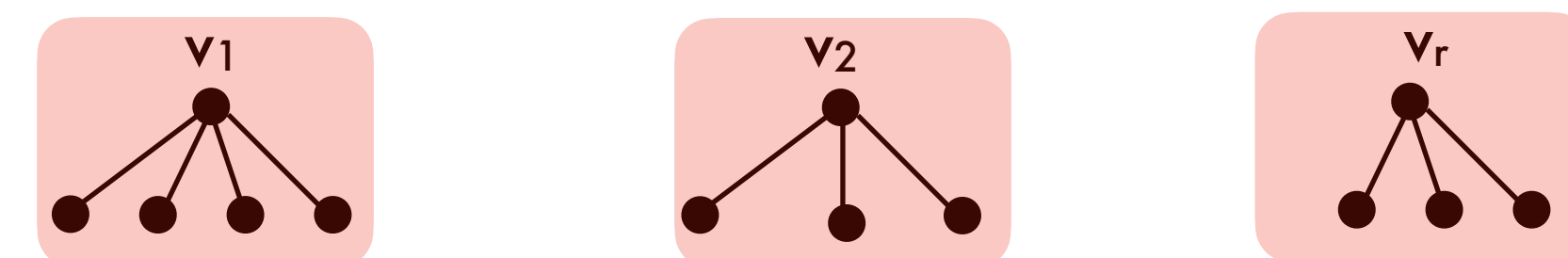
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Constructing a subtree from these stars (with at least k leaves):

Join the red stars by adding arbitrary paths between the v_i vertices.

The resulting connected graph has at least r leaves.

MLS on connected graphs admit a polynomial kernel - Proof

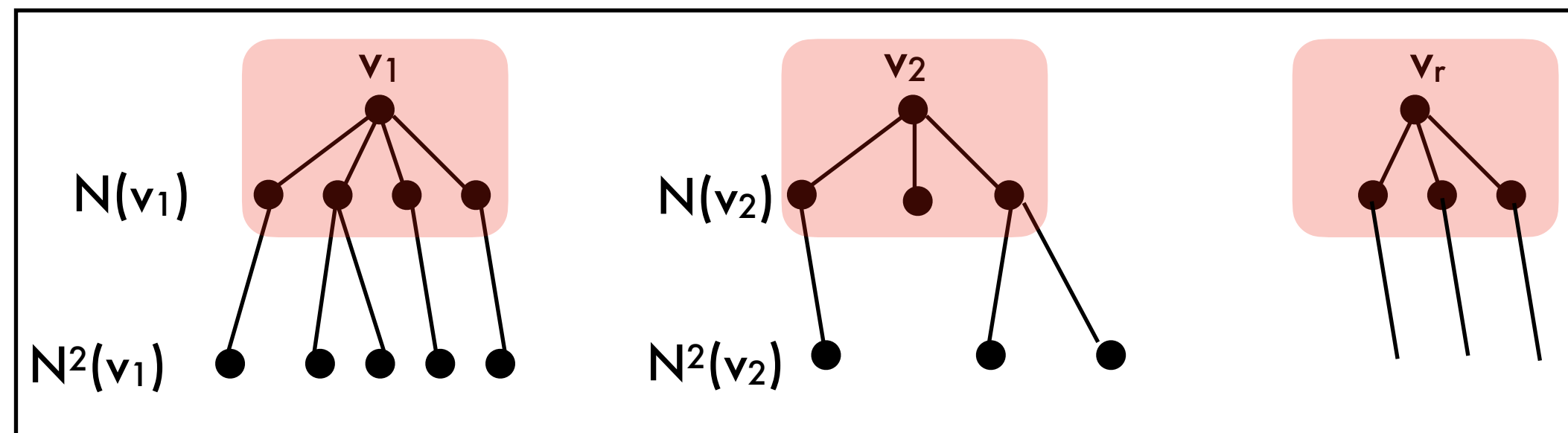
Procedure: Let us try to construct vertex disjoint stars in G .

Let v be a vertex of degree at least 3.

Let S_v be a star with v and its neighbours in (the original graph G).

Remove $N^2(v)$ from G and repeat (as long as there is a vertex of degree at least 3 in the resulting graph).

Suppose the above procedure runs for $r < k$ steps.



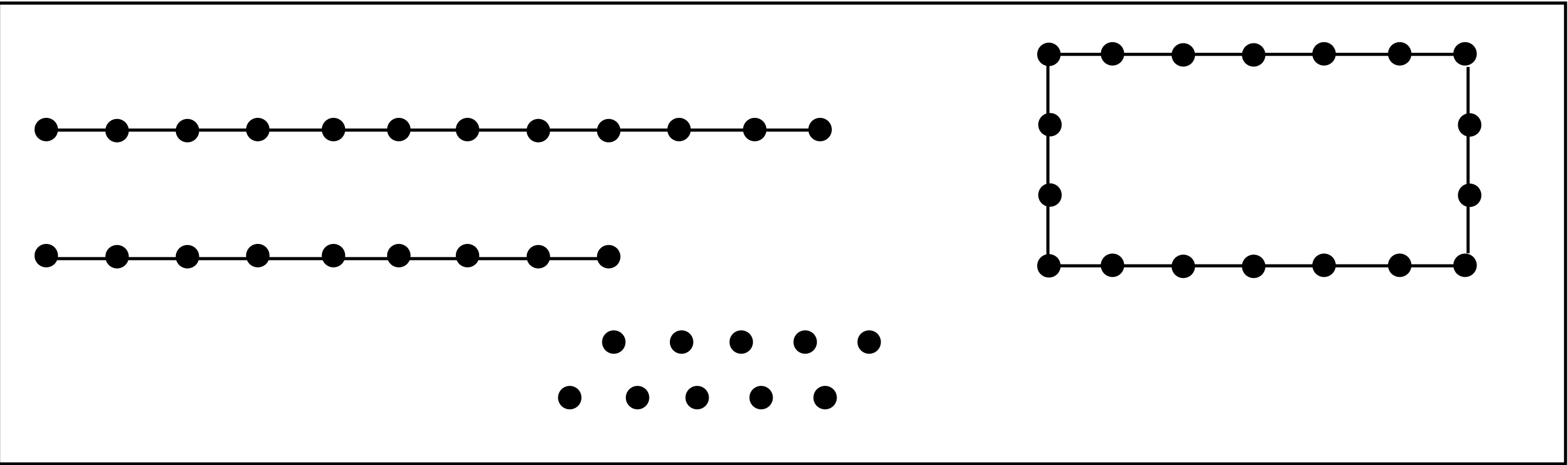
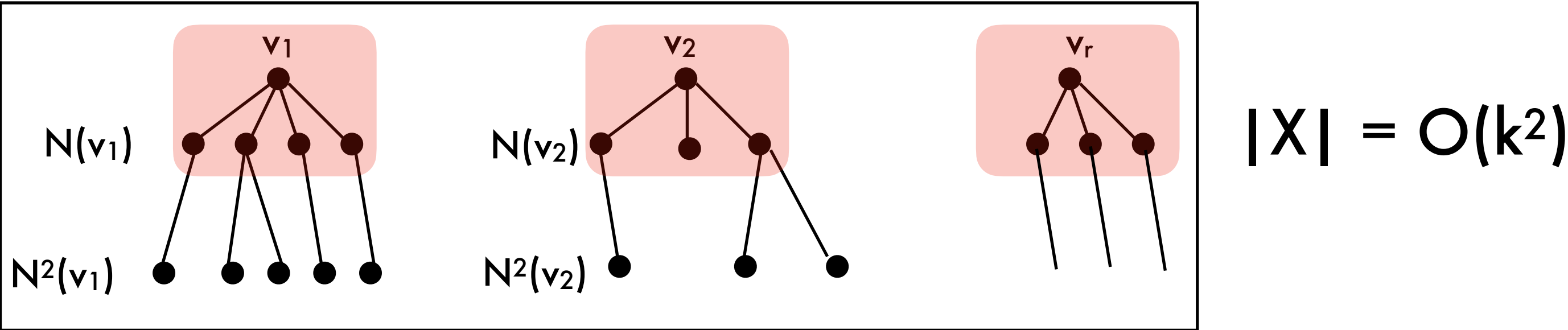
$$|X| = O(k^2)$$

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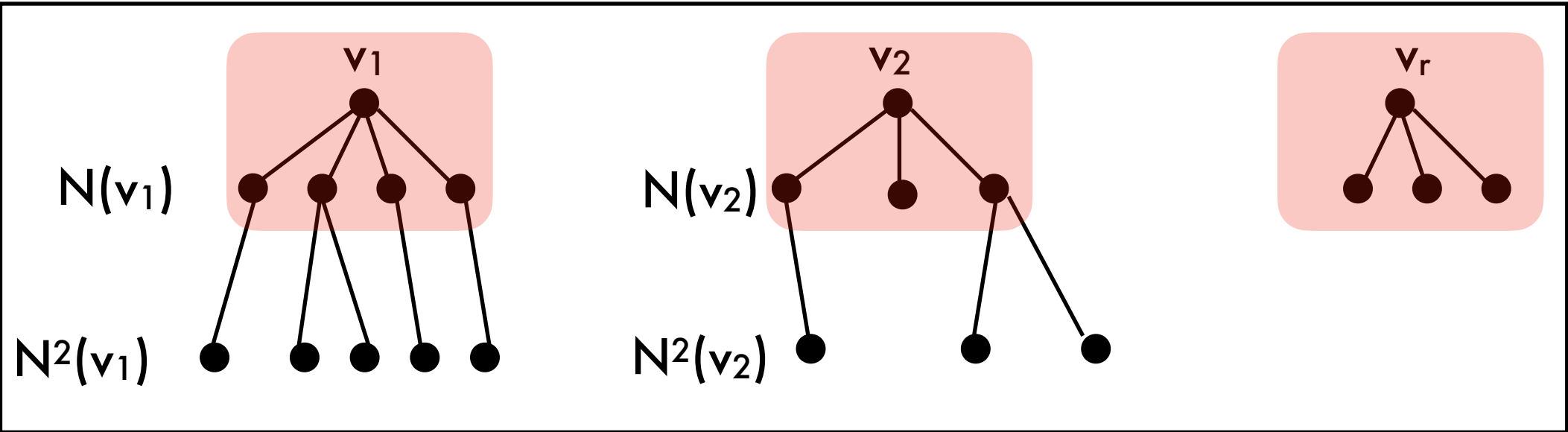
Every vertex of $G-X$ has degree at most 2.
 $G-X$ is a disjoint union of paths and cycles.

MLS on connected graphs admit a polynomial kernel - Proof

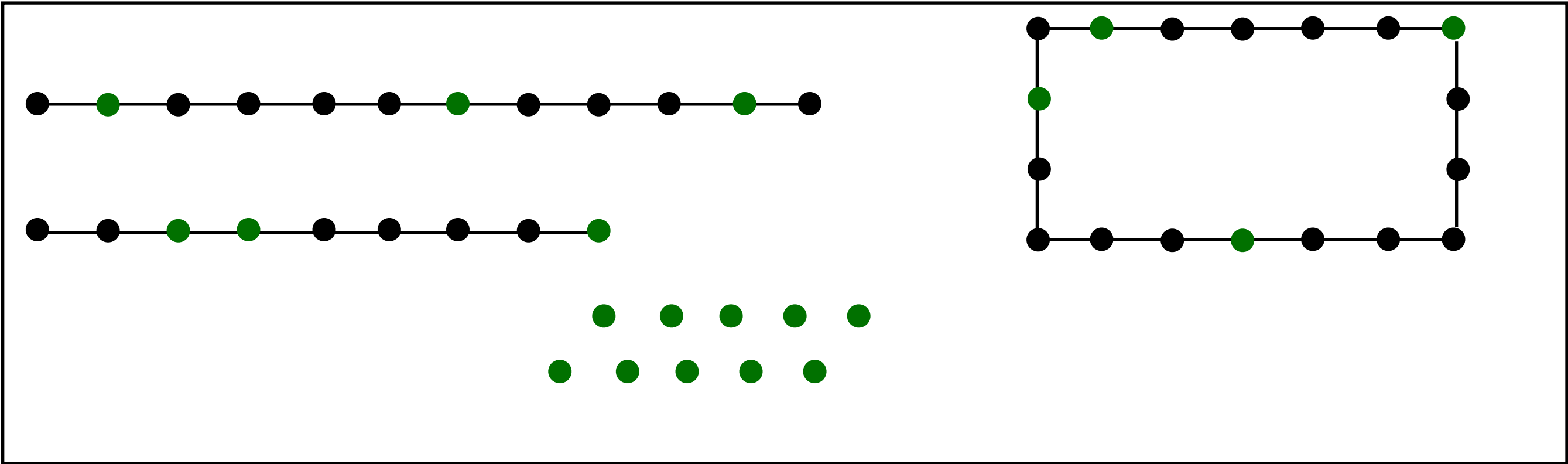
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$$|X| = O(k^2)$$



Every vertex of $G-X$ has degree at most 2.
 $G-X$ is a disjoint union of paths and cycles.
The **green vertices** are neighbours of X .

$$|N(X)| = O(k^2)$$

MLS on connected graphs admit a polynomial kernel - Proof

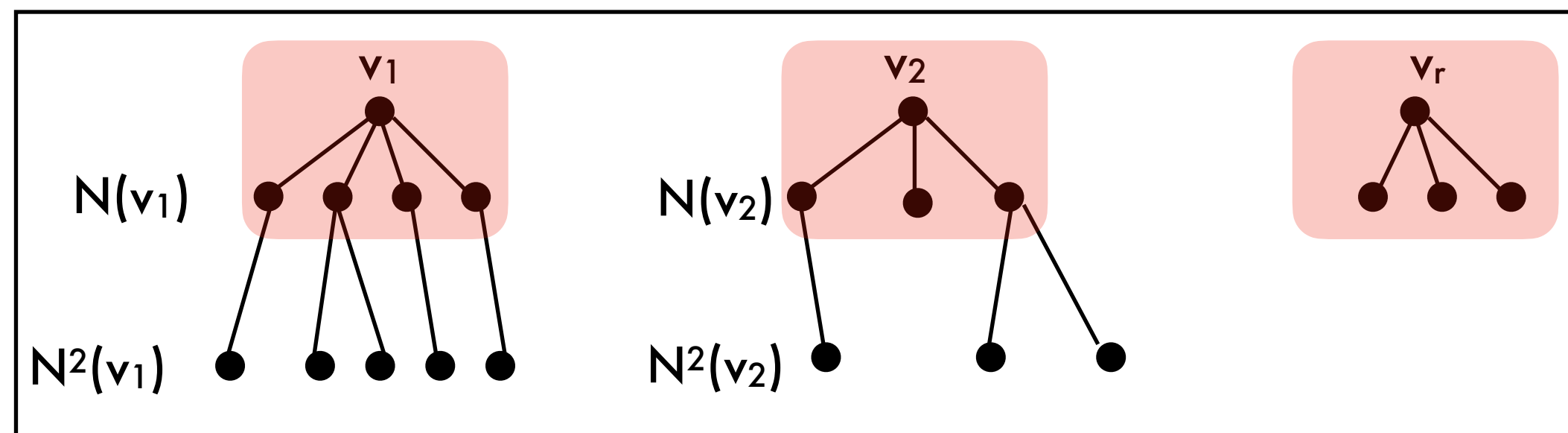
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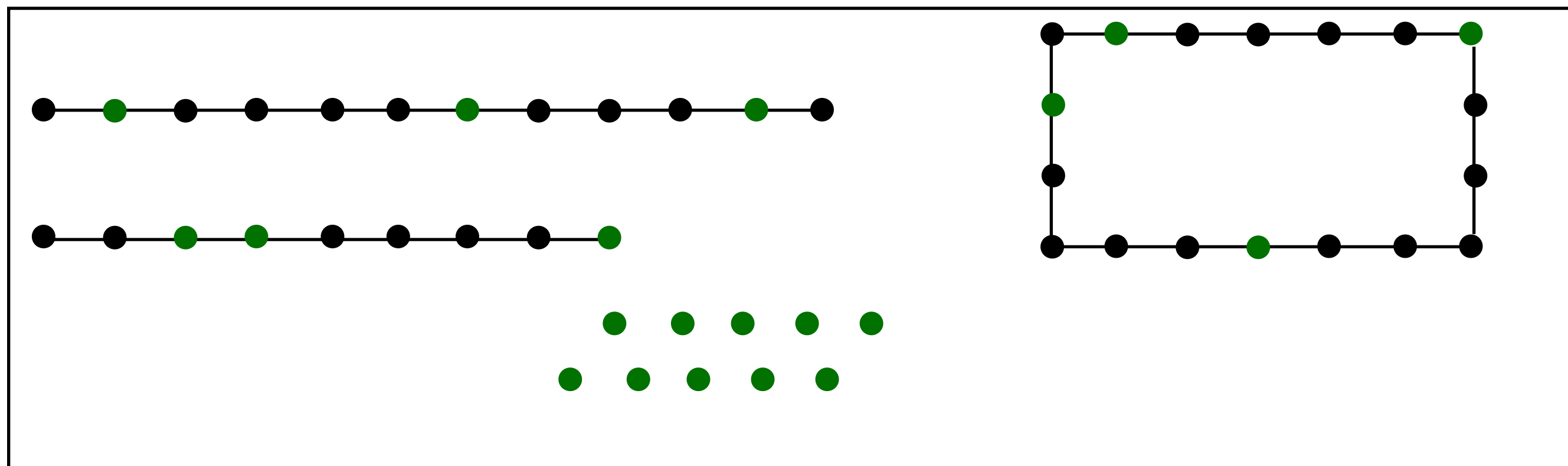
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MLS on connected graphs admit a polynomial kernel - Proof

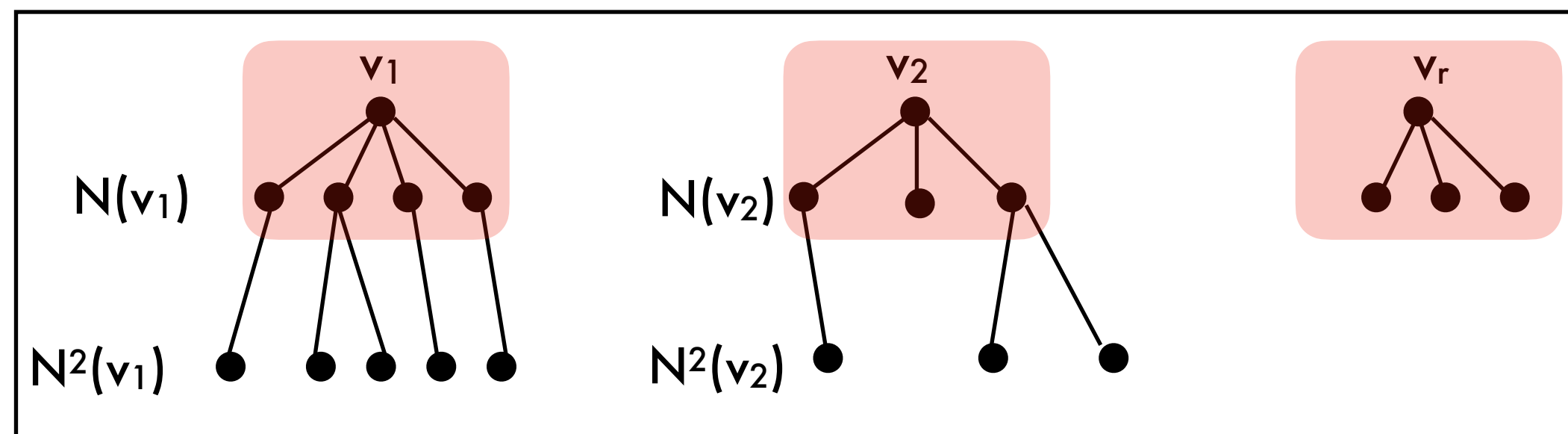
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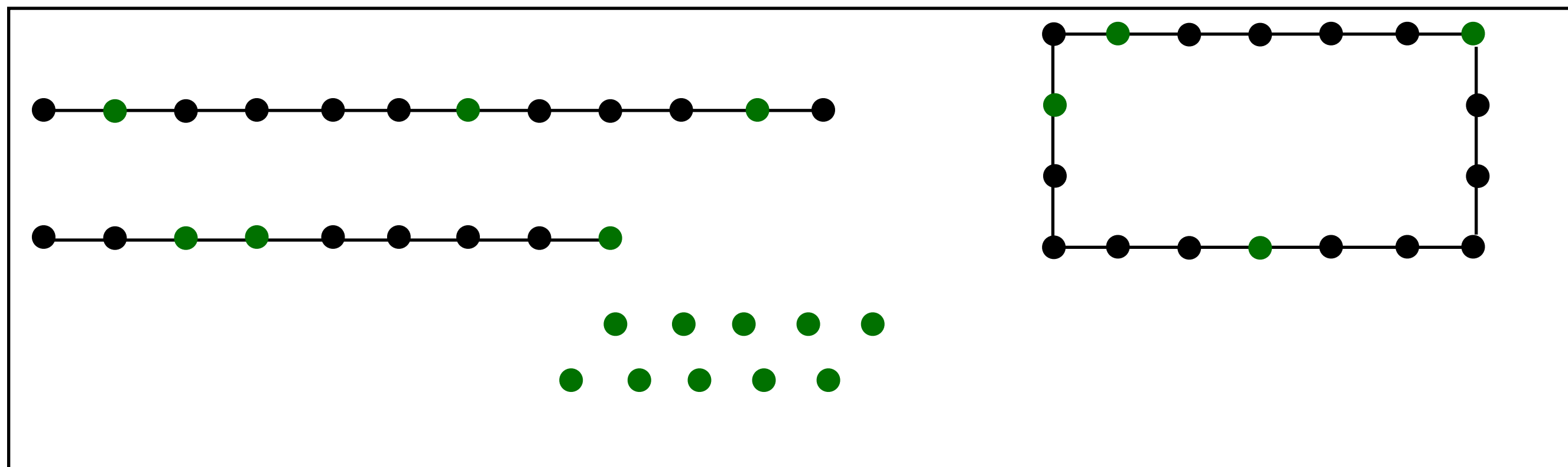
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$$\text{Therefore, } |V(G) \setminus X| \leq (|N(X)| + 1) = O(k^2)$$

Lower bound machinery for Turing kernels?

- How to show that a problem does not exhibit any Turing kernel?
- So far, no machinery exists that allows one to prove such statements.
- Rather, we developed some hardness theory based on conjectures like,

CONNECTED VERTEX COVER does not admit a Turing kernel, or

STEINER TREE does not admit a Turing kernel.