

Important cuts

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Lecture #10
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Overview

Main message

Small cuts in graphs have interesting extremal properties that can be exploited in combinatorial and algorithmic results.

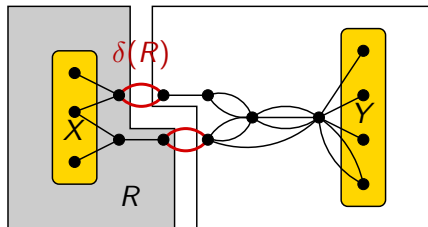
- Bounding the number of “important” cuts.
- Edge/vertex versions, directed/undirected versions, undeletable edges/vertices
- “directed edge” or “arc”
- Algorithmic applications: FPT algorithm for
 - MULTIWAY CUT
 - DIRECTED FEEDBACK VERTEX SET

Minimum cuts

Definition: $\delta(R)$ is the set of edges with exactly one endpoint in R .

Definition: A set S of edges is a **minimal** (X, Y) -cut if there is no $X - Y$ path in $G \setminus S$ and no proper subset of S breaks every $X - Y$ path.

Observation: Every minimal (X, Y) -cut S can be expressed as $S = \delta(R)$ for some $X \subseteq R$ and $R \cap Y = \emptyset$.



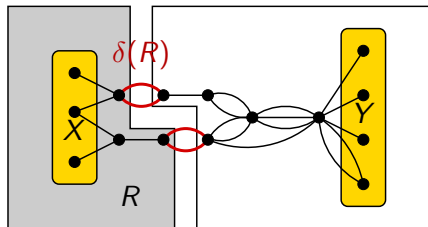
Minimum cuts

Theorem

A minimum (X, Y) -cut can be found in polynomial time.

Theorem

The size of a minimum (X, Y) -cut equals the maximum size of a pairwise edge-disjoint collection of $X - Y$ paths.



Finding minimum cuts

There is a long list of algorithms for finding disjoint paths and minimum cuts.

- Edmonds-Karp: $O(|V(G)| \cdot |E(G)|^2)$
- Dinitz: $O(|V(G)|^2 \cdot |E(G)|)$
- Push-relabel: $O(|V(G)|^3)$
- Orlin-King-Rao-Tarjan: $O(|V(G)| \cdot |E(G)|)$
- ...
- Liu-Sidford: $O(|E(G)|^{4/3} U^{1/3})$

But we need only the following result:

Theorem

An (X, Y) -cut of size at most k (if exists) can be found in time $O(k \cdot (|V(G)| + |E(G)|))$.

Finding minimum cuts

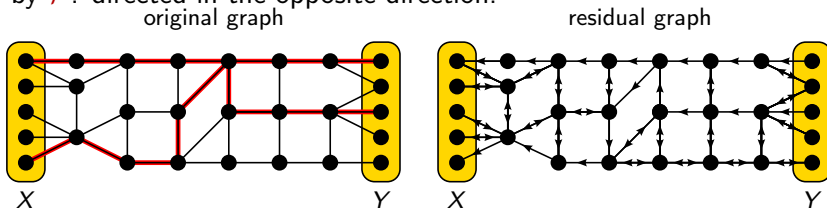
Theorem

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We try to grow a collection \mathcal{P} of edge-disjoint $X - Y$ paths.

Residual graph:

- not used by \mathcal{P} : bidirected,
- used by \mathcal{P} : directed in the opposite direction.



Finding minimum cuts

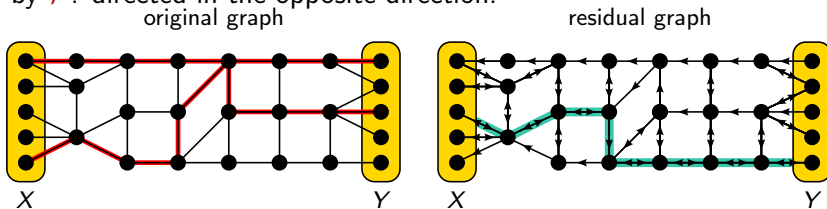
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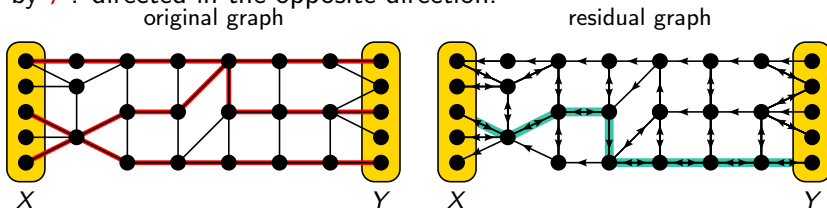
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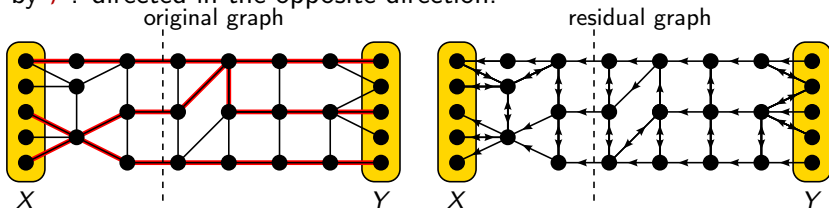
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Residual graph:

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If we cannot find an augmenting path, we can find a (minimum) cut of size $|\mathcal{P}|$.

Submodularity

Fact: The function δ is **submodular**: for arbitrary sets A, B ,

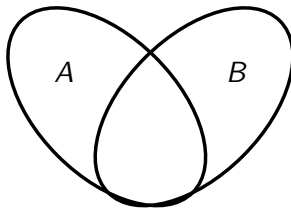
$$|\delta(A)| + |\delta(B)| \geq |\delta(A \cap B)| + |\delta(A \cup B)|$$

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Proof: Determine separately the contribution of the different types of edges.

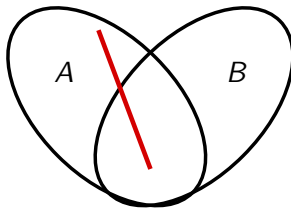


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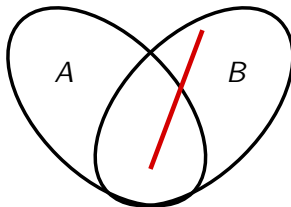


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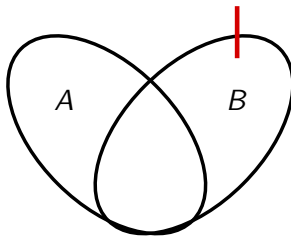


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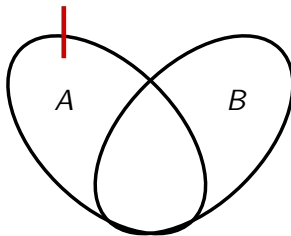


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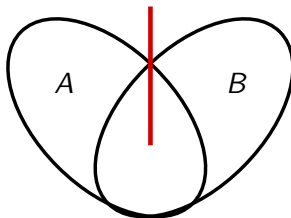


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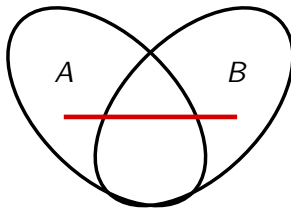


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Lemma

Let λ be the minimum (X, Y) -cut size. There is a unique maximal $R_{\max} \supseteq X$ such that $\delta(R_{\max})$ is an (X, Y) -cut of size λ .

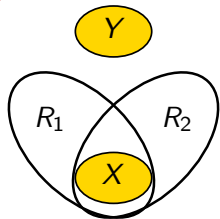
Submodularity

Lemma

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Proof: Let $R_1, R_2 \supseteq X$ be two sets such that $\delta(R_1), \delta(R_2)$ are (X, Y) -cuts of size λ .

$$\begin{aligned} |\delta(R_1)| + |\delta(R_2)| &\geq |\delta(R_1 \cap R_2)| + |\delta(R_1 \cup R_2)| \\ \lambda + \lambda &\geq \lambda + |\delta(R_1 \cup R_2)| \\ \Rightarrow |\delta(R_1 \cup R_2)| &\leq \lambda \end{aligned}$$



Note: Analogous result holds for a unique minimal R_{\min} .

Finding R_{\min} and R_{\max}

Lemma

Given a graph G and sets $X, Y \subseteq V(G)$, the sets R_{\min} and R_{\max} can be found in polynomial time.

Proof: Iteratively add vertices to X if they do not increase the minimum $X - Y$ cut size. When the process stops, $X = R_{\max}$. Similar for R_{\min} .

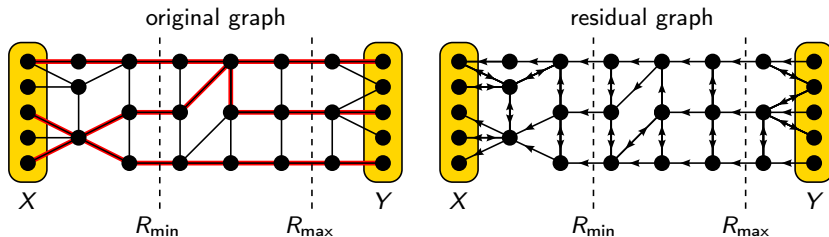
But we can do better!

Finding R_{\min} and R_{\max}

Lemma

Given a graph G and sets $X, Y \subseteq V(G)$, the sets R_{\min} and R_{\max} can be found in $O(\lambda \cdot (|V(G)| + |E(G)|))$ time, where λ is the minimum $X - Y$ cut size.

Proof: Look at the residual graph.



R_{\min} : vertices reachable from X .

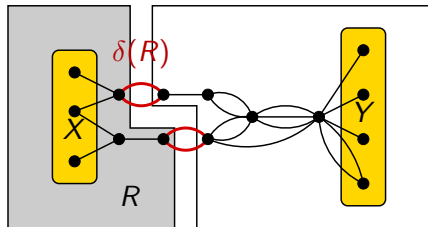
R_{\max} : vertices from which Y is **not** reachable.

Important cuts

Definition: $\delta(R)$ is the set of edges with exactly one endpoint in R .

Definition: A set S of edges is a **minimal** (X, Y) -cut if there is no $X - Y$ path in $G \setminus S$ and no proper subset of S breaks every $X - Y$ path.

Observation: Every minimal (X, Y) -cut S can be expressed as $S = \delta(R)$ for some $X \subseteq R$ and $R \cap Y = \emptyset$.

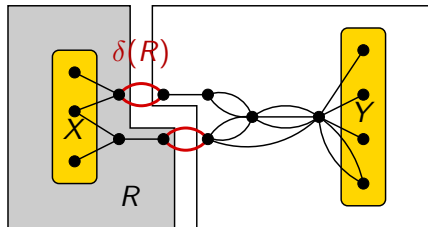


Important cuts

Definition

A minimal (X, Y) -cut $\delta(R)$ is **important** if there is no (X, Y) -cut $\delta(R')$ with $R \subset R'$ and $|\delta(R')| \leq |\delta(R)|$.

Note: Can be checked in polynomial time if a cut is important.

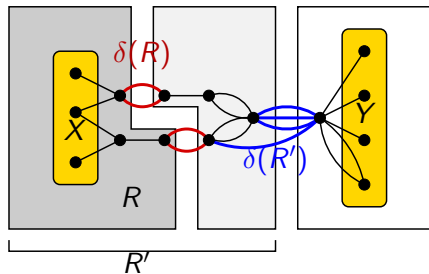


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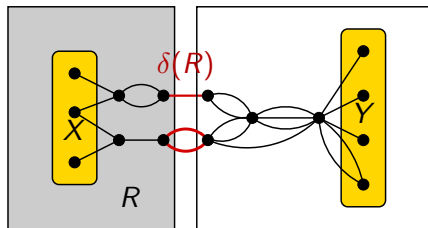


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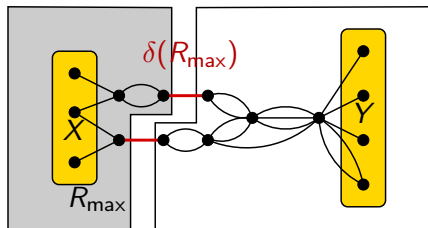


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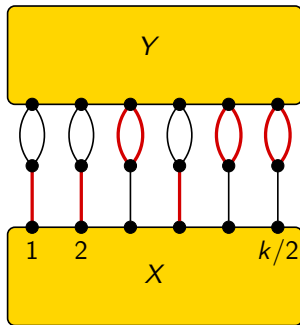


Observation: There is a unique important (X, Y) -cut of minimum size: $\delta(R_{\max})$.

Important cuts

The number of important cuts can be exponentially large.

Example:

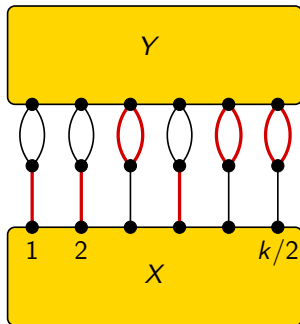


This graph has $2^{k/2}$ important (X, Y) -cuts of size at most k .

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Theorem

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Proof: Let λ be the minimum (X, Y) -cut size and let $\delta(R_{\max})$ be the unique important cut of size λ such that R_{\max} is maximal.

(1) We show that $R_{\max} \subseteq R$ for every important cut $\delta(R)$.

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By the submodularity of δ :

$$\begin{array}{ccc} |\delta(R_{\max})| + |\delta(R)| & \geq & |\delta(R_{\max} \cap R)| + |\delta(R_{\max} \cup R)| \\ \lambda & & \geq \lambda \end{array}$$

\Downarrow

$$|\delta(R_{\max} \cup R)| \leq |\delta(R)|$$

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If $R \neq R_{\max} \cup R$, then $\delta(R)$ is not important.

Important cuts

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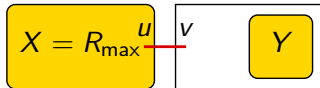
Thus the important (X, Y) - and (R_{\max}, Y) -cuts are the same.

\Rightarrow We can assume $X = R_{\max}$.

Important cuts

(2) Search tree algorithm for enumerating all these cuts:

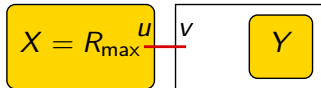
An (arbitrary) edge uv leaving $X = R_{\max}$ is either in the cut or not.



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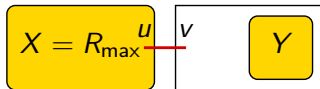
Branch 1: If $uv \in S$, then $S \setminus uv$ is an important (X, Y) -cut of size at most $k - 1$ in $G \setminus uv$.

Branch 2: If $uv \notin S$, then S is an important $(X \cup v, Y)$ -cut of size at most k in G .

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$\Rightarrow k$ decreases by one, λ decreases by at most 1.

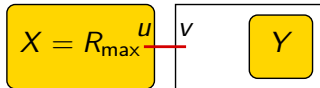
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$\Rightarrow k$ remains the same, λ increases by 1.

The measure $2k - \lambda$ decreases in each step.

\Rightarrow Height of the search tree $\leq 2k$

$\Rightarrow \leq 2^{2k} = 4^k$ important cuts of size at most k .

Important cuts — algorithm

Theorem

There are at most 4^k important (X, Y) -cuts of size at most k and they can be enumerated in time $O(4^k \cdot k \cdot (|V(G)| + |E(G)|))$.

Algorithm for enumerating important cuts:

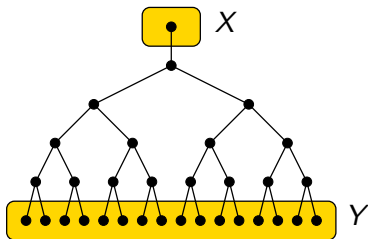
- 1 Handle trivial cases ($k = 0$, $\lambda = 0$, $k < \lambda$)
- 2 Find R_{\max} .
- 3 Choose an edge uv of $\delta(R_{\max})$.
 - Recurse on $(G - uv, R_{\max}, Y, k - 1)$.
 - Recurse on $(G, R_{\max} \cup v, Y, k)$.
- 4 Check if the returned cuts are important and throw away those that are not.

Important cuts

Theorem

There are at most 4^k important (X, Y) -cuts of size at most k .

Example: The bound 4^k is essentially tight.

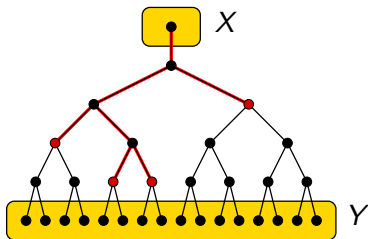


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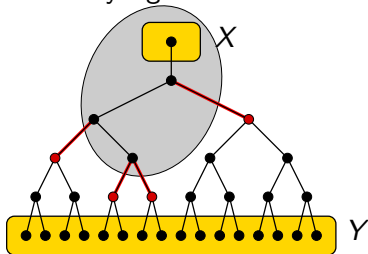
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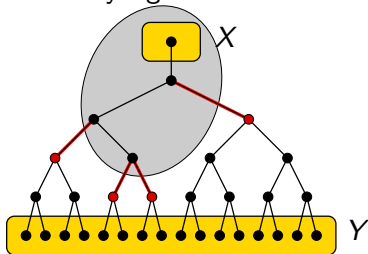
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Any subtree with k leaves gives an important (X, Y) -cut of size k .

The number of subtrees with k leaves is the Catalan number

$$C_{k-1} = \frac{1}{k} \binom{2k-2}{k-1} \geq 4^k / \text{poly}(k).$$

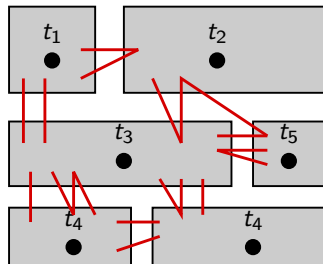
MULTIWAY CUT

Definition: A **multiway cut** of a set of terminals T is a set S of edges such that each component of $G \setminus S$ contains at most one vertex of T .

MULTIWAY CUT

Input: Graph G , set T of vertices, integer k

Find: A multiway cut S of at most k edges.



Polynomial for $|T| = 2$, but NP-hard for any fixed $|T| \geq 3$.

\Rightarrow Cannot be FPT parameterized by $|T|$ assuming $P \neq NP$.

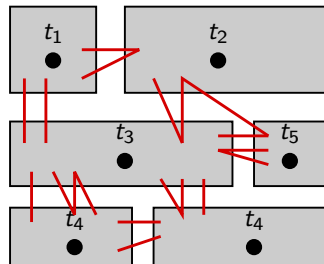
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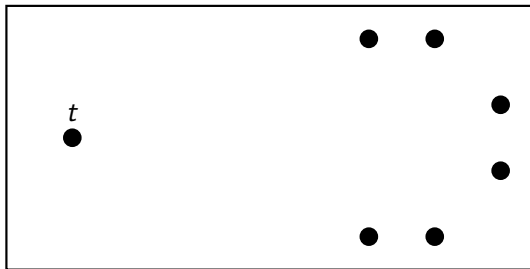
Trivial to solve in polynomial time for fixed k (in time $n^{O(k)}$).

Theorem

MULTIWAY CUT can be solved in time $4^k \cdot k^3 \cdot (|V(G)| + |E(G)|)$.

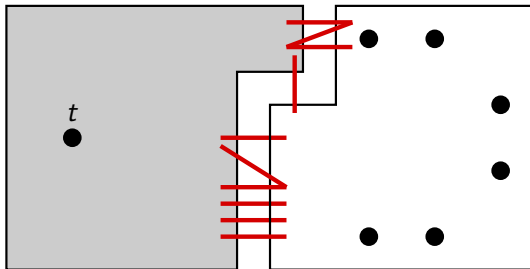
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Intuition: Consider a $t \in T$. A subset of the solution S is a $(t, T \setminus t)$ -cut.



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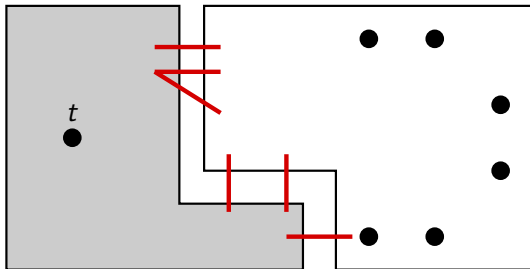
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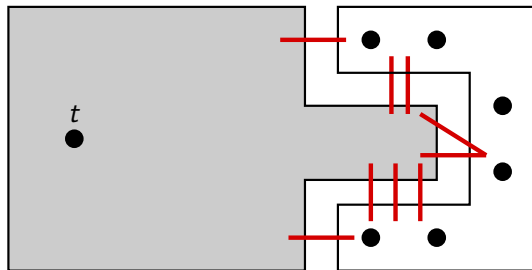
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There are many such cuts.

But a cut farther from t and closer to $T \setminus t$ seems to be more useful.

MULTIWAY CUT and important cuts

Pushing Lemma

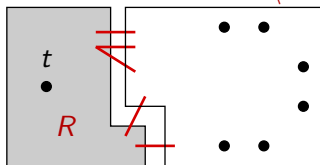
Let $t \in T$. The MULTIWAY CUT problem has a solution S that contains an important $(t, T \setminus t)$ -cut.

MULTIWAY CUT and important cuts

Pushing Lemma

Let $t \in T$. The MULTIWAY CUT problem has a solution S that contains an important $(t, T \setminus t)$ -cut.

Proof: Let R be the vertices reachable from t in $G \setminus S$ for a solution S .

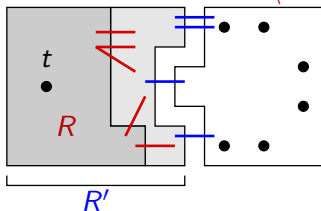


MULTIWAY CUT and important cuts

Pushing Lemma

Let $t \in T$. The MULTIWAY CUT problem has a solution S that contains an important $(t, T \setminus t)$ -cut.

Proof: Let R be the vertices reachable from t in $G \setminus S$ for a solution S .



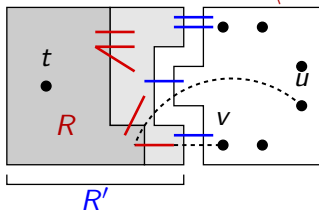
$\delta(R)$ is not important, then there is an important cut $\delta(R')$ with $R \subset R'$ and $|\delta(R')| \leq |\delta(R)|$. Replace S with $S' := (S \setminus \delta(R)) \cup \delta(R') \Rightarrow |S'| \leq |S|$

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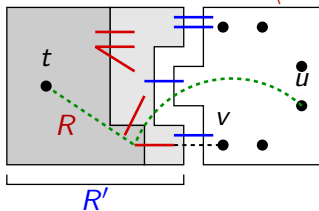
S' is a multiway cut: (1) There is no t - u path in $G \setminus S'$ and (2) a u - v path in $G \setminus S'$ implies a t - u path, a contradiction.

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Algorithm for MULTIWAY CUT

- 1 If every vertex of T is in a different component, then we are done.
- 2 Let $t \in T$ be a vertex that is not separated from every $T \setminus t$.
- 3 Enumerate every important $(t, T \setminus t)$ cut of size at most k and branch on choosing one such cut S .
- 4 Set $G := G \setminus S$ and $k := k - |S|$.
- 5 Go to step 1.

We branch into at most 4^k directions at most k times: $4^{k^2} \cdot n^{O(1)}$ running time.

Next: Better analysis gives 4^k bound on the size of the search tree.

A refined bound

We have seen: at most 4^k important cut of size at most k .

Better bound:

Lemma

If \mathcal{S} is the set of all important (X, Y) -cuts, then $\sum_{S \in \mathcal{S}} 4^{-|S|} \leq 1$ holds.

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If \mathcal{S} is the set of all important (X, Y) -cuts, then $\sum_{S \in \mathcal{S}} 4^{-|S|} \leq 1$ holds.

Better algorithm:

Lemma

We can enumerate the set \mathcal{S}_k of every important (X, Y) -cut of size at most k in time $O(|\mathcal{S}_k| \cdot k^2 \cdot (|V(G)| + |E(G)|))$.

Refined analysis for MULTIWAY CUT

Lemma

If \mathcal{S} is the set of all important (X, Y) -cuts, then $\sum_{S \in \mathcal{S}} 4^{-|S|} \leq 1$ holds.

Lemma

The search tree for the MULTIWAY CUT algorithm has 4^k leaves.

Proof: Let L_k be the maximum number of leaves with parameter k . We prove $L_k \leq 4^k$ by induction. After enumerating the set \mathcal{S}_k of important cuts of size $\leq k$, we branch into $|\mathcal{S}_k|$ directions.

$$\sum_{S \in \mathcal{S}_k} 4^{k-|S|} = 4^k \cdot \sum_{S \in \mathcal{S}_k} 4^{-|S|} \leq 4^k$$

Algorithm for MULTIWAY CUT

Theorem

MULTIWAY CUT can be solved in time $O(4^k \cdot k^3 \cdot (|V(G)| + |E(G)|))$.

- 1 If every vertex of T is in a different component, then we are done.
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MULTICUT

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Input: Graph G , pairs $(s_1, t_1), \dots, (s_\ell, t_\ell)$, integer k

Find: A set S of edges such that $G \setminus S$ has no s_i - t_i path for any i .

Theorem

MULTICUT can be solved in time $f(k, \ell) \cdot n^{O(1)}$ (FPT parameterized by combined parameters k and ℓ).

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Proof: The solution partitions $\{s_1, t_1, \dots, s_\ell, t_\ell\}$ into components. Guess this partition, contract the vertices in a class, and solve MULTIWAY CUT.

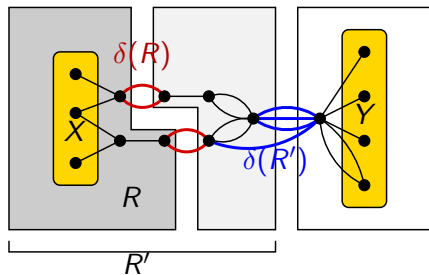
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MULTICUT is FPT parameterized by the size k of the solution.

Important cuts

Definition

A minimal (X, Y) -cut $\delta(R)$ is **important** if there is no (X, Y) -cut $\delta(R')$ with $R \subset R'$ and $|\delta(R')| \leq |\delta(R)|$.

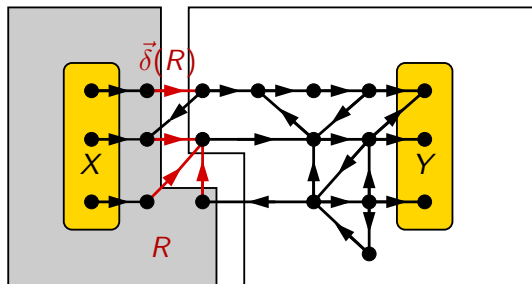


Directed graphs

Definition: $\vec{\delta}(R)$ is the set of edges leaving R .

Observation: Every inclusionwise-minimal directed (X, Y) -cut S can be expressed as $S = \vec{\delta}(R)$ for some $X \subseteq R$ and $R \cap Y = \emptyset$.

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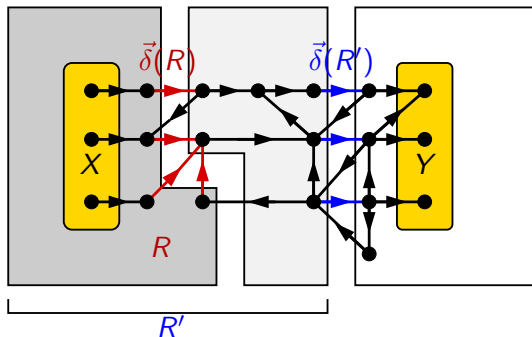


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The proof for the undirected case goes through for the directed case:

Theorem

There are at most 4^k important directed (X, Y) -cuts of size at most k .

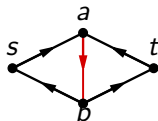
DIRECTED MULTIWAY CUT

The undirected approach does not work: the pushing lemma is not true.

Pushing Lemma (for undirected graphs)

Let $t \in T$. The MULTIWAY CUT problem has a solution S that contains an important $(t, T \setminus t)$ -cut.

Directed counterexample:



Unique solution with $k = 1$ edges, but it is not an important cut (boundary of $\{s, a\}$, but the boundary of $\{s, a, b\}$ has same size).

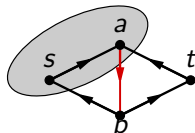
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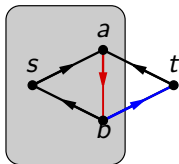
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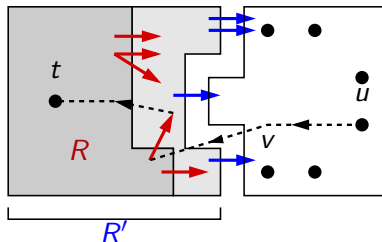
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Problem in the undirected proof:



Replacing R by R' cannot create a $t \rightarrow u$ path, but can create a $u \rightarrow t$ path.

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Pushing Lemma (for undirected graphs)

Let $t \in T$. The MULTIWAY CUT problem has a solution S that contains an important $(t, T \setminus t)$ -cut.

Using additional techniques, one can show:

Theorem

DIRECTED MULTIWAY CUT is FPT parameterized by the size k of the solution.

DIRECTED MULTICUT

DIRECTED MULTICUT

Input: Graph G , pairs $(s_1, t_1), \dots, (s_\ell, t_\ell)$, integer k

Find: A set S of edges such that $G \setminus S$ has no $s_i \rightarrow t_i$ path for any i .

Theorem

DIRECTED MULTICUT with $\ell = 4$ is W[1]-hard parameterized by k .

DIRECTED MULTICUT

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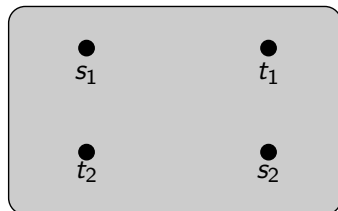
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DIRECTED MULTICUT with $\ell = 4$ is $W[1]$ -hard parameterized by k .

But the case $\ell = 2$ can be reduced to DIRECTED MULTIWAY CUT:



DIRECTED MULTICUT

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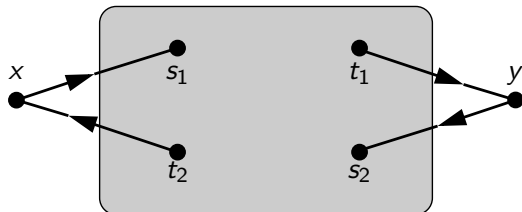
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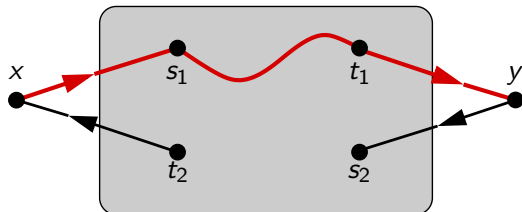
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Corollary

DIRECTED MULTICUT with $\ell = 2$ is FPT parameterized by the size k of the solution.



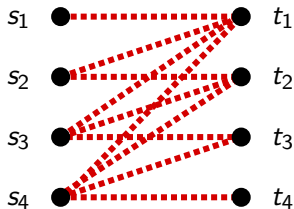
Open: Is DIRECTED MULTICUT with $\ell = 3$ FPT?

SKREW MULTICUT

SKREW MULTICUT

Input: Graph G , pairs $(s_1, t_1), \dots, (s_\ell, t_\ell)$, integer k

Find: A set S of k directed edges such that $G \setminus S$ contains no $s_i \rightarrow t_j$ path for any $i \geq j$.

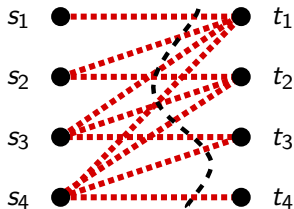


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Pushing Lemma

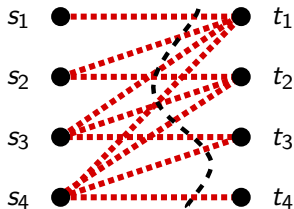
SKREW MULTICUT problem has a solution S that contains an important $(s_\ell, \{t_1, \dots, t_\ell\})$ -cut.

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Theorem

SKREW MULTICUT can be solved in time $4^k \cdot n^{O(1)}$.

DIRECTED FEEDBACK VERTEX SET

DIRECTED FEEDBACK VERTEX/EDGE SET

Input: Directed graph G , integer k

Find: A set S of k vertices/edges such that $G \setminus S$ is acyclic.

Note: Edge and vertex versions are equivalent, we will consider the edge version here.

Note: It is **not** a generalization of (UNDIRECTED) FEEDBACK VERTEX SET!

Theorem

DIRECTED FEEDBACK EDGE SET is FPT parameterized by the size k of the solution.

Solution uses the technique of **iterative compression**.

The compression problem

DIRECTED FEEDBACK EDGE SET COMPRESSION

Input: Directed graph G , integer k ,
a set W of $k + 1$ edges such that $G \setminus W$ is acyclic

Find: A set S of k edges such that $G \setminus S$ is acyclic.

Easier than the original problem, as the extra input W gives us useful structural information about G .

Lemma

The compression problem is FPT parameterized by k .

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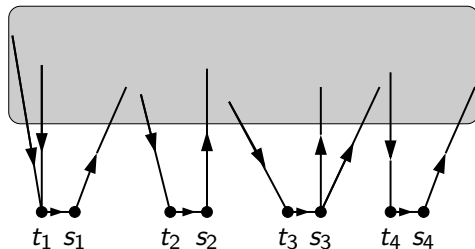
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A useful trick for edge deletion problems: we define the compression problem in a way that a solution of $k + 1$ vertices are given and we have to find a solution of k edges.

The compression problem

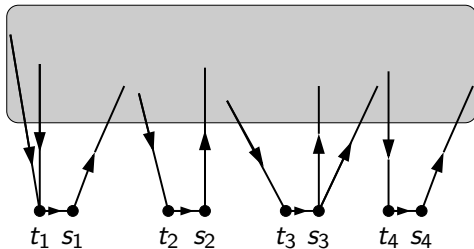
Proof: Let $W = \{w_1, \dots, w_{k+1}\}$
Let us split each w_i into an edge $t_i s_i$.



- By guessing the order of $\{w_1, \dots, w_{k+1}\}$ in the acyclic ordering of $G \setminus S$, we can assume that $w_1 < w_2 < \dots < w_{k+1}$ in $G \setminus S$ [$(k+1)!$ possibilities].

The compression problem

Proof: Let $W = \{w_1, \dots, w_{k+1}\}$
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Claim:

$G \setminus S$ is acyclic and has an ordering with $w_1 < w_2 < \dots < w_{k+1}$



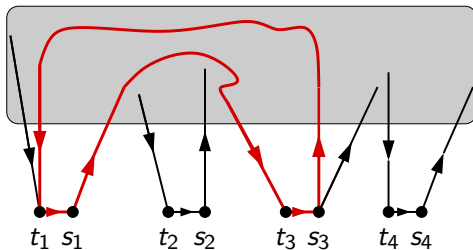
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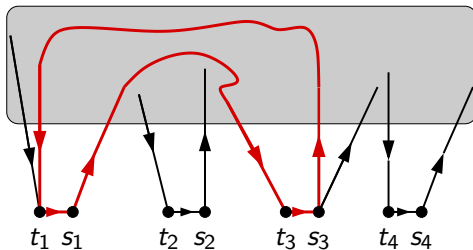
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⇓

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Iterative compression

We have given a $f(k)n^{O(1)}$ algorithm for the following problem:

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Find: A set S of k edges such that $G \setminus S$ is acyclic.

Nice, but how do we get a solution W of size $k + 1$?

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We get it for free!

Powerful technique: **iterative compression**.

Iterative compression

Let v_1, \dots, v_n be the vertices of G and let G_i be the subgraph induced by $\{v_1, \dots, v_i\}$.

For every $i = 1, \dots, n$, we find a set S_i of at most k edges such that $G_i \setminus S_i$ is acyclic.

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- Use the compression algorithm for G_{i+1} with the set $W_i \cup \{v_{i+1}\}$.
 - If there is no solution of size k for G_{i+1} , then we can stop.
 - Otherwise the compression algorithm gives a solution S_{i+1} of size k for G_{i+1} .

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Running time: We call the compression algorithm n times, everything else is polynomial.

Theorem

DIRECTED FEEDBACK EDGE SET is FPT parameterized by the size k of the solution.

Summary

- Definition of important cuts.
- Simple but essentially tight combinatorial bound on the number of important cuts.
- Pushing argument: we can assume that the solution contains an important cut.
Solves **MULTIWAY CUT**, **SKEW MULTICUT**.
- Iterative compression reduces **DIRECTED FEEDBACK EDGE SET** to **SKEW MULTICUT**.