

# Treewidth: Vol. 1

Dániel Marx

Lecture #11  
January 18, 2022

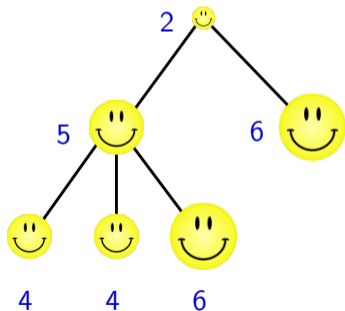
# Treewidth

- Treewidth: a notion of “treelike” graphs.
- Some combinatorial properties.
- Algorithmic results.
  - Algorithms on graphs of bounded treewidth.
  - Applications for other problems.

# The Party Problem

## PARTY PROBLEM

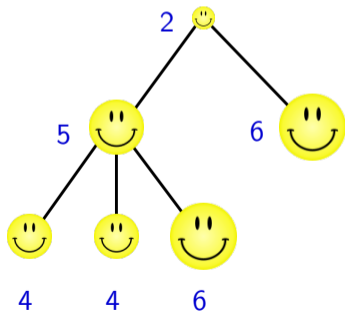
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**Maximize:** The total fun factor of the invited people.  
**Constraint:** Everyone should be having fun.



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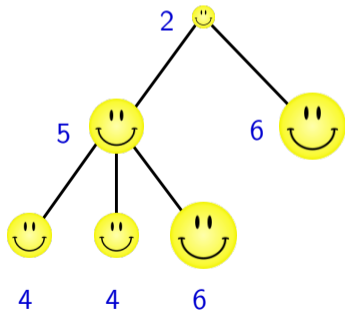
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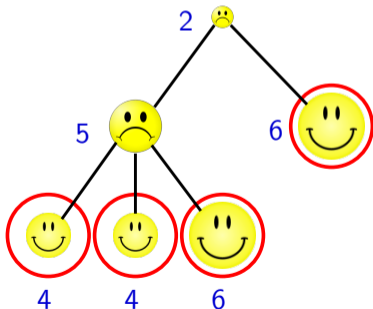


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- **Task:** Find an independent set of maximum weight.

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# Solving the Party Problem

## Dynamic programming paradigm:

We solve a large number of subproblems that depend on each other. The answer is a single subproblem.

## Subproblems:

- $T_v$ : the subtree rooted at  $v$ .
- $A[v]$ : max. weight of an independent set in  $T_v$
- $B[v]$ : max. weight of an independent set in  $T_v$   
that does not contain  $v$

**Goal:** determine  $A[r]$  for the root  $r$ .

# Solving the Party Problem

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## Recurrence:

Assume  $v_1, \dots, v_k$  are the children of  $v$ . Use the recurrence relations

$$\begin{aligned} B[v] &= \sum_{i=1}^k A[v_i] \\ A[v] &= \max\{B[v], w(v) + \sum_{i=1}^k B[v_i]\} \end{aligned}$$

The values  $A[v]$  and  $B[v]$  can be calculated in a bottom-up order (the leaves are trivial).





Treewidth

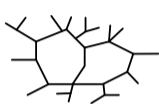
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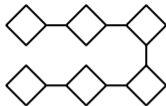
- 1 Number of cycles is bounded.



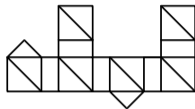
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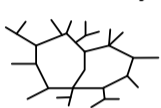


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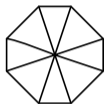
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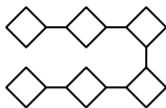
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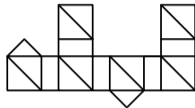
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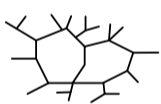


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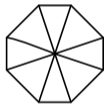


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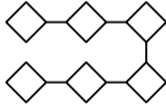
- ② Removing a bounded number of vertices makes it acyclic.



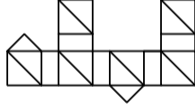
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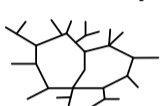


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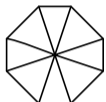
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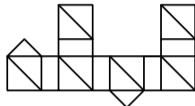
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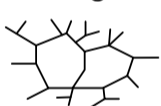


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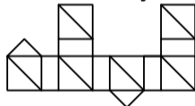
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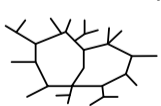


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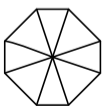


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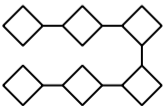
- ③ Bounded-size parts connected in a tree-like way.



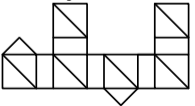
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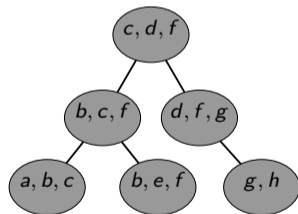
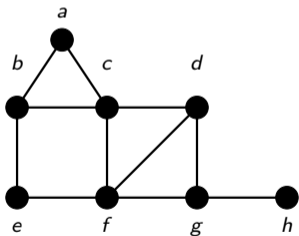


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## Treewidth — a measure of “tree-likeness”

**Tree decomposition:** Vertices are arranged in a tree structure satisfying the following properties:

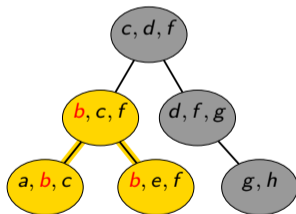
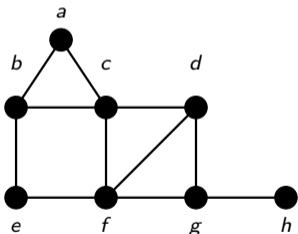
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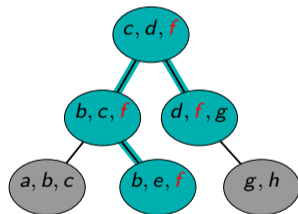
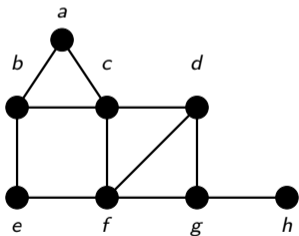
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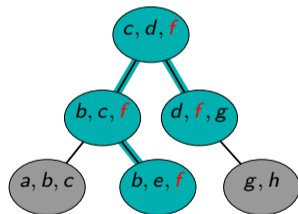
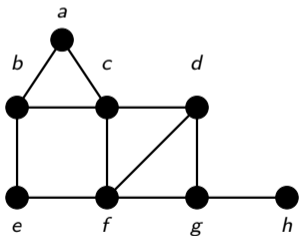
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**treewidth:** width of the best decomposition.



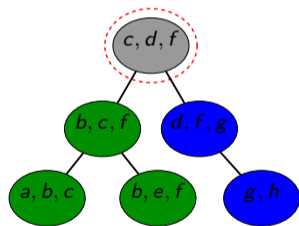
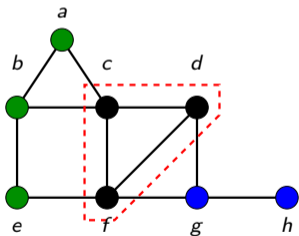
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Each bag is a separator.

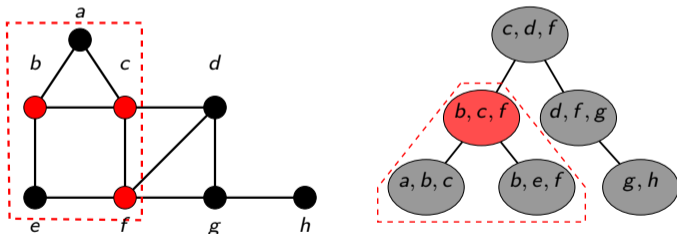
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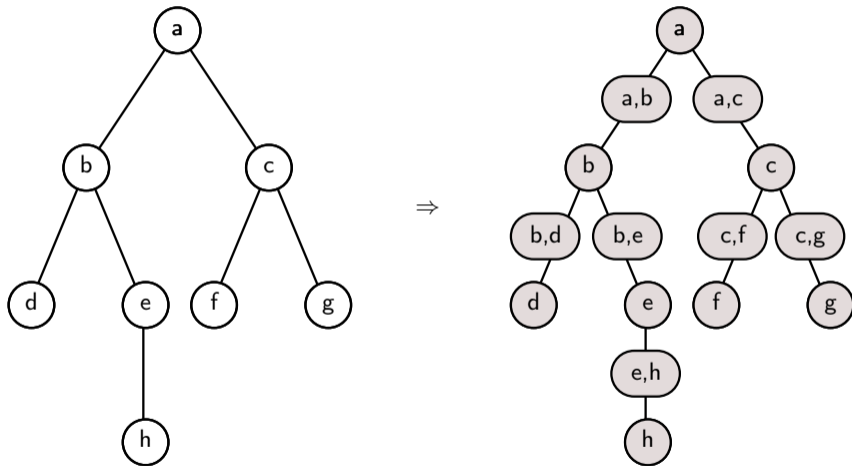
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A subtree communicates with the outside world only via the root of the subtree.

## Treewidth

**Fact:**  $\text{treewidth} = 1 \iff \text{graph is a forest}$



**Exercise:** A cycle cannot have a tree decomposition of width 1.

# Finding tree decompositions

## Hardness:

Theorem [Arnborg, Corneil, Proskurowski 1987]

It is NP-hard to determine the treewidth of a graph (given a graph  $G$  and an integer  $w$ , decide if the treewidth of  $G$  is at most  $w$ ).

## Fixed-parameter tractability:

Theorem [Bodlaender 1996]

There is a  $2^{O(w^3)} \cdot n$  time algorithm that finds a tree decomposition of width  $w$  (if exists).

## Consequence:

If we want an FPT algorithm parameterized by treewidth  $w$  of the input graph, then we can assume that a tree decomposition of width  $w$  is available.

## Finding tree decompositions — approximately

Sometimes we can get better dependence on treewidth using approximation.

### **FPT approximation:**

**Theorem** [Robertson and Seymour]

There is a  $O(3^{3w} \cdot w \cdot n^2)$  time algorithm that finds a tree decomposition of width  $4w + 1$ , if the treewidth of the graph is at most  $w$ .

### **Polynomial-time approximation:**

**Theorem** [Feige, Hajiaghayi, Lee 2008]

There is a polynomial-time algorithm that finds a tree decomposition of width  $O(w\sqrt{\log w})$ , if the treewidth of the graph is at most  $w$ .

# WEIGHTED MAX INDEPENDENT SET and treewidth

## Theorem

Given a tree decomposition of width  $w$ , WEIGHTED MAX INDEPENDENT SET can be solved in time  $O(2^w \cdot w^{O(1)} \cdot n)$ .

$B_x$ : vertices appearing in node  $x$ .

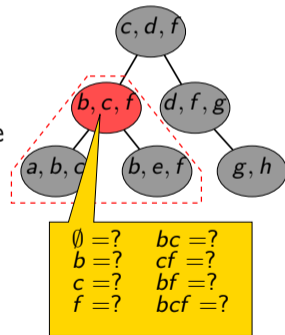
$V_x$ : vertices appearing in the subtree rooted at  $x$ .

Generalizing our solution for trees:

Instead of computing 2 values  $A[v]$ ,  $B[v]$  for each vertex of the graph, we compute  $2^{|B_x|} \leq 2^{w+1}$  values for each bag  $B_x$ .

$M[x, S]$ :

the max. weight of an independent set  $I \subseteq V_x$  with  $I \cap B_x = S$ .



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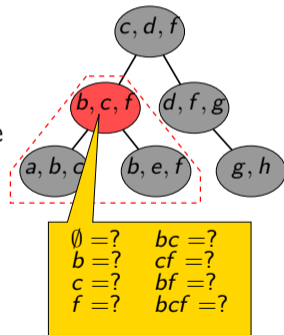
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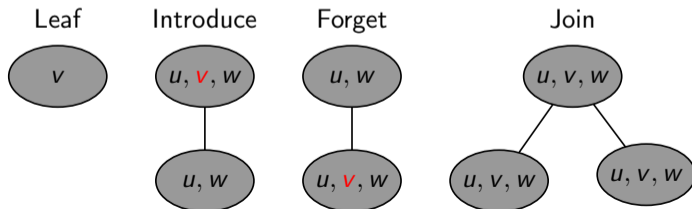


# Nice tree decompositions

## Definition

A rooted tree decomposition is **nice** if every node  $x$  is one of the following 4 types:

- **Leaf:** no children,  $|B_x| = 1$
- **Introduce:** 1 child  $y$  with  $B_x = B_y \cup \{v\}$  for some vertex  $v$
- **Forget:** 1 child  $y$  with  $B_x = B_y \setminus \{v\}$  for some vertex  $v$
- **Join:** 2 children  $y_1, y_2$  with  $B_x = B_{y_1} = B_{y_2}$



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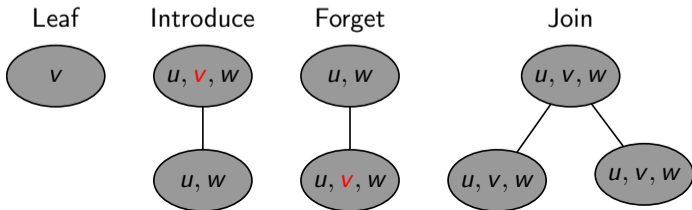
A tree decomposition of width  $w$  and  $n$  nodes can be turned into a nice tree decomposition of width  $w$  and  $O(wn)$  nodes in time  $O(w^2n)$ .

# WEIGHTED MAX INDEPENDENT SET

## and nice tree decompositions

- **Leaf:** no children,  $|B_x| = 1$   
Trivial!
- **Introduce:** 1 child  $y$  with  $B_x = B_y \cup \{v\}$  for some vertex  $v$

$$M[x, S] = \begin{cases} M[y, S] & \text{if } v \notin S, \\ M[y, S \setminus \{v\}] + w(v) & \text{if } v \in S \text{ but } v \text{ has no} \\ & \text{neighbor in } S, \\ -\infty & \text{if } S \text{ contains } v \text{ and its neighbor.} \end{cases}$$



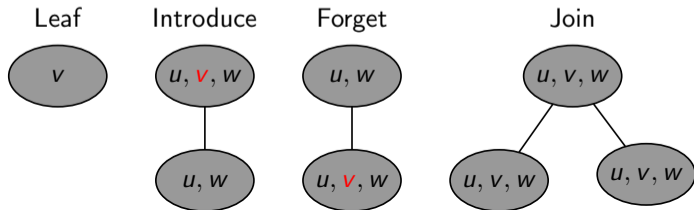
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There are at most  $2^{w+1} \cdot n$  subproblems  $M[x, S]$  and each subproblem can be solved in  $w^{O(1)}$  time (assuming the children are already solved).

⇓

Running time is  $O(2^w \cdot w^{O(1)} \cdot n)$ .

## 3-COLORING and tree decompositions

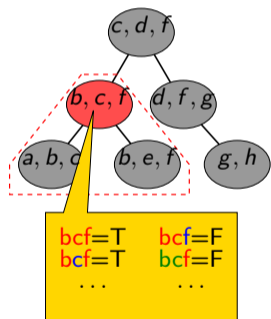
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$B_x$ : vertices appearing in node  $x$ .

$V_x$ : vertices appearing in the subtree rooted at  $x$ .

For every node  $x$  and coloring  $c : B_x \rightarrow \{1, 2, 3\}$ , we compute the Boolean value  $E[x, c]$ , which is true if and only if  $c$  can be extended to a proper 3-coloring of  $V_x$ .



## 3-COLORING and tree decompositions

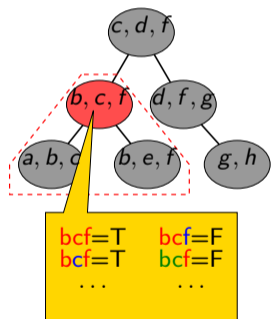
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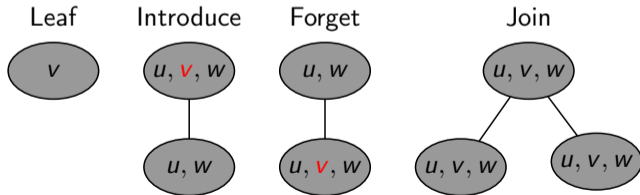
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## 3-COLORING and nice tree decompositions

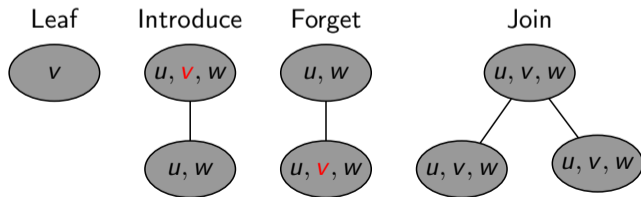
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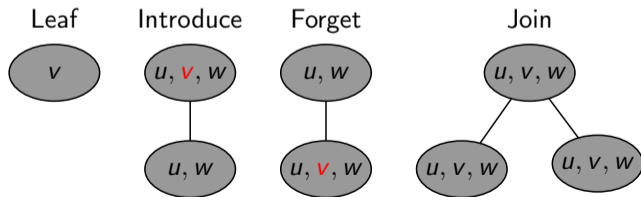
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- **Introduce:** 1 child  $y$  with  $B_x = B_y \cup \{v\}$  for some vertex  $v$   
If  $c(v) \neq c(u)$  for every neighbor  $u$  of  $v$ , then  $E[x, c] = E[y, c']$ , where  $c'$  is  $c$  restricted to  $B_y$ .



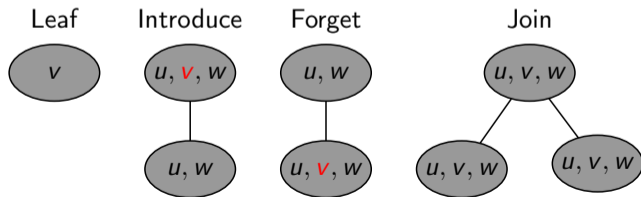
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If  $c(v) \neq c(u)$  for every neighbor  $u$  of  $v$ , then  $E[x, c] = E[y, c']$ , where  $c'$  is  $c$  restricted to  $B_y$ .
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 $E[x, c]$  is true if  $E[y, c']$  is true for one of the 3 extensions of  $c$  to  $B_y$ .



### 3-COLORING and nice tree decompositions

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There are at most  $3^{w+1} \cdot n$  subproblems  $E[x, c]$  and each subproblem can be solved in  $w^{O(1)}$  time (assuming the children are already solved).

$\Rightarrow$  Running time is  $O(3^w \cdot w^{O(1)} \cdot n)$ .

$\Rightarrow$  3-COLORING is FPT parameterized by treewidth.

## Vertex coloring

More generally:

### Theorem

Given a tree decomposition of width  $w$ ,  $c$ -COLORING can be solved in time  $c^w \cdot n^{O(1)}$ .

**Exercise:** Every graph of treewidth at most  $w$  can be colored with  $w + 1$  colors.

### Theorem

Given a tree decomposition of width  $w$ , VERTEX COLORING can be solved in time  $O^*(w^w)$ .

$\Rightarrow$  VERTEX COLORING is FPT parameterized by treewidth.

## DOMINATING SET and treewidth

**DOMINATING SET:** Given  $G$  and  $k$ , find a set  $S$  of  $k$  vertices such that every vertex of  $G$  is in  $S$  or has a neighbor in  $S$ .

$B_x$ : vertices appearing in node  $x$ .

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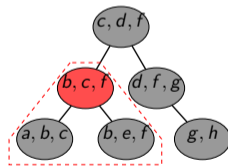
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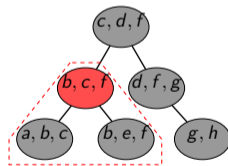
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**Problem:** vertices in  $B_x$  can be dominated by vertices outside  $V_x$ .





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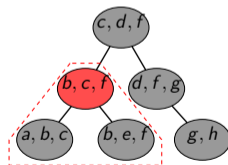
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$M[x, S_1, S_2]$ : size of the smallest set  $D \subseteq V_x$  such that

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- Every vertex in  $V_x \setminus B_x$  is dominated by  $D$ .

$\Rightarrow 3^{w+1}$  subproblems at each node  $x$ .



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- Renaming “not dominated” to “don’t care” can improve to  $O(4^w \cdot n)$  time.
- Fast subset convolution:  $O(3^w \cdot n)$  time.

# Hamiltonian cycle and treewidth

## Theorem

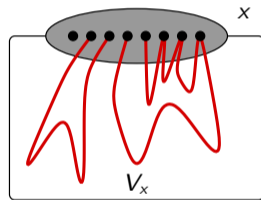
Given a tree decomposition of width  $w$ , HAMILTONIAN CYCLE can be solved in time  $w^{O(w)} \cdot n$ .

$B_x$ : vertices appearing in node  $x$ .

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If  $H$  is a Hamiltonian cycle, then the subgraph  $H[V_x]$  is a set of paths with endpoints in  $B_x$ .

What are the important properties of  $H[V_x]$  “seen from outside”?



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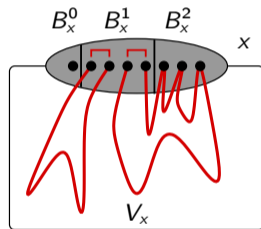
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What are the important properties of  $H[V_x]$  “seen from outside”?

- The subsets  $B_x^0, B_x^1, B_x^2$  of  $B_x$  having degree 0, 1, and 2.
- The matching  $M$  of  $B_x^1$ .

No. of subproblems  $(B_x^0, B_x^1, B_x^2, M)$  for node  $x$ : at most  $3^w \cdot w^w$ .

For each subproblem, we have to determine if there is a set of paths with this pattern.





## Other problems

There are other problems where the natural DP needs to keep track of  $w^{O(w)}$  possibilities of a partition.

### Theorem

Given a tree decomposition of width  $w$ , there are  $w^{O(w)} \cdot n$  time algorithms for

- HAMILTONIAN CYCLE
- STEINER TREE
- CYCLE PACKING
- ...

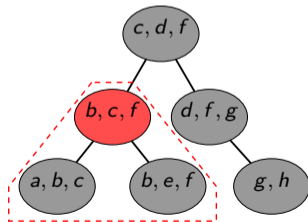
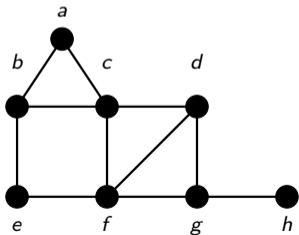
## Treewidth — a measure of “tree-likeness”

**Tree decomposition:** Vertices are arranged in a tree structure satisfying the following properties:

- 1 If  $u$  and  $v$  are neighbors, then there is a bag containing both of them.
- 2 For every  $v$ , the bags containing  $v$  form a connected subtree.

**Width of the decomposition:** largest bag size  $-1$ .

**treewidth:** width of the best decomposition.



# Monadic Second Order Logic

## Extended Monadic Second Order Logic (EMSO)

A logical language on graphs consisting of the following:

- Logical connectives  $\wedge, \vee, \rightarrow, \neg, =, \neq$
- quantifiers  $\forall, \exists$  over vertex/edge variables
- predicate  $\text{adj}(u, v)$ : vertices  $u$  and  $v$  are adjacent
- predicate  $\text{inc}(e, v)$ : edge  $e$  is incident to vertex  $v$
- quantifiers  $\forall, \exists$  over vertex/edge set variables
- $\in, \subseteq$  for vertex/edge sets

### Example:

The formula

$$\exists C \subseteq V (\exists v \in V \wedge \forall v \in C \exists u_1, u_2 \in C (u_1 \neq u_2 \wedge \text{adj}(u_1, v) \wedge \text{adj}(u_2, v)))$$

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is true on graph  $G$  if and only if  $G$  has a cycle.

# Courcelle's Theorem

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If a graph property can be expressed in EMSO, then for every fixed  $w \geq 1$ , there is a linear-time algorithm for testing this property on graphs having treewidth at most  $w$ .

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There exists an algorithm that, given a width- $w$  tree decomposition of an  $n$ -vertex graph  $G$  and an EMSO formula  $\phi$ , decides whether  $G$  satisfies  $\phi$  in time  $f(w, |\phi|) \cdot n$ .

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If we can express a property in EMSO, then we immediately get that testing this property is FPT parameterized by the treewidth  $w$  of the input graph.

**Note:** The constant depending on  $w$  can be very large (double, triple exponential etc.), therefore a direct dynamic programming algorithm can be more efficient.

## Using Courcelle's Theorem

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### 3-COLORING

$$\exists C_1, C_2, C_3 \subseteq V (\forall v \in V (v \in C_1 \vee v \in C_2 \vee v \in C_3)) \wedge (\forall u, v \in V \text{adj}(u, v) \rightarrow (\neg(u \in C_1 \wedge v \in C_1) \wedge \neg(u \in C_2 \wedge v \in C_2) \wedge \neg(u \in C_3 \wedge v \in C_3)))$$

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### HAMILTONIAN CYCLE

$$\exists H \subseteq E (\text{spanning-connected}(H) \wedge (\forall v \in V \text{degree2}(H, v)))$$

$$\text{degree2}(H, v) := \exists e_1, e_2 \in H ((e_1 \neq e_2) \wedge \text{inc}(e_1, v) \wedge \text{inc}(e_2, v) \wedge (\forall e_3 \in H \text{inc}(e_3, v) \rightarrow (e_1 = e_3 \vee e_2 = e_3)))$$

$$\text{spanning-connected}(H) := \forall Z \subseteq V (((\exists v \in V : v \in Z) \wedge (\exists v \in V : v \notin Z)) \rightarrow (\exists e \in H \exists x \in V \forall y \in V : (x \in Z) \wedge (y \notin Z) \wedge \text{inc}(e, x) \wedge \text{inc}(e, y)))$$

## Using Courcelle's Theorem

Three ways of using Courcelle's Theorem:

- 1 The problem can be described by a single formula (e.g, 3-COLORING, HAMILTONIAN CYCLE).  
 $\Rightarrow$  Problem can be solved in time  $f(w) \cdot n$  for graphs of treewidth at most  $w$ , i.e., FPT parameterized by treewidth.

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**Example:** For each  $k$ , having a cycle of length exactly  $k$  can be expressed as

$$\begin{aligned} &\exists v_1, \dots, v_k \in V ((v_1 \neq v_2) \wedge (v_1 \neq v_3) \wedge \dots \wedge (v_{k-1} \neq v_k)) \\ &\wedge (\text{adj}(v_1, v_2) \wedge \text{adj}(v_2, v_3) \wedge \dots \wedge \text{adj}(v_{k-1}, v_k) \wedge \text{adj}(v_k, v_1)). \end{aligned}$$

⇒ Problem can be solved in time  $f(k, w) \cdot n$  for graphs of treewidth  $w$ , i.e., FPT parameterized with combined parameter  $k$  and treewidth  $w$ .

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- 3 Optimization version: find largest set  $X$  such that. . .

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For each  $H$ , we can construct a formula  $\phi_H$  that expresses “ $G$  has a subgraph isomorphic to  $H$ ” (similarly to the  $k$ -cycle on the previous slide).

$\Rightarrow$  By Courcelle’s Theorem, SUBGRAPH ISOMORPHISM can be solved in time  $f(H, w) \cdot n$  if  $G$  has treewidth at most  $w$ .

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Input: graphs  $H$  and  $G$

Find: a subgraph of  $G$  isomorphic to  $H$ .

As there is only a finite number of simple  $k$ -vertex graphs, **SUBGRAPH ISOMORPHISM** can be solved in time  $f(k, w) \cdot n$  if  $H$  has  $k$  vertices and  $G$  has treewidth at most  $w$ .

## Theorem

**SUBGRAPH ISOMORPHISM** is FPT parameterized by combined parameter  $k := |V(H)|$  and the treewidth  $w$  of  $G$ .



## MSO on words

Theorem [Büchi, Elgot, Trakhtenbrot 1960]

If a language  $L \subseteq \Sigma^*$  can be defined by an MSO formula  $\phi$  using the relation  $<$ , then  $L$  is regular.

**Example:**  $a^*bc^*$  is defined by

$$\exists x : P_b(x) \wedge (\forall y : (y < x) \rightarrow P_a(y)) \wedge (\forall y : (x < y) \rightarrow P_c(y)).$$

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We prove a more general statement for formulas  $\phi(w, X_1, \dots, X_k)$  and words over  $\Sigma \cup \{0, 1\}^k$ , where  $X_i$  is a subset of positions of  $w$ .

Induction over the structure of  $\phi$ :

- FSM for  $\neg\phi(w)$ , given FSM for  $\phi(w)$ .
- FSM for  $\phi_1(w) \wedge \phi_2(w)$ , given FSMs for  $\phi_1(w)$  and  $\phi_2(w)$ .
- FSM for  $\exists X\phi(w, X)$ , given FSM for  $\phi(w, X)$ .
- etc.

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**Proving Courcelle's Theorem:**

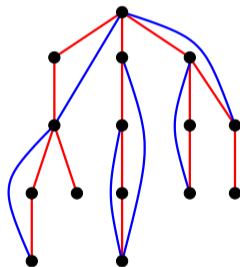
- Generalize from words to trees.
- A width- $w$  tree decomposition can be interpreted as a tree over an alphabet of size  $f(w)$ .
- Formula  $\Rightarrow$  tree automata.

## Depth-first search (DFS)

**Fact:** Finding a cycle of length at least  $k$  in a graph is FPT parameterized by  $k$ .

Let us start a depth-first search from an arbitrary vertex  $v$ . There are two types of edges: **tree edges** and **back edges**.

- If there is a **back edge** whose endpoints differ by at least  $k - 1$  levels  $\Rightarrow$  there is a cycle of length at least  $k$ .
- Otherwise, the graph has treewidth at most  $k - 2$  and we can solve the problem by applying Courcelle's Theorem.

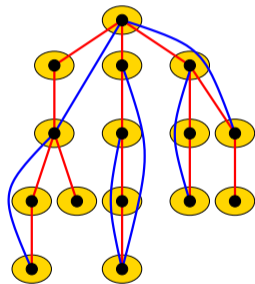


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In the second case, a tree decomposition can be easily found: the decomposition has the same structure as the DFS spanning tree and each bag contains the vertex and its  $k - 2$  ancestors.

# Running times

We have seen:

- INDEPENDENT SET:  $2^w$
- VERTEX COVER:  $2^w$
- DOMINATING SET:  $3^w$
- 3-COLORING:  $3^w$
- VERTEX COLORING:  $2^{O(w \log w)}$
- HAMILTONIAN CYCLE:  $2^{O(w \log w)}$

Can we improve on any of these running times?

# Running times

We have seen:

- INDEPENDENT SET:  $2^w$
- VERTEX COVER:  $2^w$
- DOMINATING SET:  $3^w$
- 3-COLORING:  $3^w$
- VERTEX COLORING:  $2^{O(w \log w)}$
- HAMILTONIAN CYCLE:  $2^{O(w \log w)}$

Can we improve on any of these running times?

HAMILTONIAN CYCLE can be improved to  $2^{O(w)}$ , but lower bounds show that the other algorithms are essentially optimal.

## Algorithms — overview

- Algorithms exploit the fact that a subtree communicates with the rest of the graph via a single bag.
- Key point: defining the subproblems.
- Courcelle's Theorem makes this process automatic for many problems.



## Treewidth — a measure of “tree-likeness”

**Tree decomposition:** Vertices are arranged in a tree structure satisfying the following properties:

- 1 If  $u$  and  $v$  are neighbors, then there is a bag containing both of them.
- 2 For every  $v$ , the bags containing  $v$  form a connected subtree.

**Width of the decomposition:** largest bag size  $-1$ .

**treewidth:** width of the best decomposition.

