

Parameterized Algorithms

- Iterative Compression (2004)
- Dynamic Programming over Subsets

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Lecture #3
November 02, 2021

Iterative Compression

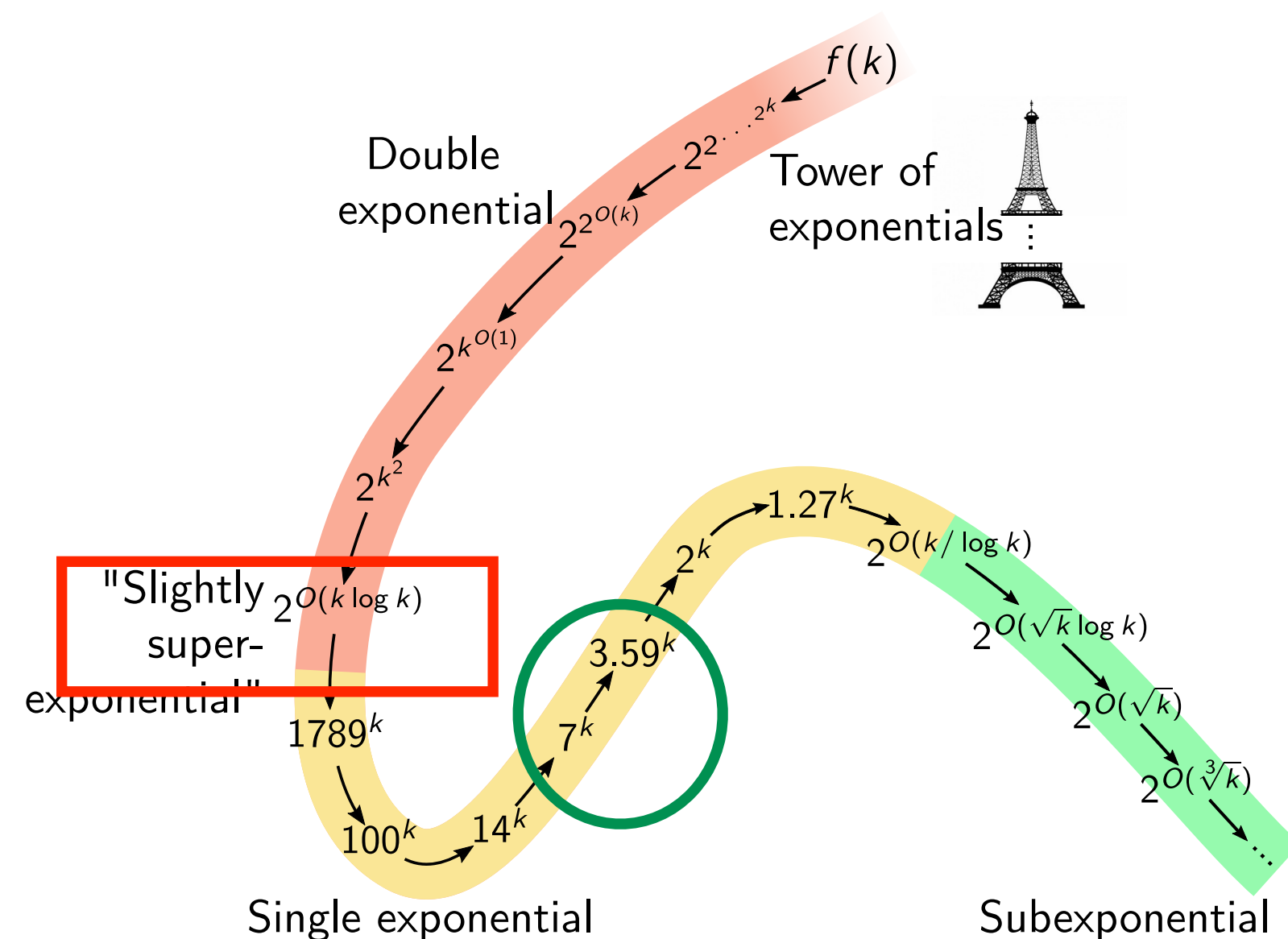
(Illustrate with examples)

FEEDBACK VERTEX SET (FVS)

Input: A graph G , a positive integer k

Question: Does there exist a set of at most k vertices, say S , such that $G-S$ is acyclic (forest)?

The race for better FPT algorithms



Lecture #1:

$$2^{O(k \log k)} n^{O(1)}$$

(Branching: If minimum degree is at least 3, then set of $3k$ largest-degree vertices contain a vertex of the solution.)

Goal:

$$2^{O(k)} n^{O(1)}$$

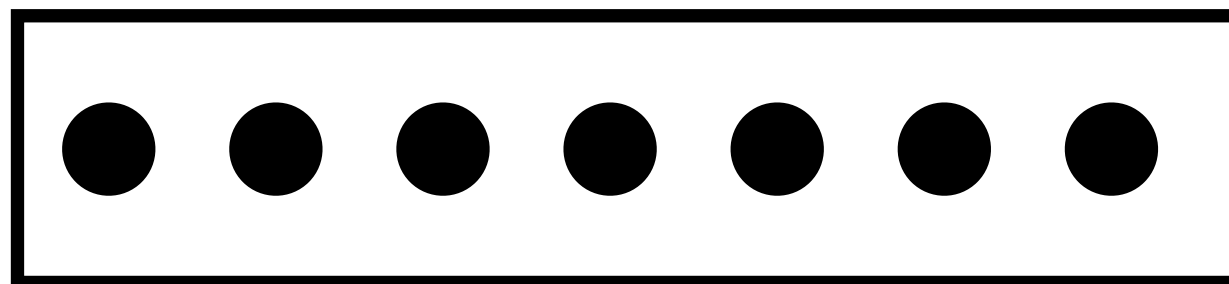
$$5^k n^{O(1)}$$

COMPRESSION FEEDBACK VERTEX SET

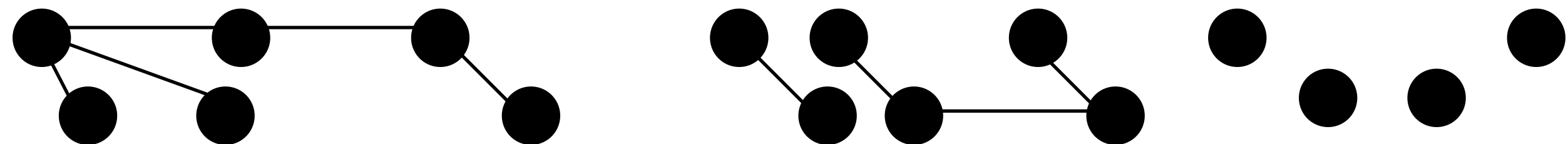
Input: A graph G , a positive integer k
+ a solution X of size $k+1$ (a slightly large solution)

Question: Does there exist a set of at most k vertices, say S , such that $G-S$ is acyclic (forest)?

Idea: X gives additional structure on the input graph.



X $|X|=k+1$



$G - X$
Forest

Algorithm:

Step 1: Guess the intersection of the solution S with X .

Say $S \cap X = X_S$, and $X \setminus S = X^*$.

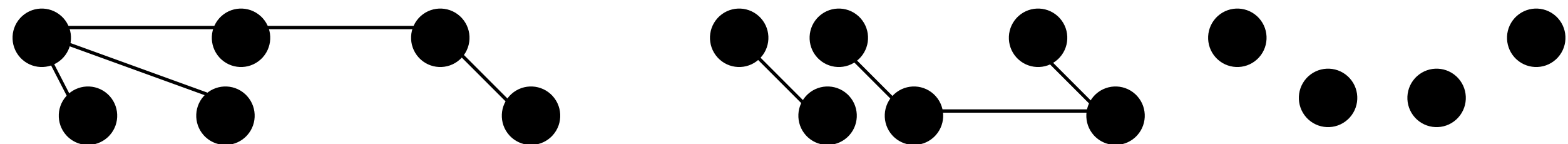
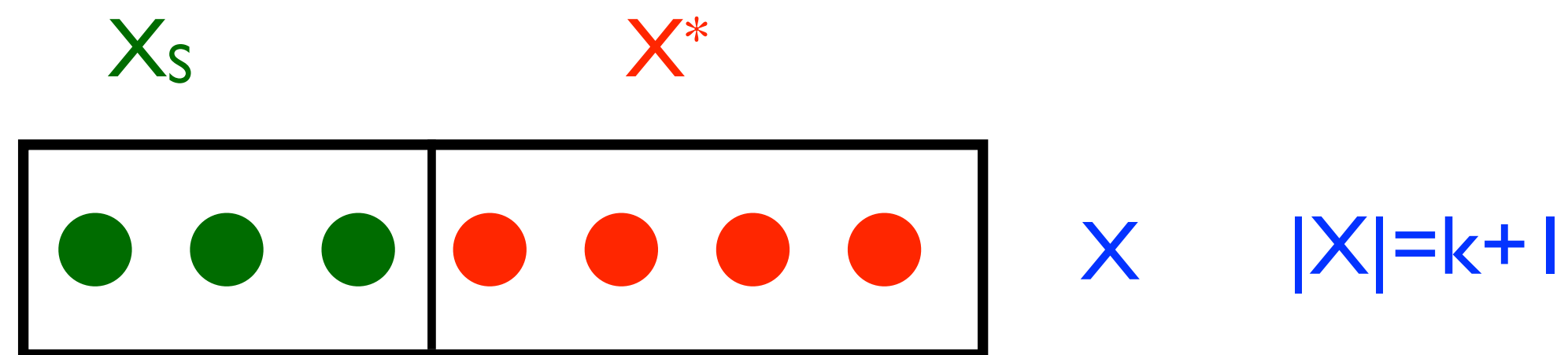
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$G - X$
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Say $S \cap X = X_S$, and $X \setminus S = X^*$.

Number of guesses/branches:

$$2^{|X|} \quad \text{or} \quad \sum_{i=0}^k \binom{|X|}{i} = \sum_{i=0}^k \binom{k+1}{i}$$

Step 2: Delete X_S from the graph.

Look for a solution of size $k - |X_S|$ that is disjoint from X^* .

DISJOINT FEEDBACK VERTEX SET

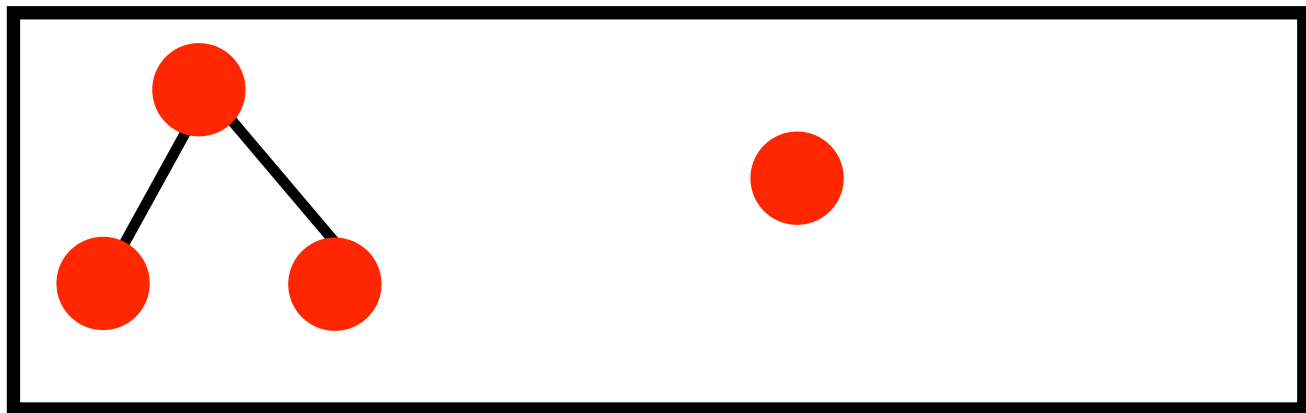
Input: A graph G , positive integers i and k such that $k < i$, a solution X^* of size i

Question: Does there exists a set S such that :

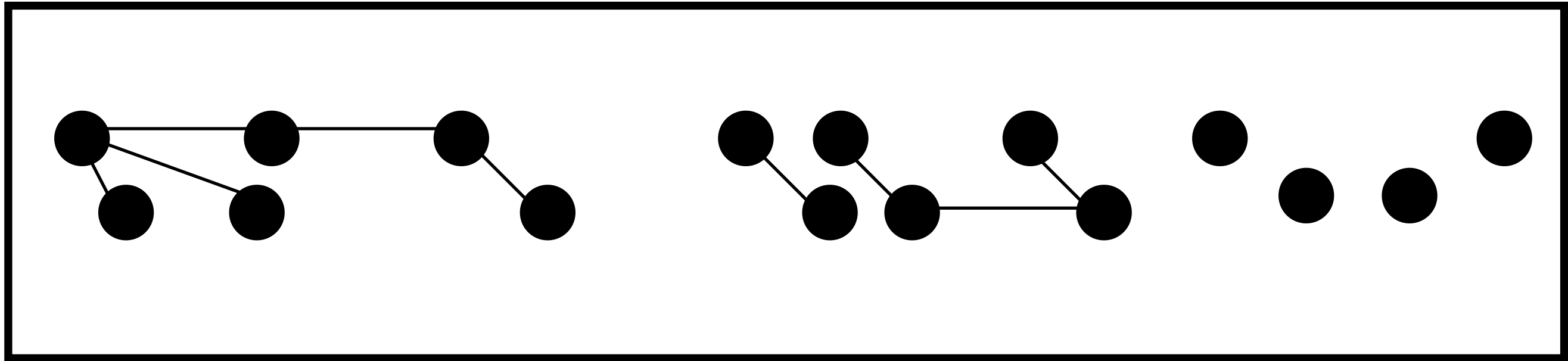
- $G-S$ is **acyclic**?
- S is **disjoint** from X^*
- $|S| \leq k$

Observation: Graph induced on X^* is a forest. Otherwise, say No.

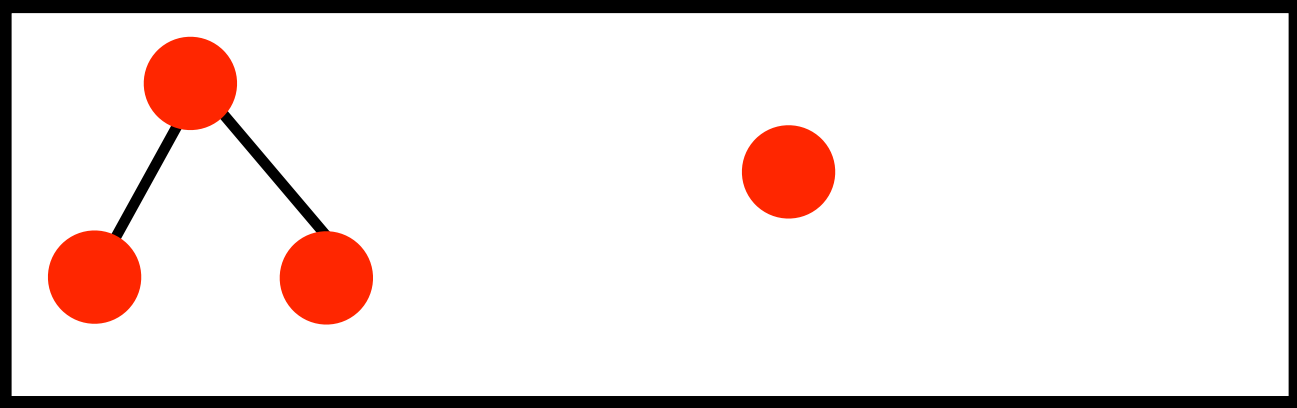
X^* Forest



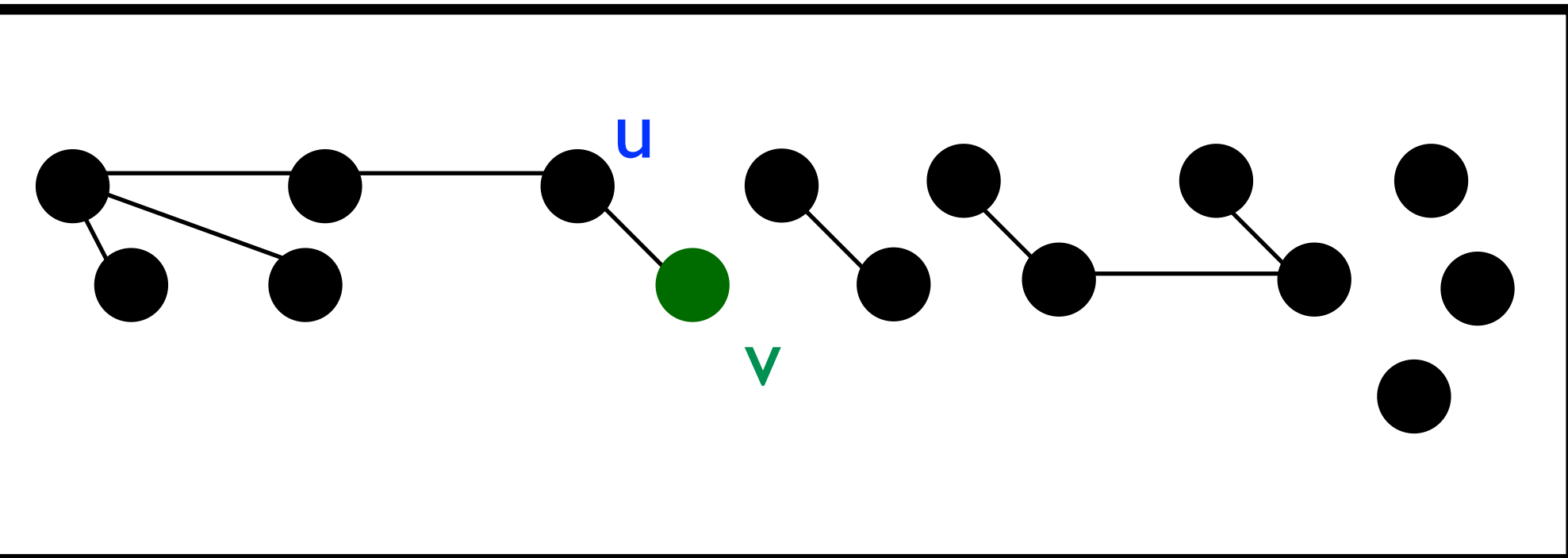
$G - X^*$
Forest



Solving Disjoint FVS



X^* Forest



$G - X^*$ Forest

Algorithm:

Fix a leaf v of $G - X^*$. Let u be the unique parent of v in $G - X^*$.

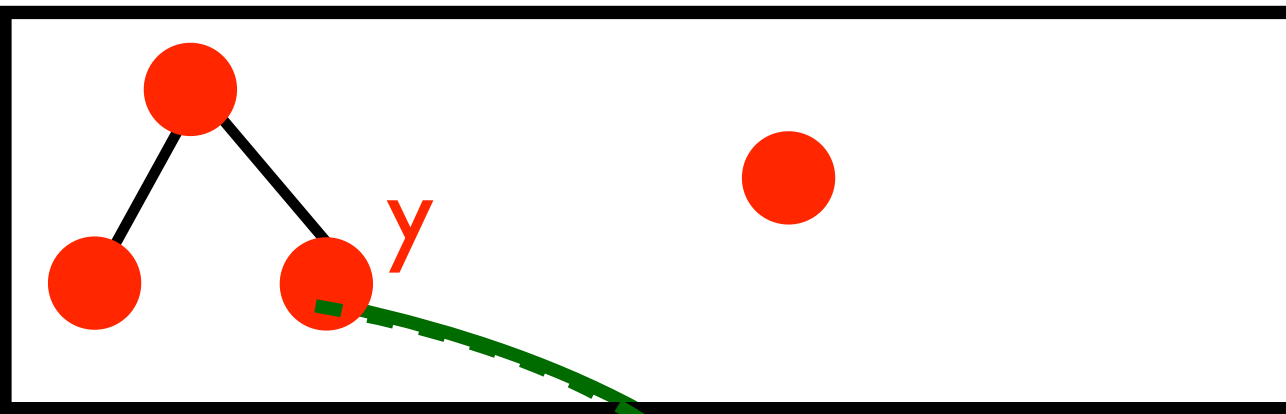
1. If v has no neighbours in X^* , then delete v (because v does not participate in any cycle).
- 2.

Solving Disjoint FVS

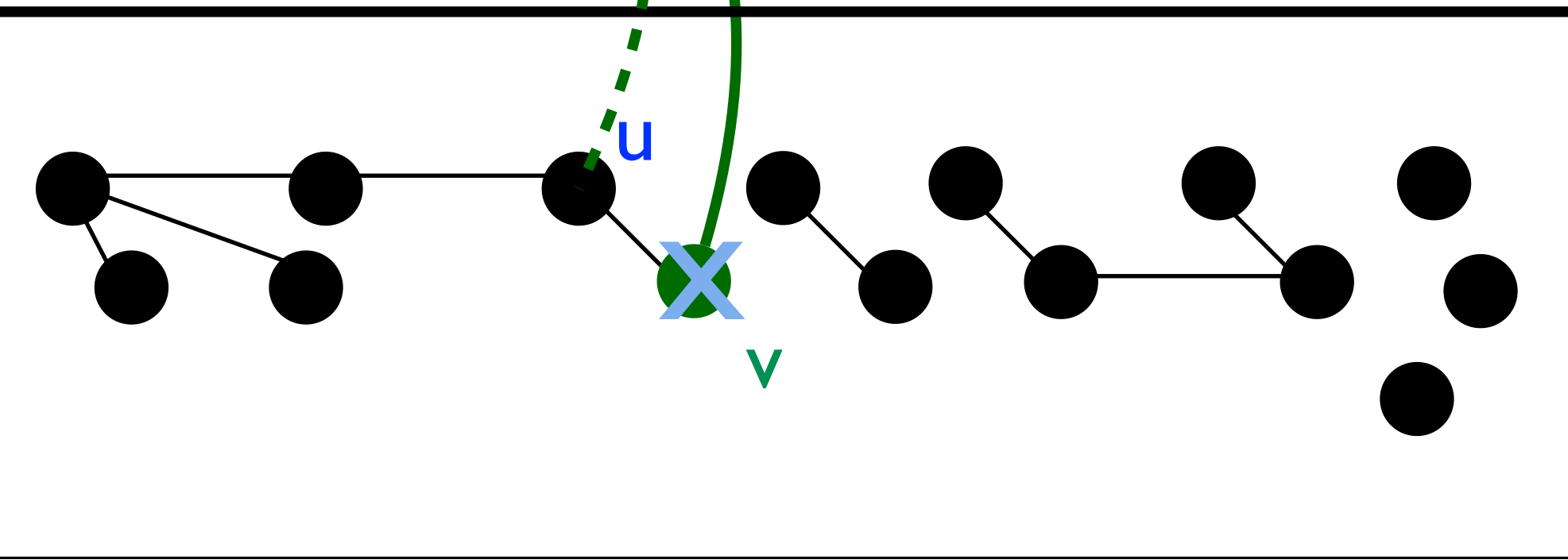
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1. If v has **no neighbours** in X^* , then delete v (because v does not participate in any cycle).
2. If v has **exactly one neighbour** (say y) in X^* , then delete v and an edge between y and u (because any cycle that passes through v , also passes through u).
- 3.



X^* Forest



$G - X^*$ Forest

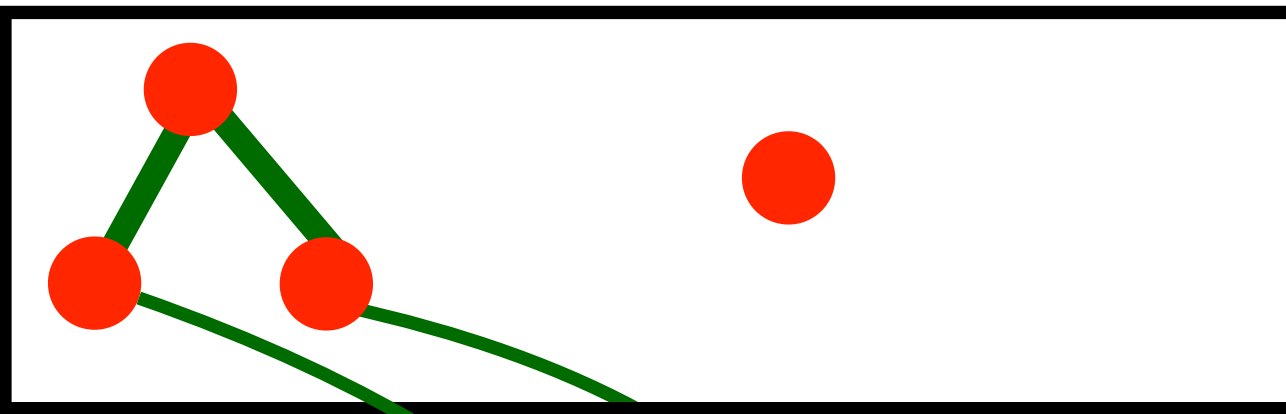
Solving Disjoint FVS

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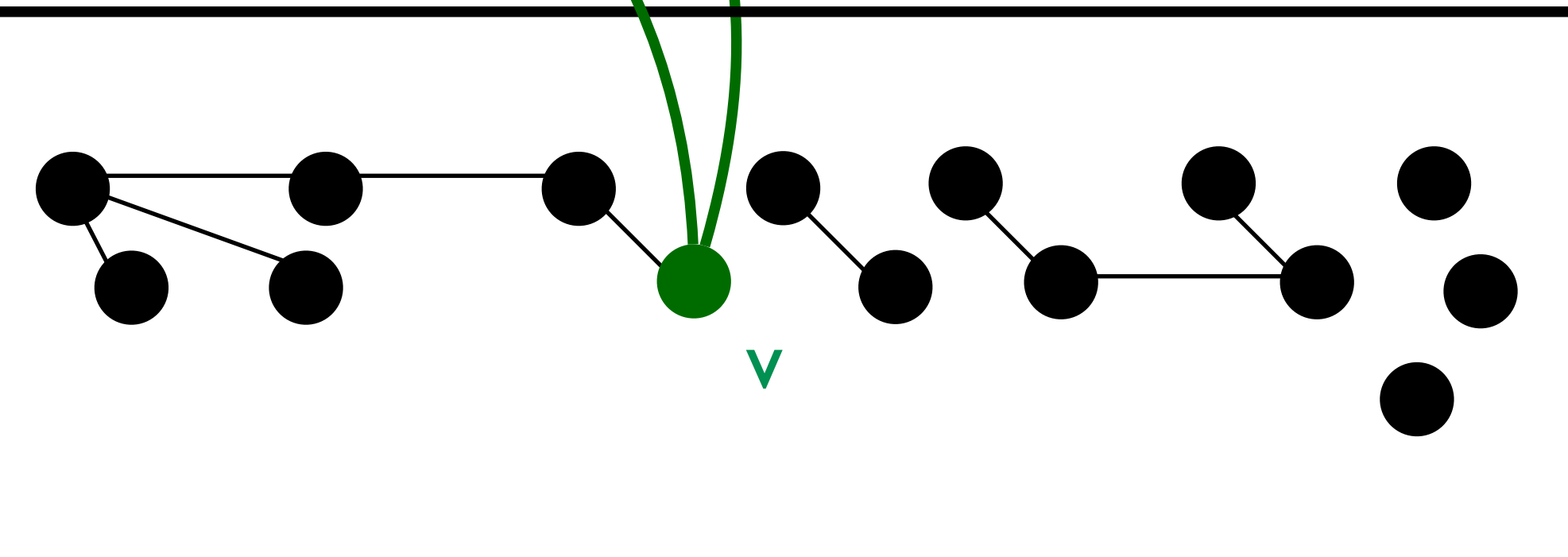
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3. If v has **at least two neighbours** in the **same tree** of X^* , then pick v in the solution, delete it and decrease k by 1 (because there is a cycle all of whose vertices, except v , are in X^*).

4.



X^* Forest



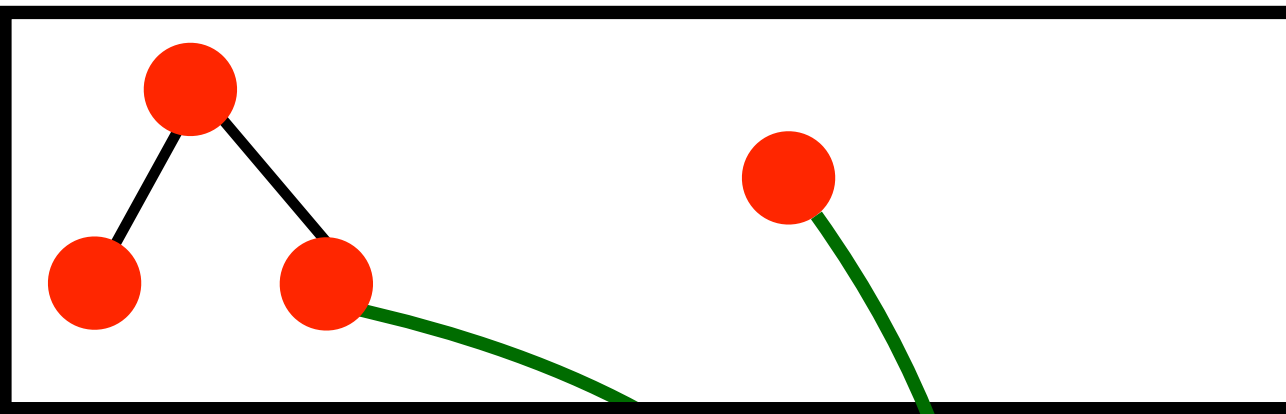
$G - X^*$ Forest

Solving Disjoint FVS

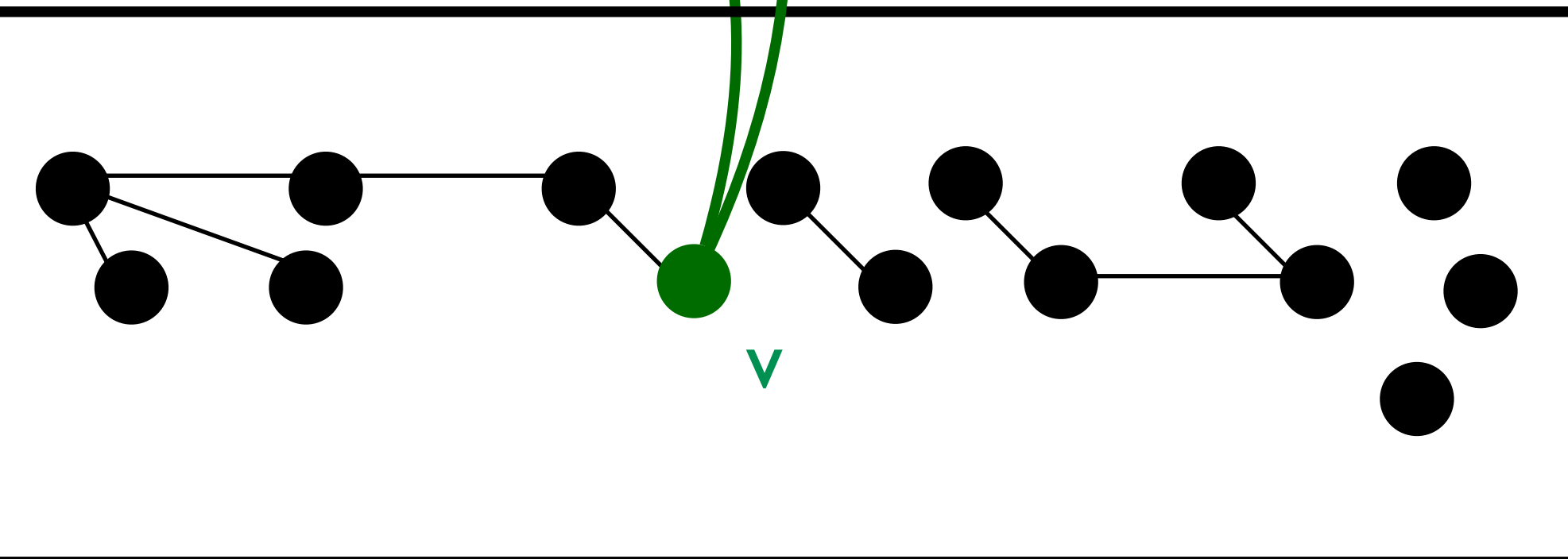
Algorithm:

Fix a leaf v of $G - X^*$. Let u be the unique parent of v in $G - X^*$.

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3. If v has **at least two neighbours** in the **same tree** of X^* , then pick v in the solution, delete it and decrease k by 1 (because there is a cycle all of whose vertices, except v , are in X^*).
4. Otherwise, v has **a neighbour** in **at least two different trees** of X^* . In this case, **branch** in the following two branches:
 - a. Either **v belongs to the solution**. In this case, pick v in the solution, delete it, and decrease k by 1.
 - b. Or **v does not belong to the solution**. In this case, update $X^* = X^* \cup v$. In this case, the number of trees of X^* decrease by 1 (because v has neighbours in at least two trees of X^*).



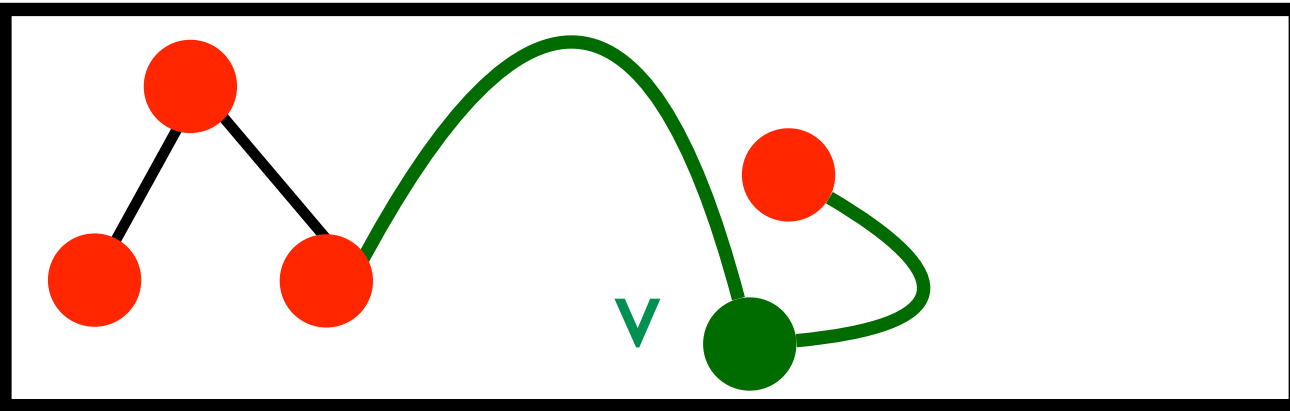
X^* Forest



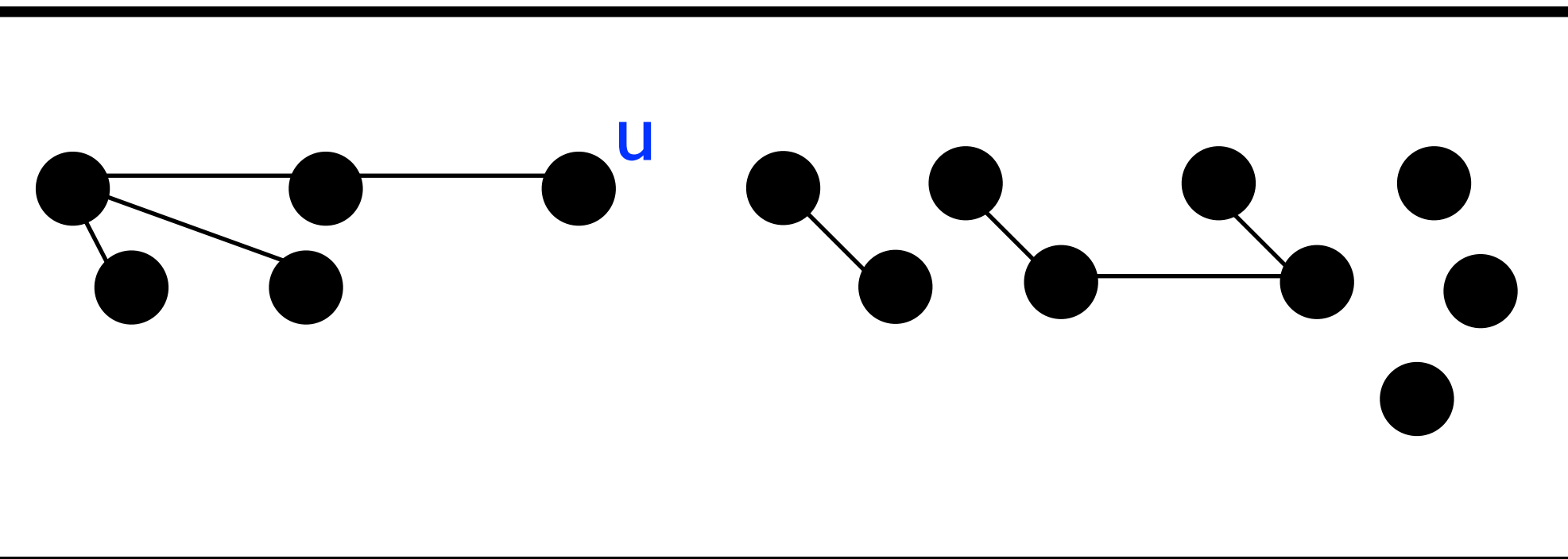
$G - X^*$ Forest

Solving Disjoint FVS

Algorithm:



X^* Forest



$G - X^*$ Forest

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Solving Disjoint FVS

Running time:

Fix a leaf v of $G - X^*$. Let u be the unique parent of v in $G - X^*$.

1. If v has no neighbours in X^* , then delete v (because v does not participate in any cycle).
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Cases 1-3 are applicable in polynomial time.

Case 4 is a branching step.

We are solving the following problem recursively.

Input: A graph G , an acyclic solution X^* such that the graph induced on X^* has t trees, and an integer k .

Question: Does there exist a solution of size at most k that is disjoint from X^* ?

Case 4a

k drops by 1

Case 4b

t drops by 1

- Size of the branching tree is 2^{k+t} . Since we start with X^* of size i , $t \leq i$. Also $k \leq i$.
- Spend polynomial time on each node of the branching tree (check if Cases 1-3 are applicable).

Overall running time:

$$2^{k+t} n^{O(1)} \leq 4^i n^{O(1)}$$

DISJOINT FEEDBACK VERTEX SET

Input: A graph G , positive integers i and k such that $k < i$, a solution X^* of size i

Question: Does there exists a set S such that :

- $G-S$ is **acyclic**?
- S is **disjoint** from X^*
- $|S| \leq k$

DISJOINT FEEDBACK VERTEX SET can be solved in $4^i n^{\mathcal{O}(1)}$ time.

COMPRESSION FEEDBACK VERTEX SET

Input: A graph G , a positive integer k

+ a solution X of size $k+1$

Question: Does there exists a set of at most k vertices, say S , such that $G-S$ is **acyclic** (**forest**)?

Running time:

$$\begin{aligned} & \sum_{i=0}^k \binom{k+1}{i} 4^i n^{\mathcal{O}(1)} \\ & \leq (1+4)^k n^{\mathcal{O}(1)} \quad (\text{Binomial theorem}) \\ & = 5^k n^{\mathcal{O}(1)} \end{aligned}$$

COMPRESSION FEEDBACK VERTEX SET can be solved in $5^k n^{\mathcal{O}(1)}$ time.

Let Π be a **vertex deletion** to a **hereditary property**.

Definition

A graph property \mathcal{P} is **hereditary** or **closed under induced subgraphs** if whenever $G \in \mathcal{P}$, every induced subgraph of G is also in \mathcal{P} .

“removing a vertex does not ruin the property”
(e.g., triangle free, bipartite, planar)

If **DISJOINT- Π** can be solved in $g(i) \cdot n^{\mathcal{O}(1)}$

then **COMPRESSION- Π** can be solved in $\sum_{i=0}^k \binom{k+1}{i} g(i) \cdot n^{\mathcal{O}(1)}$

If **DISJOINT- Π** is FPT, then so is **COMPRESSION- Π** .

In fact, if **DISJOINT- Π** is solvable in $\alpha^i n^{\mathcal{O}(1)}$ time, then **COMPRESSION- Π** is solvable in $(1 + \alpha)^k n^{\mathcal{O}(1)}$ time.

Solving FVS using **COMPRESSION FVS**: Iterative step

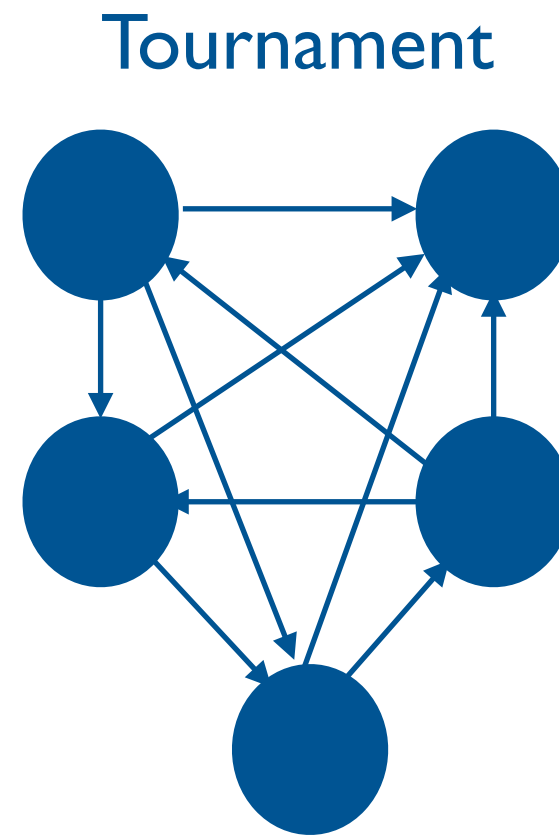
How to get a solution X of size $k+1$?

- Order the vertices of the graph arbitrarily, say v_1, \dots, v_n .
- Let G_i be the graph induced on the first i vertices, v_1, \dots, v_i .
- G_{k+2} has a trivial solution of size $k+1$ (take any $k+1$ vertices in the solution).
- Use **COMPRESSION FVS** to find a solution, say S_{k+2} , of size at most k for G_{k+2} .
 - If **no** such S_{k+2} exists, then report **No**, that is, there is no solution of size at most k for G (this is true acyclicity is a hereditary property).
 - Otherwise, $(S_{k+2} \cup v_{k+3})$ is an at most $k+1$ size solution for G_{k+3} .
- Repeat.

FEEDBACK VERTEX SET can be solved in $5^k n^{O(1)}$ time.

If Π is a vertex deletion problem to a hereditary property, then if Compression- Π can be solved in $f(k)n^c$ time, then Π can be solved in $f(k)n^{c+1}$ time.

Another example: FEEDBACK VERTEX SET IN TOURNAMENTS (FVST)



- Directed Feedback Vertex Set is FPT (we will see later in the course). Also uses iterative compression and more advanced tools.
- For today, we focus our attention to the case when the input is a tournament.
- A tournament is a directed graph where there is exactly one arc between any pair of vertices.

FVST

Input: A tournament D , a positive integer k

NP-hard

Question: Does there exist a set of at most k vertices, say S , such that $D-S$ has no directed cycles?

A tournament D has a directed cycle if and only if D has a directed triangle (cycle on 3 vertices). (Exercise)
Therefore, FVST can be solved in $3^k n^{O(1)}$ using branching.

Goal:

$$2^k \cdot n^{O(1)}$$

DISJOINT FVST

Input: A tournament D , positive integers i and k such that $k < i$, a solution X^* of size i

Question: Does there exists a set S such that :

- S is **disjoint** from X^*
- $|S| \leq k$
- $G-S$ has **no directed cycles**?

Enough to show that **DISJOINT FVST** is solvable in: **polynomial time**

A **topological ordering** of an acyclic directed graph D is an ordering of the vertices of D , say σ , say that, for any u, v , such that $\sigma(u) > \sigma(v)$, (u, v) is not an arc of D (no backward arcs).

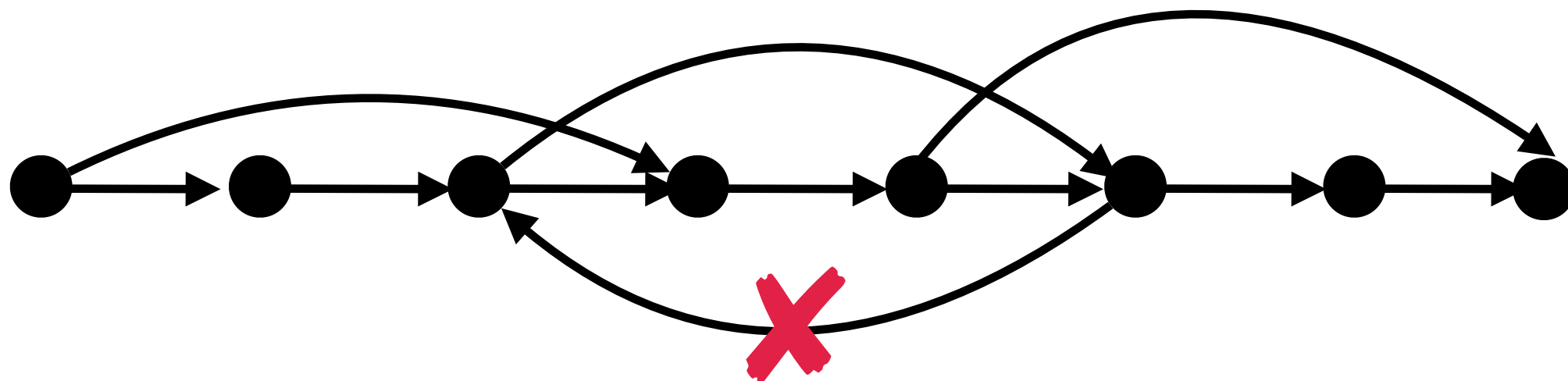
Exercise

If D is a **tournament** then the following are **equivalent**:

D is **acyclic**,

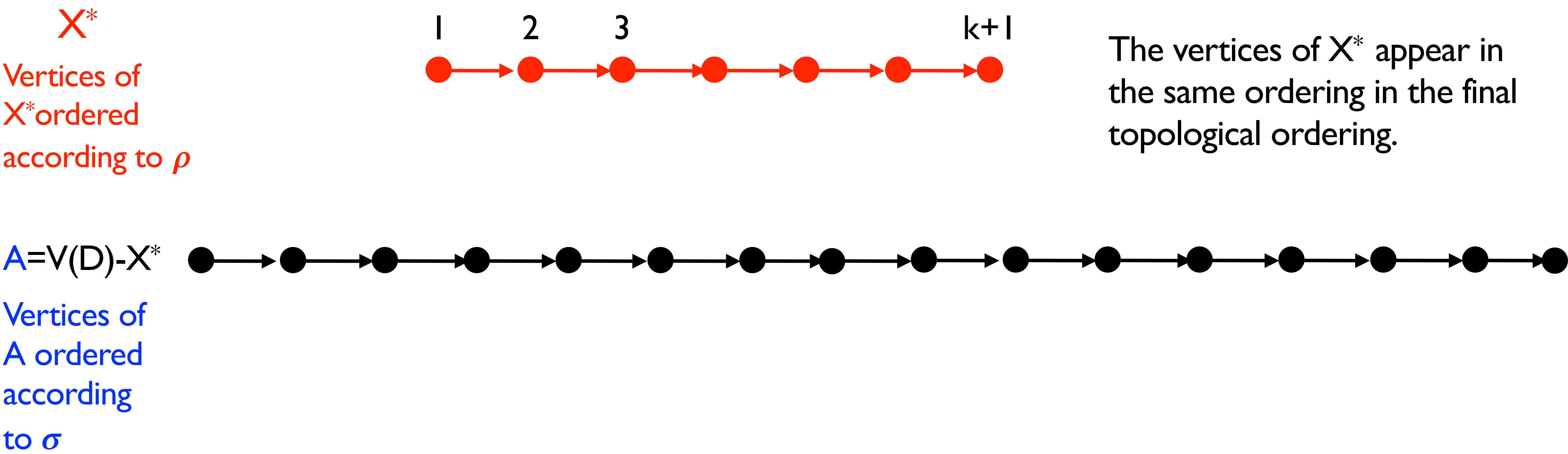
D has **no directed triangles**,

D has a **unique topological ordering**.

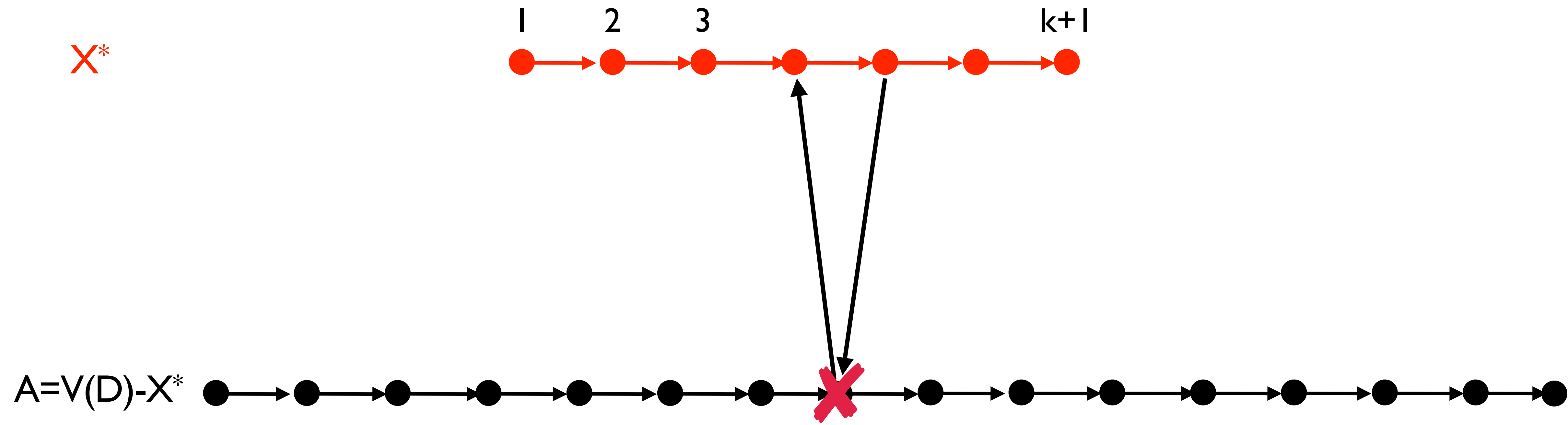


Solving Disjoint FVST

Observation: Graph induced on X^* is acyclic. Otherwise, say No.
Let ρ be the unique topological ordering of X^* . Let σ be the unique topological ordering of $A=V(D)-X^*$.

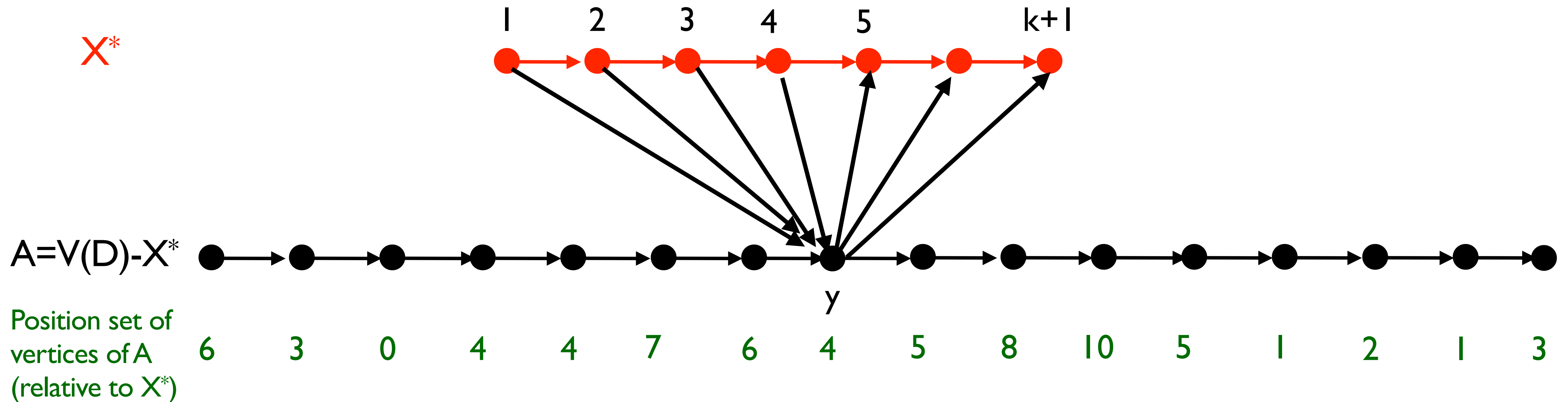


Solving Disjoint FVST



Reduction rule: If there exists a directed triangle two of whose vertices belong to X^* , then pick its intersection with A in the solution.

Solving Disjoint FVST



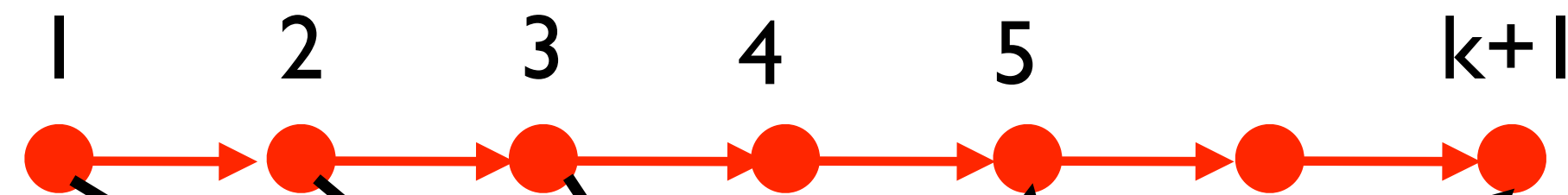
For each $y \in A$, $\text{posn}(y) = \text{largest } i \in X^*$, such that i is an in-neighbour of y .

If y has no in-neighbour in X^* then $\text{posn}(y) = 0$.

($\text{posn}(y)$ tells the relative position of y w.r.t. to the vertices of X^* in the final ordering).

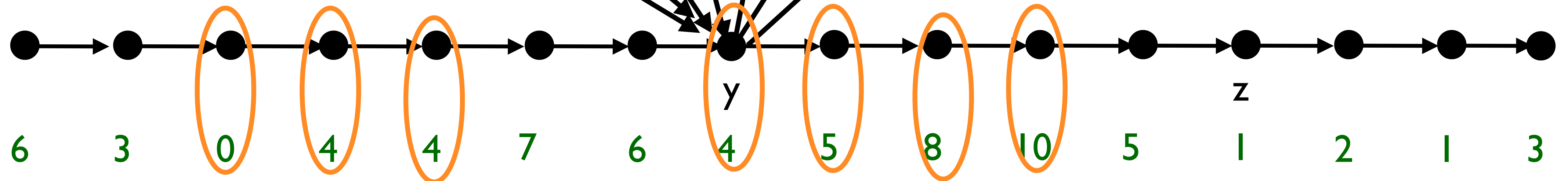
Solving Disjoint FVST

X^*



$A = V(D) - X^*$

Position set of vertices of A



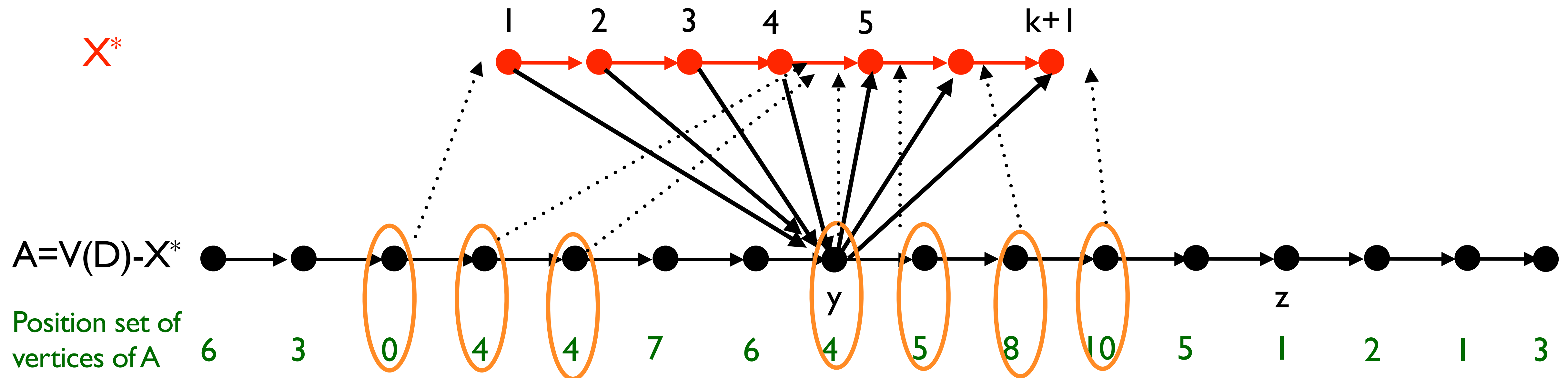
Goal: Find a maximum sized subset A , say $W \subseteq A$, such that $D[X^* \cup W]$ is acyclic.

Consider the vertices of A that are not in the solution.

Can y and z both not be in solution when y appears before z in the ordering of A ? No ($\text{posn}(y) > \text{posn}(z)$).

Goal: Find a longest non-decreasing subsequence in the sequence of position set of A .

Solving Disjoint FVST



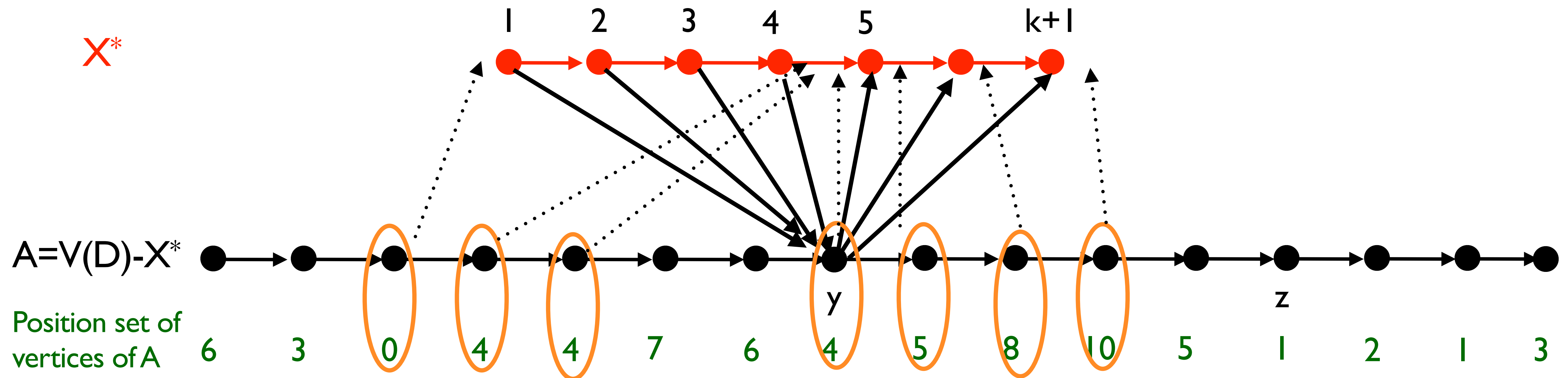
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Solving Disjoint FVST



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Goal: Find a longest non-decreasing subsequence in the sequence of position set of A .

This can be done in polynomial time using standard dynamic programming. (Exercise)

Another example: ODD CYCLE TRANSVERSAL (OCT)

OCT

Input: A graph G , a positive integer k

Question: Does there exist a set of at most k vertices, say S , such that $G-S$ has no odd length cycle (bipartite)?

Goal:

$$3^k \cdot n^{\mathcal{O}(1)}$$

$$\mathcal{O}(3^k \cdot kn(n+m))$$

DISJOINT OCT

Input: A graph G , positive integers i and k such that $k < i$, a solution X^* of size i

Question: Does there exist a set S such that :

- S is disjoint from X^*
- $|S| \leq k$
- $G-S$ is bipartite?

Enough to show that
DISJOINT OCT is
solvable in:

$$2^i \cdot n^{\mathcal{O}(1)}$$

$$\mathcal{O}(2^i \cdot k(n+m))$$

Solving Disjoint OCT

Observation: Graph induced on X^* is bipartite. Otherwise, say No.

Since $G-X^*$ is bipartite, let (A,B) be a bipartition of $G-X^*$.

Let (L,R) be a **solution bipartition** that is a **bipartition of $G-S$** .

X^*



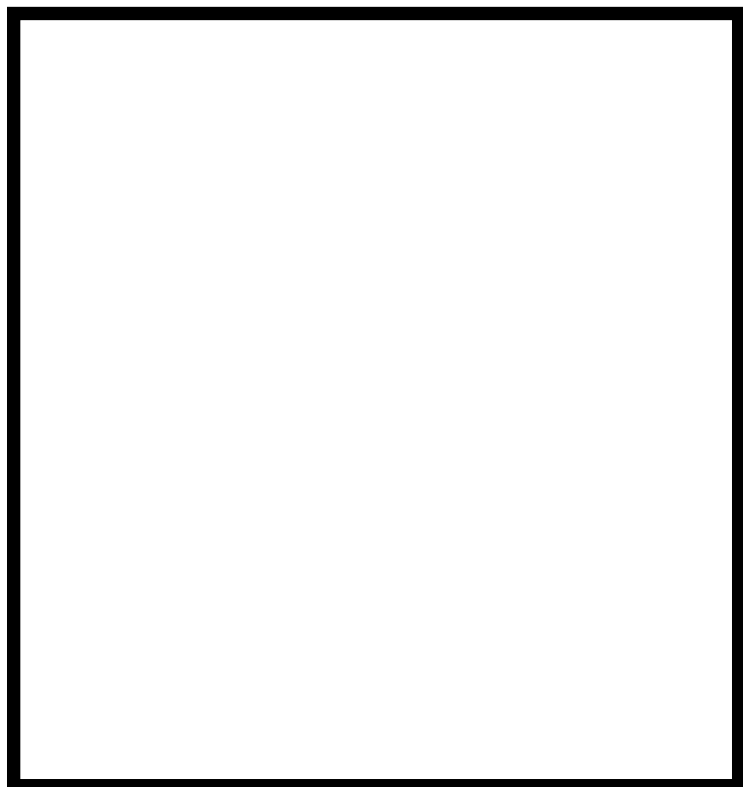
Step 1: Guess the partition of X^* into L and R .

$$2^{|X^*|} = 2^{k+1}$$

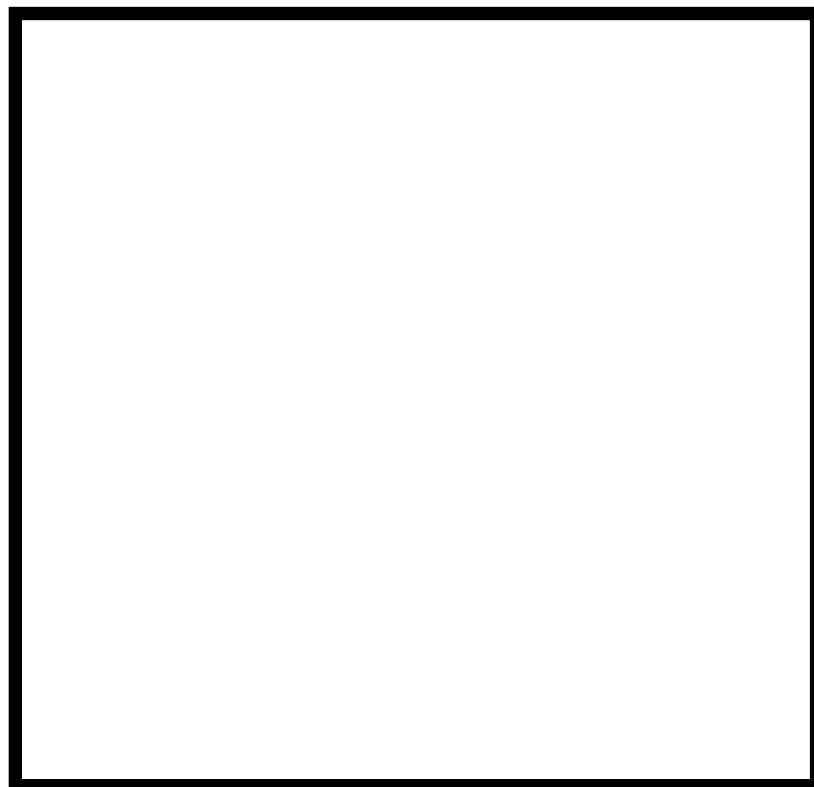
$$X_L = X^* \cap L$$



$$X_R = X^* \cap R$$



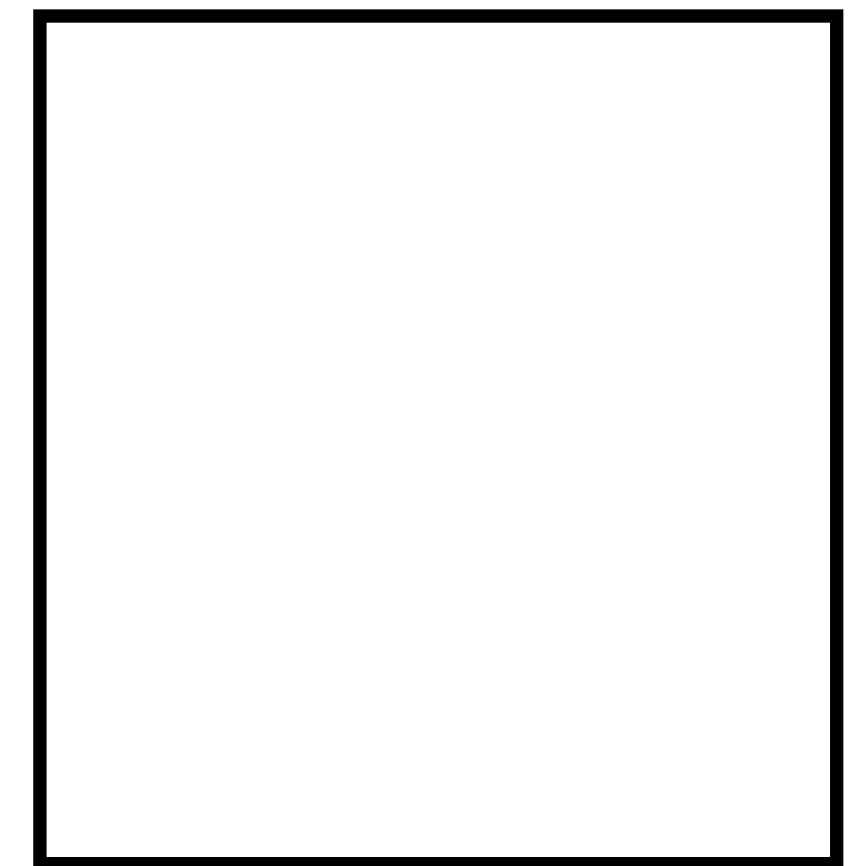
A



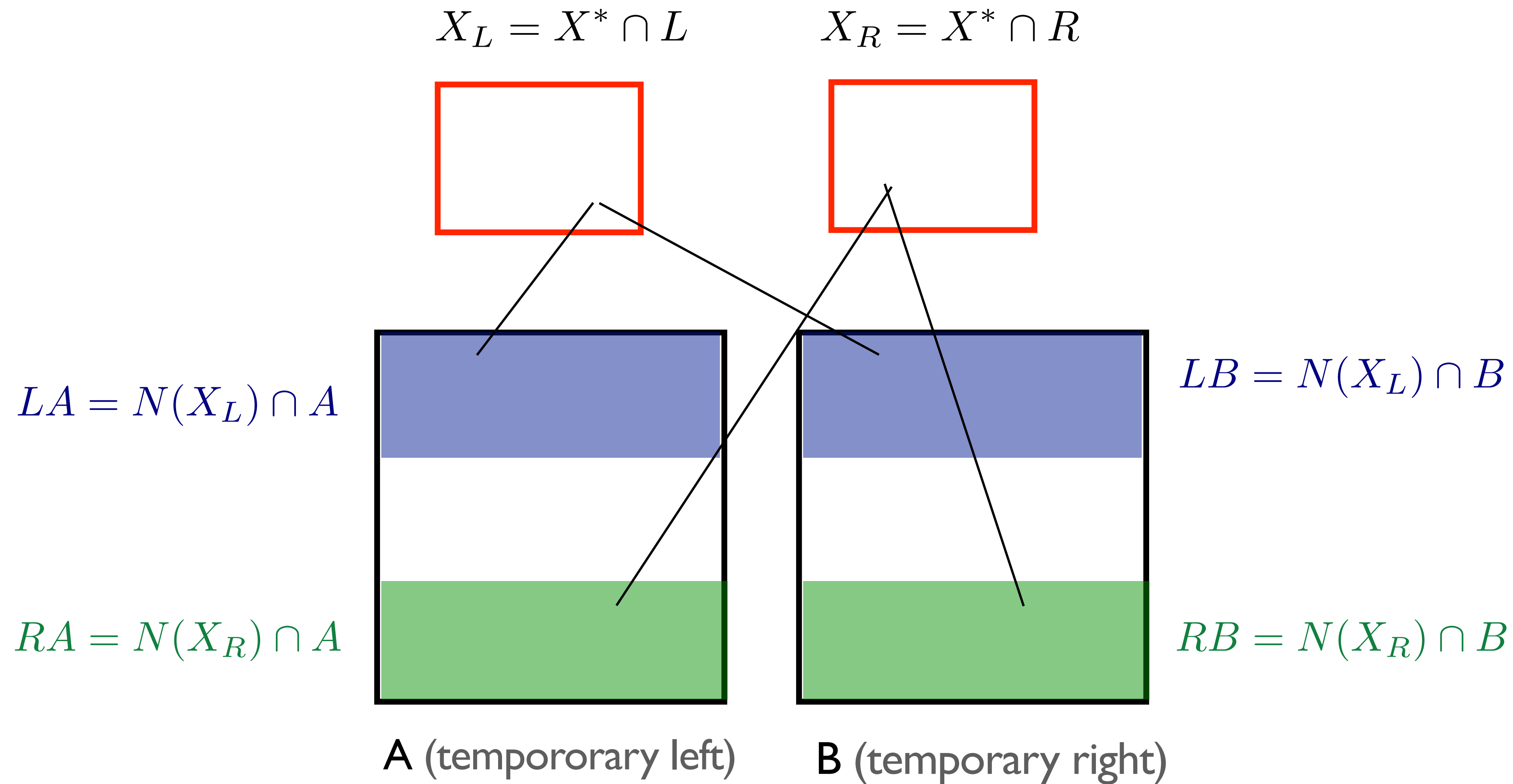
B



A



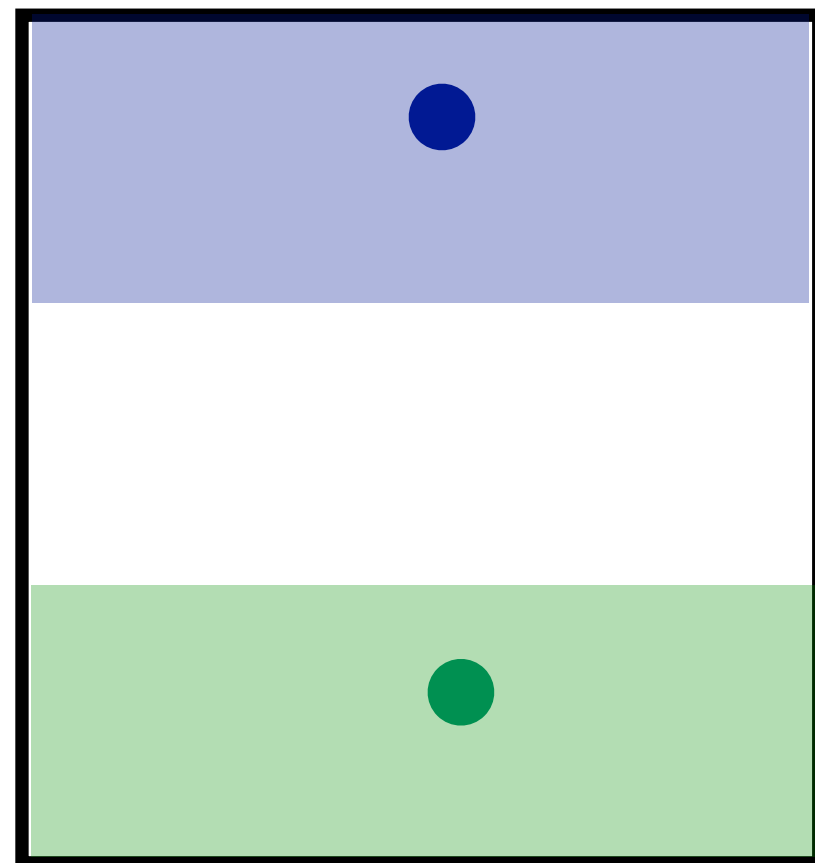
B



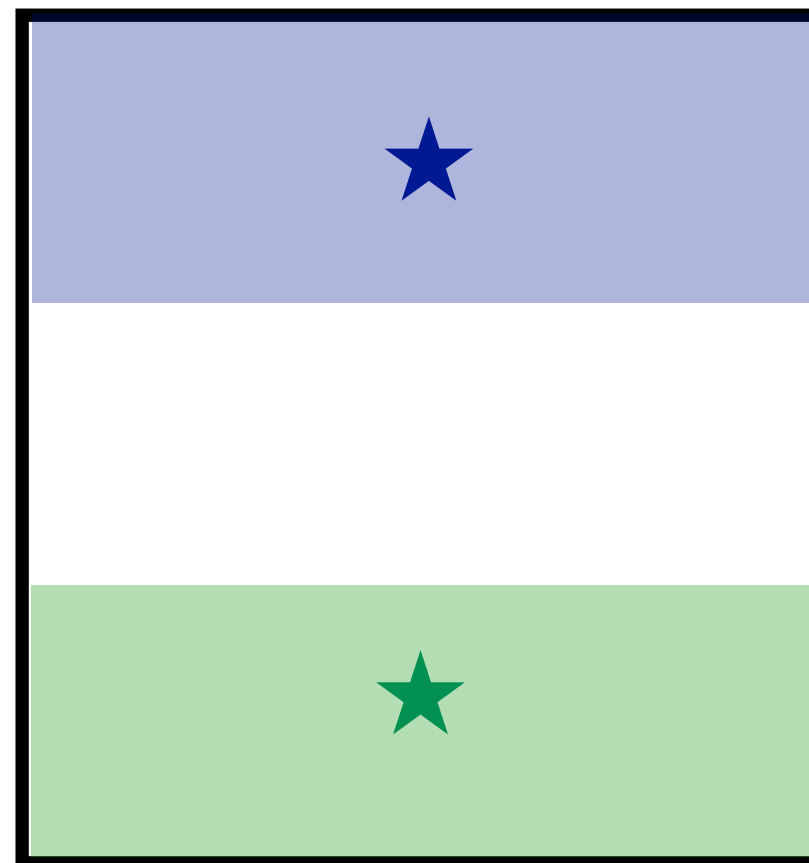
Revised goal: Find a set $S \subseteq A \cup B$ of at most k vertices such that $G-S$ has a bipartition (L,R) where the **blue** vertices ($LA \cup LB$) goes to the right side (R) and the **green** vertices ($RA \cup RB$) goes to the left side (L).

$$LA = N(X_L) \cap A$$

$$RA = N(X_R) \cap A$$



A (temporary left)



B (temporary right)

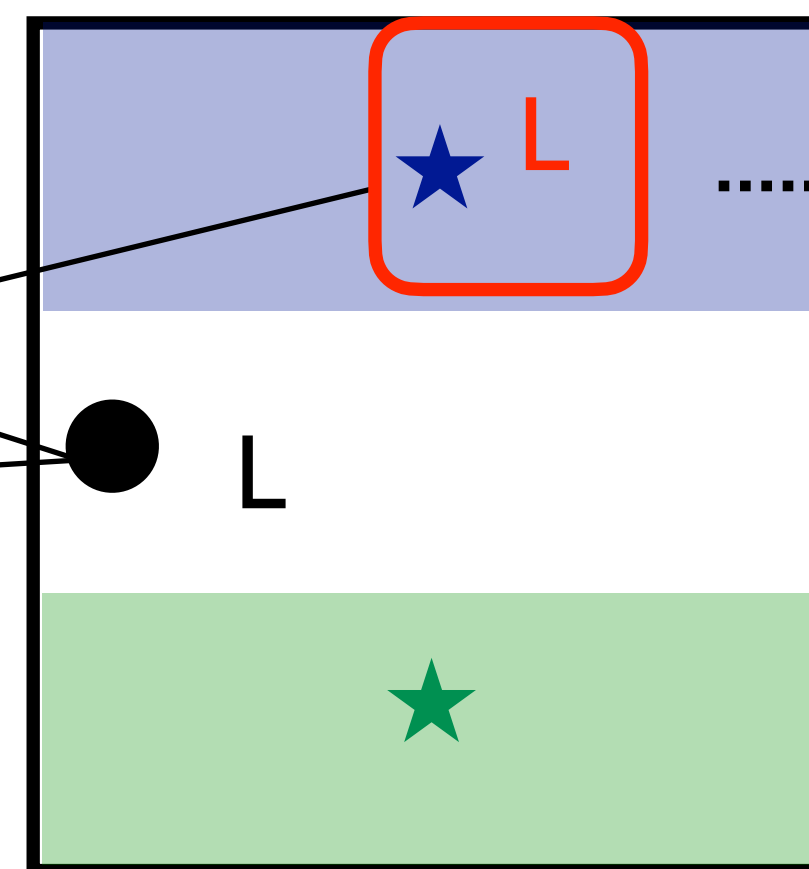
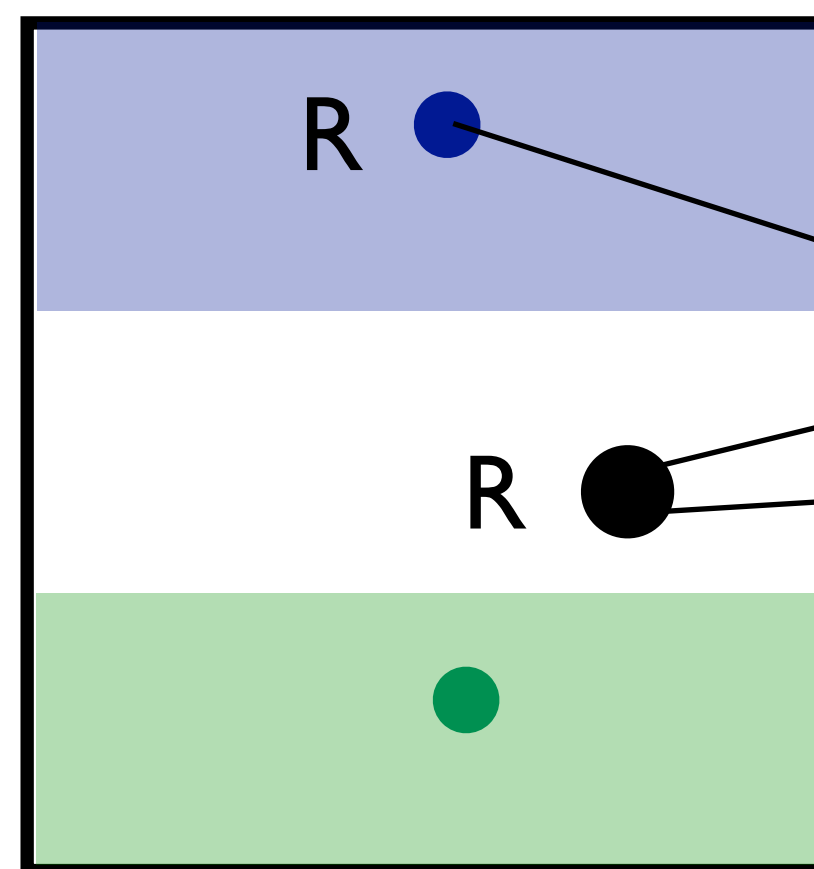
$$LB = N(X_L) \cap B$$

$$RB = N(X_R) \cap B$$

Revised goal: Find a set $S \subseteq A \cup B$ of at most k vertices such that $G-S$ has a bipartition (L,R) where the blue vertices ($LA \cup LB$) goes to the right side (R) and the green vertices ($RA \cup RB$) goes to the left side (L).

Observation (necessary condition): A solution S is a $(LA \cup RB)$ -($LB \cup RA$) separator.

Suppose there is a (LA, LB) -path in $L \cup R$.

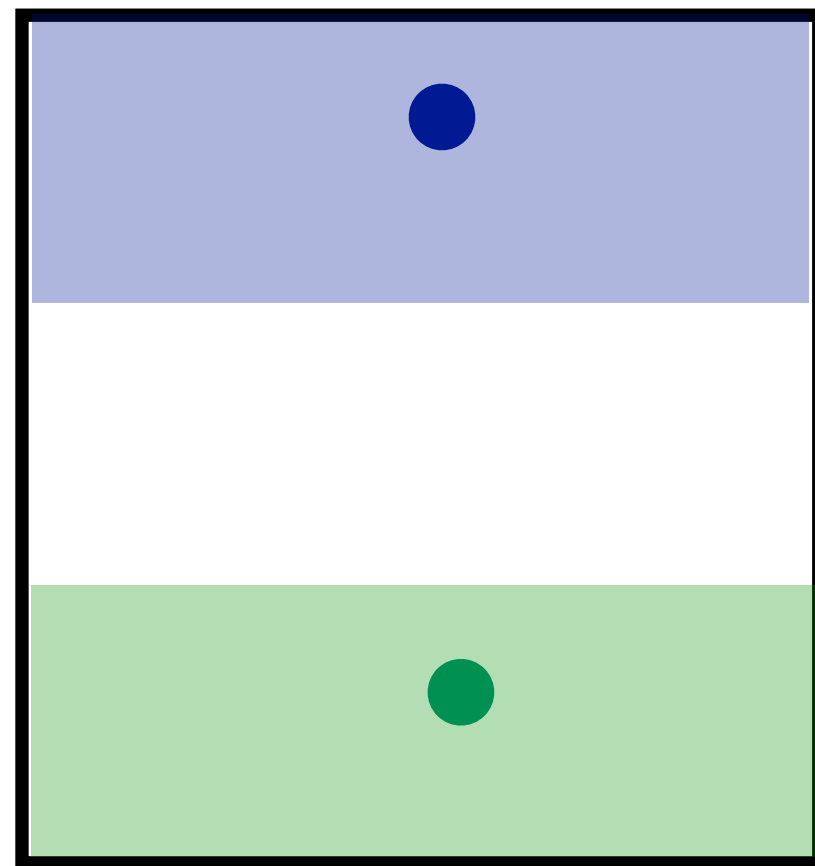


.....Contradiction

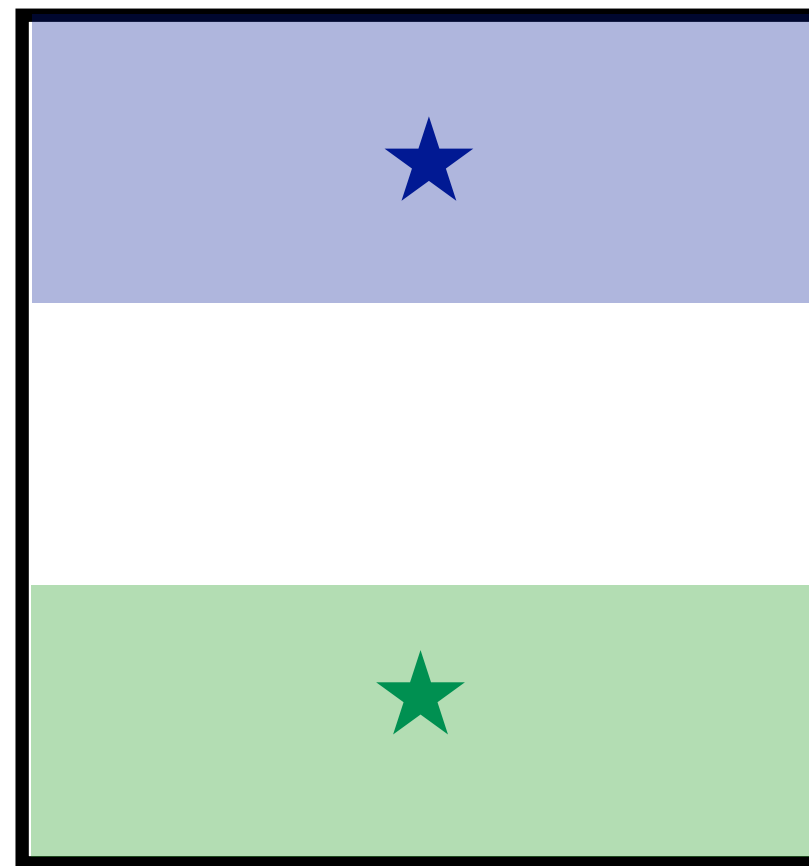
Therefore, no (LA, LB) -path. Similarly, no (RB, RA) -path.

$$LA = N(X_L) \cap A$$

$$RA = N(X_R) \cap A$$



A (temporary left)



B (temporary right)

$$LB = N(X_L) \cap B$$

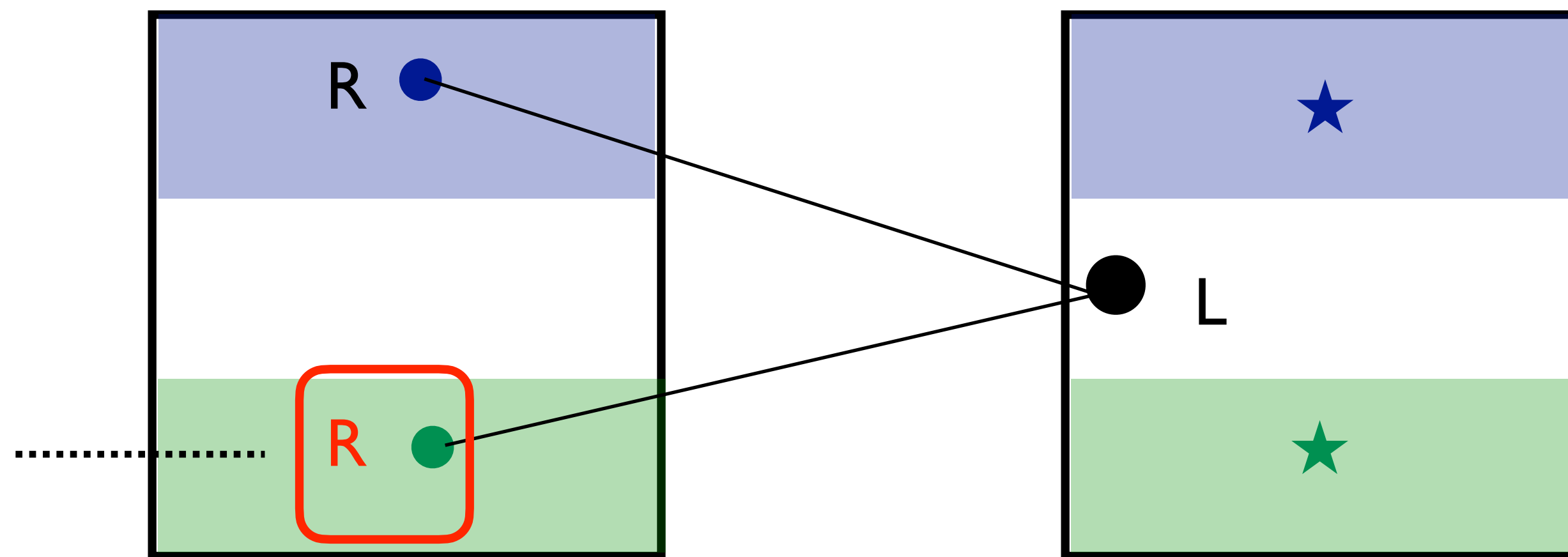
$$RB = N(X_R) \cap B$$

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Suppose there is a (LA, RA) -path in $L \cup R$.

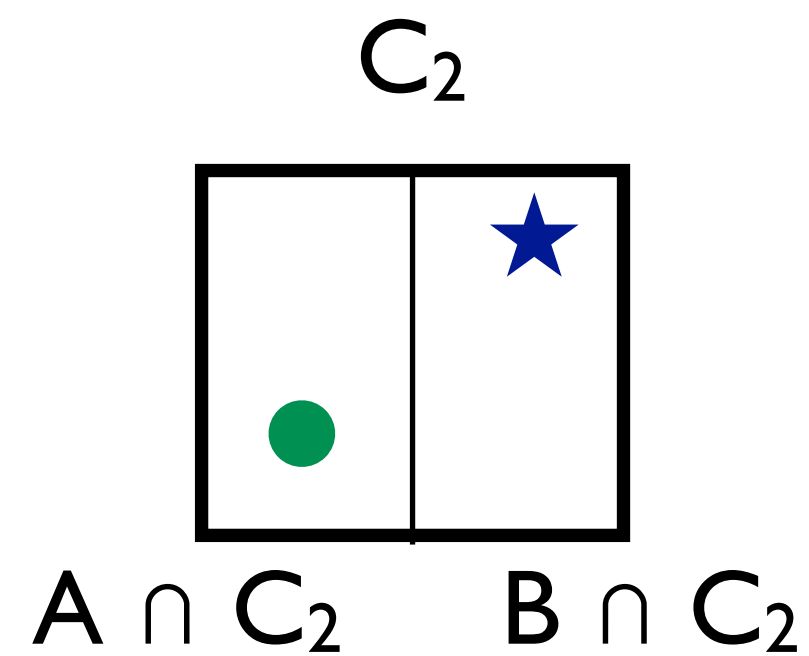
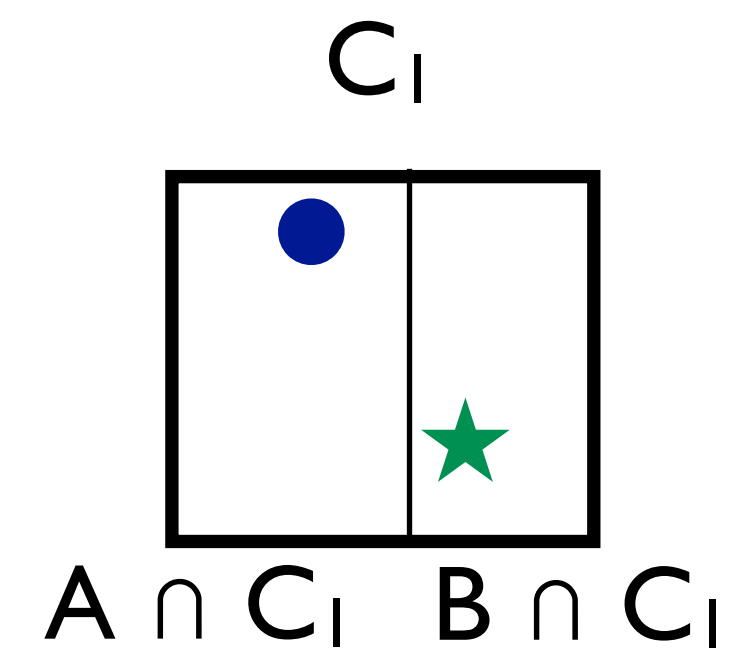
Contradiction



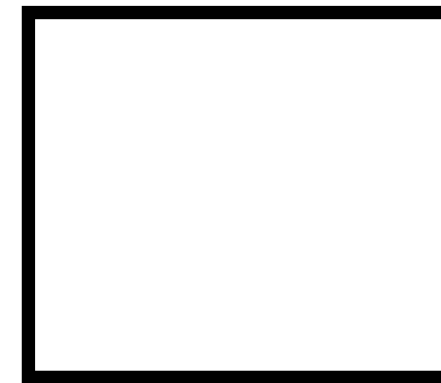
Therefore, no (LA, RA) -path. Similarly, no (RB, LB) -path.

Observation (sufficient condition): Any $(LA \cup RB) - (LB \cup RA)$ separator is a solution.

$(LA \cup RB) - (LB \cup RA)$ separator S'



...

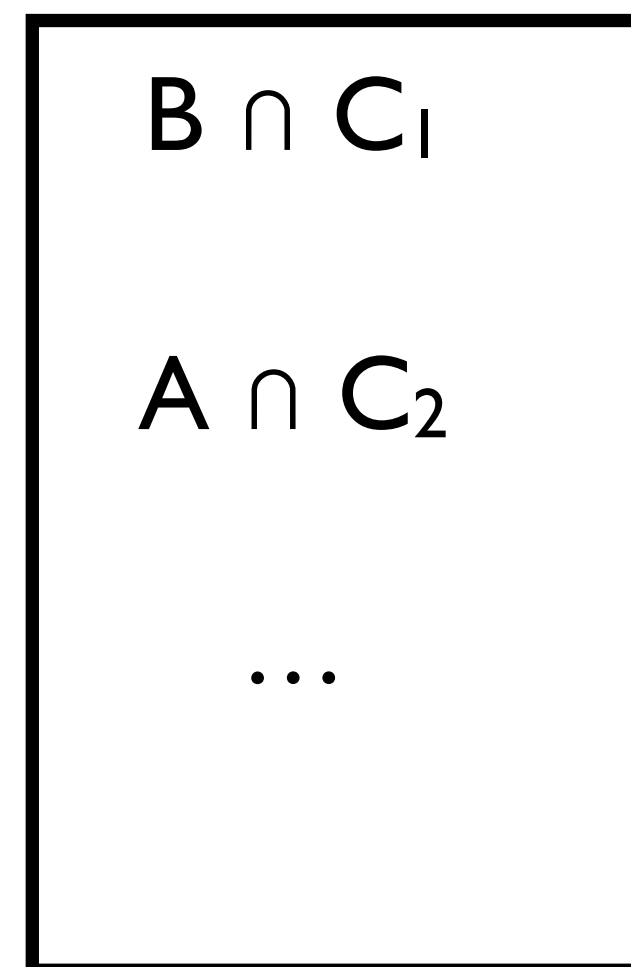


C_p

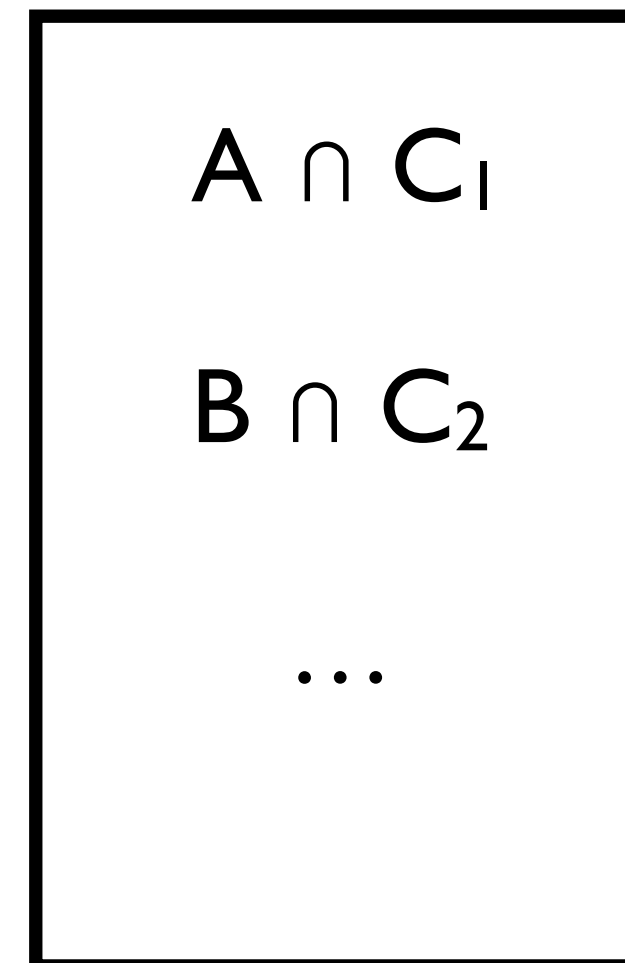


C_i are connected components after removal of S'

Output bipartition of the union of C_i s



L



R

Claim: S is a solution if and only if S is an $(L_A \cup R_B)-(L_B \cup R_A)$ separator size at most k .

Such a set S can be found using k rounds of Ford-Fulkerson is $O(k(n+m))$ time.

DISJOINT OCT

Input: A graph G , positive integers i and k such that $k < i$, a solution X^* of size i

Question: Does there exists a set S such that :

- S is disjoint from X^*
- $|S| \leq k$
- $G-S$ is bipartite?

Overall
algorithm:

- Guess the partition of X^* into L and R .
- Compute sets LA, LB, RA, RB .
- Find an $(LA \cup RB)-(LB \cup RA)$ separator

$$2^{|X^*|} = 2^i$$

$$O(n + m)$$

$$O(k(n + m))$$

Overall
running
time:

$$O(2^i \cdot k(n + m))$$

ODD CYCLE TRANSVERSAL can be solved in $O(3^k \cdot kn(n + m))$ time.