

Roohani Sharma November 16, 2021



Every block of stone has a statue inside it and it is the task of the sculptor to discover it. — Michelangelo Buonarroti

Lecture #5





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The lost island of pre-processing...



Can we preprocess a given instance of an NP-hard problem and guarantee a 1% decrease in its size in polynomial time?

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- Efficient pre-processing with guarantees for parameterized problems

Kernelization



Kernelization

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It admits a kernel of size g(k).

If g(k) is a polynomial/exponential function, then Π admits a polynomial/exponential kernel.















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- A kernelization algorithm comprises of possibly several (polynomially many) safe reduction rules.
- Each reduction rules should be applicable only a polynomial number of times. applicable, then the size of the instance is bounded by some g(k).

• This is followed by an analysis showing that if none of the designed reduction rules are



VERTEX COVER: Refresher



VERTEX COVER



RR 1: Delete isolated vertices.

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RR 2 (Buss Rule): If there exists a vertex of degree at least k+1, then delete this vertex and decrease the budget k by 1.

VERTEX COVER



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RR 3: If $n' > k'^2 + k'$ or $m' > k'^2$, then say No.

VERTEX COVER

- RR 2 (Buss Rule): If there exists a vertex of degree at least k+1, then delete this
- Let the reduced instance (with respect to RR 1 and RR 2) have n' vertices, m' edges,



RR 3: If $n' > k'^2 + k'$ or $m' > k'^2$, then say No. reduced instance is a Yes-instance.

- Safeness of RR 3: Suppose for the sake of contradiction that the
- Let S be a vertex cover of the reduced instance of size at most k'.

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VC admits a kernel with $k^2 + k$ vertices and k^2 edges.



Input: A tournament G, an integer k Question: Does there at most k edges, say F, such that G-F is acyclic?



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Observation: A tournament has a directed cycle if and only is it has a directed triangle.



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Observation: A tournament has a directed cycle if and only is it has a directed triangle. RR 0: If an edge is contained in at least k+1 triangles, delete it??





Lemma: Let G be a directed graph. Then F is an inclusion minimal feedback arc set of G if and only if F is an inclusion minimal set of arcs such that $G \odot F$ is acyclic ($G \odot F$ is the graph G where the arcs of F have been reversed).


RR 1: If an edge is contained in at least k+1 triangles, then reverse it and decrease k by 1.



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RR 2: If a vertex does not participate in a triangle, delete it.



Let the reduced instance (with respect to RR 1 and RR 2) have n' vertices and k' budget. RR 3: If n' > k' (k' + 2) then say No.



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FAST admits a kernel with k(k+2) vertices.



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RR 1: Delete isolated vertices.

- RR 2: Delete a connected component that is a clique and decrease the budget k by 1. RR 3: If there exists vertices u,v such that N[u]=N[v], delete u.





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- **RR 1: Delete isolated vertices.**
- RR 2: Delete a connected component that is a clique and decrease the budget k by 1. RR 3: If there exists vertices u,v such that N[u]=N[v], delete u. Let the reduced instance (with respect to RR 1, RR 2 and RR 3) have
- n' vertices and k' budget.
- RR 4: If $n' \ge 2^{k'}$ -1 then say No.





RR 4: If $n' \ge 2^{k'} - 1$ then say No. Safeness of RR 4:

Suppose the reduced instance is a Yes-instance. Let $C_1, \ldots, C_{k'}$ be the solution cliques.

Assign a k' length bit vector to each vertex v. $vec(v) = (v \in C_1, v \in C_2, ..., v \in C_{k'})$

vec(v) = (1, 1, 0, 0, 0)

Total number of bit vectors of length $k' = 2^{k'}$

If v is isolated, vec(v)=(0,0,0,0,0).

If vec(u)=vec(v), then N[u]=N[v].



RR 4: If $n' \ge 2^{k'} - 1$ then say No. Safeness of RR 4:

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Total number of bit vectors of length $k' = 2^{k'}$

If v is isolated, vec(v)=(0,0,0,0,0).

If vec(u)=vec(v), then N[u]=N[v].



Thus, when none of the previous reduction rules are applicable, then each vertex gets a unique not-all-zero vector associated to it.



ECC admits a kernel with 2^k -1 vertices.

FPT and Kernelization

A parameterized problem is FPT if and only if it admits a kernel.

Find a kernel + Brute force Find a kernel

f(k) size kernel



A parameterized problem is FPT if and only if it admits a kernel.

f(k) n^{O(1)}

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kernelization algorithm. (constant size kernel)

A parameterized problem is FPT if and only if it admits a kernel.

Hence a search for polynomial kernels for FPT problems!

t(k) n^{O(1)}

f(k) size kernel



kernelization algorithm. (constant size kernel)

Input: Universe U, family \mathscr{F} of subsets of U of size at most d, integer k **Question:** Does there exist $X \subseteq U$ of size at most k such that for each $S \in \mathscr{F}$, $S \cap X \neq \Phi$?

Input: Universe U, family \mathcal{F} of subsets of U of size at most d, integer k $S \cap X \neq \Phi$?



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d=2 is the VERTEX COVER problem.



RR 0: If there exists an element u and sets $S_1, ..., S_{k+1}$ such that $S_i \cap S_j = \{u\}$, for each $i, j \in \{1, ..., k+1\}$, then u must be in the solution.

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RR 1: If there exist sets S_1, \ldots, S_{k+1} such that $S_i \cap S_j = \{C\}$, for each $i, j \in \{1, \ldots, k+1\}$, delete S_1, \dots, S_{k+1} from F and add C to F. If $C = \emptyset$, say No.

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Reduction rules for d-HS

- **Sunflower**: A collection of sets S₁,...,S_r such that for each i, $j \in \{1, ..., r\}$, $S_i \cap S_j = C$.
- C is called the core of the sunflower. (could be
- S_i/C are called the petals of the sunflower.





Sunflower lemma

Every family with > d! k^d sets of size exactly d contains a sunflower with k+1 petals. Such a sunflower can be computed in polynomial time.



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d-HS admits a kernel with d! k^d d sets and d! k^d d² elements (O(k^d) kernel).





Every family with $> d! k^d$ sets of size exactly d contains a sunflower with k+1 petals. Such a sunflower can be computed in polynomial time.

Proof of the Sunflower Lemma





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Proof of the Sunflower Lemma



Every family \mathcal{F} with > d! k^d sets of size d contains a sunflower with k+1 petals.

Induction on d

Every family F with > d! k^d sets of size d contains a sunflower with k+1 petals.

Induction on d

Base case (d=1): F has > k singleton sets =>

Every family F with > d! kd sets of size d contains a sunflower with k+1 petals.

Induction on d

Base case (d=1): F has > k singleton sets =>

Induction step: SUPPOSE there exists an element v that is present > (d-1)! k^{d-1} sets of \mathcal{F} .

Every family \mathcal{F} with > d! k^d sets of size d contains a sunflower with k+1 petals.

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Base case (d=1): F has > k singleton sets =>

Induction step: SUPPOSE there exists an element v that is present > (d-1)! k^{d-1} sets of \mathcal{F} .

Every family F with > d! kd sets of size d either contains a sunflower with k+1 petals and empty core or there exists a good vertex

Greedy

 S_1, \ldots, S_r be pairwise disjoint sets of \mathcal{F} (maxim





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Every family F with > d! k^d sets of size d either contains a sunflower with k+1 petals and empty core or there exists a good vertex.

S₁,...,S_r, r≤ k Greedy Every set of \mathcal{F} intersects some S_i S_1, \ldots, S_r be pairwise disjoint sets of \mathcal{F} (maximal) $X = \bigcup_{i \in \{1, \dots, r\}} S_i$ r≥ k+1 $|X| \leq dk$ r≤ k Pigeonhole Principle $\exists x \in X \text{ such that } x \text{ is present in } |\mathcal{F}| / |X| \text{ sets}$ $\mathcal{F} | X > d! k^{d}/dk = (d-1)! k^{d-1}$











Question: Does there exist at least k pairwise disjoint sets in \mathcal{F} ?



d-SET PACKING

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d-SET PACKING

"Buss rule" for 2-Set Packing

edge incident on it.



RR: If there exists a vertex with degree at least 2k, then delete an

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2(k-1)

RR 1: If a family contains a sunflower with > d(k-1)+2 petals, then one of these sets can be deleted.

Exercise: Show that RR 1 is safe.

d-SET PACKING

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d-SET PACKING

RR 1: If a family contains a sunflower with > d(k-1)+2 petals, then one

d-SP admits a kernel d! $(dk-d+1)^d$ d sets and d! $(dk-d+1)^d$ d² elements $(O(k^{O(d)}) \text{ kernel}).$

