# Representative sets and Matroids

Lecture #09 December 14, 2021

Roohani Sharma Slides courtesy: Neeldhara Misra, Saket Saurabh, Pranabendu Misra

## Problems we would be interested in...

Vertex Cover Input: A graph G = (V, E) and a positive integer k. Parameter: k Question: Does there exist a subset  $V' \subseteq V$  of size at most k such that for every edge  $(u, v) \in E$  either  $u \in V'$  or  $v \in V'$ ?

Hamiltonian Path Input: A graph G = (V, E)Question: Does there exist a path P in G that spans all the vertices?

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K-Path
Input: A graph G = (V, E) and a positive integer k.
Parameter: k
Question: Does there exist a path P in G of length at least k?
```

## Dynamic Programming for Hamiltonian Path

### • НАМ-РАТН



#### $1 \quad 2 \quad 3 \quad \cdots \quad i \quad \cdots \quad n-1 \quad n$

 $v_1$ 

 $v_j$ 

 $v_n$ 

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 $\nu_n$ 



 $v_1$ 

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 $v_1$ 

:



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Let us now turn to k-Path.

To find paths of length at least k, we may simply use the DP table for Hamiltonian Path restricted to the first k columns.









In the i<sup>th</sup> column, we are storing paths of length i.

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There may be several paths of length i that "latch on" to the last (k - i) vertices of P.

We need to store just one of them.

Example.

#### Suppose we have a path P on seven edges.



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Consider it broken up into the first four and the last three edges.











For any possible ending of length (k - i), we want to be sure that we store at least one among the possibly many "prefixes".

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The hope for "saving" comes from the fact that a single path of length i is potentially capable of being a prefix to several distinct endings.

For example...


# **REPRESENTATIVE SETS**

Partial solutions: paths of length j ending at  $v_i$ 





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 $S_1, S_2, ..., S_t$ 

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#### Want: A (small) subfamily $\widehat{\mathcal{F}}$ of $\mathcal{F}$ such that:

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For any  $X \subseteq [n]$  of size (k - p),

if there is a set S in  $\mathcal{F}$  such that  $X \cap S = \emptyset$ , then there is a set  $\widehat{S}$  in  $\widehat{\mathcal{F}}$  such that  $X \cap \widehat{S} = \emptyset$ .

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The "second half" of a solution – can be any subset.

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This is a valid patch into X.

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This is a guaranteed replacement for S.

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Known:  $\exists \binom{k}{p}$  subfamily  $\widehat{\mathcal{F}}$  of  $\mathcal{F}$  such that:

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Bolobás, 1965.

Given: A a matroid ( $\mathcal{E}$ ,  $\mathcal{I}$ ), and a family of p-sized subsets from  $\mathcal{I}$ :

$$S_1, S_2, \ldots, S_t$$

There is a subfamily  $\widehat{\mathcal{F}}$  of  $\mathcal{F}$  of size at most  $\binom{p+q}{p}$  such that:

For any  $X \subseteq [n]$  of size at most q,

if there is a set S in  $\mathcal{F}$  such that  $X \cap S = \emptyset$  and  $X \cup S \in \mathcal{J}$ , then there is a set  $\widehat{S}$  in  $\widehat{\mathcal{F}}$  such that  $X \cap \widehat{S} = \emptyset$  and  $X \cup \widehat{S} \in \mathcal{J}$ .

Lovász, 1977

$$S_1, S_2, \ldots, S_t$$

There is an efficiently computable subfamily  $\widehat{\mathcal{F}}$  of  $\mathcal{F}$  of size at most  $\binom{p+q}{p}$  such that:

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Márx (2009) and Fomin, Lokshtanov, Saurabh (2013)

## Matroids

#### Definition

A pair  $M = (E, \mathcal{I})$ , where E is a ground set and  $\mathcal{I}$  is a family of subsets (called independent sets) of E, is a *matroid* if it satisfies the following conditions:

(I1)  $\phi \in \mathcal{I}$ (I2) If  $A' \subseteq A$  and  $A \in \mathcal{I}$  then  $A' \in \mathcal{I}$ . (I3) If  $A, B \in \mathcal{I}$  and |A| < |B|, then  $\exists e \in (B \setminus A)$  such that  $A \cup \{e\} \in \mathcal{I}$ .

The axiom (I2) is also called the *hereditary property* and a pair  $M = (E, \mathcal{I})$  satisfying (I1) and (I2) is called *hereditary family* or *set-family*.

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(I3) is called the exchange property.

## Rank and Basis

#### Definition

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An inclusion wise maximal set of  $\mathcal{I}$  is called a *basis* of the matroid. Using axiom (I3) it is easy to show that all the bases of a matroid have the same size. This size is called the *rank* of the matroid M, and is denoted by  $\mathsf{rank}(M)$ .

# **Examples Of Matroids**

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#### Uniform Matroid

A pair  $M = (E, \mathcal{I})$  over an *n*-element ground set E, is called a *uniform matroid* if the family of independent sets is given by

$$\mathcal{I} = \Big\{ A \subseteq E \mid |A| \le k \Big\},\$$

where k is some constant. This matroid is also denoted as  $U_{n,k}$ . Eg:  $E = \{1, 2, 3, 4, 5\}$  and k = 2 then

 $\mathcal{I} = \left\{\{\}, \{1\}, \{2\}, \{3\}, \{4\}, \{5\}, \{1,2\}, \{1,3\}, \{1,4\}, \\ \{1,5\}, \{2,3\}, \{2,4\}, \{2,5\}, \{3,4\}, \{3,5\}, \{4,5\}\right\}\right\}$ 

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### Graphic Matroid

Given a graph G, a graphic matroid is defined as  $M = (E, \mathcal{I})$ where and

• E = E(G) – edges of G are elements of the matroid

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 $\mathcal{I} = \left\{ F \subseteq E(G) : F \text{ is a forest in the graph } G \right\}$ 

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•  $\mathcal{I} = \left\{ F \subseteq E(G) : F \text{ is a forest in the graph } G \right\}$  **Exchange property?** Given: A,  $\mathcal{B} \in \mathcal{I}$ ,  $|A| < |\mathcal{B}|$ To show:  $\exists e \in \mathcal{B}$  such that  $A \cup \{e, j\} \in \mathcal{I}$ Proof:

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# Matroid Representation

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## Remark

- Need compact representation to for the family of independent sets.
- Also to be able to test easily whether a set belongs to the family of independent sets.

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### Linear Matroid

Let A be a matrix over an arbitrary field  $\mathbb{F}$  and let E be the set of columns of A. Given A we define the matroid  $M = (E, \mathcal{I})$  as follows.

A set  $X \subseteq E$  is independent (that is  $X \in \mathcal{I}$ ) if the corresponding columns are *linearly independent* over  $\mathbb{F}$ .

$$A = \begin{bmatrix} * & * & * & \cdots & * \\ * & * & * & \cdots & * \\ * & * & * & \cdots & * \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ * & * & * & \cdots & * \end{bmatrix} * \text{ are elements of } F$$

The matroids that can be defined by such a construction are called *linear matroids*.

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### Linear Matroids and Representable Matroids

If a matroid can be defined by a matrix A over a field  $\mathbb{F}$ , then we say that the matroid is *representable* over  $\mathbb{F}$ .

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#### Linear Matroids and Representable Matroids

A matroid  $M = (E, \mathcal{I})$  is representable over a field  $\mathbb{F}$  if there exist vectors in  $\mathbb{F}^{\ell}$  that correspond to the elements such that the linearly independent sets of vectors precisely correspond to independent sets of the matroid.

Let  $E = \{e_1, \ldots, e_m\}$  and  $\ell$  be a positive integer.



A matroid  $M = (E, \mathcal{I})$  is called *representable* or *linear* if it is representable over some field  $\mathbb{F}$ .
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### Uniform Matroid $\mathcal{U}_{n,\kappa}$

Every uniform matroid is linear and can be represented over a finite field by a  $k \times n$  matrix  $A_M$  where the  $A_M[i,j] = j^{i-1}$ .



Observe that for  $A_M$  to be representable over a finite field  $\mathbb{F}$ , we need that the determinant of any  $k \times k$  submatrix of  $A_M$  must not vanish over  $\mathbb{F}$ .

The determinant of any  $k \times k$  submatrix of  $A_M$  is upper bounded by  $k! \times n^{k-1}$  (this follows from the Laplace expansion of determinants). Thus, choosing a field  $\mathbb{F}$  of size larger than  $k! \times n^{k-1}$  suffices.

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#### Uniform Matroid: Size of the representation



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So the size of the representation:  $O((k \log n) \times nk)$  bits.

#### Graphic Matroid

The graphic matroid is representable over any field of size at least 2.

Consider the matrix  $A_M$  with a row for each vertex  $i \in V(G)$ and a column for each edge  $e = ij \in E(G)$ . In the column corresponding to e = ij, all entries are 0, except for a 1 in i or j(arbitrarily) and a -1 in the other.

This is a representation over reals.

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To obtain a representation over a field  $\mathbb{F}$ , one simply needs to take the representation given above over reals and simply replace all -1 by the additive inverse of 1

# Given: A a matroid ( $\mathcal{E}$ , $\mathcal{J}$ ), and a family of p-sized subsets from $\mathcal{J}$ : $S_1, S_2, \dots, S_t$

There is an efficiently computable subfamily  $\widehat{\mathcal{F}}$  of  $\mathcal{F}$  of size at most  $\binom{p+q}{p}$  such that:

For any  $X \subseteq [n]$  of size at most q,

if there is a set S in  $\mathcal{F}$  such that  $X \cap S = \emptyset$  and  $X \cup S \in \mathcal{J}$ , then there is a set  $\widehat{S}$  in  $\widehat{\mathcal{F}}$  such that  $X \cap \widehat{S} = \emptyset$  and  $X \cup \widehat{S} \in \mathcal{J}$ .

Márx (2009) and Fomin, Lokshtanov, Saurabh (2013)

We have at hand a *p*-uniform collection of independent sets,  $\mathcal{F}$  and a number q. Let X be any set of size at most q. For any set  $S \in \mathcal{F}$ , if:

- a X is disjoint from S, and
- b X and S together form an independent set,

then a q-representative family  $\widehat{\mathcal{F}}$  contains a set  $\widehat{S}$  that is:

- a disjoint from X, and
- **b** forms an independent set together with *X*.

Such a subfamily is called a **q**-representative family for the given family.

# REPRESENTATIVE SETS and **k-path**





 $v_n$ 









We are going to compute representative families at every intermediate stage of the computation.

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For instance, in the  $i^{th}$  column, we are storing *i*-uniform families. Before moving on to column (i+1), we compute (k-i)-representative families.

This keeps the sizes small as we go along.



#### $1 \quad 2 \quad 3 \quad \cdots \quad i \quad \cdots \quad k-1 \quad k$





#### $1 \quad 2 \quad 3 \quad \cdots \quad i \quad \cdots \quad k-1 \quad k$





#### 1 2 3 $\cdots$ i $\cdots$ k-1 k





#### $1 \quad 2 \quad 3 \quad \cdots \quad i \quad \cdots \quad k-1 \quad k$



















Let  $\mathcal{P}_i^j$  be the set of all paths of length *i* ending at  $v_j$ .

It can be shown that the families thus computed at the  $i^{th}$  column,  $j^{th}$  row are indeed (k - i)-representative families for  $P_i^j$ .

The correctness is implicit in the notion of a representative family.

## REPRESENTATIVE SETS and

### Kernelization

Remark: The only known polynomial kernel for the Odd Cycle Transversal problem is via matroids and representative sets.

#### Vertex Cover Can you delete k vertices to kill all edges?



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Let (G = (V, E), k) be an instance of Vertex Cover.

Note that E can be thought of as a 2-uniform family over the ground set V.

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Goal: Kernelization.

poly in kIn this context, we are asking if there is a small subset X of the edges such that

G[X] is a YES-instance  $\leftrightarrow$  G is a YES-instance.

We get one direction for free!

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It is the NO-instances that we have to worry about preserving.

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What is a NO-instance?


If G is a NO-instance:

For any subset *S* of size at most k, there is an edge that is disjoint from *S*.



If G is a NO-instance:

For any subset S of size at most k,  $in \in (G)$  there is an edge that is disjoint from S.

Ring a bell?

We have at hand a *p*-uniform collection of independent sets,  $\mathcal{F}$  and a number q. Let X be any set of size at most q. For any set  $S \in \mathcal{F}$ , if:

- a X is disjoint from S, and
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then a q-representative family contains a set  $\widehat{S}$  that is:

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Such a subfamily is called a **q**-representative family for the given family.

Claim: A k-representative family for E is in fact an  $O(k^2)$  kernel for vertex cover.

$$\mathsf{E}(\mathsf{G}) = \{\mathsf{e}_1, \mathsf{e}_2, \dots, \mathsf{e}_m\}$$

Is there a Vertex Cover of size at most k?



## Is there a Vertex Cover of size at most k?



## Is there a Vertex Cover of size at most k?

## Let us show that if G[X] is a YES-instance, then so is G.

This time, by contradiction.





## Try the solution for G[X] on G.



Suppose there is an uncovered edge.



Since X is a k-representative family, for ANY  $S \subseteq V$ , where  $|S| \leq k$ : if there is a set *e* in E such that  $e \cap S = \emptyset$ ,



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if there is a set e in E such that  $e \cap S = \emptyset$ , then there is a set  $\hat{e}$  in X such that  $\hat{e} \cap S = \emptyset$ .

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Contradiction!
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A k-representative family for E(G) is in fact an  $O(k^2)$  instance kernel for Vertex Cover!

