



Motivation

1 **Algorithm: WhatDoIDo**(n, m)

Input : Two positive integers n, m .

Output: The number contained in n .

2 **while** ($m > 0$) **do**

3 | $m = m - 1$;

4 | $n = n + 1$;

5 **end**

6 **return** n ;





In First-Order Logic Modulo LIA

- 2 $\forall n, m. (m > 0, R(2, n, m) \rightarrow R(3, n, m))$
- 2 $\forall n, m. (m = 0, R(2, n, m) \rightarrow R(6, n, m))$
- 3 $\forall n, m, m'. (m' = m - 1, R(3, n, m) \rightarrow R(4, n, m'))$
- 4 $\forall n, m, n'. (n' = n + 1, R(4, n, m) \rightarrow R(5, n', m))$
- 5 $\forall n, m. (R(5, n, m) \rightarrow R(2, n, m))$





In First-Order Logic Modulo LIA

- 2 $\forall n, m. (m > 0, R(2, n, m) \rightarrow R(3, n, m))$
- 2 $\forall n, m. (m = 0, R(2, n, m) \rightarrow R(6, n, m))$
- 3 $\forall n, m, m'. (m' = m - 1, R(3, n, m) \rightarrow R(4, n, m'))$
- 4 $\forall n, m, n'. (n' = n + 1, R(4, n, m) \rightarrow R(5, n', m))$
- 5 $\forall n, m. (R(5, n, m) \rightarrow R(2, n, m))$

$$\forall n, m. (R(2, n, m) \rightarrow R(6, n + m, 0))$$





Example: WhatDoIDo

2 $\text{td}(k_2, 6)$

4 $\text{inc}(k_1)$

5 goto 2

6 halt





FOL(LIA) Decidable for Binary or Monadic Predicates?

No: translate 2-counter machine halting problem to FOL(LIA) with a single monadic predicate.

Idea: translate state (i, n, m) where the program is at line i with respective counter values n, m by the integer $2^n \cdot 3^m \cdot p_i$ where p_i is the i^{th} prime number following 3





Example: WhatDoIDo

- 1 `td(k_2 , 4)`
- 2 `inc(k_1)`
- 3 `goto 1`
- 4 `halt`



7.13.3 Proposition (FOL(LIA) Undecidability with a Single Monadic Predicate)

Unsatisfiability of a FOL(LIA) clause set with a single monadic predicate is undecidable (Downey 1972).



SCLT Clause Learning from Simple Models Modulo Theories

Let $\mathcal{T}^{\mathcal{B}}$ be first-order logic *background theory* over signature $\Sigma^{\mathcal{B}} = (\mathcal{S}^{\mathcal{B}}, \Omega^{\mathcal{B}}, \Pi^{\mathcal{B}})$ and term-generated $\Sigma^{\mathcal{B}}$ -algebras $\mathcal{C}^{\mathcal{B}}$:
 $\mathcal{T}^{\mathcal{B}} = (\Sigma^{\mathcal{B}}, \mathcal{C}^{\mathcal{B}})$. A constant $c \in \Omega^{\mathcal{B}}$ is called a *domain constant* if $c^{\mathcal{A}} \neq d^{\mathcal{A}}$ for all $\mathcal{A} \in \mathcal{C}^{\mathcal{B}}$ and for all $d \in \Omega^{\mathcal{B}}$ with $d \neq c$. Let $\Sigma^{\mathcal{F}} = (\mathcal{S}^{\mathcal{F}}, \Omega^{\mathcal{F}}, \Pi^{\mathcal{F}})$ be a *foreground signature* with respect to $\mathcal{T}^{\mathcal{B}}$ where $\mathcal{S}^{\mathcal{B}} \subseteq \mathcal{S}^{\mathcal{F}}$, $\Omega^{\mathcal{B}} \cap \Omega^{\mathcal{F}} = \emptyset$, and $\Pi^{\mathcal{B}} \cap \Pi^{\mathcal{F}} = \emptyset$.

7.17.1 Definition (Hierarchic Specification)

A *hierarchic specification* is a pair $\mathcal{H} = (\mathcal{T}^{\mathcal{B}}, \Sigma^{\mathcal{F}})$ with associated signature $\Sigma^{\mathcal{H}} = (\mathcal{S}^{\mathcal{F}}, \Omega^{\mathcal{B}} \cup \Omega^{\mathcal{F}}, \Pi^{\mathcal{B}} \cup \Pi^{\mathcal{F}})$. It generates *hierarchic* $\Sigma^{\mathcal{H}}$ -algebras. A $\Sigma^{\mathcal{H}}$ -algebra \mathcal{A} is called *hierarchic* with respect to its background theory $\mathcal{T}^{\mathcal{B}}$, if $\mathcal{A}^{\mathcal{H}}|_{\Sigma^{\mathcal{B}}} \in \mathcal{C}^{\mathcal{B}}$.

As usual, $\mathcal{A}^{\mathcal{H}}|_{\Sigma^{\mathcal{B}}}$ is obtained from a $\mathcal{A}^{\mathcal{H}}$ -algebra by removing all carrier sets $S^{\mathcal{A}}$ for all $S \in (\mathcal{S}^{\mathcal{F}} \setminus \mathcal{S}^{\mathcal{B}})$, all functions from $\Omega^{\mathcal{F}}$ and all predicates from $\Pi^{\mathcal{F}}$. We write $\models_{\mathcal{H}}$ for the entailment relation with respect to hierarchic algebras and formulas from $\Sigma^{\mathcal{H}}$ and $\models_{\mathcal{B}}$ for the entailment relation with respect to the $\mathcal{C}^{\mathcal{B}}$ algebras and formulas from $\Sigma^{\mathcal{B}}$.

Terms, atoms, literals build over $\Sigma^{\mathcal{B}}$ are called *pure background terms*, *pure background atoms*, and *pure background literals*, respectively. All terms, atoms, with a top-symbol from $\Omega^{\mathcal{B}}$ or $\Pi^{\mathcal{B}}$, respectively, are called *background terms*, *background atoms*, respectively. A background atom or its negation is a *background literal*. All terms, atoms, with a top-symbol from $\Omega^{\mathcal{F}}$ or $\Pi^{\mathcal{F}}$, respectively, are called *foreground terms*, *foreground atoms*, respectively. A foreground atom or its negation is a *foreground literal*. Given a set (sequence) of \mathcal{H} literals, the function `bgd` returns the set (sequence) of background literals and the function `fgd` the respective set (sequence) of foreground literals.

As a running example, I consider in detail the Bernays-Schoenfinkel clause fragment over linear arithmetic: $BS(LRA)$. The background theory is linear rational arithmetic over the many-sorted signature $\Sigma^{LRA} = (\mathcal{S}^{LRA}, \Omega^{LRA}, \Pi^{LRA})$ with $\mathcal{S}^{LRA} = \{LRA\}$, $\Omega^{LRA} = \{0, 1, +, -\} \cup \mathbb{Q}$, $\Pi^{LRA} = \{\leq, <, \neq, =, >, \geq\}$ where LRA is the linear arithmetic sort, the function symbols consist of $0, 1, +, -$ plus the rational numbers and predicate symbols $\leq, <, =, \neq, >, \geq$. The linear arithmetic theory $\mathcal{T}^{LRA} = (\Sigma^{LRA}, \{\mathcal{A}^{LRA}\})$ consists of the linear arithmetic signature together with the standard model \mathcal{A}^{LRA} of linear arithmetic. This theory is then extended by the free (foreground) first-order signature $\Sigma^{BS} = (\{LRA\}, \Omega^{BS}, \Pi^{BS})$ where Ω^{BS} is a set of constants of sort LRA different from Ω^{LRA} constants, and Π^{BS} is a set of first-order predicates over the sort LRA . We are interested in hierarchic algebras $\mathcal{A}^{BS(LRA)}$ over the signature $\Sigma^{BS(LRA)} = (\{LRA\}, \Omega^{BS} \cup \Omega^{LRA}, \Pi^{BS} \cup \Pi^{LRA})$ that are $\Sigma^{BS(LRA)}$ algebras such that $\mathcal{A}^{BS(LRA)}|_{\Sigma^{LRA}} = \mathcal{A}^{LRA}$.



7.17.2 Definition (Simple Substitutions)

A substitution σ is called *simple* if $x_S\sigma \in T_S(\Sigma^{\mathcal{B}}, \mathcal{X})$ for all $x_S \in \text{dom}(\sigma)$ and $S \in \mathcal{S}^{\mathcal{B}}$.

As usual, clauses are disjunctions of literals with implicitly universally quantified variables. We often write a $\Sigma^{\mathcal{H}}$ clause as a *constrained clause*, denoted $\Lambda \parallel C$ where Λ is a conjunction of background literals and C is a disjunction of foreground literals semantically denoting the clause $\neg\Lambda \vee C$. A *constrained closure* is denoted as $\Lambda \parallel C \cdot \sigma$ where σ is grounding for Λ and C . A constrained closure $\Lambda \parallel C \cdot \sigma$ denotes the ground constrained clause $\Lambda\sigma \parallel C\sigma$.

In addition, we assume a well-founded, total, strict ordering \prec on ground literals, called an \mathcal{H} -order, such that background literals are smaller than foreground literals. This ordering is then lifted to constrained clauses and sets thereof by its respective multiset extension. We overload \prec for literals, constrained clauses, and sets of constrained clause if the meaning is clear from the context. We define \preceq as the reflexive closure of \prec and $N^{\preceq \wedge C} := \{D \mid D \in N \text{ and } D \preceq \wedge \parallel C\}$. For example, an instance of an LPO with according precedence can serve as \prec .

7.17.3 Definition (Abstracted/Pure Clause)

A clause $\Lambda \parallel C$ is *abstracted* if the arguments of S^B sort of any predicate from Π^F in an atom in C are only variables. $\Lambda \parallel C$ is called *pure* if it does not contain symbols from Ω^F ranging into a sort of S^B .

These two notions are extended to clause sets in the natural way. Any clause set can be transformed into an abstracted clause set.

Abstraction $N \uplus \{C \vee E[t]_p[s]_q\} \Rightarrow_{\text{ABSTR}}$

$N \cup \{C \vee x_s \neq s \vee E[x_s]_q\}$

provided t, s are non-variable terms, $q \neq p$, $\text{sort}(s) = S$, and either $\text{top}(t) \in \Sigma^F$ and $\text{top}(s) \in \Sigma^B$ or $\text{top}(t) \in \Sigma^B$ and $\text{top}(s) \in \Sigma^F$

7.17.4 Definition (Clause Redundancy)

A ground constrained clause $\Lambda \parallel C$ is *redundant* with respect to a set N of ground constrained clauses and an order \prec if $N \preceq \Lambda \parallel C \models_{\mathcal{H}} \Lambda \parallel C$. A clause $\Lambda \parallel C$ is *redundant* with respect to a clause set N , an \mathcal{H} -order \prec , and a set of constants B if for all $\Lambda' \parallel C' \in \text{grd}((S^{\mathcal{F}}, B, \Pi^B \cup \Pi^{\mathcal{F}}), \Lambda \parallel C)$ the clause $\Lambda' \parallel C'$ is redundant with respect to $\cup_{D \in N} \text{grd}((S^{\mathcal{F}}, B, \Pi^B \cup \Pi^{\mathcal{F}}), D)$.

7.17.5 Assumption (Considered Clause Sets)

For the rest of this section I consider only pure, abstracted clause sets N . I assume that the background theory $\mathcal{T}^{\mathcal{B}}$ is term-generated, compact, contains an equality $=$, and that all constants of the background signature are domain constants. I further assume that the set $\Omega^{\mathcal{F}}$ contains infinitely many constants for each background sort.

In order for the SCL(T) calculus to be effective, decidability in $\mathcal{T}^{\mathcal{B}}$ is needed as well. For the calculus we implicitly use the following equivalence: A $\Sigma^{\mathcal{B}}$ sentence

$$\exists x_1, \dots, x_n \phi$$

where ϕ is quantifier free is true, i.e., $\models_{\mathcal{B}} \exists x_1, \dots, x_n \phi$ iff the ground formula

$$\phi\{x_1 \mapsto a_1, \dots, x_n \mapsto a_n\}$$

where the a_i are $\Omega^{\mathcal{F}}$ constants of the respective background sorts is \mathcal{H} satisfiable. Together with decidability in $\mathcal{T}^{\mathcal{B}}$ this guarantees decidability of the satisfiability of ground constraints from constrained clauses.



If not stated otherwise, satisfiability means satisfiability with respect to \mathcal{H} . The function $\text{adiff}(B)$ for some finite sequence of background sort constants denotes a constraint that implies different interpretations for the constants in B . In case the background theory enables a strict ordering $<$ as LRA does, then the ordering can be used for this purpose. For example, $\text{adiff}([a, b, c])$ is then the constraint $a < b < c$. In case the background theory does not enable a strict ordering, then inequations can express disjointness of the constants. For example, $\text{adiff}([a, b, c])$ is then constraint $a \neq b \wedge a \neq c \wedge b \neq c$. An ordering constraint has the advantage over an inequality constraint that it also breaks symmetries. Assuming all constants to be different will eventually enable a satisfiability test for foreground literals based on purely syntactic complementarity.



The inference rules of SCL(T) are represented by an abstract rewrite system. They operate on a problem state, a six-tuple $\Gamma = (M; N; U; B; k; D)$ where M is a sequence of annotated ground literals, the *trail*; N and U are the sets of *initial* and *learned* constrained clauses; B is a finite sequence of constants of background sorts for instantiation; k counts the number of decisions in M ; and D is a constrained closure that is either \top , $\Lambda \parallel \perp \cdot \sigma$, or $\Lambda \parallel C \cdot \sigma$. Foreground literals in M are either annotated with a number, a level; i.e., they have the form L^k meaning that L is the k -th guessed decision literal, or they are annotated with a constrained closure that propagated the literal to become true, i.e., they have the form $(L\sigma)^{(\Lambda \parallel C \vee L)} \cdot \sigma$. An annotated literal is called a decision literal if it is of the form L^k and a propagation literal or a propagated literal if it is of the form $L \cdot \sigma^{(\Lambda \parallel C \vee L)} \cdot \sigma$.

A ground foreground literal L is of *level* i with respect to a problem state $(M; N; U; B; k; D)$ if L or $\text{comp}(L)$ occurs in M and the first decision literal left from L ($\text{comp}(L)$) in M , including L , is annotated with i . If there is no such decision literal then its level is zero. A ground constrained clause $\Lambda \parallel C$ is of *level* i with respect to a problem state $(M; N; U; B; k; D)$ if i is the maximal level of a foreground literal in C ; the level of an empty clause $\Lambda \parallel \perp \cdot \sigma$ is 0.

A ground literal L is *undefined* in M if neither L nor $\text{comp}(L)$ occur in M . The initial state for a first-order, pure, abstracted \mathcal{H} clause set N is $(\epsilon; N; \emptyset; B; 0; \top)$, where B is a finite sequence of foreground constants of background sorts. These constants cannot occur in N , because N is pure. The final state $(\epsilon; N; U; B; 0; \wedge \parallel \perp)$ denotes unsatisfiability of N . Given a trail M and its foreground literals $\text{fgd}(M) = \{L_1, \dots, L_n\}$ an \mathcal{H} ordering \prec induced by M is any \mathcal{H} ordering where $L_i \prec L_j$ if L_i occurs left from L_j in M , or, L_i is defined in M and L_j is not.

Propagate $(M; N; U; B; k; \top) \Rightarrow_{\text{SCL}(\top)}$
 $(M, L\sigma^{(\Lambda \parallel C_0 \vee L)\delta \cdot \sigma}, \Lambda'\sigma; N; U; B; k; \top)$

provided $\Lambda \parallel C \in (N \cup U)$, σ is grounding for $\Lambda \parallel C$,
 $\text{adiff}(B) \wedge \text{bgd}(M) \wedge \Lambda\sigma$ is satisfiable, $C = C_0 \vee C_1 \vee L$,
 $C_1\sigma = L\sigma \vee \dots \vee L\sigma$, $C_0\sigma$ does not contain $L\sigma$, δ is the mgu of the
 literals in C_1 and L , $\Lambda'\sigma$ are the background literals from $\Lambda\sigma$ that
 are not yet on the trail, $\text{fgd}(M) \models \neg(C_0\sigma)$, $\text{codom}(\sigma) \subseteq B$, and $L\sigma$
 is undefined in M

The rule Propagate applies exhaustive factoring to the propagated literal with respect to the grounding substitution σ and annotates the factored clause to the propagation. By writing $M, L\sigma^{(\Lambda \parallel C_0 \vee L)\delta \cdot \sigma}, \Lambda'\sigma$ we denote that all background literals from $\Lambda'\sigma$ are added to the trail.

Decide $(M; N; U; B; k; \top) \Rightarrow_{\text{SCL}(\top)}$
 $(M, L\sigma^{k+1}, \Lambda\sigma; N; U; B; k + 1; \top)$

provided $L\sigma$ is undefined in M ,

$|L\sigma| \in \text{atoms}(\text{grd}((\mathcal{S}, B, \Pi), N \cup U))$,

$|K\sigma| \in \text{atoms}(\text{grd}((\mathcal{S}, B, \Pi), N \cup U))$ for all $K\sigma \in \Lambda\sigma$, σ is

grounding for Λ , all background literals in $\Lambda\sigma$ are undefined in M ,

$\text{adiff}(B) \wedge \text{bgd}(M) \wedge \Lambda\sigma$ is satisfiable, and $\text{codom}(\sigma) \subseteq B$

Making sure that no duplicates of background literals occur on the trail by rules Propagate and Decide together with a fixed finite sequence B of constants and the restriction of Propagate and Decide to undefined literals guarantees that the number of potential trails of a run is finite. Requiring the constants from B to be different by the $\text{adiff}(B)$ constraint enables a purely syntactic consistency check for foreground literals.

Conflict $(M; N; U; B; k; \top) \Rightarrow_{\text{SCL}(\mathcal{T})}$
 $(M; N; U; B; k; \Lambda \parallel D \cdot \sigma)$

provided $\Lambda \parallel D \in (N \cup U)$, σ is grounding for $\Lambda \parallel D$,
 $\text{adiff}(B) \wedge \text{bgd}(M) \wedge \Lambda\sigma$ is satisfiable, $\text{fgd}(M) \models \neg(D\sigma)$, and
 $\text{codom}(\sigma) \subseteq B$

Resolve $(M, L\rho^{\Lambda \parallel C \vee L \cdot \rho}; N; U; B; k; (\Lambda' \parallel D \vee L') \cdot \sigma) \Rightarrow_{\text{SCL}(\mathcal{T})}$
 $(M, L\rho^{\Lambda \parallel C \vee L \cdot \rho}; N; U; B; k; (\Lambda \wedge \Lambda' \parallel D \vee C)\eta \cdot \sigma\rho)$
 provided $L\rho = \text{comp}(L'\sigma)$, and $\eta = \text{mgu}(L, \text{comp}(L'))$

Note that Resolve does not remove the literal $L\rho$ from the trail.
 This is needed if the clause $D\sigma$ contains further literals
 complementary of $L\rho$ that have not been factorized.

Factorize $(M; N; U; B; k; (\wedge \parallel D \vee L \vee L') \cdot \sigma) \Rightarrow_{\text{SCL}(\mathbb{T})}$
 $(M; N; U; B; k; (\wedge \parallel D \vee L)\eta \cdot \sigma)$
 provided $L\sigma = L'\sigma$, and $\eta = \text{mgu}(L, L')$

Note that Factorize is not limited with respect to the trail. It may apply to any two literals that become identical by application of the grounding substitution σ .

Skip $(M, L; N; U; B; k; \Lambda' \parallel D \cdot \sigma) \Rightarrow_{\text{SCL}(T)}$
 $(M; N; U; B; l; \Lambda' \parallel D \cdot \sigma)$

provided L is a foreground literal and $\text{comp}(L)$ does not occur in $D\sigma$, or L is a background literal; if L is a foreground decision literal then $l = k - 1$, otherwise $l = k$

Note that Skip can also skip decision literals. This is needed because we won't eventually require exhaustive propagation. While exhaustive propagation in CDCL is limited to the number of propositional variables, in the context of our logic, for example BS(LRA), it is exponential in the arity of foreground predicate symbols and can lead to an unfair exploration of the space of possible inferences, harming completeness, see Example 7.17.9.

Backtrack $(M, K^{i+1}, M'; N; U; B; k; (\Lambda \parallel D \vee L) \cdot \sigma) \Rightarrow_{\text{SCL}(T)}$
 $(M, L\sigma^{(\Lambda \parallel D \vee L) \cdot \sigma}, \Lambda'\sigma; N; U \cup \{\Lambda \parallel D \vee L\}; B; i; T)$

provided $L\sigma$ is of level k , and $D\sigma$ is of level i , $\Lambda'\sigma$ are the background literals from $\Lambda\sigma$ that are not yet on the trail

The definition of Backtrack requires that if $L\sigma$ is the only literal of level k in $(D \vee L)\sigma$ then additional occurrences of $L\sigma$ in D have to be factorized first before Backtrack can be applied.

Grow $(M; N; U; B; k; \top) \Rightarrow_{\text{SCL}(\mathbb{T})} (\epsilon; N; U; B \cup B'; 0; \top)$
 provided B' is a non-empty sequence of foreground constants of background sorts distinct from the constants in B

In case the `adiff` constraint is implemented by a strict ordering predicate on the basis of the sequence B , it can be useful to inject the new constants B' into $B \cup B'$ such that the ordering of the constants from B is not changed. This can help caching background theory results for testing trail satisfiability.

7.17.9 Example (Exhaustive Propagation)

Consider a BS(LRA) clause set

$N = \{x = 0 \parallel \text{Nat}(x), y = x + 1 \parallel \neg \text{Nat}(x) \vee \text{Nat}(y)\} \cup N'$ where N' is unsatisfiable and nothing can be propagated from N' . Let us further assume that N' is satisfiable with respect to any instantiation of variables with natural numbers. If propagation is not restricted, then the first two clauses will consume all constants in B . For example, if $B = [a, b, c]$ then the trail $[\text{Nat}(a), a = 0, \text{Nat}(b), b = a + 1, \text{Nat}(c), c = b + 1]$ will be derived. Now all constants are fixed to natural numbers. So there cannot be a refutation of N' anymore. An application of Grow will not solve the issue, because again the first two rules will fix all constants to natural numbers via exhaustive propagation.