# SCLT Clause Learning from Simple Models Modulo Theories

Let  $\mathcal{T}^{\mathcal{B}}$  be first-order logic *background theory* over signature  $\Sigma^{\mathcal{B}} = (\mathcal{S}^{\mathcal{B}}, \Omega^{\mathcal{B}}, \Pi^{\mathcal{B}})$  and term-generated  $\Sigma^{\mathcal{B}}$ -algebras  $\mathcal{C}^{\mathcal{B}}$ :  $\mathcal{T}^{\mathcal{B}} = (\Sigma^{\mathcal{B}}, \mathcal{C}^{\mathcal{B}})$ . A constant  $c \in \Omega^{\mathcal{B}}$  is called a *domain constant* if  $c^{\mathcal{A}} \neq d^{\mathcal{A}}$  for all  $\mathcal{A} \in \mathcal{C}^{\mathcal{B}}$  and for all  $d \in \Omega^{\mathcal{B}}$  with  $d \neq c$ . Let  $\Sigma^{\mathcal{F}} = (\mathcal{S}^{\mathcal{F}}, \Omega^{\mathcal{F}}, \Pi^{\mathcal{F}})$  be a *foreground signature* with respect to  $\mathcal{T}^{\mathcal{B}}$  where  $\mathcal{S}^{\mathcal{B}} \subseteq \mathcal{S}^{\mathcal{F}}, \Omega^{\mathcal{B}} \cap \Omega^{\mathcal{F}} = \emptyset$ , and  $\Pi^{\mathcal{B}} \cap \Pi^{\mathcal{F}} = \emptyset$ .



#### 7.17.1 Definition (Hierarchic Specification)

A *hierarchic specification* is a pair  $\mathcal{H} = (\mathcal{T}^{\mathcal{B}}, \Sigma^{\mathcal{F}})$  with associated signature  $\Sigma^{\mathcal{H}} = (\mathcal{S}^{\mathcal{F}}, \Omega^{\mathcal{B}} \cup \Omega^{\mathcal{F}}, \Pi^{\mathcal{B}} \cup \Pi^{\mathcal{F}})$ . It generates *hierarchic*  $\Sigma^{\mathcal{H}}$ -algebras. A  $\Sigma^{\mathcal{H}}$ -algebra  $\mathcal{A}$  is called *hierarchic* with respect to its background theory  $\mathcal{T}^{\mathcal{B}}$ , if  $\mathcal{A}^{\mathcal{H}}|_{\Sigma^{\mathcal{B}}} \in \mathcal{C}^{\mathcal{B}}$ .

As usual,  $\mathcal{A}^{\mathcal{H}}|_{\Sigma^{\mathcal{B}}}$  is obtained from a  $\mathcal{A}^{\mathcal{H}}$ -algebra by removing all carrier sets  $S^{\mathcal{A}}$  for all  $S \in (S^{\mathcal{F}} \setminus S^{\mathcal{B}})$ , all functions from  $\Omega^{\mathcal{F}}$  and all predicates from  $\Pi^{\mathcal{F}}$ . We write  $\models_{\mathcal{H}}$  for the entailment relation with respect to hierarchic algebras and formulas from  $\Sigma^{\mathcal{H}}$  and  $\models_{\mathcal{B}}$  for the entailment relation with respect to the  $C^{\mathcal{B}}$  algebras and formulas from  $\Sigma^{\mathcal{B}}$ .



Terms, atoms, literals build over  $\Sigma^{\mathcal{B}}$  are called *pure background* terms. pure background atoms, and pure background literals, respectively. All terms, atoms, with a top-symbol from  $\Omega^{\mathcal{B}}$  or  $\Pi^{\mathcal{B}}$ . respectively, are called *background terms*, *background atoms*, respectively. A background atom or its negation is a background *literal.* All terms, atoms, with a top-symbol from  $\Omega^{\mathcal{F}}$  or  $\Pi^{\mathcal{F}}$ , respectively, are called *foreground terms*, *foreground atoms*, respectively. A foreground atom or its negation is a *foreground literal.* Given a set (sequence) of  $\mathcal{H}$  literals, the function bgd returns the set (sequence) of background literals and the function fgd the respective set (sequence) of foreground literals.



As a running example, I consider in detail the Bernavs-Schoenfinkel clause fragment over linear arithmetic: BS(LRA). The background theory is linear rational arithmetic over the many-sorted signature  $\Sigma^{LRA} = (S^{LRA}, \Omega^{LRA}, \Pi^{LRA})$  with  $\mathcal{S}^{\mathsf{LRA}} = \{\mathsf{LRA}\}, \, \Omega^{\mathsf{LRA}} = \{\mathsf{0}, \mathsf{1}, +, -\} \cup \mathbb{Q},$  $\Pi^{LRA} = \{\langle , \langle, \neq, =, \rangle, \rangle\}$ ) where LRA is the linear arithmetic sort, the function symbols consist of 0, 1, +, - plus the rational numbers and predicate symbols  $<, <, =, \neq, >, >$ . The linear arithmetic theory  $\mathcal{T}^{LRA} = (\Sigma^{LRA}, \{\mathcal{A}^{LRA}\})$  consists of the linear arithmetic signature together with the standard model  $\mathcal{A}^{LRA}$  of linear arithmetic. This theory is then extended by the free (foreground) first-order signature  $\Sigma^{BS} = (\{LRA\}, \Omega^{BS}, \Pi^{BS})$  where  $\Omega^{BS}$  is a set of constants of sort LRA different from  $\Omega^{LRA}$ constants, and  $\Pi^{BS}$  is a set of first-order predicates over the sort LRA. We are interested in hierarchic algebras  $\mathcal{A}^{BS(LRA)}$  over the signature  $\Sigma^{BS(LRA)} = (\{LRA\}, \Omega^{BS} \cup \Omega^{LRA}, \Pi^{BS} \cup \Pi^{LRA})$  that are  $\Sigma^{\overline{BS}(LRA)}$  algebras such that  $\mathcal{A}^{BS(LRA)}|_{\Sigma^{LRA}} = \mathcal{A}^{LRA}_{Febru}$ February 2, 2021 68/94

#### 7.17.2 Definition (Simple Substitutions)

A substitution  $\sigma$  is called *simple* if  $x_S \sigma \in T_S(\Sigma^{\mathcal{B}}, \mathcal{X})$  for all  $x_S \in \text{dom}(\sigma)$  and  $S \in S^{\mathcal{B}}$ .

As usual, clauses are disjunctions of literals with implicitly universally quantified variables. We often write a  $\Sigma^{\mathcal{H}}$  clause as a *constrained clause*, denoted  $\Lambda \parallel C$  where  $\Lambda$  is a conjunction of background literals and *C* is a disjunction of foreground literals semantically denoting the clause  $\neg \Lambda \lor C$ . A *constrained closure* is denoted as  $\Lambda \parallel C \cdot \sigma$  where  $\sigma$  is grounding for  $\Lambda$  and *C*. A constrained closure  $\Lambda \parallel C \cdot \sigma$  denotes the ground constrained clause  $\Lambda \sigma \parallel C \sigma$ .



In addition, we assume a well-founded, total, strict ordering  $\prec$  on ground literals, called an  $\mathcal{H}$ -order, such that background literals are smaller than foreground literals. This ordering is then lifted to constrained clauses and sets thereof by its respective multiset extension. We overload  $\prec$  for literals, constrained clauses, and sets of constrained clause if the meaning is clear from the context. We define  $\preceq$  as the reflexive closure of  $\prec$  and  $N^{\leq \Lambda \parallel C} := \{D \mid D \in N \text{ and } D \leq \Lambda \parallel C\}$ . For example, an instance of an LPO with according precedence can serve as  $\prec$ .



#### 7.17.3 Definition (Abstracted/Pure Clause)

A clause  $\Lambda \parallel C$  is *abstracted* if the arguments of  $S^{\mathcal{B}}$  sort of any predicate from  $\Pi^{\mathcal{F}}$  in an atom in *C* are only variables.  $\Lambda \parallel C$  is called *pure* if it does not contain symbols from  $\Omega^{\mathcal{F}}$  ranging into a sort of  $S^{\mathcal{B}}$ .

These two notions are extended to clause sets in the natural way. Any clause set can be transformed into an abstracted clause set.

**Abstraction**  $N \uplus \{C \lor E[t]_p[s]_q\} \Rightarrow_{ABSTR}$  $N \cup \{C \lor x_s \not\approx s \lor E[x_S]_q\}$ provided t, s are non-variable terms,  $q \not< p$ , sort(s) = S, and either top $(t) \in \Sigma^F$  and top $(s) \in \Sigma^B$  or top $(t) \in \Sigma^B$  and top $(s) \in \Sigma^F$ 



#### 7.17.4 Definition (Clause Redundancy)

A ground constrained clause  $\Lambda \parallel C$  is *redundant* with respect to a set N of ground constrained clauses and an order  $\prec$  if  $N^{\leq \Lambda \parallel C} \models_{\mathcal{H}} \Lambda \parallel C$ . A clause  $\Lambda \parallel C$  is *redundant* with respect to a clause set N, an  $\mathcal{H}$ -order  $\prec$ , and a set of constants B if for all  $\Lambda' \parallel C' \in \operatorname{grd}((S^{\mathcal{F}}, B, \Pi^{\mathcal{B}} \cup \Pi^{\mathcal{F}}), \Lambda \parallel C)$  the clause  $\Lambda' \parallel C'$  is redundant with respect to  $\cup_{D \in N} \operatorname{grd}((S^{\mathcal{F}}, B, \Pi^{\mathcal{B}} \cup \Pi^{\mathcal{F}}), D)$ .



#### 7.17.5 Assumption (Considered Clause Sets)

For the rest of this section I consider only pure, abstracted clause sets *N*. I assume that the background theory  $\mathcal{T}^{\mathcal{B}}$  is term-generated, compact, contains an equality =, and that all constants of the background signature are domain constants. I further assume that the set  $\Omega^{\mathcal{F}}$  contains infinitely many constants for each background sort.



In order for the SCL(T) calculus to be effective, decidability in  $\mathcal{T}^{\mathcal{B}}$  is needed as well. For the calculus we implicitly use the following equivalence: A  $\Sigma^{\mathcal{B}}$  sentence

$$\exists x_1,\ldots,x_n\phi$$

where  $\phi$  is quantifier free is true, i.e.,  $\models_{\mathcal{B}} \exists x_1, \ldots, x_n \phi$  iff the ground formula

$$\phi\{\mathbf{x}_1\mapsto \mathbf{a}_1,\ldots,\mathbf{x}_n\mapsto \mathbf{a}_n\}$$

where the  $a_i$  are  $\Omega^{\mathcal{F}}$  constants of the respective background sorts is  $\mathcal{H}$  satisfiable. Together with decidability in  $\mathcal{T}^{\mathcal{B}}$  this guarantees decidability of the satisfiability of ground constraints from constrained clauses.



If not stated otherwise, satisfiability means satisfiability with respect to  $\mathcal{H}$ . The function  $\operatorname{adiff}(B)$  for some finite sequence of background sort constants denotes a constraint that implies different interpretations for the constants in B. In case the background theory enables a strict ordering < as LRA does, then the ordering can be used for this purpose. For example, adiff([a, b, c]) is then the constraint a < b < c. In case the background theory does not enable a strict ordering, then inequations can express disjointness of the constants. For example, adiff([a, b, c]) is then constraint  $a \neq b \land a \neq c \land b \neq c$ . An ordering constraint has the advantage over an inequality constraint that it also breaks symmetries. Assuming all constants to be different will eventually enable a satisfiability test for foreground literals based on purely syntactic complementarity.



The inference rules of SCL(T) are represented by an abstract rewrite system. They operate on a problem state, a six-tuple  $\Gamma = (M; N; U; B; k; D)$  where M is a sequence of annotated ground literals, the *trail*: N and U are the sets of *initial* and *learned* constrained clauses; *B* is a finite sequence of constants of background sorts for instantiation; k counts the number of decisions in *M*; and *D* is a constrained closure that is either  $\top$ ,  $\Lambda \parallel \perp \cdot \sigma$ , or  $\Lambda \parallel C \cdot \sigma$ . Foreground literals in *M* are either annotated with a number, a level; i.e., they have the form  $L^k$ meaning that L is the k-th guessed decision literal, or they are annotated with a constrained closure that propagated the literal to become true, i.e., they have the form  $(L\sigma)^{(\Lambda \parallel C \lor L) \cdot \sigma}$ . An annotated literal is called a decision literal if it is of the form  $L^k$ and a propagation literal or a propagated literal if it of in the form  $L \cdot \sigma^{(\Lambda \parallel C \lor \dot{L}) \cdot \sigma}$ 



A ground foreground literal *L* is of *level i* with respect to a problem state (M; N; U; B; k; D) if *L* or comp(*L*) occurs in *M* and the first decision literal left from *L* (comp(*L*)) in *M*, including *L*, is annotated with *i*. If there is no such decision literal then its level is zero. A ground constrained clause  $\Lambda \parallel C$  is of *level i* with respect to a problem state (M; N; U; B; k; D) if *i* is the maximal level of a foreground literal in *C*; the level of an empty clause  $\Lambda \parallel \bot \cdot \sigma$  is 0.



A ground literal *L* is *undefined* in *M* if neither *L* nor comp(*L*) occur in *M*. The initial state for a first-order, pure, abstracted  $\mathcal{H}$  clause set *N* is ( $\epsilon$ ; *N*;  $\emptyset$ ; *B*; 0;  $\top$ ), where *B* is a finite sequence of foreground constants of background sorts. These constants cannot occur in *N*, because *N* is pure. The final state ( $\epsilon$ ; *N*; *U*; *B*; 0;  $\land \parallel \bot$ ) denotes unsatisfiability of *N*. Given a trail *M* and its foreground literals fgd(*M*) = {*L*<sub>1</sub>,..., *L*<sub>n</sub>} an  $\mathcal{H}$  ordering  $\prec$ *induced* by *M* is any  $\mathcal{H}$  ordering where *L*<sub>*i*</sub>  $\prec$  *L*<sub>*j*</sub> if *L*<sub>*i*</sub> occurs left from *L*<sub>*j*</sub> in *M*, or, *L*<sub>*i*</sub> is defined in *M* and *L*<sub>*j*</sub> is not.



**Propagate**  $(M; N; U; B; k; \top) \Rightarrow_{SCL(T)} (M, L\sigma^{(\Lambda \parallel C_0 \lor L)\delta \cdot \sigma}, \Lambda' \sigma; N; U; B; k; \top)$ 

provided  $\Lambda \parallel C \in (N \cup U)$ ,  $\sigma$  is grounding for  $\Lambda \parallel C$ , adiff(B)  $\land$  bgd(M)  $\land \Lambda \sigma$  is satisfiable,  $C = C_0 \lor C_1 \lor L$ ,  $C_1 \sigma = L \sigma \lor \ldots \lor L \sigma$ ,  $C_0 \sigma$  does not contain  $L \sigma$ ,  $\delta$  is the mgu of the literals in  $C_1$  and L,  $\Lambda' \sigma$  are the background literals from  $\Lambda \sigma$  that are not yet on the trail, fgd(M)  $\models \neg(C_0 \sigma)$ , codom( $\sigma$ )  $\subseteq B$ , and  $L \sigma$ is undefined in M

The rule Propagate applies exhaustive factoring to the propagated literal with respect to the grounding substitution  $\sigma$  and annotates the factored clause to the propagation. By writing  $M, L\sigma^{(\Lambda || C_0 \lor L) \delta \cdot \sigma}, \Lambda' \sigma$  we denote that all background literals from  $\Lambda' \sigma$  are added to the trail.



**Decide**  $(M; N; U; B; k; \top) \Rightarrow_{SCL(T)}$  $(M, L\sigma^{k+1}, \Lambda\sigma; N; U; B; k+1; \top)$ provided  $L\sigma$  is undefined in M,  $|L\sigma| \in \operatorname{atoms}(\operatorname{grd}((S, B, \Pi), N \cup U)),$  $|K\sigma| \in \operatorname{atoms}(\operatorname{grd}((S, B, \Pi), N \cup U))$  for all  $K\sigma \in \Lambda\sigma, \sigma$  is grounding for  $\Lambda$ , all background literals in  $\Lambda\sigma$  are undefined in M,  $\operatorname{adiff}(B) \land \operatorname{bgd}(M) \land \Lambda\sigma$  is satisfiable, and  $\operatorname{codom}(\sigma) \subseteq B$ 

Making sure that no duplicates of background literals occur on the trail by rules Propagate and Decide together with a fixed finite sequence *B* of constants and the restriction of Propagate and Decide to undefined literals guarantees that the number of potential trails of a run is finite. Requiring the constants from *B* to be different by the adiff(B) constraint enables a purely syntactic consistency check for foreground literals.



**Conflict**  $(M; N; U; B; k; \top) \Rightarrow_{\text{SCL}(T)} (M; N; U; B; k; \land || D \cdot \sigma)$ 

provided  $\land \parallel D \in (N \cup U)$ ,  $\sigma$  is grounding for  $\land \parallel D$ , adiff(B)  $\land$  bgd(M)  $\land \land \sigma$  is satisfiable, fgd(M)  $\models \neg(D\sigma)$ , and codom( $\sigma$ )  $\subseteq B$ 

**Resolve**  $(M, L\rho^{\Lambda \parallel C \lor L \cdot \rho}; N; U; B; k; (\Lambda' \parallel D \lor L') \cdot \sigma) \Rightarrow_{SCL(T)} (M, L\rho^{\Lambda \parallel C \lor L \cdot \rho}; N; U; B; k; (\Lambda \land \Lambda' \parallel D \lor C)\eta \cdot \sigma\rho)$ provided  $L\rho = comp(L'\sigma)$ , and  $\eta = mgu(L, comp(L'))$ 

Note that Resolve does not remove the literal  $L\rho$  from the trail. This is needed if the clause  $D\sigma$  contains further literals complementary of  $L\rho$  that have not been factorized.



**Factorize**  $(M; N; U; B; k; (\Lambda \parallel D \lor L \lor L') \cdot \sigma) \Rightarrow_{SCL(T)} (M; N; U; B; k; (\Lambda \parallel D \lor L)\eta \cdot \sigma)$ provided  $L\sigma = L'\sigma$ , and  $\eta = mgu(L, L')$ 

Note that Factorize is not limited with respect to the trail. It may apply to any two literals that become identical by application of the grounding substitution  $\sigma$ .



## **Skip** $(M, L; N; U; B; k; \Lambda' \parallel D \cdot \sigma) \Rightarrow_{SCL(T)} (M; N; U; B; I; \Lambda' \parallel D \cdot \sigma)$

provided *L* is a foreground literal and comp(L) does not occur in  $D\sigma$ , or *L* is a background literal; if *L* is a foreground decision literal then l = k - 1, otherwise l = k

Note that Skip can also skip decision literals. This is needed because we won't eventually require exhaustive propagation. While exhaustive propagation in CDCL is limited to the number of propositional variables, in the context of our logic, for example BS(LRA), it is exponential in the arity of foreground predicate symbols and can lead to an unfair exploration of the space of possible inferences, harming completeness, see Example 7.17.9.



**Backtrack**  $(M, K^{i+1}, M'; N; U; B; k; (\Lambda \parallel D \lor L) \cdot \sigma) \Rightarrow_{SCL(T)} (M, L\sigma^{(\Lambda \parallel D \lor L) \cdot \sigma}, \Lambda' \sigma; N; U \cup \{\Lambda \parallel D \lor L\}; B; i; \top)$ 

provided  $L\sigma$  is of level *k*, and  $D\sigma$  is of level *i*,  $\Lambda'\sigma$  are the background literals from  $\Lambda\sigma$  that are not yet on the trail

The definition of Backtrack requires that if  $L\sigma$  is the only literal of level k in  $(D \lor L)\sigma$  then additional occurrences of  $L\sigma$  in D have to be factorized first before Backtrack can be applied.



### **Grow** $(M; N; U; B; k; \top) \Rightarrow_{SCL(T)} (\epsilon; N; U; B \cup B'; 0; \top)$ provided *B'* is a non-empty sequence of foreground constants of background sorts distinct from the constants in *B*

In case the adiff constraint is implemented by a strict ordering predicate on the basis of the sequence *B*, it can be useful to inject the new constants *B'* into  $B \cup B'$  such that the ordering of the constants from *B* is not changed. This can help caching background theory results for testing trail satisfiability.



#### 7.17.9 Example (Exhaustive Propagation)

Consider a BS(LRA) clause set  $N = \{x = 0 || \operatorname{Nat}(x), y = x + 1 || \neg \operatorname{Nat}(x) \lor \operatorname{Nat}(y)\} \cup N'$  where N' is unsatisfiable and nothing can be propagated from N'. Let us further assume that N' is satisfiable with respect to any instantiation of variables with natural numbers. If propagation is not restricted, then the first two clauses will consume all constants in B. For example, if B = [a, b, c] then the trail [Nat(a), a = 0, Nat(b), b = a + 1, Nat(c), c = b + 1] will be derived. Now all constants are fixed to natural numbers. So there cannot be a refutation of N' anymore. An application of Grow will not solve the issue, because again the first two rules will fix all constants to natural numbers via exhaustive propagation.



#### 7.17.10 Definition (Well-formed States)

A state (*M*; *N*; *U*; *B*; *k*; *D*) is *well-formed* if the following conditions hold:

- 1. all constants appearing in (*M*; *N*; *U*; *B*; *k*; *D*) are from *B* or occur in *N*.
- 2.  $M \land \operatorname{adiff}(B)$  is satisfiable
- 3. *N* ⊨<sub>*H*</sub> *U*,
- 4. Propagating clauses remain propagating and conflict clauses remain false:
  - 1..1 if  $D = \Lambda \parallel C \cdot \sigma$  then  $C\sigma$  is false in fgd(*M*) and  $bgd(M) \wedge adiff(B) \wedge \Lambda\sigma$  is satisfiable,
  - 2..2 if  $M = M_1, L\sigma^{(\Lambda \parallel C \lor L) \cdot \sigma}, M_2$  then  $C\sigma$  is false in fgd $(M_1), L\sigma$  is undefined in  $M_1$ , and bgd $(M_1) \land adiff(B) \land \Lambda \sigma$  is satisfiable.
- 5. All clauses in  $N \cup U$  are pure. In particular, they don't contain any constants from *B*.

#### 7.17.11 Lemma (Rules preserve Well-Formed States)

The rules of SCL(T) preserve well-formed states.

#### 7.17.12 Definition (Stuck State)

A state (*M*; *N*; *U*; *B*; *k*; *D*) is called *stuck* if  $D \neq \Lambda \parallel \bot \cdot \sigma$  and none of the rules Propagate, Decide, Conflict, Resolve, Factorize, Skip, or Backtrack is applicable.

#### 7.17.13 Proposition (Form of Stuck States)

If a run (without rule Grow) ends in a stuck state (M; N; U; B; k; D) where Conflict was applied eagerly, then  $D = \top$  and all ground foreground literals that can be build from the foreground literals in N by instantiation with constants from B are defined in M.



#### Lemma (Stuck States Produce Ground Models)

If a state  $(M; N; U; B; k; \top)$  is stuck then  $M \land \operatorname{adiff}(B) \models \operatorname{grd}((\mathcal{S}, B, \Pi), N \cup U).$ 



#### 7.17.15 Example (SCL(T) Model Extraction)

In some cases it is possible to extract an overall model from the ground trail of a stuck state of an SCL(T) derivation. Consider B = [a, b, c] and a satisfiable BS(LRA) constrained clause set  $N = \{x > 1 \parallel P(x), x < 0 \parallel P(x), 0 < x \land x < 1 \parallel \neg P(x), 0 < x \land x < 1 \parallel \neg P(x), 0 < x \land x < 1 \parallel \neg P(x), 0 < x \land x < 1 \parallel \neg P(x), 0 < x \land x < 1 \parallel \neg P(x), 0 < x \land x < 1 \parallel \neg P(x), 0 < x \land x < 1 \parallel \neg P(x), 0 < x \land x < 1 \parallel \neg P(x), 0 < x \land x < 1 \parallel \neg P(x), 0 < x \land x < 1 \parallel \neg P(x), 0 < x \land x < 1 \parallel \neg P(x), 0 < x \land x < 1 \parallel \neg P(x), 0 < x \land x < 1 \parallel \neg P(x), 0 < x \land x < 1 \parallel \neg P(x), 0 < x \land x < 1 \parallel \neg P(x), 0 < x \land x < 1 \parallel \neg P(x), 0 < x \land x < 1 \parallel \neg P(x), 0 < x \land x < 1 \parallel \neg P(x), 0 < x \land x < 1 \parallel \neg P(x), 0 < x \land x < 1 \parallel \neg P(x), 0 < x \land x < 1 \parallel \neg P(x), 0 < x \land x < 1 \parallel \neg P(x), 0 < x \land x < 1 \parallel \neg P(x), 0 < x \land x < 1 \parallel \neg P(x), 0 < x \land x < 1 \parallel \neg P(x), 0 < x \land x < 1 \parallel \neg P(x), 0 < x \land x < 1 \parallel \neg P(x), 0 < x \land x < 1 \parallel \neg P(x), 0 < x \land x < 1 \parallel \neg P(x), 0 < x \land x < 1 \parallel \neg P(x), 0 < x \land x < 1 \parallel \neg P(x), 0 < x \land x < 1 \parallel \neg P(x), 0 < x \land x < 1 \parallel \neg P(x), 0 < x \land x < 1 \parallel \neg P(x), 0 < x \land x < 1 \parallel \neg P(x), 0 < x \land x < 1 \parallel \neg P(x), 0 < x \land x < 1 \parallel \neg P(x), 0 < x \land x < 1 \parallel \neg P(x), 0 < x \land x < 1 \parallel \neg P(x), 0 < x \land x < 1 \parallel \neg P(x), 0 < x \land x < 1 \parallel \neg P(x), 0 < x \land x < 1 \parallel \neg P(x), 0 < x \land x < 1 \parallel \neg P(x), 0 < x \land x < 1 \parallel \neg P(x), 0 < x \land x < 1 \parallel \neg P(x), 0 < x \land x < 1 \parallel \neg P(x), 0 < x \land x < 1 \parallel \neg P(x), 0 < x \land x < 1 \parallel \neg P(x), 0 < x \land x < 1 \parallel \neg P(x), 0 < x \land x < 1 \parallel \neg P(x), 0 < x \land x < 1 \parallel \neg P(x), 0 < x \land x < 1 \parallel \neg P(x), 0 < x \land x < 1 \parallel \neg P(x), 0 < x \land x < 1 \parallel \neg P(x), 0 < x \land x < 1 \parallel \neg P(x), 0 < x \land x < 1 \parallel \neg P(x), 0 < x \land x < 1 \parallel \neg P(x), 0 < x \land x < 1 \parallel \neg P(x), 0 < x \land x < 1 \parallel \neg P(x), 0 < x \land x < 1 \parallel \neg P(x), 0 < x \land x < 1 \upharpoonright \neg P(x), 0 < x \land x < 1 \upharpoonright \neg P(x), 0 < x \land x < 1 \upharpoonright \neg P(x), 0 < x \land x < 1 \upharpoonright \neg P(x), 0 < x \land x < 1 \land x <$  $2x > 1 \parallel P(x) \lor Q(x)$ . Starting from state  $(\epsilon; N; \emptyset; B; 0; \top)$  and applying Propagate fairly a regular run can derive the following trail  $M = P(a)^{x \ge 1 || P(x) \cdot \{x \mapsto a\}}, a > 1, P(b)^{x < 0 || P(x) \cdot \{x \mapsto b\}}, b < 0,$  $\neg P(c)^{0 \leq x \land x < 1} \| \neg P(x) \cdot \{x \mapsto c\}, 0 < c, c < 1, Q(c)^{2x \geq 1} \| P \lor Q(x) \cdot \{x \mapsto c\}, 0 < c, c < 1, Q(c)^{2x \geq 1} \| P \lor Q(x) \cdot \{x \mapsto c\}, 0 < c, c < 1, Q(c)^{2x \geq 1} \| P \lor Q(x) \cdot \{x \mapsto c\}, 0 < c, c < 1, Q(c)^{2x \geq 1} \| P \lor Q(x) \cdot \{x \mapsto c\}, 0 < c, c < 1, Q(c)^{2x \geq 1} \| P \lor Q(x) \cdot \{x \mapsto c\}, 0 < c, c < 1, Q(c)^{2x \geq 1} \| P \lor Q(x) \cdot \{x \mapsto c\}, 0 < c, c < 1, Q(c)^{2x \geq 1} \| P \lor Q(x) \cdot \{x \mapsto c\}, 0 < c, c < 1, Q(c)^{2x \geq 1} \| P \lor Q(x) \cdot \{x \mapsto c\}, 0 < c, c < 1, Q(c)^{2x \geq 1} \| P \lor Q(x) \cdot \{x \mapsto c\}, 0 < c, c < 1, Q(c)^{2x \geq 1} \| P \lor Q(x) \cdot \{x \mapsto c\}, 0 < c, c < 1, Q(c)^{2x \geq 1} \| P \lor Q(x) \cdot \{x \mapsto c\}, 0 < c, c < 1, Q(c)^{2x \geq 1} \| P \lor Q(x) \cdot \{x \mapsto c\}, 0 < c, c < 1, Q(c)^{2x \geq 1} \| P \lor Q(x) \cdot \{x \mapsto c\}, 0 < c, c < 1, Q(c)^{2x \geq 1} \| P \lor Q(x) \cdot \{x \mapsto c\}, 0 < c, c < 1, Q(c)^{2x \geq 1} \| P \lor Q(x) \cdot \{x \mapsto c\}, 0 < c, c < 1, Q(c)^{2x \geq 1} \| P \lor Q(x) \cdot \{x \mapsto c\}, 0 < c, c < 1, Q(c)^{2x \geq 1} \| P \lor Q(x) \cdot \{x \mapsto c\}, 0 < c, c < 1, Q(c)^{2x \geq 1} \| P \lor Q(x) \cdot \{x \mapsto c\}, 0 < c, c < 1, Q(c)^{2x \geq 1} \| P \lor Q(x) \cdot \{x \mapsto c\}, 0 < c, c < 1, Q(c)^{2x \geq 1} \| P \lor Q(x) \cdot \{x \mapsto c\}, 0 < c, c < 1, Q(c)^{2x \geq 1} \| P \lor Q(x) \cdot \{x \mapsto c\}, 0 < c, c < 1, Q(c)^{2x \geq 1} \| P \lor Q(x) \cdot \{x \mapsto c\}, 0 < c, c < 1, Q(c)^{2x \geq 1} \| P \lor Q(x) \cdot \{x \mapsto c\}, 0 < c, c < 1, Q(c)^{2x \geq 1} \| P \lor Q(x) \cdot \{x \mapsto c\}, 0 < c, c < 1, Q(c)^{2x \geq 1} \| P \lor Q(x) \cdot \{x \mapsto c\}, 0 < c, c < 1, Q(c)^{2x \geq 1} \| P \lor Q(x) \cdot \{x \mapsto c\}, 0 < c, c < 1, Q(c)^{2x \geq 1} \| P \lor Q(x) \cdot \{x \mapsto c\}, 0 < c, c < 1, Q(c)^{2x \geq 1} \| P \lor Q(x) \cdot \{x \mapsto c\}, 0 < c, c < 1, Q(c)^{2x \geq 1} \| P \lor Q(x) \cdot \{x \mapsto c\}, 0 < c, c < 1, Q(c)^{2x \geq 1} \| P \lor Q(x) \cdot \{x \mapsto c\}, 0 < c, c < 1, Q(c)^{2x \geq 1} \| P \lor Q(x) \cdot \{x \mapsto c\}, 0 < c, c < 1, Q(c)^{2x \geq 1} \| P \lor Q(x) \cdot \{x \mapsto c\}, 0 < c, c < 1, Q(c)^{2x \geq 1} \| P \lor Q(x) \cdot \{x \mapsto c\}, 0 < c, c < 1, Q(c)^{2x \geq 1} \| P \lor Q(x) \cdot \{x \mapsto c\}, 0 < c, c < 1, Q(c)^{2x \geq 1} \| P \lor Q(x) + (c, c)^{2x \geq 1} \| P \lor Q(x) + (c, c)^{2x \geq 1} \| P \lor Q(x) + (c, c)^{2x \geq 1} \| P \lor Q(x) + (c, c)^{2x \geq 1} \| P \lor Q(x) + (c, c)^{2x \geq 1} \| P \lor Q(x) + (c, c)^{2x \geq 1} \| P \lor Q(x) + (c, c)^{2x \geq 1} \| P \lor Q(x) + (c, c)^{2x \geq 1} \| P \lor Q(x) + (c, c)^{2x \geq 1} \| P \lor Q(x) +$ The state  $(M; N; \emptyset; B; 0; \top)$  is stuck and  $M \models_{\mathcal{H}} \operatorname{grd}((\mathcal{S}, B, \Pi), N)$ . Moreover from M we can generate an interpretation  $\mathcal{A}^{BS(LRA)}$  of N by generalizing the foreground constants used for instantiation and interpreting the predicates *P* and *Q* as formulas over  $\Sigma^{\mathcal{B}}$ .  $P^{\mathcal{A}} = \{ q \in \mathbb{Q} \mid q < 0 \lor q \ge 1 \}$  and  $Q^{\mathcal{A}} = \{ q \in \mathbb{Q} \mid 2q \geq 1 \land q < 1 \}.$ 

#### 7.17.16 Lemma (Soundness)

If a derivation reaches the state (*M*; *N*; *U*; *B*; *k*;  $\land \parallel \perp \cdot \sigma$ ), then *N* is unsatisfiable.

#### 7.17.17 Definition (Reasonable Run)

A sequence of SCL(T) rule applications is called a *reasonable run* if the rule Decide is only applied if there exists no application of the rule Propagate that would generate a conflict.

#### 7.17.18 Definition (Regular Run)

A sequence of SCL(T) rule applications is called a *regular run* if it is a reasonable run the rule Conflict has precedence over all other rules, and Resolve resolves away at least the rightmost foreground literal from the trail.



#### 7.17.23 Lemma (Non-Redundant Clause Learning)

Let *N* be a set of constrained clauses. Then clauses learned in an SCL(T) regular run from starting state ( $\epsilon$ ; *N*;  $\emptyset$ ; *B*; 0;  $\top$ ) are not redundant.

#### 7.17.24 Lemma (Termination of SCL(T))

Let *N* be a set of constrained clauses and *B* be a finite set of background constants. Then any regular run with start state  $(\epsilon; N; \emptyset; B; 0; \top)$  that uses Grow only finitely often terminates.



#### 7.17.25 Theorem (Hierarchic Herbrand Theorem)

Let *N* be a finite set of clauses. *N* is unsatisfiable iff there exists a finite set  $N' = \{\Lambda_1 \parallel C_1, \ldots, \Lambda_n \parallel C_n\}$  of variable renamed copies of clauses from *N* and a finite set *B* of fresh constants and a substitution  $\sigma$ , grounding for *N'* where  $codom(\sigma) = B$  such that  $\bigwedge_i \Lambda_i \sigma$  is  $\mathcal{T}^{\mathcal{B}}$  satisfiable and  $\bigwedge_i C_i \sigma$  is first-order unsatisfiable over  $\Sigma^{\mathcal{F}}$ .

#### 7.17.26 Theorem (Refutational Completeness of SCL(T))

Let *N* be an unsatisfiable clause set. Then any regular SCL(T) run will derive the empty clause provided (i) Rule Grow and Decide are operated in a fair way, such that all possible trail prefixes of all considered sets *B* during the run are eventually explored, and (ii) Restart is only applied to stuck states.

