1.4 Orderings

An ordering $R$ is a binary relation on some set $M$. Depending on particular properties such as
1.4. ORDERINGS

There are different types of orderings. The relation $=$ is the identity relation on $M$. The quantifier $\forall$ reads “for all”, and the boolean connectives $\land$, $\lor$, and $\rightarrow$ read “and”, “or”, and “implies”, respectively. For example, the above formula stating reflexivity $\forall x \in M R(x,x)$ is a shorthand for “for all $x \in M$ the relation $R(x,x)$ holds”.

Actually, the definition of the above properties is informal in the sense that I rely on the meaning of certain symbols such as $\in$ or $\rightarrow$. While the former is assumed to be known from school math, the latter is “explained” above. So, strictly speaking this book is neither self-contained, nor overall formal. For the concrete logics developed in subsequent chapters, I will formally define $\rightarrow$ but here, where it is used to state properties needed to eventually define the notion of an ordering, it remains informal. Although it is possible to develop the overall content of this book in a completely formal style, such an approach is typically impossible to read and comprehend. Since this book is about teaching a general framework to eventually generate automated reasoning procedures this would not be the right way to go. In particular, being informal starts already with the use of natural language. In order to support this “mixed” style, examples and exercises deepen the understanding and rule out potential misconceptions.

Now, based on the above defined properties of a relation, the usual notions with respect to orderings are stated below.

**Definition 1.4.1 (Orderings).** A (partial) ordering $\succeq$ (or simply ordering) on a set $M$, denoted $(M, \succeq)$, is a reflexive, antisymmetric, and transitive binary relation on $M$. It is a total ordering if it also satisfies the totality property. A strict (partial) ordering $\succ$ is a transitive and irreflexive binary relation on $M$. A strict ordering is well-founded, if there is no infinite descending chain $m_0 \succ m_1 \succ m_2 \succ \ldots$ where $m_i \in M$.

Given a strict partial order $\succ$ on some set $M$, its respective partial order $\succeq$ is constructed by adding the identities ($\succ \cup =$). If the partial order $\succeq$ extension of some strict partial order $\succ$ is total, then we call also $\succ$ total. As an alternative, a strict partial order $\succ$ is total if it satisfies the strict totality axiom $\forall x, y \in M (x \neq y \rightarrow (R(x,y) \lor R(y,x)))$. Given some ordering $\succ$ the respective ordering $\prec$ is defined by $a \prec b$ iff $b \succ a$.

**Example 1.4.2.** The well-known relation $\leq$ on $\mathbb{N}$, where $k \leq l$ if there is an $j$ so that $k + j = l$ for $k, l, j \in \mathbb{N}$, is a total ordering on the naturals. Its strict subrelation $<$ is well-founded on the naturals. However, $<$ is not well-founded on $\mathbb{Z}$. 
Definition 1.4.3 (Minimal and Smallest Elements). Given a strict ordering $(M, \succ)$, an element $m \in M$ is called minimal, if there is no element $m' \in M$ so that $m \succ m'$. An element $m \in M$ is called smallest, if $m' \succ m$ for all $m' \in M$ different from $m$.

Note the subtle difference between minimal and smallest. There may be several minimal elements in a set $M$ but only one smallest element. Furthermore, in order for an element being smallest in $M$ it needs to be comparable to all other elements from $M$.

Example 1.4.4. In $\mathbb{N}$ the number 0 is smallest and minimal with respect to $\prec$. For the set $M = \{q \in \mathbb{Q} \mid 5 < q\}$ the ordering $\prec$ on $M$ is total, has the minimal and smallest element 5 but is not well-founded.

If $\prec$ is the ancestor relation on the members of a human family, then $\prec$ typically will have several minimal elements, the currently youngest children of the family, but no smallest element, as long as there is a couple with more than one child. Furthermore, $\prec$ is not total, but well-founded.

Well-founded orderings can be combined to more complex well-founded orderings by lexicographic or multiset extensions.

Definition 1.4.5 (Lexicographic and Multiset Ordering Extensions). Let $(M_1, \succ_1)$ and $(M_2, \succ_2)$ be two strict orderings. Their lexicographic combination $\succ_{\text{lex}} = (\succ_1, \succ_2)$ on $M_1 \times M_2$ is defined as $(m_1, m_2) \succ (m'_1, m'_2)$ iff $m_1 \succ_1 m'_1$ or $m_1 = m'_1$ and $m_2 \succ_2 m'_2$.

Let $(M, \succ)$ be a strict ordering. The multiset extension $\succ_{\text{mul}}$ to multisets over $M$ is defined by $S_1 \succ_{\text{mul}} S_2$ iff $S_1 \neq S_2$ and $\forall m \in M \{S_2(m) > S_1(m) \rightarrow \exists m' \in M \{m' \succ m \land S_1(m') > S_2(m')\}]$.

The definition of the lexicographic ordering extensions can be expanded to $n$-tuples in the obvious way. So it is also the basis for the standard lexicographic ordering on words as used, e.g., in dictionaries. In this case the $M_i$ are alphabets, say a-z, where $a < b < \ldots < z$. Then according to the above definition tiger $\prec$ tree.

Example 1.4.6 (Multiset Ordering). Consider the multiset extension of $(\mathbb{N}, \succ)$. Then $\{2\} \succ_{\text{mul}} \{1, 1, 1\}$ because there is no element in $\{1, 1, 1\}$ that is larger than 2. As a border case, $\{2, 1\} \succ_{\text{mul}} \{2\}$ because there is no element that has more occurrences in $\{2\}$ compared to $\{2, 1\}$. The other way round, 1 has more occurrences in $\{2, 1\}$ than in $\{2\}$ and there is no larger element to compensate for it, so $\{2\} \not\succ_{\text{mul}} \{2, 1\}$.

Proposition 1.4.7 (Properties of Lexicographic and Multiset Ordering Extensions). Let $(M, \succ)$, $(M_1, \succ_1)$, and $(M_2, \succ_2)$ be orderings. Then

1. $\succ_{\text{lex}}$ is an ordering on $M_1 \times M_2$.
2. if $(M_1, \succ_1)$ and $(M_2, \succ_2)$ are well-founded so is $\succ_{\text{lex}}$.
3. if $(M_1, \succ_1)$ and $(M_2, \succ_2)$ are total so is $\succ_{\text{lex}}$. 
1.5. INDUCTION

4. \( \succ_{\text{mul}} \) is an ordering on multisets over \( M \).

5. if \( (M, \succ) \) is well-founded so is \( \succ_{\text{mul}} \).

6. if \( (M, \succ) \) is total so is \( \succ_{\text{mul}} \).

Please recall that multisets are finite.

The lexicographic ordering on words is not well-founded if words of arbitrary length are considered. Starting from the standard ordering on the alphabet, e.g., the following infinite descending sequence can be constructed: \( b \succ ab \succ aab \succ \ldots \). It becomes well-founded if it is lexicographically combined with the length ordering, see Exercise ??.

**Lemma 1.4.8** (König’s Lemma). Every finitely branching tree with infinitely many nodes contains an infinite path.

1.5 Induction

More or less all sets of objects in computer science or logic are defined inductively. Typically, this is done in a bottom-up way, where starting with some definite set, it is closed under a given set of operations.

**Example 1.5.1** (Inductive Sets). In the following, some examples for inductively defined sets are presented:

1. The set of all Sudoku problem states, see Section 1.1, consists of the set of start states \((N; \top; \top)\) for consistent assignments \( N \) plus all states that can be derived from the start states by the rules Deduce, Conflict, Backtrack, and Fail. This is a finite set.

2. The set \( \mathbb{N} \) of the natural numbers, consists of 0 plus all numbers that can be computed from 0 by adding 1. This is an infinite set.

3. The set of all strings \( \Sigma^* \) over a finite alphabet \( \Sigma \). All letters of \( \Sigma \) are contained in \( \Sigma^* \) and if \( u \) and \( v \) are words out of \( \Sigma^* \) so is the word \( uv \), see Section 1.2. This is an infinite set.

All the previous examples have in common that there is an underlying well-founded ordering on the sets induced by the construction. The minimal elements for the Sudoku are the problem states \((N; \top; \top)\), for the natural numbers it is 0 and for the set of strings it is the empty word. Now in order to prove a property of an inductive set it is sufficient to prove it (i) for the minimal element(s) and (ii) assuming the property for an arbitrary set of elements, to prove that it holds for all elements that can be constructed “in one step” out those elements. This is the principle of **Noetherian Induction**.
Theorem 1.5.2 (Noetherian Induction). Let \((M, \succ)\) be a well-founded ordering, and let \(Q\) be a predicate over elements of \(M\). If for all \(m \in M\) the implication

\[
\text{if } Q(m'), \text{ for all } m' \in M \text{ so that } m \succ m', \quad \text{(induction hypothesis)} \\
\text{then } Q(m). \quad \text{(induction step)}
\]

is satisfied, then the property \(Q(m)\) holds for all \(m \in M\).

Proof. Let \(X = \{m \in M \mid Q(m) \text{ does not hold}\}\). Suppose, \(X \neq \emptyset\). Since \((M, \succ)\) is well-founded, \(X\) has a minimal element \(m_1\). Hence for all \(m' \in M\) with \(m' \prec m_1\) the property \(Q(m')\) holds. On the other hand, the implication which is presupposed for this theorem holds in particular also for \(m_1\), hence \(Q(m_1)\) must be true so that \(m_1\) cannot be in \(X\) - a contradiction.

Note that although the above implication sounds like a one step proof technique it is actually not. There are two cases. The first case concerns all elements that are minimal with respect to \(\prec\) in \(M\) and for those the predicate \(Q\) needs to hold without any further assumption. The second case is then the induction step showing that by assuming \(Q\) for all elements strictly smaller than some \(m\), \(Q\) holds for \(m\).

Now for context free grammars. Let \(G = (N, T, P, S)\) be a context-free grammar (possibly infinite) and let \(q\) be a property of \(T^*\) (the words over the alphabet \(T\) of terminal symbols of \(G\)).

\(q\) holds for all words \(w \in L(G)\), whenever one can prove the following two properties:

1. **(base cases)**
   
   \(q(w')\) holds for each \(w' \in T^*\) so that \(X := w'\) is a rule in \(P\).

2. **(step cases)**
   
   If \(X := w_0X_0w_1 \ldots w_nX_nw_{n+1}\) is in \(P\) with \(X_i \in N\), \(w_i \in T^*, n \geq 0\), then for all \(w'_i \in L(G, X_i)\), whenever \(q(w'_i)\) holds for \(0 \leq i \leq n\), then also \(q(w_0w'_0w_1 \ldots w_nw'_nw_{n+1})\) holds.

Here \(L(G, X_i) \subseteq T^*\) denotes the language generated by the grammar \(G\) from the non-terminal \(X_i\).

Let \(G = (N, T, P, S)\) be an unambiguous (why?) context-free grammar. A function \(f\) is well-defined on \(L(G)\) (that is, unambiguously defined) whenever these 2 properties are satisfied:

1. **(base cases)**
   
   \(f\) is well-defined on the words \(w' \in T^*\) for each rule \(X := w'\) in \(P\).

2. **(step cases)**
   
   If \(X := w_0X_0w_1 \ldots w_nX_nw_{n+1}\) is a rule in \(P\) then \(f(w_0w'_0w_1 \ldots w_nw'_nw_{n+1})\) is well-defined, assuming that each of the \(f(w'_i)\) is well-defined.
1.6 Rewrite Systems

The final ingredient to actually start the journey through different logical systems is rewrite systems. Here I define the needed computer science background for defining algorithms in the form of rule sets. In Section 1.1 the rewrite rules Deduce, Conflict, Backtrack, and Fail defined an algorithm for solving 4 × 4 Sudokus. The rules operate on the set of Sudoku problem states, starting with a set of initial states \((N; \top; \top)\) and finishing either in a solution state \((N; D; \top)\) or a fail state \((N; \top; \bot)\). The latter are called normal forms (see below) with respect to the above rules, because no more rule is applicable to a solution state \((N; D; \top)\) or a fail state \((N; \top; \bot)\).

**Definition 1.6.1 (Rewrite System).** A rewrite system is a pair \((M, \rightarrow)\), where \(M\) is a non-empty set and \(\rightarrow \subseteq M \times M\) is a binary relation on \(M\). Figure 1.4 defines the needed notions for \(\rightarrow\).

\[
\begin{align*}
\rightarrow^0 &= \{(a, a) \mid a \in M\} & \text{identity} \\
\rightarrow^{i+1} &= \rightarrow^i \circ \rightarrow & \text{i + 1-fold composition} \\
\rightarrow^+ &= \bigcup_{i>0} \rightarrow^i & \text{transitive closure} \\
\rightarrow^* &= \bigcup_{i>0} \rightarrow^i = \rightarrow^+ \cup \rightarrow^0 & \text{reflexive transitive closure} \\
\rightarrow^{-1} &= \{(b, c) \mid c \rightarrow b\} & \text{inverse} \\
\leftrightarrow &= \rightarrow \cup \leftarrow & \text{symmetric closure} \\
\leftrightarrow^+ &= (\leftrightarrow)^+ & \text{transitive symmetric closure} \\
\leftrightarrow^* &= (\leftrightarrow)^* & \text{refl. trans. symmetric closure}
\end{align*}
\]

Figure 1.4: Notation on \(\rightarrow\)

For a rewrite system \((M, \rightarrow)\) consider a sequence of elements \(a_i\) that are pairwise connected by the symmetric closure, i.e., \(a_1 \leftrightarrow a_2 \leftrightarrow a_3 \ldots \leftrightarrow a_n\). Then \(a_i\) is called a peak in such a sequence, if actually \(a_{i-1} \leftarrow a_i \rightarrow a_{i+1}\).

Actually, in Definition 1.6.1 I overload the symbol \(\rightarrow\) that has already denoted logical implication, see Section 1.4, with a rewrite relation. This overloading will remain throughout this book. The rule symbol \(\Rightarrow\) is only used on the meta level in this book, e.g., to define the Sudoku algorithm on problem states, Section 1.1. Nevertheless, these meta rule systems are also rewrite systems in the above sense. The rewrite symbol \(\rightarrow\) is used on the formula level inside a problem state. This will become clear when I turn to more complex logics starting from Chapter 2.

**Definition 1.6.2 (Reducible).** Let \((M, \rightarrow)\) be a rewrite system. An element \(a \in M\) is reducible, if there is a \(b \in M\) such that \(a \rightarrow b\). An element \(a \in M\) is in normal form (irreducible), if it is not reducible. An element \(c \in M\) is a normal form of \(b\), if \(b \rightarrow^+ c\) and \(c\) is in normal form, denoted by \(c = b\downarrow\). Two elements \(b\) and \(c\) are joinable, if there is an \(a\) so that \(b \rightarrow^+ a \leftarrow c\), denoted by \(b \downarrow c\).
Traditionally, \( c = b \downarrow \) implies that the normal form of \( b \) is unique. However, when defining logical calculi as abstract rewrite systems on states in subsequent chapters, sometimes it is useful to write \( c = b \downarrow \) even if \( c \) is not unique. In this case, \( c \) is an arbitrary irreducible element obtained from reducing \( b \).

**Definition 1.6.3** (Properties of \( \rightarrow \)). A relation \( \rightarrow \) is called

- Church-Rosser if \( b \leftrightarrow^* c \) implies \( b \downarrow c \)
- confluent if \( b^* \leftarrow a \rightarrow^* c \) implies \( b \downarrow c \)
- locally confluent if \( b \leftarrow a \rightarrow c \) implies \( b \downarrow c \)
- terminating if there is no infinite descending chain \( b_0 \rightarrow b_1 \ldots \)
- normalizing if every \( b \in A \) has a normal form
- convergent if it is confluent and terminating

**Lemma 1.6.4.** If \( \rightarrow \) is terminating, then it is normalizing.

The reverse implication of Lemma 1.6.4 does not hold. Assuming this is a frequent mistake. Consider \( M = \{a, b, c\} \) and the relation \( a \rightarrow b \), \( b \rightarrow a \), and \( b \rightarrow c \). Then \((M, \rightarrow)\) is obviously not terminating, because we can cycle between \( a \) and \( b \). However, \((M, \rightarrow)\) is normalizing. The normal form is \( c \) for all elements of \( M \). Similarly, there are rewrite systems that are locally confluent, but not confluent, see Figure. In the context of termination the property holds, see Lemma 1.6.6.

**Theorem 1.6.5.** The following properties are equivalent for any rewrite system \((M, \rightarrow)\):

(i) \( \rightarrow \) has the Church-Rosser property.

(ii) \( \rightarrow \) is confluent.

*Proof.* (i) \( \Rightarrow \) (ii): trivial.

(ii) \( \Rightarrow \) (i): by induction on the number of peaks in the derivation \( b \leftrightarrow^* c \).

**Lemma 1.6.6** (Newman’s Lemma : Confluence versus Local Confluence). Let \((M, \rightarrow)\) be a terminating rewrite system. Then the following properties are equivalent:

(i) \( \rightarrow \) is confluent

(ii) \( \rightarrow \) is locally confluent

*Proof.* (i) \( \Rightarrow \) (ii): trivial.

(ii) \( \Rightarrow \) (i): Since \( \rightarrow \) is terminating, it is a well-founded ordering (see Exercise ??). This justifies a proof by Noetherian induction where the property \( Q(a) \) is “\( a \) is confluent”. Applying Noetherian induction, confluence holds for all \( a' \in M \) with \( a \rightarrow^+ a' \) and needs to be shown for \( a \). Consider the confluence property for \( a \): \( b \leftarrow a \rightarrow^* c \). If \( b = a \) or \( c = a \) the proof is done. For otherwise, the situation can be expanded to \( b \leftarrow b' \leftarrow a \rightarrow c' \rightarrow^* c \) as shown in Figure 1.5. By local confluence there is an \( a' \) with \( b' \rightarrow^* a' \leftarrow c' \). Now \( b', c' \) are strictly smaller than \( a \), they are confluent and hence can be rewritten to a single \( a'' \), finishing the proof (see Figure 1.5).
1.7. CALCULI: REWRITE SYSTEMS ON LOGICAL STATES

Lemma 1.6.7. If $\rightarrow$ is confluent, then every element has at most one normal form.

Proof. Suppose that some element $a \in A$ has normal forms $b$ and $c$, then $b \xleftarrow{*} a \rightarrow{*} c$. If $\rightarrow$ is confluent, then $b \rightarrow{*} d \xleftarrow{*} c$ for some $d \in A$. Since $b$ and $c$ are normal forms, both derivations must be empty, hence $b \rightarrow^{0} d \xleftarrow{0} c$, so $b$, $c$, and $d$ must be identical. \hfill $\square$

Corollary 1.6.8. If $\rightarrow$ is normalizing and confluent, then every element $b$ has a unique normal form.

Proposition 1.6.9. If $\rightarrow$ is normalizing and confluent, then $b \leftrightarrow{*} c$ if and only if $b \downarrow = c \downarrow$.

Proof. Either using Theorem 1.6.5 or directly by induction on the length of the derivation of $b \leftrightarrow{*} c$. \hfill $\square$

1.7 Calculi: Rewrite Systems on Logical States

The previous section introduced computational properties of rewrite systems. There, for a rewrite system $(M, \rightarrow)$, the elements of $M$ are abstract. In this section I assume that the elements of $M$ are states including formulas of some logic. If the elements of $M$ are actually such states, then a rewrite system $(M, \rightarrow)$ is also called a calculus. In this case, in addition to properties like termination or confluence, properties such as soundness and completeness make sense as well. Although these properties were already mentioned in Section 1.1 they are presented here on a more abstract level.

Starting from Chapter 2 I will introduce various logics and calculi for these logics where the below properties make perfect sense. The Sudoku language
is a (very particular) logic as well. It motivates only partly the below notions, because the boolean structure of a Sudoku formula is very simple. It is a conjunction $N$ of equations $f(x, y) = z$ (see Section 1.1). Then a Sudoku formula $N$ is called \textit{satisfiable} if it can be extended to a formula $N \land N'$ such that all squares are defined exactly once in $N \land N'$ and $N \land N'$ represents a Sudoku solution. In this case the formula $N \land N'$ is also called a \textit{model} of $N$. In case the Sudoku formula is not satisfiable the actual derivation $(N; \top; \top) \Rightarrow^* (N; \top; \bot)$ represents a \textit{proof} of unsatisfiability. For example, the Sudoku formula $f(1, 1) = 1 \land f(1, 2) = 2 \land f(1, 3) = 3 \land f(2, 4) = 4$ is unsatisfiable. A Sudoku formula $N$ is \textit{valid} if for any extended formula $N \land N'$ such that all squares are defined exactly once in $N \land N'$ the formula $N \land N'$ represents a Sudoku solution. The Sudoku rewrite system investigates satisfiability.

With respect to the above definitions the only valid Sudoku formulas are actually formulas $N$ where values for all squares are defined in $N$. For otherwise, for some undefined square an extension $N'$ could just add a value that violates a Sudoku constraint.

As another example consider solving systems of linear equations over the rationals, e.g., solving a system like

$$
\begin{align*}
3x + 4y &= 4 \\
x - y &= 6.
\end{align*}
$$

One standard method solving such a system is variable elimination. To this end, first two equations are normalized with respect to one variable, here I choose $y$:

$$
\begin{align*}
y &= 1 - \frac{3}{4}x \\
y &= x - 6.
\end{align*}
$$

Next the two equations are combined and normalized to an equation for the remaining variables, here $x$:

$$
\frac{7}{4}x = 7
$$

eventually yielding the solution $x = 4$ and $y = -2$. The below rewrite system describes the solution process via variable elimination. It operates on a set $N$ of equations. The rule \texttt{Eliminate} eliminates one variable from two equations via a combination. The notion $\leftrightarrow$ includes the above exemplified normalizations on the equations, in particular, transforming the equations to isolate a variable, and transforming it into a unique form for comparison.

\textbf{Eliminate} $\{x \leftrightarrow s, x \leftrightarrow t\} \cup N \Rightarrow_{\text{LAE}} \{x \leftrightarrow s, x \leftrightarrow t, s \leftrightarrow t\} \cup N$

provided $s \neq t$, and $s \leftrightarrow t \notin N$

\textbf{Fail} $\{q_1 \leftrightarrow q_2\} \cup N \Rightarrow_{\text{LAE}} \emptyset$

provided $q_1, q_2 \in \mathbb{Q}$, $q_1 \neq q_2$
Executing the two rules on the above example with \( N = \{3x+4y = 4, x-y = 6\} \) yields:

\[
\begin{align*}
N & \Rightarrow_{\text{Eliminate}_{\text{LAE}}} N \cup \{7x = 7\}, \\
& \Rightarrow_{\text{Eliminate}_{\text{LAE}}} N \cup \{x = 4, y = -2\},
\end{align*}
\]

where Eliminate is first applied to \( y \) and then to \( x \). Now no more rule is applicable. The rewrite system terminates.

In general, it is confluent, because no equations are eliminated from \( N \) except for rule Fail that immediately produces a normal form. The rules are sound, because variable elimination is sound and Fail is sound. Any solution after the application of a rule also solves the equations before the application of a rule. The LEA system only terminates in combination with a variable elimination strategy. There is an ordering on the variables and all variables are eliminated one after the other exhaustively with respect to the ordering.

So, if the initial system of equations has a solution, the rules will identify the solution. Once the rule set terminates, either \( N = \emptyset \) and there is no solution, or a solution is present in the final \( N \). If the original system of equations is not under-determined, \( N \) contains an equation \( x = q \) for each variable \( x \) where \( q \in \mathbb{Q} \).

The LAE system is complete, because variable elimination does not rule out any solutions. In general, this can be shown by ensuring that any solution before the application of a rule solves also the equations after application of a rule.

For the system two normalized forms are needed. For the application of Eliminate the two equations are transformed such that the selected variable is isolated. For comparison, the equations are transformed in unique normal form, e.g., in a form \( a_1x_1 + \ldots + a_nx_n = q \) where \( a_i, q \in \mathbb{Q} \).

The LAE rewrite system can be further improved by adding a subsumption rule removing redundant equations. For example, the rule

\[
\text{Subsume} \quad \{s \doteq t, s' \doteq t'\} \uplus N \Rightarrow_{\text{LAES}} \{s \doteq t\} \cup N \\
\text{provided } s \doteq t \text{ and } qs' \doteq qt' \text{ are identical for some } q \in \mathbb{Q}
\]

\[
\text{Delete} \quad \{x \doteq c, x \doteq t'\} \uplus N \Rightarrow_{\text{LAES}} \{x \doteq c, c \doteq t'\} \cup N \\
c \in \mathbb{Q}
\]

deletes an equation if it is a variant of an existing one that can be obtained by multiplication with a constant. Obviously, adding this rule improves the performance of the rewrite system, but now it is no longer obvious that the rewrite system consisting of the rules Eliminate, Subsume, and Fail is confluent, sound, terminating, and complete.

In general, a calculus consists of inference and reduction rewrite rules. While inference rules add formulas of the logic to a state, reduction rules remove formulas from a state or replace formulas by simpler ones.
A calculus or rewrite system on some state can be *sound*, *complete*, *strongly complete*, *refutationally complete* or *terminating*. Terminating means that it terminates on any input state, see the previous section. Now depending on whether the calculus investigates validity (unsatisfiability) or satisfiability of the formulas contained in the state the aforementioned notions have (slightly) different meanings.

<table>
<thead>
<tr>
<th>Validity</th>
<th>Satisfiability</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Sound</strong></td>
<td>If the calculus derives a proof of validity for the formula, it is valid.</td>
</tr>
<tr>
<td><strong>Complete</strong></td>
<td>If the formula is valid, a proof of validity is derivable by the calculus.</td>
</tr>
<tr>
<td><strong>Strongly Complete</strong></td>
<td>For any validity proof of the formula, there is a derivation in the calculus producing this proof.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Validity</th>
<th>Satisfiability</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Sound</strong></td>
<td>If the calculus derives satisfiability of the formula, it has a model.</td>
</tr>
<tr>
<td><strong>Complete</strong></td>
<td>If the formula has a model, the calculus derives satisfiability.</td>
</tr>
<tr>
<td><strong>Strongly Complete</strong></td>
<td>For any model of the formula, there is a derivation in the calculus producing this model.</td>
</tr>
</tbody>
</table>

There are some assumptions underlying these informal definitions. First, the calculus actually produces a proof in case of investigating validity, and in case of investigating satisfiability it produces a model. This in fact requires the specific notion of a proof and a model. Then soundness means in both cases that the calculus has no bugs. The results it produces are correct. Completeness means that if there is a proof (model) for a formula, the calculus could eventually find it. Strong completeness requires in addition that any proof (model) can be found by the calculus. A variant of a complete calculus is a *refutationally complete* calculus: a calculus is refutationally complete, if for any unsatisfiable formula it derives a proof of contradiction. Many automated theorem procedures like resolution (see Section 2.6), or tableaux (see Section 2.4) are actually only refutationally complete.

With respect to the above notions, the Sudoku calculus is complete but not strongly complete for satisfiability.

**Historic and Bibliographic Remarks**

For context free languages see [10].