

$$E_D := \left\{ \begin{array}{l} \{s \approx t\} \text{ if } D = D' \vee s \approx t, \\ \quad (i) \ s \approx t \text{ is strictly maximal in } D \\ \quad (ii) \ s \succ t \\ \quad (iii) \ D \text{ is false in } N_D \\ \quad (iv) \ D' \text{ is false in } N_D \cup \{s \rightarrow t\} \\ \quad (v) \ s \text{ is irreducible by } N_D \\ \quad (vi) \ \text{no negative literal is selected in } D' \\ \emptyset \text{ otherwise} \end{array} \right.$$

### 5.2.5 Lemma (Maximal Terms in Productive Clauses)

If  $E_C = \{s \rightarrow t\}$  and  $E_D = \{l \rightarrow r\}$ , then  $s \succ l$  if and only if  $C \succ D$ .

### 5.2.6 Corollary (Partial Models are Convergent Rewrite Systems)

The rewrite systems  $N_C$  and  $N_I$  are convergent.

## 5.2.7 Lemma (Ordering Consequences in Productive Clauses)

If  $D \preceq C$  and  $E_C = \{s \rightarrow t\}$ , then  $s \succ r$  for every term  $r$  occurring in a negative literal in  $D$  and  $s \succeq l$  for every term  $l$  occurring in a positive literal in  $D$ .

## 5.2.8 Corollary (Model Monotonicity True Clauses)

If  $D$  is true in  $N_D$ , then  $D$  is true in  $N_I$  and  $N_C$  for all  $C \succ D$ .

### 5.2.9 Corollary (Model Monotonicity False Clauses)

If  $D = D' \vee s \approx t$  is productive, then  $D'$  is false and  $D$  is true in  $N_{\mathcal{I}}$  and  $N_C$  for all  $C \succ D$ .

### 5.2.10 Lemma (Lifting Single Clause Inferences)

Let  $C$  be a clause and let  $\sigma$  be a substitution such that  $C\sigma$  is ground. Then every equality resolution or equality factoring inference from  $C\sigma$  is a ground instance of an inference from  $C$ .

## 5.2.11 Lemma (Lifting Two Clause Inferences)

Let  $D = D' \vee u \approx v$  and  $C = C' \vee [\neg]s \approx t$  be two clauses (without common variables) and let  $\sigma$  be a substitution such that  $D\sigma$  and  $C\sigma$  are ground. If there is a superposition inference between  $D\sigma$  and  $C\sigma$  where  $u\sigma$  and some subterm of  $s\sigma$  are overlapped and  $u\sigma$  does not occur in  $s\sigma$  at or below a variable position of  $s$  then the inference is a ground instance of a superposition inference from  $D$  and  $C$ .

## 5.2.12 Theorem (Model Construction)

Let  $N$  be a set of clauses that is saturated up to redundancy and does not contain the empty clause. Then for every ground clause  $C_\sigma \in \text{grd}(\Sigma, N)$  it holds that:

1.  $E_{C_\sigma} = \emptyset$  if and only if  $C_\sigma$  is true in  $N_{C_\sigma}$ .
2. If  $C_\sigma$  is redundant with respect to  $\text{grd}(\Sigma, N)$  then it is true in  $N_{C_\sigma}$ .
3.  $C_\sigma$  is true in  $N_{\mathcal{I}}$  and in  $N_D$  for every  $D \in \text{grd}(\Sigma, N)$  with  $D \succ C_\sigma$ .

### 5.2.13 Lemma (Lifting Models)

Let  $N$  be a set of clauses with variables and let  $\mathcal{A}$  be a term-generated  $\Sigma$ -algebra. Then  $\mathcal{A}$  is a model of  $\text{grd}(\Sigma, N)$  if and only if it is a model of  $N$ .

### 5.2.14 Theorem (Refutational Completeness: Static View)

Let  $N$  be a set of clauses that is saturated up to redundancy. Then  $N$  has a model if and only if  $N$  does not contain the empty clause.

## 5.2.15 Definition (Superposition Run)

A *run* of the superposition calculus is a derivation

$N_0 \Rightarrow_{\text{SR}} N_1 \Rightarrow_{\text{SR}} N_2 \Rightarrow_{\text{SR}} \dots$ , so that

1.  $N_i \models N_{i+1}$ , and
2. all clauses in  $N_i \setminus N_{i+1}$  are redundant with respect to  $N_{i+1}$ .

For a run,  $N_\infty = \bigcup_{i \geq 0} N_i$  and  $N_* = \bigcup_{i \geq 0} \bigcap_{j \geq i} N_j$ . The set  $N_*$  of all *persistent* clauses is called the *limit* of the run.



### 5.2.16 Lemma (Redundancy is Monotone)

If  $N \subseteq N'$ , then  $\text{red}(N) \subseteq \text{red}(N')$ .

### 5.2.17 Lemma (Redundant Clauses Do not Contribute)

If  $N' \subseteq \text{red}(N)$ , then  $\text{red}(N) \subseteq \text{red}(N \setminus N')$ .

### 5.2.18 Lemma (Redundancy is Monotone in Runs)

Let  $N_0 \Rightarrow N_1 \Rightarrow_{\text{SR}} N_2 \Rightarrow_{\text{SR}} \dots$  be a run. Then  $\text{red}(N_i) \subseteq \text{red}(N_\infty)$  and  $\text{red}(N_i) \subseteq \text{red}(N_*)$  for every  $i$ .

### 5.2.19 Corollary (Redundancy is Monotone Modulo Persistent Clauses)

$N_i \subseteq N_* \cup \text{red}(N_*)$  for every  $i$ .

### 5.2.20 Definition (Fair Run)

A run is called *fair*, if  $(N_* \setminus \text{red}(N_*)) \Rightarrow_{\text{SUPE}} (N_* \setminus \text{red}(N_*)) \cup \{C\}$  then  $C \in (N_i \cup \text{red}(N_i))$  for some  $i$ .

### 5.2.21 Lemma (Saturation of Fair Runs)

If a run is fair, then its limit is saturated up to redundancy.

### 5.2.22 Theorem (Refutational Completeness: Dynamic View)

Let  $N_0 \Rightarrow_{\text{SR}} N_1 \Rightarrow_{\text{SR}} N_2 \Rightarrow_{\text{SR}} \dots$  be a fair run, let  $N_*$  be its limit. Then  $N_0$  has a model if and only if  $\perp \notin N_*$ .