

First-Order Resolution

As already mentioned, I still consider first-order logic without equality. First-order resolution on ground clauses corresponds to propositional resolution. Each ground atom becomes a propositional variable. However, since there are up to infinitely many ground instances for a first-order clause set with variables and it is not a priori known which ground instances are needed in a proof, the first-order resolution calculus operates on clauses with variables.

Roughly, the relationship between ground resolution and first-order resolution corresponds to the relationship between standard tableau and free-variable tableau. However, the variables in free-variable tableaux can only be instantiated once, whereas in resolution they can be instantiated arbitrarily often.



Propositional (or first-order ground) resolution is refutationally complete, without reduction rules it is not guaranteed to terminate for satisfiable sets of clauses, and inferior to the CDCL calculus.

However, in contrast to the CDCL calculus, resolution can be easily extended to non-ground clauses via unification and matching. The problem to lift the CDCL calculus lies in the lifting of the model representation of the trail. I'll discuss this in more detail in Section 3.14.



The *first-order resolution calculus* consists of the inference rules *Resolution* and *Factoring* and generalizes the propositional resolution calculus (Section 2.6).

Variables in clauses are implicitly universally quantified, so they can be instantiated in an arbitrary way. For the application of any inference or reduction rule, I can therefore assume that the involved clauses don't share any variables, i.e., variables are a priori renamed. Furthermore, clauses are assumed to be unique with respect to renaming in a set.



Resolution Inference Rules

Resolution

$$(N \uplus \{D \vee A, \neg B \vee C\}) \Rightarrow_{\text{RES}} (N \cup \{D \vee A, \neg B \vee C\} \cup \{(D \vee C)\sigma\})$$

if $\sigma = mgu(A, B)$ for atoms A, B

Factoring

$$(N \uplus \{C \vee L \vee K\}) \Rightarrow_{\text{RES}} (N \cup \{C \vee L \vee K\} \cup \{(C \vee L)\sigma\})$$

if $\sigma = mgu(L, K)$ for literals L, K



Resolution Reduction Rules

Subsumption $(N \uplus \{C_1, C_2\}) \Rightarrow_{\text{RES}} (N \cup \{C_1\})$
provided $C_1\sigma \subset C_2$ for some matcher σ

Tautology Deletion $(N \uplus \{C \vee A \vee \neg A\}) \Rightarrow_{\text{RES}} (N)$

Condensation $(N \uplus \{C\}) \Rightarrow_{\text{RES}} (N \cup \{C'\})$
where C' is the result of removing duplicate literals from $C\sigma$ for some matcher σ and C' subsumes C

Subsumption Resolution $(N \uplus \{C_1 \vee L, C_2 \vee K\}) \Rightarrow_{\text{RES}}$
 $(N \cup \{C_1 \vee L, C_2\})$

where $L\sigma = \text{comp}(K)$ and $C_1\sigma \subseteq C_2$

3.10.10 Theorem (Soundness and Completeness of Resolution)

The resolution calculus, inference and reduction rules, is sound and complete:

N is unsatisfiable iff $N \Rightarrow_{\text{RES}}^* N'$ and $\perp \in N'$ for some N'

The result will be a consequence of soundness and completeness of first-order superposition.